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State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
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July 28, 2016

To the Members of the Fiscal Committee
of the General Court

The Chairman of the Fiscal Committee of the General Court, as established by RSA 14:30-a, of which you are a member, has requested that you be notified that the Fiscal Committee will hold a regular business meeting on Friday, August 5, 2016, at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Please find attached information to be discussed at that meeting.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael W. Kane".

Michael W. Kane
Legislative Budget Assistant

MWK/pe
Attachments

FISCAL COMMITTEE
AGENDA

Friday, August 5, 2016 in Room 210-211 of the Legislative Office Building

10:00 a.m.

- (1) Acceptance of Minutes of the June 24, 2016 meeting

NOTE: ALL ITEMS LISTED ON THE AGENDA UNDER CONSENT CALENDAR ARE IN BOLD.

- (2) Old Business:

FIS 15-229 Department of Health and Human Services – authorization to implement the actions contained in the Cost Savings Plan to support the dual purposes within the Sununu Youth Services Center of providing both secure youth detention and psychiatric residential treatment. (FIS 15-229 Additional Information – Letter from Representative Kurk, dated November 18, 2015, containing two separate provisions relative to the funding of the Sununu Youth Services Center) Tabled 12/18/15

FIS 16-082 New Hampshire Liquor Commission – authorization to accept and expend \$249,270 in other funds through September 30, 2016. Tabled 05/20/16

FIS 16-087 Department of Health and Human Services – authorization to accept and expend \$8,298,168 in federal funds effective July 1, 2016 through December 31, 2016. POSTPONED 05/20/16 and Tabled 06/24/16

FIS 16-093 New Hampshire Liquor Commission – authorization to accept and expend \$109,692 in other funds through September 30, 2016. Tabled 06/24/16

FIS 16-098 Replacement Department of Education - contingent upon Fiscal Committee approval of FIS 16-087, authorization to accept and expend \$612,500 in other funds for the period of September 1, 2016 through February 28, 2017, which replaces request FIS 16-098 Department of Education - contingent upon Fiscal Committee approval of FIS 16-087, to accept and expend \$612,500 in other funds for the period of July 1, 2016 through December 31, 2016. Tabled 06/24/16

FIS 16-099 Replacement New Hampshire Employment Security – contingent upon Fiscal Committee approval of FIS 16-087, authorization to 1) accept and expend \$477,930 in other funds for the period of September 1, 2016 through February 28, 2017, and 2) establish seven (7) full-time temporary positions consisting of; six (6) Employment Counselor Specialist (LG 19) and one (1) Program Specialist I (LG 19) for the period of September 1, 2016 through February 28, 2017, which replaces request FIS 16-099 New Hampshire Employment Security – contingent upon Fiscal Committee approval of FIS 16-087, to; 1) accept and expend \$477,930 in other funds through December 31, 2016, and 2) establish seven (7) full-time temporary positions consisting of; six (6) Employment Counselor Specialist (LG 19) and one (1) Program Specialist I (LG 19) for the period beginning July 1, 2016 through December 31, 2016. Tabled 06/24/16

- (3) RSA 14:30-a, III Audit Topic Recommendation by Legislative Performance Audit and Oversight Committee:

FIS 16-112 Letter from Senator John Reagan, Chairman of the Legislative Performance Audit and Oversight Committee relative to the recommendation of the following one (1) new performance audit topic:

- Community College System of New Hampshire, Efficient and Effective Operations;

CONSENT CALENDAR

- (4) RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:

FIS 16-115 Department of Resources and Economic Development – authorization to retroactively budget and expend \$150,000 in other funds for the period of July 1, 2016 through June 30, 2017 (FIS 16-042 originally approved March 18, 2016)

FIS 16-123 Department of Administrative Services – authorization to; 1) release \$379,000 in Active Health Benefit Reserve Funds retroactive to April 1, 2016, to support Active Dental (\$341,000) and Active Trooper (\$38,000) plan expenditures, and 2) reduce the Active Trooper Health Benefit reserve from 100% of estimated annual claims and administrative expenses to 90% of estimated annual claims and administrative expenses thereby releasing \$384,400 (including the \$38,000 noted in Request #1) to be made available for fluctuations in plan costs that occur on a monthly basis

- (5) RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source and RSA 124:15 Positions Authorized:

FIS 16-126 Department of Justice – authorization to; 1) accept and expend \$108,223 in other funds through June 30, 2017, and 2) pending approval of request #1, establish one (1) full-time salaried Drug Prosecutor position through June 30, 2017

- (6) RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source and RSA 21-I:30, II, Medical and Surgical Benefits:

FIS 16-124 Department of Administrative Services – authorization to; 1) reduce Retiree Health Benefit Reserve Funds from 5% to 4%, a reduction in the amount of \$800,000 of projected annual claims and administrative expenses, and 2) schedule and hold a public hearing before the Fiscal Committee to review the retiree health benefit plan design and premium contribution changes

- (7) RSA 7:12, I, Assistants:

FIS 16-117 Department of Justice – authorization to accept and expend a sum not to exceed \$1,352,300 from funds not otherwise appropriated for the purpose of covering projected shortfalls in the general litigation expenses incurred in the defense of the State and the prosecution of criminal law through June 30, 2017

(8) RSA 167:4, I. (b), Eligibility for Assistance:

FIS 16-122 Health and Human Services Oversight Committee – request consideration of proposed administrative rules regarding asset transfers as reviewed and recommended by the Health and Human Services Oversight Committee

(9) RSA 177:2, II, Closing of State Stores:

FIS 16-120 New Hampshire Liquor Commission – request approval of the Indirect Cost Allocation Plan for State Fiscal Year 2017

(10) RSA 363:28, III, Office of the Consumer Advocate:

FIS 16-121 Office of Consumer Advocate – authorization to enter into a contract with Strategen Consulting, LLC to provide expert services to support participation in the Net Energy Metering proceeding, docket number DE 16-576, before the NH Public Utilities Commission (NHPUC) in an amount not to exceed \$75,000 through June 30, 2017

(11) Chapter 276:4, Laws of 2015, Department of Administrative Services; Transfer Among Accounts and Classes:

FIS 16-119 Department of Administrative Services – authorization to transfer \$100,000 in general funds in and among accounting units through June 30, 2017

(12) Chapter 276:29, Laws of 2015, Department of Transportation; Transfer of Funds:

FIS 16-118 Department of Transportation – authorization to transfer \$324,800 in federal funds in and among accounting units through June 30, 2017

FIS 16-127 Department of Transportation – authorization to establish a non-budgeted class in an accounting unit and transfer \$22,000 between accounts and classes through June 30, 2017

(13) Chapter 276:143, Laws of 2015, Department of Health and Human Services; Transfer Among Accounts and RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:

FIS 16-116 Department of Health and Human Services – authorization to transfer \$2,815,934 in general funds, increase related federal revenues in the amount of \$813,000, and increase related other revenues in the amount of \$160,965 through June 30, 2017

(14) Miscellaneous:

(15) Informational Materials:

LBAO Report on Additional Revenues for the Biennium Ending June 30, 2017 – Fiscal Committee Approvals through June 24, 2016 (RSA 14:30-a, VI)

Joint Legislative Facilities Committee
Legislative Branch
Detail of Balance of Funds Available
Fiscal Year 2016
As of 06/30/16

FIS 16-110 Department of Health and Human Services – as of May 31, 2016 Operating Statistics Dashboard report for the fiscal year ending June 30, 2016

FIS 16-111 Office of The Governor – Chapter 277, Laws of 2016 and Chapter 330, Laws of 2016 – letter, dated July 1, 2016, to certify that the amounts transferred to the Department of Safety, Department of Health and Human Services, and the Affordable Housing Fund for the State Fiscal Year ending June 30, 2017, are in addition to the projected lapses

FIS 16-113 Department of Resources and Economic Development – RSA 12-A:29-c, III, Cannon Mountain Capital Improvement Fund, and RSA 216:3, IV (b), Hampton Beach Capital Improvement Fund – Division of Parks and Recreation Fiscal Year 2015 Financial Report

FIS 16-114 Department of Revenue Administration – RSA 21-J:45 – Quarterly Refund Report for the months of April through June 2016

FIS 16-125 Department of Administrative Services – Chapter 319:32, Laws of 2003 – State Employee Health Insurance - report regarding the self-funded health benefits program from July 1, 2015 through June 30, 2016

FIS 16-128 New Hampshire Fish and Game Department – RSA 206:42 – Search and Rescue Quarterly Report of Expenditures for the period January 1, 2016 to March 31, 2016

10:30 a.m.

Audits:

State of New Hampshire
Department of Safety
Division of Homeland Security
And Emergency Management
Performance Audit Report
August 2016

(16) Date of Next Meeting and Adjournment

FISCAL COMMITTEE OF THE GENERAL COURT

MINUTES

June 24, 2016

The Fiscal Committee of the General Court met on Friday, June 24, 2016 at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Members in attendance were as follows:

Representative Neal Kurk, Chairman
Representative Ken Weyler
Representative Lynne Ober
Representative Mary Jane Wallner
Representative Daniel Eaton
Representative Richard Barry (Alternate)
Senator Jeanie Forrester
Senator Gary Daniels (Alternate)
Senate President Chuck Morse
Senator Andy Sanborn
Senator Lou D'Allesandro

Chairman Kurk opened the meeting at 10:10 a.m.

ACCEPTANCE OF MINUTES:

On a motion by Senator D'Allesandro, seconded by Representative Ober, that the minutes of the May 20, 2016 meeting be accepted as written. MOTION ADOPTED.

OLD BUSINESS:

FIS 15-229 Department of Health and Human Services – authorization to implement the actions contained in the Cost Savings Plan to support the dual purposes within the Sununu Youth Services Center of providing both secure youth detention and psychiatric residential treatment. (FIS 15-229 Additional Information – Letter from Representative Kurk, dated November 18, 2015, containing two separate provisions relative to the funding of the Sununu Youth Services Center). Tabled 12/18/15. No Action Taken.

FIS 16-082 New Hampshire Liquor Commission – authorization to accept and expend \$249,270 in other funds through September 30, 2016. Tabled 05/20/16. No Action Taken.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

CONSENT CALENDAR

At the request of the Committee items FIS 16-090 Department of Justice, FIS 16-091 and FIS 16-092 Department of Environmental Services, FIS 16-093 N.H. Liquor Commission, FIS

16-098 Department of Education, and FIS 16-104 Department of Administrative Services were removed from the Consent Calendar.

On a motion by Representative Ober, seconded by Senator Daniels, that the Committee approve the remaining requests under this section as submitted. MOTION ADOPTED. [FIS 16-100 State Treasury – authorization to accept and expend \$500,000 in other funds through June 30, 2016, and FIS 16-107 Department of Health and Human Services – authorization to accept and expend \$941,245 in federal funds effective July 1, 2016 through June 30, 2017]

FIS 16-090 Department of Justice – Anne Edwards, Associate Attorney General, and Kathleen Carr, Director of Administration, Department of Justice, responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Representative Ober, that the Committee approve the request of the Department of Justice to budget and expend \$59,313,582 in other funds through June 30, 2017. MOTION ADOPTED.

FIS 16-091 Department of Environmental Services – Susan Carlson, Chief Operations Officer, and Michael Wimsatt, Director of Waste Management Division, Department of Environmental Services, presented the request and responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Environmental Services to accept and expend \$5,198,361 in other funds through June 30, 2017. MOTION ADOPTED.

FIS 16-092 Department of Environmental Services – Susan Carlson, Chief Operations Officer, Department of Environmental Services, presented the request and responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator Sanborn, that the Committee approve the request of the Department of Environmental Services to budget and expend \$50,000,000 in other funds through June 30, 2017. MOTION ADOPTED.

The Committee requested the Department provide the accounting history of these loan funds, in order to track where it comes from, where it goes, the rates, and the amounts of principal forgiveness issued.

FIS 16-093 New Hampshire Liquor Commission – James Wilson, Chief of Enforcement and Licensing, Lieutenant James Young, Enforcement and Licensing Division, and Joseph Mollica, Commissioner, New Hampshire Liquor Commission, responded to questions of the Committee.

On a motion by Representative Eaton, seconded by Representative Ober, that the Committee deny the request of the New Hampshire Liquor Commission to accept and expend \$109,692 in other funds through September 30, 2016. No action taken.

Representative's Eaton and Ober, respectively, withdrew the motion to deny the request of the New Hampshire Liquor Commission.

On a motion by Representative Eaton, seconded by Representative Ober, that the Committee table the request of the New Hampshire Liquor Commission to accept and expend \$109,692 in other funds through September 30, 2016. MOTION ADOPTED. (8-Yes, 2-No)

OLD BUSINESS (continued):

FIS 16-087 Department of Health and Human Services – Jeffrey Meyers, Commissioner, Department of Health and Human Services requested the Committee postpone the item until the next meeting.

On a motion by Senator Morse, seconded by Senator Daniels, that the Committee table the request of the Department of Health and Human Services to accept and expend \$8,298,168 in federal funds effective July 1, 2016 through December 31, 2016, which was postponed May 20, 2016. MOTION ADOPTED.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE (continued):

CONSENT CALENDAR

FIS 16-098 Department of Education – On a motion by Senator Forrester, seconded by Representative Weyler, that the Committee table the request of the Department of Education, contingent upon Fiscal Committee approval of FIS 16-087, authorization to accept and expend \$612,500 in other funds for the period of July 1, 2016 through December 31, 2016. MOTION ADOPTED.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15 POSITIONS AUTHORIZED:

CONSENT CALENDAR

FIS 16-099 New Hampshire Employment Security – On a motion by Representative Weyler, seconded by Senator Sanborn, that the Committee table the request of New Hampshire Employment Security, contingent upon Fiscal Committee approval of FIS 16-087, authorization to; 1) accept and expend \$477,930 in other funds through December 31, 2016, and 2) establish seven (7) full-time temporary positions consisting of; six (6) Employment Counselor Specialist (LG 19) and one (1) Program Specialist I (LG 19) for the period beginning July 1, 2016 through December 31, 2016. MOTION ADOPTED.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE (continued):

CONSENT CALENDAR

FIS 16-104 Department of Administrative Services – Michael Connor, Deputy Commissioner, Department of Administrative Services, responded to questions of the Committee.

On a motion by Representative Eaton, seconded by Representative Ober, that the Committee approve the request of the Department of Administrative Services to accept and expend \$100,000 in other funds through June 30, 2017. MOTION ADOPTED. (7-Yes, 3-No)

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15 POSITIONS AUTHORIZED:

CONSENT CALENDAR

FIS 16-108 Department of Health and Human Services – On a motion by Senator D’Allesandro, seconded by Representative Weyler, that the Committee approve the request of the Department of Health and Human Services to; 1) subject to approval of #2, retroactively amend FIS 15-172, approved August 26, 2015, by extending the end date from December 31, 2015 through June 30, 2017 for three (3) full-time temporary positions consisting of a; Senior Management Analyst, Program Planner III (Data Analyst) and Program Planner III (Communication Specialist), and 2) accept and expend \$294,310 in federal funds through June 30, 2017. MOTION ADOPTED.

RSA 124:15 POSITIONS AUTHORIZED:

CONSENT CALENDAR

On a motion by Senator D’Allesandro, seconded by Representative Weyler, that the Committee approve the request under this section as submitted. MOTION ADOPTED. [FIS 16-094 Department of Administrative Services – authorization to establish one (1) temporary part-time Planning Analyst/Data Systems position for the period of July 1, 2016 through June 30, 2017]

CHAPTER 276:4, LAWS OF 2015, DEPARTMENT OF ADMINISTRATIVE SERVICES; TRANSFER AMONG ACCOUNTS AND CLASSES:

FIS 16-101 Department of Administrative Services – On a motion by Senator D’Allesandro, seconded by Representative Eaton, that the Committee approve the request of the Department of Administrative Services to transfer \$92,845 in general and other funds in and among accounting units through June 30, 2017. MOTION ADOPTED.

FIS 16-106 Department of Administrative Services – On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Administrative Services to transfer \$50,000 in general funds in and among accounting units through June 30, 2016. MOTION ADOPTED.

CHAPTER 276:23, LAWS OF 2015, JUDICIAL BRANCH; TRANSFERS:

FIS 16-097 Administrative Office of the Courts – On a motion by Representative Weyler, seconded by Senator D’Allesandro, that the Committee approve the request of the Administrative Office of the Courts to transfer \$499,620 in general funds between expenditure classes through June 30, 2016. MOTION ADOPTED.

CHAPTER 276:143, LAWS OF 2015, DEPARTMENT OF HEALTH AND HUMAN SERVICES; TRANSFER AMONG ACCOUNTS AND RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

FIS 16-103 Department of Health and Human Services – On a motion by Senator D’Allesandro, seconded by Representative Weyler, that the Committee approve the request of the Department of Health and Human Services to transfer \$2,068,355 in general funds, increase related federal revenues in the amount of \$981,121, and increase related other revenues in the amount of \$187,640 through June 30, 2016. MOTION ADOPTED.

CHAPTER 276:219, LAWS OF 2015, DEPARTMENT OF CORRECTIONS; TRANSFERS:

FIS 16-102 Department of Corrections – Helen Hanks, Assistant Commissioner, Department of Corrections, responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Senator Forrester, that the Committee approve the request of the Department of Corrections to transfer \$335,250 in general funds among accounts through June 30, 2016. MOTION ADOPTED.

The Committee requested the Department provide a breakdown on vacancy figures in regards to the number who retired versus the number who left for other job opportunities. The Committee also requested the total number of Corrections Officers, vacancies and overtime for the past five years.

LATE ITEM:

FIS 16-109 Department of Health and Human Services – Jeffrey Meyers, Commissioner, and Sheri Rockburn, Chief Financial Officer, Department of Health and Human Services presented the request and responded to questions of the Committee. Michael Kane, Legislative Budget Assistant, Anne Edwards, Associate Attorney General, and Nancy Smith, Senior

Assistant Attorney General, Department of Justice, also responded to questions of the Committee.

The Committee recessed at 12:40pm, and reconvened at 12:44pm.

On a motion by Senator D'Allesandro, seconded by Representative Wallner, that the Committee approve the request of the Department of Health and Human Services to; 1) accept and expend \$15,899,592 in other funds through June 30, 2016, and 2) transfer \$7,904,402 in other funds, and accept and expend \$7,912,306 in federal funds through June 30, 2016. MOTION ADOPTED.

The Committee requested the Department provide an electronic version of the Preliminary Injunction. The Department of Justice offered to provide the Committee with copies of the briefs that have been filed in the summary judgment motions and the further pleadings. The Committee also requested if the hospitals might provide what the value is of Third Party payments.

INFORMATIONAL MATERIALS:

The informational items were accepted and placed on file.

AUDITS:

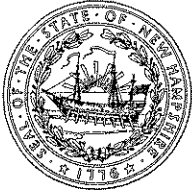
On a motion by Representative Weyler, seconded by Representative Eaton, that the Committee accept the audit on the agenda, place on file, and release in the usual manner. MOTION ADOPTED.

State of New Hampshire, State Revolving Fund, Management Letter, For The Fiscal Year Ended June 30, 2015 – Stephen Smith, Director of Audits, and William Mitchell, Audit Supervisor, Audit Division, Office of Legislative Budget Assistant presented the report and responded to questions of the Committee. Thomas Burack, Commissioner, and Susan Carlson, Chief Operations Officer, Department of Environmental Services, were present to respond to questions of the Committee. Tracy Wood, Administrator, Waste Water Engineering Bureau, Department of Environmental Services was also present to respond to questions.

DATE OF NEXT MEETING:

The next regular meeting of the Fiscal Committee was previously set for Friday, August 5, 2016 at 10:00 a.m. (Whereupon the meeting adjourned at 1:23 p.m.)

Representative Ken Weyler, Clerk



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301
 603-271-9404 1-800-852-3345 Ext. 9404

Fax: 603-271-4232 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

Nicholas A. Toumpas
 Commissioner

Mary Ann Cooney
 Associate
 Commissioner

November 01, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to the provisions of Chapter 276:206, Laws of 2015, authorize the Department of Health and Human Services, Division for Children, Youth and Families to implement the actions contained in the attached Cost Savings Plan to support the dual purposes within the Sununu Youth Services Center of providing both secure youth detention and psychiatric residential treatment.

EXPLANATION

The Department of Health and Human Services was directed per Chapter 276:206, Laws of 2015 to develop a cost reduction plan for the Sununu Youth Services Center.

Those chapter laws provide:

“276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.”

Attached is the Department’s plan for Sununu Youth Services Center, dated November 2015. The attached plan is the most appropriate long-term use of the facility as it would expand services available and meet a defined need for youth with high-need behavioral and mental health issues in New Hampshire while reducing the overall burden on state general funds for the care and treatment of this population of youth.

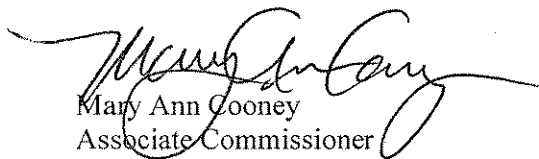
With the assistance of the Office of Legislative Budget Assistant, the Department was able to review seven (7) scenarios for operation of the SYSC (Reference attached memo from the LBAO to Senator Boutin dated October 12, 2015). The Department also analyzed a cost reduction plan to operate the SYSC for \$10,100,000. This option would require a 52% reduction in personnel and make it necessary to close the facility. This option was determined to not be in the best interest of youth in the Department’s care.

As a result of the financial analysis the Department determined that the most cost effective approach was to operate the Center as is over the course of the FY2016-2017 biennium, and develop and begin implementation of a plan to transform the facility to a multiuse treatment environment that adheres to a continuum of care model for juvenile justice involved youth and those at risk for being involved with the juvenile justice system as a result of mental or behavioral health diagnoses.

Also included as reference material is a report dated January 2014 relative to long term and short term uses of SYSC, a SYSC transformation proposal, and a letter from Denise O'Donnell, from the US DOJ, to Mr. Kennedy at DHHS relative to VOITIS funding.

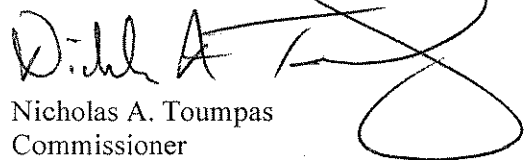
Although the proposed plan will result in greater reductions in general fund expenditures in future years, there remains a near-term need for continued general funding at close to prior levels to support current services while transformation of the facility is underway.

Respectfully submitted,



Mary Ann Cooney
Associate Commissioner

Approved by:

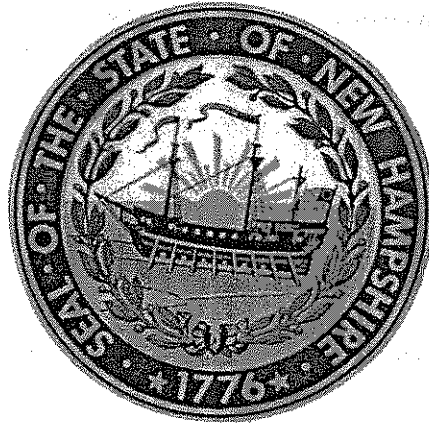


Nicholas A. Toumpas
Commissioner

New Hampshire Department of Health and Human Services Report

Pursuant to Chapter 276:205 and 276:206, Laws of 2015

Report to Fiscal Committee Regarding
Plan to Reduce Costs of Providing
Services at the
Sununu Youth Services Center



November 2015

I. Background

In Chapter 276:205 and Chapter 276:206, Laws of 2015, the legislature directed the Department to reduce general fund appropriations for the Sununu Youth Services Center (SYSC), and to prepare a Cost Savings Plan for achieving the required reductions. Those chapter laws provide:

“276:205 Department of Health and Human Services, Sununu Youth Services Center; Reduction in Appropriation. The department of health and human services is hereby directed to reduce state general fund appropriations to the Sununu Youth Services Center by \$1,721,861 for the fiscal year ending June 30, 2016, and by \$3,496,746 for the fiscal year ending June 30, 2017. The department shall operate the Sununu Youth Services Center within the allotted budget, or may enter into contracts for operation of the Sununu Youth Services Center, including establishing necessary class lines, as long as total operating costs do not exceed \$10,100,000 for the fiscal year ending June 30, 2017.

276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.”

In response to this legislative requirement and pursuant to the recommendations from the January 2014 *Report to Fiscal Committee of the General Court As To Most Appropriate, Cost Effective, Long and Short-Term Uses of the Sununu Youth Services Center (attached as Appendix A)*, the Department:

- Established a multi-disciplinary team, including project management support, to identify and implement appropriate near-term and longer-term cost-savings measures;
- Researched current needs of youth at SYSC and across New Hampshire, evaluated options for addressing those needs, and obtained data to evaluate costs/cost savings under a variety of scenarios;
- Researched other states and similar institutions and collected current cost/savings data for privatization of specific services (i.e., education, food service, and maintenance) and for youth detention/treatment at other state and private facilities;
- Determined that repurposing SYSC to include a Psychiatric Residential Treatment Facility (PRTF) is the most appropriate long-term use of the facility. This would expand services available and meet a defined need for youth with high-need behavioral and mental health issues in New Hampshire while reducing the overall burden on state general funds for the care and treatment of this population of youth;
- Consulted with the Federal Center for Medicare and Medicaid Services (CMS), Federal Department of Justice (DOJ), and national accreditation bodies regarding requirements and restrictions on repurposing SYSC to include a PRTF, and pursued steps to obtain necessary approvals from these entities; and
- Evaluated current SYSC operations and identified gaps in staffing and services required to receive accreditation as a PRTF.

Public input regarding the Department's plan to create a PRTF at SYSC, which was provided to the "SYSC Workgroup on Transformational Alternatives" at its town hall meeting in Manchester on September 10, 2015, was overwhelmingly positive with some in attendance sharing personal stories of how the existence of such a facility could have assisted them in the treatment of their own children.

The Department has also explored with its residential service providers whether they would be willing and able to provide secure treatment for these youth at their facilities. None of these providers has expressed a willingness and ability to do so. At the SYSC Workgroup meeting on October 5, 2015, the executive directors of Webster House, Nashua Children's Home and Wediko each addressed the committee and stated that:

- they were not interested in becoming a secure residential facility; and,
- the intensity of services these youth need cannot be provided in their facilities.

Additional reasons given include:

- the need to seek approvals from their board of directors;
- the need for additional funds to convert their facility to become architecturally secure;
- the need for a guarantee from the Department as to the number of detained/committed youth that they would receive in order to become and remain operational; and,
- concerns that the intensive needs of youth who require secure treatment will compromise the safety of other youth at their facilities.

At this same meeting Dr. Bob MacLeod, CEO of NH Hospital told the committee that NH Hospital is experiencing an average of 5.1 patients per day wait list for adolescent admissions and it is not unusual to have a need for 10-12 beds with two-thirds representing the needs of adolescents.

DHHS asserts that establishing a PRTF at SYSC will address the needs of youth waiting for admission for acute care at NHH by enabling those youth ready for discharge to receive medically necessary treatment at a PRTF and not over utilize the more expensive care at NH Hospital.

II. Plan Overview

The Department proposes to repurpose half of the existing facility at the Sununu Youth Services Center to create a Psychiatric Residential Treatment Facility (PRTF), while retaining the other half as a youth correctional facility. The transformation of the Sununu Youth Services Center supports the dual goals of reducing general fund expenditures and improving treatment services to better meet the behavioral and mental health treatment needs of children in the state. Under the DHHS Plan, the Department expects to serve more children for significantly fewer general fund dollars than are currently required to run the Sununu program, saving an estimated \$3.5 million per year in general funds in future years.

Under this plan, the Department asserts that:

- A transformed SYSC will serve an average of 80 youth per day in both programs for a reduced net cost of approximately \$9.9 million general fund dollars (FY 2018 and beyond, excluding inflationary cost increases), as a result of revenue from Medicaid and other health insurance, and compared to the approximately 45 youth per day served in SFY '15 at a cost of \$13.4 million in general fund dollars.
- The vast majority of delinquent youth have significant behavioral, mental health and substance abuse needs that require intensive treatment to effectively address their delinquent behavior.

- Delinquent youth can be treated more cost-effectively in a PRTF, utilizing Medicaid and other insurance payments, than in a correctional setting, where treatment is fully supported by general funds.
- Other populations of youth who require this intensive level of treatment, which is currently unavailable in New Hampshire, will benefit from services provided by the PRTF. These populations include:
 - youth awaiting discharge from NH Hospital to a less acute treatment setting;
 - court involved delinquent and non-delinquent youth in need of this level of care and treatment who are currently being placed out of state; and,
 - youth whose treatment needs simply remain unmet because the level of treatment available or being provided is not intensive enough, resulting in negative outcomes for them, their families, and their communities.
- Children and youth are best served in their homes and communities when it is safe and effective to do so. However, the availability of the PRTF level of care is a critical component of a full continuum of treatment services for youth with behavioral and mental health needs. (*See Appendix B: Issue Brief on Purpose and Need for a PRTF in New Hampshire.*)

Implementation of this Plan will require:

- Approval by the Federal Center for Medicare and Medicaid Services (CMS) of the SYSC PRTF as a Medicaid-eligible facility, authorizing Medicaid reimbursement for treatment of delinquent and other youth treated at the facility;
- Statutory changes and the adoption of administrative rules to support the functioning of SYSC as both a juvenile correctional facility and a PRTF; and,
- Accreditation of the PRTF at SYSC by a recognized accrediting body.

The Department believes that these are all achievable goals.

III. Plan Details and Cost/Cost-Saving Estimates

The SYSC is the ideal site for establishing a PRTF in New Hampshire. The SYSC currently serves as a youth's residence, school and treatment facility. It offers many of the assessments and clinical services that youth in need of this level of intensive treatment require, including comprehensive medical, clinical and educational services. SYSC also is a state-of-the-art facility well-suited to be a psychiatric residential treatment center, and the design of the building is such that it can be easily split into two facilities to ensure separation of the two populations of youth.

DHHS Plan Retains Juvenile Correctional Facility for Detention and Commitment: The population of youth at the SYSC has, consistent with national trends in juvenile justice, declined in recent years for a number of reasons. Among the most prominent reasons are the decline in juvenile crime, which is at historic low levels, and the decline in the use of secure facilities to incarcerate juvenile offenders, as research and experience have demonstrated that incarceration is inappropriate for most juveniles.

Nonetheless, all states maintain secure care and treatment options for the subset of juvenile delinquents who have committed violent crimes or who pose a significant threat to their communities. The Department anticipates that a secure detention/correctional facility will continue to be necessary and the Department would continue to utilize a portion of the SYSC facility to meet this need.

DHHS Plan Provides New Treatment Option within the State: The Department's plan would create a PRTF at the SYSC. A PRTF is designed to be:

- less medically intensive than a psychiatric hospital, while still providing a range of comprehensive services on an inpatient basis under the direction of a physician; and
- a more clinically-intense type of treatment delivered to youth that are more acutely or chronically psychiatrically ill than those served in other residential settings.

Providing PRTF services within the state will enhance the service array available in the Department's *System of Care* and enable the Department to better serve youth in New Hampshire with significant behavioral and mental health treatment needs.

The PRTF Issue Brief, included as Appendix B, provides additional detail on the projected need and purpose of the PRTF.

DHHS Plan Does Not Require Payback of VOI/TIS Funding

The SYSC was built in part with the use of federal Violent Offender Incarceration and Truth in Sentencing, or VOI/TIS, funds (approximately \$13,400,000). Federal law requires that the state seek approval from the U.S. Department of Justice for any change of use of the facility. The Department has requested and received approval from the U.S. Department of Justice for a change of use at the SYSC to include a PRTF. With the DOJ approval, the state will not be required to repay any of the VOI/TIS funds as a result of establishing a PRTF at the SYSC, and as long as “the facility is used for a criminal justice purpose” and the youth are either involved or at risk of involvement with the juvenile justice system .

A copy of the letter of approval, dated October 13, 2015, from the Department of Justice is attached (See Appendix D).

A. Current SYSC Budget and Operations

The SYSC Budget includes costs associated with operation and maintenance of the full 156 acre campus and 17 buildings. Only 5 of these buildings are used by the SYSC program.

Actual expenditures for entire SYSC campus under the SYSC Budget FY 2014/2015:

Budget Information	FY 2014	FY 2015
General Funds	\$13,036,595	\$13,369,580
Total Funds	\$14,329,097	\$14,275,945

For FY 2015, the SYSC program had an average census of around 45 youth per day. To date in FY 2016, the SYSC program has had an average census around 50 youth per day. The facility has experienced an increased number of detained youth with the recent addition of 17 year olds.

B. Impact of DHHS Plan on SYSC Budget

1) Modification of SYSC Facility

The existing SYSC facility is designed for 144 detained and committed youth. By dividing the current facility in half, the Department will create two separate 72-bed facilities; one a juvenile correctional and detention facility, and the other a PRTF. Each half of the divided facility will have its own entrance with separate living and educational areas. Common areas, such as cafeteria and recreational areas will be shared without co-mingling of the youth. Dividing the facility in this manner will maximize the use of the existing infrastructure and enable the different

programs to share operation and maintenance costs. The Department anticipates that overall operation/maintenance costs will be unchanged.

Estimated Additional Costs:

- The Department estimates the one-time cost for building renovations to divide the facility to be \$1,000,000 and that those costs would be incurred in late SFY 16 or /early SFY 17.

2) Psychiatric Treatment at SYSC as Component of Full System of Care

The creation and operation of an accredited, Medicaid-eligible PRTF will require some changes in staffing to include individuals with certain clinical/ medical credentials necessary to support the full range of PRTF services. The Department assumes that additional residential services staff will also be required due to the increased number of youth that will be served.

Treatment services for Medicaid-eligible youth at the SYSC PRTF will receive reimbursement from the Federal government for ½ of costs. Private-payer and insured youth receiving treatment at the SYSC PRTF would be expected to pay the full residential and treatment costs. This will provide additional revenue to support operations of the facility.

Estimated Additional Costs:

- \$1,925,990 per year for additional staff.

Estimated Additional Revenue:

- The Department estimates that the state will receive \$7,660,209 per year in Medicaid, private payer, and insurance payments for PRTF services.

3) Net Impact on SYSC Budget and Required General Fund Appropriation

The Department, in cooperation with the Office of the Legislative Budget Assistant (LBA), estimated the SYSC operating costs and general fund expenditures for SFY 16/17 under several different scenarios. As stated above, the Department is proposing that a Psychiatric Residential Treatment Facility be established at SYSC. The table below represents the FY'16 and FY'17 budget for this to occur.

The full LBA report to the Continuing Resolution Workgroup containing all the cost analyses is attached as Appendix C.

SYSC Budget Adding a PRTF	FY 2016	FY 2017	Total Biennium
Budget for SYSC with a PRTF	0	\$15,589,761	
PRTF Revenue (Medicaid and Private Pay)	0	(\$7,660,209)	
Net State Cost	0	\$7,929,552	
Renovation of Building	0	\$1,000,000	
Additional staff for population increase	0	\$1,925,990	
Budget Needed	\$13,972,211	\$10,855,542	
Budget Passed	\$12,199,072	\$10,791,519	
Unfunded Need	\$1,773,139	\$64,023	\$1,837,162

***Note.** Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building. The FY 2017 budget without one-time cost would be \$9.9 million.

The SYSC Operating Budget accounts for all expenditures and reimbursements associated with SYSC's current operations (e.g., reimbursement of educational costs from sending school districts, federal grants for food services). The SYSC Operating Budget also includes operation and maintenance for the full 156 acre campus, including all 17 buildings.

IV. Appendices

- A. Report to Fiscal Committee of the General Court as to Most Appropriate, Cost Effective, Long and Short-Term Uses of the Sununu Youth Services Center, January 2014
- B. PRTF Issue Brief
- C. LBA cost analysis of alternatives
- D. USDOJ letter

OFFICE OF LEGISLATIVE BUDGET ASSISTANT

State House, Room 102
Concord, NH 03301
271-3161

DATE October 12, 2015
REVISED

FROM Michael Hoffman, Senior Budget Officer

SUBJECT Sununu Youth Service Center (SYSC)

TO Senator David Boutin

In response to a request from the Sununu Youth Services Center Working Group, I have worked with the Department of Health and Human Service to review and summarize scenarios for operation of the SYSC. As you know, these scenarios are based on budget and expenditure information that is available and on reasonable assumptions. I reviewed the information, asked questions to better understand the assumptions, and tried to summarize them clearly for your review. The scenarios are in no particular order and our office does not offer an opinion on any of them.

Background

The Sununu Youth Services Center consists of 14 buildings situated on 156 acres. Five of the buildings are used by the program. The State police and the Manchester police also use some of the buildings. There are 25 non-Sununu staff of the Department of Health and Human Services with offices in the administration building. The DHHS budget pays for maintenance on the entire property. The new building was included in the 2003 capital budget and built with state funds and federal funds from the U.S. Department of Justice. The new building has a capacity of 144 beds which was the anticipated future need at the time. The ribbon cutting for the new facility was on April 3, 2006.

Budget Information	ACTUAL		GOVERNOR'S BUDGET		FY 16-17 FINAL BUDGET	
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2016	FY 2017
General Fund	\$13,036,595	\$13,369,580	\$13,243,721	\$13,596,746	\$11,470,582	\$ 10,049,157
Total Funds	\$14,329,097	\$14,275,945	\$13,972,211	\$14,339,108	\$12,199,072	\$10,791,519
Staff:						
Classified	141	141	132*	132*	132*	132*
Unclassified	1	1	1	1	1	1
Total	142	142	133	133	133	133

Notes: *In the FY 2016-17 operating budget 10 staff positions are not funded. FY 2016-17 amounts above include the HB2 reduction of \$1,721,861 in FY 2016 and \$3,496,746 in FY 2017.

Staffing / Census history

Fiscal Year	Staff	Average Census
2010	180	63.4
2011	180	61.8
2012	152	60.1
2013	152	59.9
2014	142	60.3
2015	142	45
2016	133	TBD

The FY 2016 average census has been 46.2 youths.

The Governor’s recommended budget was about one percent less than the actual expenditures in the previous biennium. This budget will be considered the current state or “Status Quo” and used as a basis for comparison for the different scenarios.

SCENARIOS

Scenario #1: Status Quo. Continue to operate the SYSC as in the previous biennium.

Assumption: Legislation would be needed to appropriate funds and allow operating costs to exceed the cap for FY 2017 included in HB2.

Based on current operations, funds authorized in the FY 2016-17 budget would last until April or early May and the savings in HB2 would not be realized. The following general fund appropriations would be needed to continue to operate SYSC without program and staff reductions:

FY 2016	\$1,721,861
FY 2017	\$3,496,746
Unfunded Need	\$5,218,607

Over 82% of the appropriation for the SYSC is in in personnel costs including salary, benefits, overtime and holiday pay. Based on the average salary and benefit amount, terminal pay, unemployment costs and COBRA insurance coverage, the budget as passed would require elimination of up to 69 positions. This would amount to a 52% reduction. The Department indicates it could not continue provide the necessary services or properly maintain the facility with the appropriation levels included in the FY 2016-17 operating budget.

Scenario #2: Savings from privatization of education and food services.

Assumptions:

- The food contractor would continue to receive federal school lunch program funding.
- The education contractor would continue to be reimbursed by the sending school districts.
- There would be no changes to the cost of administration, maintenance, or residential treatment programs.
- Approximately 26 employees would be laid off.

- Privatization of education and food services would begin in FY 2017 as would terminal pay for the 26 positions. Time is needed for the RFP and contract approval process.
- There would be providers interested in providing the services and the proposals would be feasible.

Privatize Food and Education	FY 2016	FY 2017	Total
Status Quo	\$13,972,211	\$14,339,108	
Privatization Savings	0	(912,358)	
Payouts Kitchen (6) & Education Staff (20)	0	436,107	
Unemployment Costs	0	324,534	
COBRA	0	151,717	
Budget Needed	13,972,211	14,560,093	
Budget Passed	12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$3,768,564	\$5,541,703

*Note. Of the FY 2017 budget amount, \$912,358 represents one-time personnel reduction costs. The FY 2017 budget needed without the one-time costs would be \$13.6 million.

Scenario #3: Establish a Psychiatric Residential Treatment Facility (PRTF) with private food and education services.

Assumptions:

- CMS would certify the facility and approve Medicaid coverage of certain services.
- The U.S. Department of Justice would allow a modified use of the facility built partially with funds through the Violent Offender Incarceration and Truth-In-Sentencing Incentive Program.
- Assumes an average census of 65 youth would be served in the PRTF.
- 90% of the 65 youth would generate revenue from Medicaid or private sources.
- Assumes an average census of committed and detained of 15 youth.
- Includes costs for additional clinical staff needed for the facility to be certified as a PRTF.
- Assumes development and approval of the program, procurement and contracting would require the remainder of FY 2016. Program implementation would be in FY 2017.
- Includes privatization of education programs and food services as described in scenario #1.

	FY 2016	FY 2017	Total
Budget for SYSC as a PRTF	0	\$16,810,736	
PRTF Revenue (Medicaid and Private Pay)	0	(7,660,209)	
Net State Cost	0	9,150,527	
Kitchen (6) & Education Staff (20) Payouts	0	436,107	
Additional staff for population increase	0	1,925,990	
COBRA	0	151,717	
Unemployment Costs	0	324,534	
Budget Needed	\$13,972,211	11,988,875	
Budget Passed	\$12,199,072	10,791,519	

Unfunded Need	\$1,773,139	\$1,197,356	\$2,970,495
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*Note. Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building.

The FY 2017 budget without the renovation and personnel one-time costs would be \$10.1 million.

Scenario #4: Close the facility and place youth in other existing facilities, likely to be outside of New Hampshire.

Assumptions:

- Neighboring states and/or providers have capacity and are willing to serve/treat additional youth.
- Rates paid would be at the average for New England states at \$565 per day.
- Up to 129 staff at SYSC would be laid off and receive terminal pay.
- Additional unemployment costs would be incurred due to the layoffs.
- Three staff would remain for security, snow removal and maintenance of unoccupied buildings.
- There would be additional travel and staff costs related to family visits.
- 25 DHHS staff with offices in the administration building would need office space elsewhere estimated at \$4,000/person/ year.
- The campus would be vacant but maintained to avoid deterioration.

	FY 2016	FY 2017	Total
Terminal Pay for 129 Staff @ \$14,397 average		\$1,857,213	
Unemployment compensation		\$1,647,632	
COBRA		\$676,481	
Campus Maintenance		\$343,567	
Cold Storage		\$1,600,000	
Office space for 25 DHHS staff		\$100,000	
Services for 50 clients at \$565/ day x 365 days		\$10,311,250	
Budget Needed	\$13,972,211	\$16,536,143	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$5,744,624	\$7,517,763

*Note. Of the FY 2017 budget amount, \$4.2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$12.35 million.

Scenario #5: Close the facility and place youths in facilities developed in New Hampshire.

Assumptions:

- Providers in NH would be interested in serving committed youth in a secure facility and communities would be willing to accept such a facility.
- Due to the time needed to locate and construct or renovate facilities, the earliest implementation would be in 2017.
- Five small facilities with a capacity of 10 beds could be renovated or built.
- Rate of \$525 per day could be achieved.
- Up to 129 staff at SYSC would be laid off and receive terminal pay.
- Additional unemployment costs would be incurred due to the layoffs.
- Three staff would remain for security, snow removal and maintenance of unoccupied buildings.

- 25 DHHS staff with offices in the administration building would need office space estimated at \$4,000/person/ year.
- The campus would be vacant but maintained to avoid deterioration/mold.

	FY 2016	FY 2017	TOTAL
Costs for running SYSC for 6 months		\$7,169,554	
Renovation/Construction Costs 5 Facilities @ average of \$400k per facility		\$2,000,000	
Terminal Pay for 129 Staff @ \$14,397 average		\$1,857,213	
COBRA		\$676,481	
Unemployment compensation		\$1,647,632	
Campus Maintenance		\$171,784	
Cold Storage (half year)		\$300,000	
Office space for 25 DHHS staff (half year)		\$50,000	
Services for 50 clients at \$525/ day (half year)		\$4,803,750	
Budget Needed	\$13,972,211	\$19,576,114	
FY 2017 Budget Passed	\$12,199,072	\$10,791,519	
Unfunded Need	\$1,773,139	\$8,884,395	\$10,658,034

*Note. Of the FY 2017 budget amount, \$2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$1.5 million.

Scenario #6: Private provider operates a correctional facility on SYSC property.

Assumptions:

- Maintenance staff would be needed for security, snow removal and maintenance of unoccupied buildings and property. Maintenance staff would be reduced by 30%. Seven staff would remain.
- Administrative building will continue to house 25 non-SYSC DHHS staff.
- There would be a reduction in operating costs; supplies, paint, utilities, etc.
- Equipment costs would be reduced by half since there would be less wear and tear.
- Contractor would assume responsibility for the cost of running the SYSC building including heat, hot water and electricity.
- Treatment would be limited; children treated out of state would remain out of state.

	FY 2016	FY 2017	Total
Status Quo	\$13,972,211		
Terminal Pay for 125 Staff @ \$14,397 average		\$1,799,625	
Unemployment compensation		\$1,596,542	
COBRA		\$655,505	
Campus Operation and Maintenance		\$822,521	
Capital Expense		\$150,000	
Services for 50 clients at \$525/ day x 365 days		\$9,581,250	
Budget Needed		\$14,605,443	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$3,813,924	\$5,587,063

*Note. Of the FY 2017 budget amount, \$4.2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$10.4 million.

Scenario #7: Establish a Psychiatric Residential Treatment Facility (PRTF) without privatizing food services and education.

Assumptions:

- CMS would certify the facility and approve Medicaid coverage of certain services.
- The U.S. Department of Justice would allow a different use of the facility built partially with funds through the Violent Offender Incarceration and Truth-In-Sentencing Incentive Program.
- Assumes an average census of 65 youth would be served in the PRTF.
- 90% of the 65 youth would generate revenue from Medicaid or private sources.
- Assumes an average census of committed and detained of 15 youth.
- Includes costs for additional clinical staff needed for the facility to be certified as a PRTF.
- Assumes that legislative changes will be approved, and that the facility is certified as a PRTF. Program implementation would be in FY 2017.

	FY 2016	FY 2017	
Budget for SYSC as a PRTF	0	\$16,810,736	
PRTF Revenue (Medicaid and Private Pay)	0	(7,660,209)	
Net State Cost	0	9,150,527	
Remove Privatization Impact as shown for option #2	0	(220,975)	
Additional staff for population increase		1,925,990	Total
Budget Needed	\$13,972,211	10,855,542	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$64,023	\$1,837,162

*Note. Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building. The FY 2017 budget without one-time cost would be \$9.9 million.

Report to Fiscal Committee of the General Court As To
Most Appropriate, Cost Effective, Long and Short-Term
Uses of the Sununu Youth Services Center

January 2014



Executive Summary

The New Hampshire Department of Health and Human Services was directed by 2013 Chapter Law 249 to conduct an assessment of the Sununu Youth Services Center (SYSC) to determine advantages and disadvantages of the current facility use, potential alternative uses, the viability of using another facility instead, and ways that current cost could be reduced.

The report that follows reflects the Agency's work over the past five months to engage with stakeholders, advocates and providers to determine the most cost-effective and appropriate programmatic approach to serving youth currently at SYSC.

In order to assess the most appropriate programming for this population, the Department sought technical assistance from the federal Office of Juvenile Justice and Delinquency Prevention (OJJDP) to conduct an evaluation of current services. Legal considerations regarding the current property were also explored. The Department further considered the fiscal implications of several scenarios, including the use of another facility to provide the current programming, and the possibility of contracting with a private provider to provide these services either at the current facility or elsewhere.

Analysis of these reviews indicates that the Department should continue to operate SYSC at the current Manchester site. However, these reviews and input from stakeholders also concluded that there may be fiscal value in exploring contracting with a private provider or decreasing costs for some specific targeted services currently provided by the state at SYSC.

Given the above conclusion, the Department further explored efforts to decrease overall operating costs, and modify or expand the current services at SYSC to better meet the needs of youth, their families and communities. Consistent with national trends, majorities of the youth currently served at SYSC struggle with mental and behavioral health issues and receive treatment for these concerns at SYSC. Additionally, a number of discussions with stakeholders identified secure treatment in these two areas as primary unmet needs for children and youth in New Hampshire. Therefore, the Department has identified both short and long-term options to explore in an effort to reduce costs at SYSC and expand the use of the facility to further meet these needs.

Short-Term Option

The Department will explore with New Hampshire Hospital the possibility of operating a separate unit at SYSC that could provide secure mental and behavioral health treatment to youth who need crisis stabilization or transitional care prior to returning safely to their community. This would potentially expand the service continuum available for these youth, more fully utilize the existing SYSC facility and infrastructure, and reduce SYSC operating costs by generating reimbursement for these services through youth's insurance coverage.

Long-Term Option

The Department will explore steps to become eligible for Medicaid reimbursement for treatment services provided to the current population served at SYSC. As a correctional facility, SYSC is not currently eligible to receive any Medicaid payments for those services. However, the potential exists to transition the facility into a secure psychiatric treatment facility, eligible for Medicaid payments. Many of the structures and practices of such a facility are already in place at SYSC in response to the needs of current population, and any additional necessary changes will be explored.

Introduction:

Over the last three years, SYSC has been engaged in a transformation aimed at enhancing capacity for mental health and substance abuse treatment. The primary role of the Sununu Center has shifted from secure "detention" to the secure "treatment" and detention of youth. In part because of these program improvements, the Department believes and this report identifies the value in continuing to serve this population at the existing SYSC facility in Manchester. However, also in part due to these changes to a more treatment oriented program, the facility is ripe with opportunities to obtain alternative funding to ultimately decrease the general fund costs associated with serving this population of youth.

The Agency's evaluation and planning activities have identified a realistic direction that could align SYSC's current treatment model with the federal definition of a "medical institution." This report outlines short and long- term options for continuing to serve the treatment needs of these youth in a secure and locked setting while pursuing the Department's ability to draw other funds on their behalf.

From the outside looking in there would not be noticeable changes to the facility, in that security procedures would remain the same. However, there could be a significant change in how services are funded at the facility. The Department could proceed in a specific direction that would allow for the enrollment of SYSC as a residential treatment facility, which would ultimately strengthen the ability to be an insurance and/or Medicaid billable program.

This clinical direction is consistent with the direction many juvenile justice programs around the country are taking, and necessary in light of the needs of the current population. It is therefore both programmatically appropriate and more cost effective to pursue other sources of revenue that support the treatment services already in place at the facility. If and when additional funding streams become available, the facility could also enhance the services available to transition youth safely back into the community. These options could also serve to meet some existing service gaps for youth without increasing costs.

It is also critical that the local community/neighborhood be engaged throughout this process so as to clarify or address any concerns that may arise. The SYSC grounds are embedded into a neighborhood, and it is imperative that open communication be maintained so the neighborhood is assured that any operational changes made at the facility will not impact their sense of safety. Should at any time in this process an additional program or program changes occur, the local community will be informed and made a part of that change.

Background:

The Department of Health and Human Services was directed in House Bill 260 (2013 Laws Chapter 249) to assess and report its recommendations to the fiscal committee of the general court as to the most appropriate, cost effective, long and short-term uses of the Sununu Youth Services Center (SYSC). The Department's assessment is required to include an analysis of:

- (1) The advantages and disadvantages of the current use of the facility;
- (2) Potential alternative uses for the facility;
- (3) The viability of using another facility to provide secure detention/committed services given the declining census at the center; and
- (4) Ways that the current costs to run the facility could be reduced.

In conducting its assessment, the Department is required to consult with the community, child welfare, court, and juvenile justice stakeholders to solicit their input as to the most appropriate and fiscally responsible options for addressing the needs of committed and detained youth.

In response to this requirement, the Department explored the advantages and disadvantages of this type of facility for this population, both at SYSC and in other states, legal and fiscal implications regarding use of the current facility or another facility, alternative or additional uses of the current facility, and possible opportunities to reduce operating costs. This process included the formation of an Executive Team comprised of a wide range of community partners, who participated in formulating possible options to meet the legislative objectives above. Input regarding the above questions and possible options was sought from members of the judiciary, law enforcement, community providers, advocates, legislators, juvenile parole board members, and local Manchester leadership. Overall there were more than 45 individuals involved through interviews, focus groups or team meetings, including the New Hampshire Juvenile Justice Advisory Group and the DCYF State Advisory Board. Others were invited but could not or chose not to participate.

The following is a summary of the findings of this process.

Current Program:

The Sununu Youth Services Center (SYSC) is a 144-bed facility located on River Road in Manchester New Hampshire. It provides an architecturally secure treatment program for committed juveniles and for New Hampshire youth involved in the court system prior to their adjudication.

SYSC is comprised of two major programs, as established in statute: the Youth Development Center (RSA 621) and the Youth Services Center (RSA 621-A).

The Youth Development Center

The Youth Development Center provides services to youth adjudicated in court as having committed delinquency offenses and who are in need of secure treatment. Juveniles placed in the Sununu Youth Services Center (SYSC) range in age from 11 to 17 years old. When a youth is committed to SYSC, a systematic process is used to classify and assign them to a secure residential unit where they participate in a prescribed behavioral program. These are adjudicated youth with severe substance abuse and/or severe behavioral challenges or co-occurring mental health conditions that require and would benefit from secure treatment or stabilization in

preparation for returning to their community and reunification with family. The Youth Development Center served a total unduplicated count of 122 committed youth in State Fiscal Year 2012.

The Youth Services Center

The Youth Services Center provides detention services in a unit within the Sununu Youth Services Center. A juvenile may be committed for placement at the Youth Services Center following an arrest for a delinquent offense and the issuance of a NH Circuit Court order.

The Detention Unit is a 24-bed co-educational secure detention center that houses youth who have allegedly committed delinquent offenses and are awaiting disposition of their cases by the courts. It also detains youth wanted in other states in accordance with the provisions of the Interstate Compact. Most youth are detained for less than 48 hours, but others may remain longer awaiting court dispositions, placements and release to their home state or adult certification. Residential staff provides for secure care and respond to youths' recreational needs. All detained youth are provided with close supervision for the duration of their stay. The Youth Services Center served a total unduplicated count of 197 detained youth during State Fiscal Year 2012.

Population

The daily census at SYSC over the past several years has ranged between 58-70 youth at any given time, with additional youth on administrative release or furlough. The youth have a wide range of mental health and behavior disorders and the clinical team is experienced in treating adolescents with challenges including but not limited to:

- Conduct Disorder
- Oppositional Defiant Disorder
- Attention-Deficit Disorder
- Impulse Control Disorder
- Disruptive Behavior Disorders
- Reactive Attachment Disorder
- Depressive Disorders
- Substance Abuse Disorders
- Bipolar or Mood Disorders
- Post-Traumatic Stress Disorders
- Anxiety/Adjustment Disorders

SYSC offers an array of services that address the medical and mental health needs of youth for whom less intensive, community-based services have not produced the outcomes necessary to keep the youth or community safe. SYSC also serves as its own School Administrative Unit (SAU), and as such provides comprehensive and individualized education services to all youth residents. Additionally, as a result of its central location in the state, SYSC is able to serve all youth throughout New Hampshire who require secure treatment.

Assessment of Current Programming Appropriateness:

In order to assess the appropriateness of programming for these youth using the current facility, the Department requested technical assistance from the Office of Juvenile Justice and Delinquency Prevention (OJJDP) National Training and Technical Assistance Center to

determine what practice approaches achieve positive outcomes with this population, and in what type of settings. They were asked to review the Sununu Youth Services Center's (SYSC) use of Evidence-based Programs (EBP), what funding sources are available for programming and what other states provide for services/programming at their respective state centers.

On May 17, 2013, the National Training and Technical Assistance Center transferred the technical assistance project to the National Center for Youth in Custody (NC4YC). The Co-Director Ned Loughran then followed up with DCYF staff who further refined the scope of the technical assistance request and initiated their review.

Mr. Loughran and another NC4YC member, David Crowley, conducted a comprehensive site visit to the facility from June 24, 2013 - June 27, 2013. During the visit, Mr. Loughran and Mr. Crowley toured the facility, observed programming and operations, interviewed administrators, staff and youth residents, and reviewed documents and youth records.

The technical assistance identified the following in regard to the current programming at SYSC, in light of national best practices:

Changing Profile of the Population

- Like most youth corrections facilities throughout the country, SYSC has experienced an influx of youths with serious emotional and mental health problems
- Many of these youths also have a co-occurring disorder of substance and/or alcohol abuse. These high needs youth tend to do better in specialized units with adequately trained staff similar to the H 1 Crisis Management Unit at SYSC
- A large percentage of youth are diagnosed with trauma related issues (female residents with higher rates)
- SYSC offers a comprehensive and effective array of treatment programs (Honest Minds, Restorative Circles, Family Therapy, Emotional Empathy, Compassion, Pet Therapy, AA, NA, etc.)
- Programs are targeted toward this challenging, high needs, resident population

Family Engagement

- SYSC is beginning to explore ways to involve families in their children's treatment plans and reintegration planning
- Family engagement strategies could be increased

Education Program

- SYSC offers a comprehensive school program that includes guidance counseling, special education services, and apprenticeship/vocational opportunities (e.g., automotive, culinary arts, wood working and gardening)
- Teacher to youth ratio is 1:2 (30 certified teachers for 61 youths)
- Youths receive individual credit recovery assistance
- Some residents complete college level work

Residential Program

- Training curriculum for new staff includes topics required for work on a secure-care unit
- Facility is inadequately staffed at times
- Many staff and youth residents noted staff shortages
- Staff desire additional training

Overall, the evaluation identified that SYSC is in the process of movement away from a correctional model to a rehabilitative one, and that this shift is consistent with the direction many juvenile justice programs around the country are taking, and necessary in light of the needs of the current population. The use of evidence-based treatment interventions such as Dialectical Behavior treatment, Trauma Informed Treatment, Aggression Replacement Training, along with family therapy and substance abuse treatment have enriched the treatment program for youths at SYSC. The evaluation confirmed that many of these programs have proven results in addressing the complex needs of adolescent offenders, such as the serious mental health issues that result from early exposure to trauma, substance abuse and family related problems.

According to the evaluator, SYSC's routine use of outcome data to identify issues, implement changes and monitor performance further contributes to the overall safety, health and quality of life for staff and youths in the program.

The evaluator also found that the facility itself creates an environment that is conducive to its primary purpose, the rehabilitation of adolescent offenders. The campus and building has the feel of a high school rather than a secure facility. The exterior design of the building provides a perimeter security, instead of fencing topped with barbed wire or razor ribbon, as is often the case in most secure facilities for young offenders throughout the country.

Among other recommendations related to specific programming, training or policies, key recommendations from this report include:

- Increase staffing levels and optimize staff allocation
- Continue to convert as many half time positions to full time for consistency in operational management
- Utilize supervisors and any available facility support staff for unit shortages
- To relieve staff when counseling youths in Crisis Services Unit, for outside coverage activities, and for additional security on trust level activities
- Continue quality assurance strategies
- Increase staff training (e.g., skill and team building)
- Recognize and reward staff accomplishments

Many of the recommendations were already in progress and/or have since been acted upon. Although there are always opportunities for improvement, as a whole, the technical assistance provider found that the current programming and facility at SYSC is aligned nationally with best practices and treatment of this population.

Assessment of Legal and Fiscal Implications:

Legal Considerations

As part of its assessment the Department also looked at whether there were any deed restrictions, easements or other federal requirements that could potentially limit alternative uses of the facility and found the following:

- A title search completed for the property prior to construction of the facility concluded that there are no encumbrances on the property that would restrict its use for other purposes.
- While certain "contributing buildings" on the SYSC campus may be subject to restrictions or to a preservation easement pursuant to a memorandum of understanding

between the Department of Health and Human Services and the New Hampshire Division of Historical Resources, the new facility is not covered by this memorandum and accordingly the memorandum should have no effect on any proposed changes for the use of the facility.

- The facility was built in large part with the use of federal Violent Offender Incarceration and Truth in Sentencing (VOI/TIS) grant funds. As a condition of funding, the state is required to operate the facility as a correctional facility or jail. The duration of the state's obligation, however, is not specified and federal guidance on the issue provides that grantees are required to use the facility for the originally authorized purpose for as long as they are needed for that purpose. Thus the need for the facility dictates the length of the federal requirement for its continued use.
- As a further condition of funding, however, the state was required to provide assurances that it would not dispose of, modify the use of or change the terms of title to the property without the permission and instructions from the Office of Justice Programs, U.S. Department of Justice (DOJ). Accordingly, any proposed change in the use of the facility would require the approval of the DOJ. The DOJ's Bureau of Justice Assistance which now administers the VOI/TIS grants has stated that it has a general policy of granting all reasonable facility use requests provided that the alternative use of the facility serves a criminal justice purpose, as a general matter, and that the facility must be made available for the originally authorized purpose if the need should arise again.

Fiscal Considerations

Fiscal considerations regarding potential use of another facility to provide secure treatment for this population include the fact that the state owns the Manchester property and buildings, and would continue to incur a cost to at least minimally maintain those facilities should the SYSC program relocate elsewhere. There is the potential requirement as noted above that a portion of the VOI/TIS grant monies awarded to build the current facility would need to be paid back if the facility is no longer in use for that purpose. Additionally, the cost to rent or purchase and renovate a different facility to provide secure treatment would need to be considered. Given these factors, use of a different facility to provide these services, despite the lower census at the center, is not a cost effective option. Please see Appendix A for details on this option.

Private Contracting Considerations

Consideration was also given to the possibility of contracting existing services out to a private provider in the current or a different location, and the programming, legal and fiscal implications of that option. In regards to programming, given the evaluation results above, it is apparent that the current programming at the facility is ideally suited to this population and aligned with best practices nationally. Given this, there is no basis to consider privatization for programming reasons.

As the possibility of contracting services relates to legal and philosophical considerations, this question is closely linked to the issue of statutory authority. It is raised on the basis of the "propriety" of such action rather than with respect to "legality". It is an ideological question that many people feel strongly about. In fact, many staff and stakeholders interviewed believe that the responsibility for secure treatment is and should continue to be the role of the state. They argue that some functions are the "responsibility" of government and cannot or should not be delegated; among these functions are all legislative and judicial activities involved in all stages of the juvenile and criminal justice process. In the instance of privatization, the state would retain legal and ethical responsibility for this critical function, while surrendering direct control of these services to a private party. Others argue that there is a legitimate and necessary role for private

enterprise in the management of juvenile corrections, which in no way constitutes an abrogation of the essential role of government in formulating policy.

The desire to decrease cost of a service that is currently publicly provided is often a significant reason for contracting that service to the private sector. At times, the private sector has a greater potential for innovation and efficiency due to its ability to be more flexible than government regarding personnel and resources. It is critical to note that, while the state agency could relinquish responsibility for performing a service by contracting it out, it in no way relinquishes responsibility for monitoring the private providers. The state remains accountable, through detailed monitoring procedures, for all contracted services. The shift from operating public services to monitoring the provision of public services must include the state's ability to oversee and evaluate performance.

There is also a statutory challenge regarding the authority of private providers as compared to the public sector. Currently the employees of a private provider are not authorized by state law to take and hold juveniles in their care, as current state statutes did not envision private juvenile corrections providers.

In regards to the fiscal considerations of contracting these services to a private provider in a different location, given the costs that would be associated with maintaining the current land and buildings, payment to a provider for the services (which would require renovation of any existing facility in the state to provide adequate security), and costs of the necessary quality monitoring that would still be the responsibility of the state, contracting these services to a private provider would not be a cost effective option. Additionally, as noted above, there is the potential requirement that a portion of the grant monies awarded to build the current facility would need to be paid back if the facility is no longer in use for that purpose, further reducing the fiscal viability of this plan.

The viability of contracting these services to a private provider using the current facility is rife with programming, legal and philosophical concerns, and would still incur some of the same costs noted above. The Department began exploration of this possibility by issuing an RFI (Request for Information) in 2012. Only two responses were received, only one of which was from a provider with a demonstrated history of providing similar residential services, so options for appropriate private providers could be limited for this somewhat unique service. Additionally, the RFI did not require that proposals include budget information, so the responses did not confirm that costs would be reduced through a private contract.

However, there may be fiscal value in exploring contracting with a private provider for some specific targeted services currently provided by the state at SYSC. Education, maintenance or food services could be such examples. In addition, several focus group conversations and interviews clearly identified that there may be room to decrease staffing costs in educational services, given the teacher to student ratios noted earlier. This will continue to be an area for potential reduction of costs depending on the outcome of the short and long term options described below. Please see Appendix B for the current State Fiscal Year budget for Education, Maintenance and Food Services at SYSC.

Findings and Conclusions

Analysis of the information gathered above indicates that **the Department should continue to operate the Sununu Youth Services Center at the Manchester site**, and should turn its attention toward efforts to modify and expand its service continuum to better meet the needs of

the youth, families and community, and toward decreasing overall operating costs. These two goals may in fact be closely connected to one another.

As noted earlier, a significant portion of the youth served at SYSC require and receive treatment for mental and behavioral health issues. Additionally, a number of discussions with stakeholders identified secure treatment in these two areas as primary unmet needs for children and youth in New Hampshire. These discussions indicated that there is often a waiting list for children to receive acute psychiatric services at New Hampshire Hospital, and there are limited secure options for families when children are discharged from the hospital. These children may wait in local hospital emergency rooms for extended periods, where they cannot be provided with the crisis stabilization and treatment they need. Additionally, the hospital is meant to provide time-limited acute care, however in situations where longer secure services are needed; there are few transitional options that allow youth to fully solidify their treatment gains before returning to their community. Lastly, there are inadequate numbers of inpatient resources for youth struggling with substance addictions in our state. Some of the youth facing these challenges enter SYSC currently as a result of a delinquent act, some do not, however the treatment needs and safety concerns regarding youth in both circumstances are very similar.

As a result, the review has identified both short and long-term options for the Department to explore in an effort to reduce costs at SYSC and expand the uses of the facility to meet the needs of children and youth.

Short-term Options

New Hampshire Hospital (NHH) currently provides acute psychiatric treatment services for children, adolescents, adults and elders with severe mental illness. The Department should explore, in conjunction with NHH, the viability of dedicating a unit at SYSC to the hospital for the transition of children and adolescents who need crisis stabilization but not acute psychiatric services, or no longer require acute care but need secure transitional care in order to return safely and permanently to their home and community. New Hampshire Hospital is a certified secure medical treatment facility, and the feasibility of NHH opening a unit at SYSC for children and youth should be investigated.

In addition, a floor separate from other detained or adjudicated youth within SYSC could potentially be designated to provide secure inpatient services for adolescents with substances abuse needs. The program could provide:

- Acute, inpatient medical detoxification
- Safe medical withdrawal
- Inpatient Chemical Dependency Detoxification
- Group therapy, including coping skills, relapse prevention, and alcohol/drug education
- Educational groups
- Transitional Services

Expanded mental health and substance abuse treatment services for children and youth are both potential additional uses of the current facility that would enhance the existing service array available to meet these critical needs, and reduce overall costs as well. Costs could be reduced as a result of income generated through payment from insurance providers for these services. This income could be maximized through the use of existing infrastructure (building, food service, maintenance, recreational, medical facilities, etc.) resulting in decreased overhead costs to provide these services. Further exploration is needed to determine requirements for certification, staffing and billing procedures, among other areas, to confirm the feasibility of these options.

However, as a currently under-utilized asset in the state for the secure treatment of youth who require this level of care, SYSC could offer a fiscally sound addition for these critical services.

Long-term Options

A more long-term solution for reducing costs and enhancing services at the Sununu Youth Services Center could involve the ability to draw federal Medicaid dollars for services provided at SYSC. Review and consultation with individuals from the State of Vermont regarding recent changes at their juvenile correction facility, Woodside, indicates that the services provided to the youth currently served at SYSC may be able to become eligible for Medicaid payments.

Like New Hampshire and most states, Vermont has experienced the same changes in the population at their youth correctional facility, in that most youth are presenting with greater mental health and substance abuse needs that require treatment. Vermont saw the role of Woodside shifting in response from a secure detention facility to a medical/psychiatric treatment facility, so they embarked upon a series of legal and practice changes to reflect that shift. The result of these changes has been to allow the Woodside Center to receive Medicaid payments for all services provided at the facility except education services for those youth insured by Medicaid.

Evaluation of Federal Medicaid Laws and Policies

The discussion below represents Vermont's findings and recommendations for accessing Medicaid funding for services, which would apply to SYSC as well.

Inmate of a Public Institution Exception

Federal Medicaid funds are not available for care or services provided to any individual who is an "inmate of a public institution (except as a patient in a medical institution)." A "public institution" is defined as an institution that is the responsibility of or is administratively controlled by a government unit. However, the term "public institution" excludes medical institutions, intermediate care facilities, publicly operated community residences that serve no more than 16 residents, and child-care institutions."

On the other hand, federal regulations define a "medical institution" as an institution that:

- a. Is organized to provide medical care, including nursing and convalescent care;
- b. Has the necessary professional personnel, equipment, and facilities to manage the medical, nursing, and other health needs of patients on a continuing basis in accordance with accepted standards;
- c. Is authorized under State law to provide medical care; and
- d. Is staffed by professional personnel who are responsible to the institution for professional medical and nursing services.

The services must include adequate and continual medical care and supervision by a physician; registered nurse or licensed practical nurse supervision and services and nurses' aid services, sufficient to meet nursing care needs; and a physician's guidance on the professional aspects of operating the institution.

Institutions for Mental Disease (IMD) Exclusion

Federal law defines an Institution for Mental Disease (IMD) as a hospital, nursing facility or other institution of more than 16 beds that is primarily engaged in providing diagnosis, treatment or care to persons with mental diseases, including medical attention, nursing care, and related services.

Medicaid funding is not available for IMD services, except for inpatient psychiatric services provided to individuals under the age of 21. This exception for individuals under age 21 has been interpreted inconsistently. One interpretation is that inpatient psychiatric services must meet the narrow, institutional definition of inpatient psychiatric services (described in the next section). Another interpretation is that the IMD rules do not apply to individuals under age 21.

Medicaid Reimbursement for Psychiatric Residential Treatment Facilities (PRTF)

Federal Medicaid law recognizes a limited number of institutional settings for which room and board costs may be reimbursed. These settings include hospitals, nursing facilities, intermediate care facilities for the mentally retarded (ICF/MR), and inpatient psychiatric services for children under age 21. Psychiatric services for children may be provided by a psychiatric hospital, psychiatric unit of a general hospital or psychiatric residential treatment facility (PRTF).

Under Centers for Medicare and Medicaid Services (CMS) regulations, a PRTF is a non-hospital facility that delivers inpatient psychiatric services to Medicaid enrolled individuals under age 21. The facility is required to be accredited by the Joint Commission (known as JCAHO) or other accrediting entity, such as the Commission on Accreditation of Rehabilitation Facilities (CARF). The facility also must comply with federal regulations concerning the use of seclusion and restraints.

Findings and Recommendations Regarding Federal Medicaid Laws and Policies

The Department believes that the Sununu Youth Services Center could, as a long-range plan, potentially meet the federal definition of a “medical institution,” subject to modification of New Hampshire law to recognize SYSC as a medical provider. The agency also believes that enrollment of Sununu Youth Services Center as a psychiatric residential treatment facility would strengthen the Medicaid program’s authority to cover SYSC for three reasons:

1. Recognition of SYSC as a *Psychiatric Residential Treatment Facilities (PRTF)* could enable the New Hampshire Medicaid program to cover services offered by SYSC under traditional Medicaid laws.
2. The federal definition of a “medical institution” has not been consistently interpreted and applied, but enrollment of SYSC as a PRTF would meet the narrowly constructed definition of a medical institution.
3. Federal law exempts “inpatient psychiatric services for children under age 21” from the prohibition on Medicaid funding for services. The definition of “inpatient psychiatric services” could be narrowly interpreted to include only traditional Medicaid providers (e.g., hospitals and PRTFs). Enrollment of SYSC as a PRTF could meet the narrow definition of “inpatient psychiatric services for children under age 21.”

Both the designation as a “medical institution” and enrollment as a PRTF would require statutory and process changes, as well as accreditation by an accrediting entity. While it is believed that the

facility, services, staffing and programming currently in place at SYSC would largely meet accreditation requirements, specific analysis will be necessary to determine if additional changes are needed. However, as was true in Vermont, it is anticipated that a large majority of youth presently served at SYSC have treatment needs that would clinically require secure treatment, thereby allowing all costs for their treatment at SYSC except education costs to be covered by Medicaid payments to the state. It is important to note that the Woodside facility continues to provide services to a small number of youth (less than 5-10%) that do not have clinical needs that require secure treatment, but nonetheless require secure placement to ensure community safety. They continue to utilize state general funds to serve this population.

Programmatically, the Woodside Center made a number of changes aligned with the shift to a more treatment-focused approach to these services. New Hampshire is already in the process of making many of these changes as they are recognized as best practice for youth and their families, such as infusing Restorative Practices into the facility, expanding family engagement in treatment, and individualizing youth's programs based on treatment needs. Additional changes made in Vermont that warrant exploration include initial review of all admissions by a clinical team to determine need for secure care, with transition supports to a lower level of care if appropriate. It is also worth considering eliminating the separation of youth based on legal status (detained vs. committed), that would allow for unit assignment of all youth based on treatment need and services, and discharge planning that begins immediately upon admission for all youth.

Given the above information, the Department should explore the feasibility and appropriateness of the identification of the Sununu Youth Services Center as a psychiatric residential treatment facility. This should include, if appropriate, any statutory changes that would be necessary to meet this recommendation. This exploration should include an analysis of any outcome data available regarding the Woodside program or other similar models, and a plan for evaluation of any recommended changes at SYSC. Additionally, the success of a secure treatment model such as the one described here is intricately tied to the availability of adequate community treatment services to support successful discharge from secure treatment. As a result, the Department would propose that a portion of any savings realized through these modifications be allocated to support such community treatment programs.

It should be noted that neither the short nor long term possibilities described above are anticipated to result an increase in daily census at the facility beyond its capacity. The youth currently served at SYSC and New Hampshire Hospital typically struggle with very similar challenges and require similar services in terms of mental health and substance abuse treatment, with the primary differentiation being that youth currently at SYSC have been charged with committing a delinquent act. It is hoped that by making this level of treatment more available to youth who need it before a delinquent act occurs, the youth, their families, and the community will be better and more safely served.

Final Summary:

Short-Term Options

1. The Department will continue to operate the Sununu Youth Services Center at the current Manchester facility.
2. The Department will explore the possibility for SYSC to house an extension of New Hampshire Hospital services for psychiatric and substance abuse care.
3. The Department will explore the programmatic and fiscal impact of contracting specific targeted services provided at SYSC to a private provider.

Long-term Options

1. The Department will explore the feasibility and appropriateness of identifying the SYSC as a Psychiatric Residential Treatment Facility (PRTF) to secure Medicaid funding for services, including any necessary program or statutory changes, and fiscal impact.

General Recommendations

1. The Department will continue to invite stakeholders' participation in exploring the above options.
2. The Manchester neighborhood residents should specifically be involved in the exploration of these possibilities.

The Department worked with community stakeholders and providers to create this report and wants to recognize the time and effort of many in contributing to the creation of this document and the plan going forward. There were focus groups and interviews from which information was gathered and there was a standing executive group that listened to and considered the information in an effort to look at as many options as possible.

The following individuals were part of the Executive Team that met over the past five months.

Executive Team Members - Stakeholder Members

Amy Kennedy, Office of Governor Margaret Wood Hassan
Mayor Theodore Gatsas, Mayor, City of Manchester
Joyce Craig, Alderman, Ward I, City of Manchester
Maria Gagnon, CEO, Child & Family Services
Monica Zulauf, President/CEO of YWCA, NH
Patricia Dowling, Chair, Governor's State Advisory Group on Juvenile Justice
Ellen Feinberg, Executive Director of N.H. Kids Count
Ron Adams, Governor's State Advisory Group on Juvenile Justice
Michael Adamkowski, Chair, DCYF Advisory Board
Amy Pepin, Governor's State Advisory Group on Juvenile Justice
Marty Boldin, Director Office of Youth Services
Michael Sielicki, NH Chiefs of Police Association
Joseph Diament, Director Division of Community Corrections

Appendix A

	Budget Impact - General Funds		
	SFY14	SFY15	TOTAL
Total SYSC Budget	-	(15,182,997)	(15,182,997)
General Fund Portion of SYSC Budget		(14,255,656)	(14,255,656)
Est. cost to send residents to another program		11,315,000	11,315,000 *
Est. cost to administer placement contract	-	1,357,800	1,357,800 **
Est. cost to maintain buildings/campus after closure	-	900,355	900,355 ***
Potential General Funds Savings ¹			(682,501)

¹Note: There are two unknown costs:

- 1) Requirement to pay back VOI/TIS grant
- 2) Costs associated with renovating a non-secure facility into an architecturally secure facility

DETAIL

Estimated cost to send residents to another program:

Average Census # per Day 62
 Assuming an average daily rate of: \$500
 x365 days year 365

\$11,315,000 *

Estimated cost to administer Contract @ 12% **\$1,357,800 ****

\$12,672,800

	SFY 2014 Budget	Program Costs	Facility Costs After Closure
MAINTENANCE/SECURITY BUDGET			
PERSONAL SERVICES PERM CLASS	\$ 469,451	\$ 134,405	\$ 335,046
OVERTIME	\$ 16,314		\$ 16,314
HOLIDAY PAY	\$ 2,330		\$ 2,330
CURRENT EXPENSES	\$ 78,440	\$ 39,220	\$ 39,220
HEAT ELECTRICITY WATER	\$ 845,284	\$ 591,699	\$ 253,585
MAINT OTHER THAN BUILD-GRN	\$ 2,275		\$ 2,275
EQUIPMENT NEW REPLACEMENT	\$ 40,000	\$ 20,000	\$ 20,000
TELECOMMUNICATIONS	\$ 4,975		\$ 4,975
OWN FORCES MAINT BUILD-GRN	\$ 6,970		\$ 6,970
CONTRACTUAL MAINT BUILD-GRN	\$ 52,349		\$ 52,349
PERSONAL SERVICE TEMP APPOIN	\$ 21,195	\$ 21,195	
BENEFITS	\$ 265,711	\$ 98,920	\$ 166,791
EMPLOYEE TRAINING	\$ 1,260	\$ 1,260	
IN STATE TRAVEL REIMBURSEMEN	\$ 5,325	\$ 4,825	\$ 500
OUT OF STATE TRAVEL REIMB	\$ 1	\$ 1	
	\$ 1,811,880	\$ 911,525	\$ 900,355 ***

Appendix B			
Description	ORG	CLASS	SFY 2014
			Budget
MATERIAL MGT & FOOD PREP			
PERSONAL SERVICES PERM CLASS	7913	10	242,008
OVERTIME	7913	18	16,984
HOLIDAY PAY	7913	19	8,010
CURRENT EXPENSES	7913	20	95,640
FOOD INSTITUTIONS	7913	21	289,051
RENTS-LEASES OTHER THAN STAT	7913	22	292
EQUIPMENT NEW REPLACEMENT	7913	30	1,358
TELECOMMUNICATIONS	7913	39	2,800
PERSONAL SERVICE TEMP APPOIN	7913	50	13,385
BENEFITS	7913	60	168,365
EMPLOYEE TRAINING	7913	66	629
IN STATE TRAVEL REIMBURSEMEN	7913	70	467
GENERAL FUNDS			838,989
MAINTENANCE/SECURITY			
PERSONAL SERVICES PERM CLASS	7914	10	469,451
OVERTIME	7914	18	16,314
HOLIDAY PAY	7914	19	2,330
CURRENT EXPENSES	7914	20	78,440
HEAT ELECTRICITY WATER	7914	23	845,284
MAINT OTHER THAN BUILD-GRN	7914	24	2,275
EQUIPMENT NEW REPLACEMENT	7914	30	40,000
TELECOMMUNICATIONS	7914	39	4,975
OWN FORCES MAINT BUILD-GRN	7914	47	6,970
CONTRACTUAL MAINT BUILD-GRN	7914	48	52,349
PERSONAL SERVICE TEMP APPOIN	7914	50	21,195
BENEFITS	7914	60	265,711
EMPLOYEE TRAINING	7914	66	1,260
IN STATE TRAVEL REIMBURSEMEN	7914	70	5,325
OUT OF STATE TRAVEL REIMB	7914	80	1
GENERAL FUNDS			1,811,880
EDUCATION			
PERSONAL SERVICES PERM CLASS	7917	10	2,272,512
OVERTIME	7917	18	4,663
CURRENT EXPENSES	7917	20	20,930
RENTS-LEASES OTHER THAN STAT	7917	22	3,887
ORGANIZATIONAL DUES	7917	26	247
TELECOMMUNICATIONS	7917	39	1,820
AUDIT FUND SET ASIDE	7917	41	0
PERSONAL SERVICE TEMP APPOIN	7917	50	15,685
BENEFITS	7917	60	1,113,920
WORKERS COMPENSATION	7917	62	0
EMPLOYEE TRAINING	7917	66	1,104
IN STATE TRAVEL REIMBURSEMEN	7917	70	284
GRANTS NON FEDERAL	7917	73	1
OUT OF STATE TRAVEL REIMB	7917	80	1
EDUCATIONAL SUPPLIES	7917	537	25,163
			3,460,217
OTHER FUNDS (Agency Income)			-710,205
GENERAL FUNDS			2,750,012

Sununu Youth Services Center Transformation: Psychiatric Residential Treatment Facility Proposal

WHAT IS THE DEPARTMENT'S VISION TO SERVE YOUTH WITH BEHAVIORAL HEALTH TREATMENT NEEDS?

The Department believes that children and youth are best served in their homes and communities when it is safe and effective to do so. For these reasons, the Department, in collaboration with the Children's Behavioral Health Collaborative and other stakeholders, has used federal grant funds to design and implement a System of Care model that includes an expanded and non-traditional service array. The System of Care (SOC) model was developed to meet the intensive behavioral health needs of those children and youth that are not able to be served effectively in their homes and communities with the existing service array. The Department is pursuing a Medicaid State Plan Amendment to continue funding for the System of Care services, as well as for a Psychiatric Residential Treatment Facility (PRTF) and the Sununu Youth Services Center would be the PRTF

WHO ARE THE YOUTH THAT DHHS WANTS TO SERVE?

The youth that DHHS is looking to serve have a variety of intensive behavioral health and treatment needs that cannot be adequately met in their communities, including youth involved with various DCYF programs (abuse/neglect, Children in Need of Services (CHINs), and juvenile justice) as well as other youth not currently involved with the Division for Children, Youth and Families (DCYF).

Youth involved with juvenile justice

The youth currently being served at the Sununu Youth Services Center (SYSC) require an intensive level of care and treatment, due to their significant behavioral health needs and unsuccessful attempts to treat these youth in less restrictive and less intensive programs (refer to example 1).

- A review of seventy-six youth committed to the facility in 2015 indicated that sixty-seven of those youth had three or more mental health diagnoses, with thirty-eight having five or more. All seventy-six youth carried at least one mental health diagnosis.
- Sixty-one of those youth had co-occurring mental health and substance abuse diagnoses.

- The average number of placements prior to commitment for these seventy-six youth was six.
- In addition to not receiving treatment able to meet their needs, these youth caused harm to others while these needs remained unmet. Prior to reaching the point of commitment, these seventy-six youth were petitioned for committing a total of 494 criminal offenses in their homes and communities.

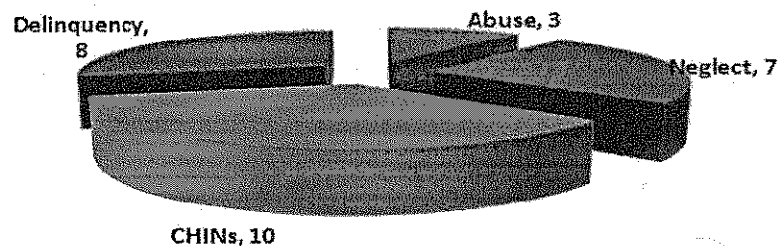
Other youth involved with DCYF

Some youth not placed at SYSC but involved with DCYF through an abuse/neglect (refer to example 2) or CHINS case also need the intensive level of care and treatment.

- Most of the children or youth involved with DCYF are able to be served through existing providers in NH.
- Some children or youth, however, have more intense needs that currently require placement in out-of-state facilities to obtain treatment safely and effectively.
- On 9/1/15, DCYF had twenty-eight children and youth placed in out-of-state facilities.

One such population with very high needs are youth served under the Child in Need of Services (CHINS) statute, Section D:2II(d), which include children and youth who have a diagnosis of a severe emotional/cognitive or other mental health issue who engage in aggressive, fire setting or sexualized behaviors that pose a danger to themselves or others. In calendar year 2014 the Department received 55 requests for this type of CHINS petition. The Department has received 30 requests to date in 2015. These children and youth frequently require residential treatment, and in fact account for a significant portion of out-of-state placements.

of children/youth placed out-of-state by case type



When children and youth are placed out-of-state, it becomes more difficult for them to remain connected to their families and home communities, which can negatively impact treatment progress and discharge planning. Additionally, DCYF does not have oversight of rates and quality measures such as length of stay in these placements. From an economic standpoint, when these placements are necessary, state dollars are being paid to out-of-state agencies rather than in-state providers. For these reasons, every effort is made to serve these children and youth in-state first, which can result in multiple placements and unmet treatment needs for these youth.

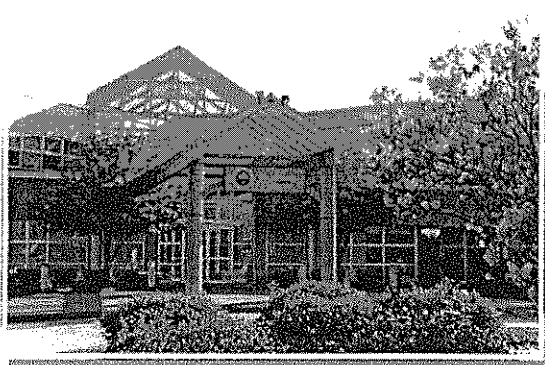
Other youth with behavioral health needs

Additionally, there are youth not in contact with DCYF who require the same intensive level of care and treatment.

- Youth that are in need of highly intensive and/or secure treatment in a clinical milieu but are stable enough for discharge from an acute psychiatric hospital.
- Youth who need an extensive and clinically intensive evaluation to determine appropriate diagnosis and treatment plan.
- Youth who need extended monitoring while undergoing medication trials/ stabilization but no longer need acute care.

Currently, the only option for these youth is a longer stay at New Hampshire Hospital, contributing to a shortage of beds for other youth in need of acute care.

NHH Readmissions of Children/Youth (under 18 years old) – SFYs 2014 & 2015	
DCYF involved	56
Non-DCYF involved	662



Youth with substance use disorders

While many of the youth described above have co-occurring substance use disorders, there are also youth whose primary diagnoses are substance use related who may need an intensive level of care and treatment in a residential setting.

- New Hampshire currently has one adolescent Substance Use Disorder residential treatment program, Phoenix House in Dublin, NH, which is currently able to serve twelve youth. At times, the program is unable to accept new referrals.
- While Phoenix House works diligently to meet the needs of youth in its program, there are youth who cannot be managed at their facility, due to aggressive behaviors or co-occurring mental health issues, and who are therefore discharged from the program without completing their treatment plan. Seven such youth were discharged in 2014.
- Many youth are appropriately diverted from residential care if their initial assessment determines community treatment is the best alternative.
- While many youth with substance use disorders will continue to be effectively treated in the community programs, providing the option of a second residential substance abuse treatment program for adolescents that is able to accept Medicaid or other insurance in another area of the state, and manage more aggressive or co-occurring disorders, will provide a critical service for youth that need it.

WHAT IS A PSYCHIATRIC RESIDENTIAL TREATMENT FACILITY (PRTF)?

A Psychiatric Residential Treatment Facility (PRTF) is a treatment category designed by the federal Centers for Medicare and Medicaid Services (CMS) to support States' efforts to provide psychiatric services to individuals under age twenty-one in non-hospital settings. New Hampshire does not currently have a PRTF facility.

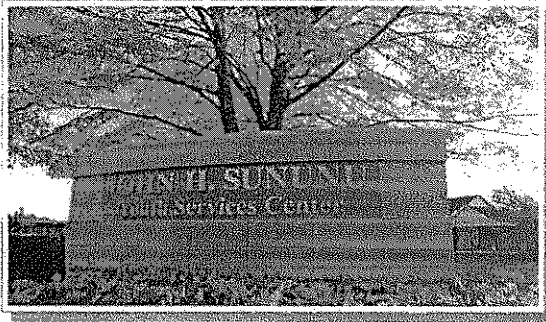
A PRTF is designed to be:

- less medically intensive than a psychiatric hospital, while still providing a range of comprehensive services on an inpatient basis under the direction of a physician;
- a more clinically intense type of treatment delivered to youth that are more acutely or chronically psychiatrically ill than those served in other residential facilities.

A PRTF IS A CRITICAL COMPONENT OF THE CONTINUUM OF TREATMENT SERVICES IN NEW HAMPSHIRE

The creation of a PRTF is being considered only in conjunction with a permanently expanded array of home and community-based services to provide a full continuum of services for children and youth with the highest levels of behavioral health, and treatment needs. Despite expanding home and community services, a portion of this population continues to require short-term residential treatment episodes, a need that currently is not always met effectively or adequately without a PRTF.

Concern has been raised about the need for a PRTF in light of a federal demonstration project under which nine states tested the cost-effectiveness and outcomes of providing enhanced services in a child's home or community **rather than** a PRTF. The Department believes this project supports its proposal to utilize SYSC as a PRTF in conjunction with an enhanced array of home and community-based services in that the demonstration project concluded that including a number of additional services "significantly enhanced the positive outcomes for children and youth," and, in particular, that "children and youth who were transitioned out of PRTF's had better outcomes on average than children who were diverted from PRTF's." While the better outcomes for youth transitioned out of the PRTF may have resulted from better services or some other factor, it may also have been due to having their more intensive needs met within the PRTF, so that the community-based treatment plan could be more successful. This indicates that having a PRTF available for those youth that need that level of treatment is key to their ultimate outcome.



WHY IS SYSC THE BEST OPTION FOR A PRTF IN NEW HAMPSHIRE?

- The Sununu Youth Services Center (SYSC) currently offers many of the services that youth in need of this level of treatment require, including comprehensive medical, clinical and educational services. The Center utilizes a number of validated assessment instruments to determine treatment needs, and employs an array of evidence-based or research-based treatment interventions with proven results in addressing serious behavioral health issues. SYSC demonstrated positive results in safety, health and programming for youth in the facility as evidenced by the achievement of Level 3 out of 4 in the Performance-Based Standards system, which compares the SYSC's performance to other similar facilities' performance nationally every six months.
- There will be a sustained need for a PRTF level of care for some portion of youth in the juvenile justice system. While positive trends of decreasing juvenile crime rates and the declining use of secure facilities for juveniles continue both nationally and in New Hampshire, all states continue to have secure care and treatment options for juvenile delinquents. In converting SYSC to a PRTF, Medicaid dollars can be used to support treatment services needed by the current population. These Medicaid dollars will offset some of the general fund costs to operate the SYSC.

INSTRUMENTS AND MODELS CURRENTLY AVAILABLE AT SUNUNU YOUTH SERVICES CENTER (SYSC)

Assessment Instruments:

- Beck Suicidal Ideation Assessment
- CRAFT Screening Interview
- UCLA PTSD Reaction Index
- Substance Abuse Subtle Screening Inventory (SASSI)
- Child and Adolescent Needs and Strengths (CANS)
- Woodcock-Johnson III
- Attention Deficit Hyperactivity Disorder (ADHD) Rating Scale
- Depression Anxiety Stress Scales (DASS) 42
- Revised Children's Manifest Anxiety Scale (RCMAS)

Treatment Models:

- Cognitive Behavioral Therapy
- Trauma-Focused Cognitive Behavioral Therapy
- Dialectical Behavioral Therapy
- Anger Management (Prepare Model)
- Aggression Replacement Therapy
- Psychopharmacology

- The use of SYSC as a PRTF for the other populations described above provides a cost-effective way to meet the needs of these youth by making use of existing infrastructure (building, food service, maintenance, recreational, medical facilities, etc.) resulting in lower overhead costs to provide residential treatment services.
- Costs for multiple and longer placements and/or repeat hospitalizations could potentially decrease if youth who truly require a more intensive level of care can receive that care and return to their communities more rapidly and successfully.

Therefore, the Department recommends positioning SYSC as one element of the expanded SOC service array for youth who need short-term PRTF level care, and to utilize SYSC in coordination with expanded home and community-based services. In support of this objective, the federal Centers for Medicare and Medicaid Services (CMS) has offered to send a technical assistance team to NH this fall to evaluate the SYSC facility and assist in developing a transition plan.

NOTE: The SYSC facility was built using federal Violent Offender Incarceration/Truth in Sentencing (VOI/TIS) grant funds that carry certain requirements for use. The proposed transformation of the SYSC facility is expected to meet the use requirements, thereby avoiding the need for a substantial payback of funds used to build the facility. VOI/TIS funds payback could potentially equal \$13,400,000 if NH is required to repay the full amount.

The facility use requirements require that a state request permission from the BJA to cease operation or otherwise change the use of the facility. The guidance document states that the BJA has a general policy of granting all reasonable facility-use requests, provided that the alternative use serves a criminal justice purpose as a general matter and that the facility will be available for the originally authorized purpose if the need should arise again.

In May of 2015, the Department contacted Jonathan Faley, Associate Deputy Director for Programs at the BJA regarding the process for requesting a change of use for the SYSC facility. In conversation with Mr. Faley he stated: that BJA has had 5-6 requests for change of use and that they have approved all of them; and, that they take a broad brush approach to the requirement that the changed use serve a "criminal justice purpose" and that they are more than willing to work with states in situations like ours.

On June 4, 2015, the U.S. Department of Justice sent a letter to Senator Ayotte addressing the change of use and disposition requirements for VOI/TIS funded facilities. The letter refers to the Bureau of Justice Assistance's (BJA) Guidance for States on the Use and Disposition Requirements for VOI/TIS-Funded Facilities. <https://www.bja.gov/Programs/VOITISFacilityGuidance.pdf>

EXAMPLE 1: JUVENILE JUSTICE CASE

Charges:

- Simple Assault
- Aggravated Felonious Sexual Assault
- Acts Prohibited
- Possession of a Controlled Drug (x3 Separate Charges)

History of Placement:

- Phoenix House
- Youth Services Center—Secure Detention
- Mount Prospect Academy - Plymouth
- Mount Prospect Academy - Plymouth
- Mount Prospect Academy - Campton
- Speare Memorial Hospital
- Valley Vista Program - Vermont
- NH Hospital
- Youth Services Center - Secure Detention

Behavioral History:

- Extensive drug abuse on both sides of family

Behavioral History: continued

- Three psychiatric hospitalizations
- Self-injurious behavior
- History of cutting
- One documented suicide attempt
- Began drinking alcohol at the age of 12
- Marijuana use beginning in 8th grade
- Began opioids by 9th grade
- Admitted multiple times for detox treatment
- History of depression
- Alleged sexual abuse by father
- Allegedly sexually abused sister
- Exposed to domestic violence in the home
- Survived highly traumatic divorce

Diagnoses:

- Poly-substance Dependence
- Substance related perceptual disorder
- Mood Disorder
- Bipolar Disorder Type 1
- Posttraumatic Stress Disorder

EXAMPLE 2: ABUSE/NEGLECT CASE

History of Placement:

- Relative Placement
- Spaulding Youth Center
- New England Salem Children's Trust
- NFI – Massachusetts, Riverside
- Spaulding Youth Center
- Spurwink Services – Brunswick, ME
- Devereaux – Rutland, MA

Behavioral History:

- Significant, lengthy history of extremely violent, assaultive & aggressive behavior with family, peers, professional staff (all settings)
- Explosive outbursts
- Suicidal threats (trying to hang herself, throwing self out of moving vehicle),
- Threatening self-harm (cutting of body parts),
- Threatening to harm others
- Homicidal threats (staff, family, peers)
- Self-harming behaviors (scratching, cutting)

Behavioral History continued:

- Impulsivity
- Irritability
- Agitation
- Depression
- Hopelessness
- Threatening high-risk behaviors (prostitution)
- 5 psychiatric hospitalizations

Diagnoses:

- Physical Abuse of Child
- Neglect of Child
- Parent/child Relational Problem
- Gender Dysphoria of Childhood
- Bipolar Disorder
- Mood Disorder, NOS
- Borderline Personality Disorder
- Mild Cognitive Impairment (IQ 65)
- Asthma
- History of Pseudo-seizures



U.S. Department of Justice

Office of Justice Programs

Bureau of Justice Assistance

Office of the Director

Washington, D.C. 20531

October 13, 2015

Byry Kennedy, Esq.
Director of Legal Services
Division for Children Youth & Families
NH Department of Health and Human Services
129 Pleasant Street
Concord, NH 03301

Dear Mr. Kennedy,

This letter is to inform you that I have reviewed and approved your email request, dated October 2, 2015, seeking permission from the Bureau of Justice Assistance (BJA) to allow the State of New Hampshire to repurpose a portion of the Sununu Youth Services Center, a juvenile detention center built with federal Violent Offender Incarceration and Truth-in-Sentencing (VOI/TIS) Program grant funds for "use as a Psychiatric Residential Treatment Facility (PRTF)".

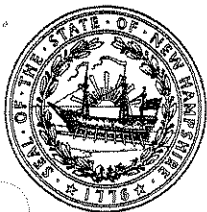
This request is approved based on the fact that the State plans to continue using the VOI/TIS facility for a criminal justice purpose (i.e., for use as a PRTF to service youth involved in the juvenile justice system or at risk for involvement), as required in BJA's *Guidance for States on the Use and Disposition Requirements for VOI/TIS-Funded Facilities*. The proposed PRTF, as I understand from reading the attached proposal, would serve a criminal justice purpose in that the youth served in the PRTF will be either involved with the juvenile justice system or at risk for involvement. In particular, our Office of the General Counsel has advised us that the youth described in the proposal that are not currently involved with juvenile justice system fall within the categories of youth that are typically considered at risk for juvenile justice involvement.

The State should be prepared to convert the VOI/TIS facility back to the originally funded purpose if the need arises. Furthermore, the State must continue to request and receive prior approval from BJA in order to make future changes regarding the use of the facility, to cease operating the facility, or to dispose of or sell the facility.

Thank you for your request. Should you have any questions, please feel free to contact Jonathan Faley, BJA Associate Deputy Director, by telephone at 202-514-2350 or by email at jonathan.faley@usdoj.gov.

Sincerely,

Denise E. O'Donnell
Director



State of New Hampshire FIS 15-229
Additional Information

GENERAL COURT

33 North State Street
Legislative Office Building, Rooms 210-211
Concord, NH 03301-6334

TEL: (603) 271-3165

TDD Access: Relay NH 1-800-735-2964

FISCAL COMMITTEE

(RSA 14:30-a)

Neal M. Kurk
Chairman

November 18, 2015

Dear Members of the Committee,

Chapter 276, Laws of 2015 (HB 2) contains two separate provisions relative to the funding of the Sununu Youth Services Center.

Ch. 276:205, L'15 – Reduction in Appropriation

276:205 Department of Health and Human Services, Sununu Youth Services Center; Reduction in Appropriation. The department of health and human services is hereby directed to reduce state general fund appropriations to the Sununu Youth Services Center by \$1,721,861 for the fiscal year ending June 30, 2016, and by \$3,496,746 for the fiscal year ending June 30, 2017. The department shall operate the Sununu Youth Services Center within the allotted budget, or may enter into contracts for operation of the Sununu Youth Services Center, including establishing necessary class lines, as long as total operating costs do not exceed \$10,100,000 for the fiscal year ending June 30, 2017.

This provision does not require or authorize any further action by the Fiscal Committee. Both of these requirements are mandated by law, and any changes to these requirements must be made through legislation. The Committee should monitor the Department's adherence to these requirements throughout the biennium.

Ch. 276:206, L'15 - Cost Savings Plan:

276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.

This provision requires the Department of Health and Human Services (DHHS) to submit a cost savings plan to the Fiscal Committee for approval. The implementation date of the plan, if

approved, will begin on January 1, 2016. DHHS has submitted a plan which will be included on the Committee's agenda at the December 18, 2015 meeting. The following is a description of motions for the agenda item:

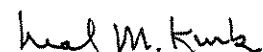
1. **Approve.** If Fiscal Committee approves the plan, DHHS will begin implementation on January 1, 2016. This plan would require future Fiscal Committee approval for transfer requests and possibly the acceptance and expenditure of additional funds (federal or other). It is probable that the plan would require further legislative changes which must go through the standard legislative process, and the Department would work with legislators to determine what types of statutory changes would be necessary to effectuate the plan if approved by the Committee.
2. **Amend and Approve.** Although the Committee has amended and approved items in the past, Ch. 276:206 specifically states "*the department shall develop a plan...*" The Fiscal Committee does not have the legislative authority to develop a different plan for the SYSC. The development of a different plan for SYSC should be undertaken by the entire General Court through the legislative process.
3. **Deny.** If Fiscal Committee denies the plan, DHHS cannot begin implementation of the proposed plan on January 1, 2016. However, nothing prohibits DHHS from seeking legislation to effectuate the plan.
4. **Table.** A tabling motion would have the same impact as denying the plan, whereas absent a special meeting, there would be no action taken by the Committee which would allow implementation of the plan beginning January 1, 2016.

Please note that the cost savings plan under section 206 is not directly related to the appropriation reduction requirements under section 205. As a result, irrespective of the action taken by the Fiscal Committee relative to the Department's cost savings plan proposal, the Department will still be required to reduce SYSC appropriations in FY 2016 and FY 2017 pursuant to current law.

The item is currently being reviewed by the Office of Legislative Budget Assistant and the Department of Health and Human Services to ensure compliance with the chapter law requirements. When the item is finalized, the LBAO will send a copy of the item to Committee members for their review prior to the December 18th vote.

Please contact me or the LBA if you have questions.

Sincerely,



Representative Neal M. Kurk
Chairman

Cc: Nicholas Toumpas, Commissioner
Department of Health and Human Services



New Hampshire Liquor Commission

50 Storrs Street, P.O. Box 503
Concord, N.H. 03302-0503
(603) 230-7026

Joseph W. Mollica
Chairman

Michael R. Milligan
Deputy Commissioner

April 20, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, the New Hampshire Liquor Commission respectfully request authorization to accept and expend funds entitled "NH Highway Safety-Tablets totaling \$249,270.00 from the New Hampshire Highway Safety Agency through the National Highway Traffic Safety Administration, effective upon Fiscal Committee and Governor and Council approval through September 30, 2016. 100% Agency Income

Funding to be established in account # 02-77-77-770512-52120000, LIQUOR COMMISSION, ENFORCEMENT, NH Highway Safety-Tablets;

Class	Class Description	Current Appropriation	Requested Action	New Budget
037-500173	Technology-Hardware	\$0.00	\$184,633.00	\$184,633.00
038-500175	Technology- Software	\$0.00	62,610.00	62,610.00
040-500800	Indirect Cost	\$0.00	2,027.00	2,027.00
	Total	\$0.00	<u>\$249,270.00</u>	<u>\$249,270.00</u>
Source of Funds				
001- 405723	Agency Income	\$0.00	<u>\$249,270.00</u>	<u>\$249,270.00</u>

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
April 20, 2016
Page 2 of 2

EXPLANATION

The intent of the funding is to purchase tablet platform computers including mounting hardware and installation services as well as mobile access to the Division of Enforcement and Licensing's records management system (IMC) and the SPOTS and NCIC law enforcement databases. The purchase of these devices and increased database access will improve timely access to information and increase the overall efficiency of the Division of Enforcement's sworn personnel in the performance of their licensing and enforcement operations. As the number of liquor licensed businesses continues to increase so does the demand for real time accessibility of information in the field to ensure maximum efficiency of operation and responsiveness to the stakeholders we serve.

Respectfully Submitted
New Hampshire State Liquor Commission



Joseph W. Mollica, Chairman

CONTRACTUAL AGREEMENT FOR HIGHWAY SAFETY PROJECT GRANT

State Of New Hampshire
Highway Safety Agency
78 Regional Drive, Building 2
Concord, NH 03301-8530

For HSA Use Only

Date Received	Project Number
March 23, 2016	#308-16S-083
Date Approved	PSP and Task #
March 24, 2016	16-02, 21

Part I

<p>1. Project Title</p> <p>NH Liquor Commission Tablets & Equipment</p>	<p>2. Type of Application (Check One)</p> <p><input checked="" type="checkbox"/> Initial</p> <p><input type="checkbox"/> Revision</p> <p><input type="checkbox"/> Continuation</p>
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<p>3. Applicant</p> <p>A. Name of Agency DUNS Number 878072016</p> <p>NH Liquor Commission</p>	<p>B. Address of Agency</p> <p>57 Regional Drive, Suite 8 Concord NH 03302-0503</p>
<p>C. Government Unit (Check One)</p> <p><input checked="" type="checkbox"/> State</p> <p><input type="checkbox"/> City/Town</p> <p><input type="checkbox"/> County</p> <p><input type="checkbox"/> Other (specify):</p>	<p>D. Name Address of Governmental Unit</p> <p>State of New Hampshire</p> <p>Concord, NH 03301</p>

<p>4. Contract Duration</p> <p>A. Contract Period</p> <p>Start Date: October 1, 2015</p> <p>Termination Date: September 30, 2016</p>	<p>Functional Area M6OT - 405d Impaired Driving Low</p> <p>CFDA# 20.616</p> <p>Program Title Impaired Driving Countermeasures Grant</p> <p>Funding Source National Highway Traffic Safety Administratio</p>
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6. Description of Project (Describe in detail in Schedule A) and Source of Funds

Budget (Provide itemization as called for on Schedule B) and Source of Funds					
Cost Category	Total Budget	Federal Budget	Local Budget	State Budget	Other Funds
a. Personnel Services					
b. Current Expense					
c. Equipment	\$247,243.00	\$247,243.00			
d. Indirect Costs Audit	\$2,027.39	\$2,027.39			
e. Contractual Services					
f. Other					
Total Estimated Costs Including Non-Federal Share	\$249,270.39	\$249,270.39			

<p>7. Local Benefit:</p> <p>It is anticipated that the federal share for local benefit will be: 0% (\$0.00)</p>
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Part II

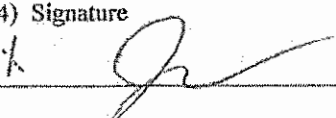

BUDGET AND PERSONNEL DATA	
a. Personnel Services	
*See Proposed Solution (page 3) for explanation of pay rates	
b. Current Expenses	
c. Equipment See attached Budget	\$247,243.00
d. Indirect Costs and Audit Expense Indirect costs \$247,243.00 x .82	\$2,027.39
e. Contractual Services	
f. Other Expenses	
Total	\$249,270.39

In Kind Match For This Project = \$62,317.60 (405D Impaired Driving Funds)

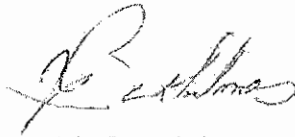
This match requirement shall be met through Salary and Benefit cost analysis of agency personnel performing enforcement of impairment laws.

Part III

Acceptance of Conditions. It is understood and agreed by the undersigned that a grant received as a result of this contract is subject to the regulations governing grant which have been furnished (or will be furnished upon request) to the applicant.

A. Project Director		
1) Name James Wilson	2) Title Director, Bureau of Enforcement NH Liquor Commission	3) Address 57 Regional Drive Concord, NH 03301
4) Signature 		5) Telephone Number 271-3521
B. Authorized Official		
1) Name Joseph W. Mollica	2) Title Chairman, NH State Liquor Commission	3) Address 50 Storrs Street Concord, NH 03301
4) Signature 		5) Telephone Number 271-3132

Part IV (For HSA Use Only)

1. Approval Date March 24, 2016	2. Signature & Title  John J. Barthelmes, Coordinator NH Office of Highway Safety
3. Federal Funds Obligated by this Agreement: \$249,270.39	

SCHEDULE A
GENERAL PROJECT INFORMATION

Description of Project

STATEMENT OF PROBLEM/NEED: At least 85,000 Americans die each year from alcohol-related causes, making alcohol-related problems the third-leading cause of death in the United States (Mokdad, et al., 2004). In New Hampshire, through the Last Drink Survey Grant Initiative, the Division of Liquor Enforcement and Licensing has collectively identified that approximately 40-50% of those surveyed, consumed their last drink at liquor licensed establishments. This number would suggest that the Division of Liquor Enforcement and Licensing look at proactive measures and opportunities to provide a greater level of service which is more advantageous, to the safety, health and well-being of the citizens of our state.

New Hampshire remains a very friendly state in terms of promoting and offering opportunities for small businesses. A portion of those business opportunities are related to the restaurant industry specifically those serving alcohol to patrons. Over the past several years, New Hampshire has seen an increase in the number of liquor licenses (est. 5,000) issued in the state while experiencing a decrease in staffing levels.

With the increased number of liquor licenses in the state (5,121), Investigators within the Division are at an increased need to have immediate remote, real time access to licensee records currently maintained within the Division of Liquor Enforcement and Licensing. This will further ensure appropriate action can be taken when addressing possible violations of an administrative rule or Revised Statute Annotated (RSA). Currently sworn members of the Division of Enforcement and Licensing have no remote access to the NH SPOTS terminal, increasing our need to utilize others services in obtaining this information. In addition, the Division has no mechanism in place to allow remote access to a liquor licensee file. Having this access would allow sworn members to have instantaneous access to information relative to a subject or subjects they encounter in the field as well as a liquor licensee's history of violations, type of violations, along with PIC Affidavits and relative paperwork.

In 2015, the Division of Liquor Enforcement investigated 494 total incidents with 352 total arrests. A majority of these arrests ranged from Drug Possession, Driving While Intoxicated, Unlawful Transportation of Alcohol by a Minor, Prohibited Sales, Unlawful Possession of Alcohol and Operating After Suspension. From January 1 to December 31, 2015, the Division of Liquor Enforcement conducted 4,195 premise checks at liquor licensed establishments throughout the state. Of those inspections, 110 Administrative Notices and 195 Verbal Warnings were issued for Liquor Law violations and/or Administrative Rule violations. As a direct result of these premise checks, 25 arrests were made within a licensed premise or within curtilage of a licensed premise. In 2015, the Division of Liquor Enforcement only received 17 documented DUI Referrals. In an effort to address issues associated with over-service and over-consumption of alcohol, all DUI Referrals received by the Division are reviewed and investigated. By remaining proactive, the Division of Liquor Enforcement is able to address violations from licensed premises and forge a strong allegiance with our law enforcement partners within the state as we strive to eliminate instances of over-service and impaired driving.

In 2014, the Division of Liquor Enforcement investigated 460 total incidents with 349 total arrests. From January 1 to December 31, 2014, the Division of Liquor Enforcement conducted 4,221 premise checks at liquor licensed establishments throughout the state. Of those inspections, 99 Administrative Notices and 152 Verbal Warnings were issued for Liquor Law violations and/or Administrative Rule violations. As a direct result of these premise checks, 53 arrests were made within a licensed premise or within curtilage of a licensed premise. In total for 2014, the Division of Liquor Enforcement issued 395 Administrative Notices and 615 Verbal Warnings to establishments licensed to sell alcohol. In 2014, the Division fielded approximately 18,000 telephone calls from licensees and/or liquor license applicants. The Division of Liquor Enforcement received

an additional 517 requests for liquor license applications throughout the state. The Division issued more Verbal Warnings in an effort to promote safety through education.

In 2014, the Division of Liquor Enforcement renewed its effort to combat instances of impaired driving. This was accomplished by developing a DUI Referral program that would allow local, county and state police to report DUI arrest, crash investigations and fatalities. A total of 59 DUI Referral investigations were handled by the Division. These referrals were major by nature often times DUI Fatalities that occurred within the state. A number of these investigations were forwarded to the Division at the time of incident (Request for assistance at the time of the reported fatality). Having immediate remote access to liquor licensee records via air card/tablets would greatly aid Investigators in the field to ensure appropriate action is taken.

In 2013: 412 total incidents were investigated with 327 total arrests. Due to an update with the Division RMS (Records Management System), further data was not available.

In 2012: 353 incidents were investigated with 378 total arrests. Due to an update with the Divisions RMS (Records Management System), further data was not available.

The Division of Liquor Enforcement and Licensing remains proactive in taking measures to investigate issues associated with over-service and over-consumption of alcohol at liquor licensed establishments throughout our state. The NHOHS is committed to addressing these issues to ensure the safety of our citizens and visitors alike.

Unfortunately at this time, the Division of Liquor Enforcement does not have remote access to licensee files/SPOTS, Records Management System/ L2K or associated licensee documentation that would allow for Investigators/Sergeants within the Division of Liquor Enforcement to take more appropriate/swift action especially for repeat violators posing a threat to public safety. This proposed project affords the Division of Liquor Enforcement with technology that is an industry standard throughout the NH law enforcement community. Where the Division of Liquor Enforcement has statewide jurisdiction with regard to police authority and is tasked with regulating liquor licensees, the proposed project is a benefit to our state.

The proposed tablet/air card proposal would make the Division of Liquor Enforcement and Licensing much more efficient and effective thereby being able to better serve our communities, community leaders, partners, businesses and local, county and state police agencies.

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Indirect Costs @ .82 percent = \$2,027.39		\$249,270.39

SCHEDULE A
GENERAL PROJECT INFORMATION

Description of Project

STATEMENT OF PROBLEM/NEED: At least 85,000 Americans die each year from alcohol-related causes, making alcohol-related problems the third-leading cause of death in the United States (Mokdad, et al., 2004). In New Hampshire, through the Last Drink Survey Grant Initiative, the Division of Liquor Enforcement and Licensing has collectively identified that approximately 40-50% of those surveyed, consumed their last drink at liquor licensed establishments. This number would suggest that the Division of Liquor Enforcement and Licensing look at proactive measures and opportunities to provide a greater level of service which is more advantageous, to the safety, health and well-being of the citizens of our state.

New Hampshire remains a very friendly state in terms of promoting and offering opportunities for small businesses. A portion of those business opportunities are related to the restaurant industry specifically those serving alcohol to patrons. Over the past several years, New Hampshire has seen an increase in the number of liquor licenses (est. 5,000) issued in the state while experiencing a decrease in staffing levels.

With the increased number of liquor licenses in the state (5,121), Investigators within the Division are at an increased need to have immediate remote, real time access to licensee records currently maintained within the Division of Liquor Enforcement and Licensing. This will further ensure appropriate action can be taken when addressing possible violations of an administrative rule or Revised Statute Annotated (RSA). Currently sworn members of the Division of Enforcement and Licensing have no remote access to the NH SPOTS terminal, increasing our need to utilize others services in obtaining this information. In addition, the Division has no mechanism in place to allow remote access to a liquor licensee file. Having this access would allow sworn members to have instantaneous access to information relative to a subject or subjects they encounter in the field as well as a liquor licensee's history of violations, type of violations, along with PIC Affidavits and relative paperwork.

In 2015, the Division of Liquor Enforcement investigated 494 total incidents with 352 total arrests. A majority of these arrests ranged from Drug Possession, Driving While Intoxicated, Unlawful Transportation of Alcohol by a Minor, Prohibited Sales, Unlawful Possession of Alcohol and Operating After Suspension. From January 1 to December 31, 2015, the Division of Liquor Enforcement conducted 4,195 premise checks at liquor licensed establishments throughout the state. Of those inspections, 110 Administrative Notices and 195 Verbal Warnings were issued for Liquor Law violations and/or Administrative Rule violations. As a direct result of these premise checks, 25 arrests were made within a licensed premise or within curtilage of a licensed premise. In 2015, the Division of Liquor Enforcement only received 17 documented DUI Referrals. In an effort to address issues associated with over-service and over-consumption of alcohol, all DUI Referrals received by the Division are reviewed and investigated. By remaining proactive, the Division of Liquor Enforcement is able to address violations from licensed premises and forge a strong allegiance with our law enforcement partners within the state as we strive to eliminate instances of over-service and impaired driving.

In 2014, the Division of Liquor Enforcement investigated 460 total incidents with 349 total arrests. From January 1 to December 31, 2014, the Division of Liquor Enforcement conducted 4,221 premise checks at liquor licensed establishments throughout the state. Of those inspections, 99 Administrative Notices and 152 Verbal Warnings were issued for Liquor Law violations and/or Administrative Rule violations. As a direct result of these premise checks, 53 arrests were made within a licensed premise or within curtilage of a licensed premise. In total for 2014, the Division of Liquor Enforcement issued 395 Administrative Notices and 615 Verbal Warnings to establishments licensed to sell alcohol. In 2014, the Division fielded approximately 18,000 telephone calls from licensees and/or liquor license applicants. The Division of Liquor Enforcement received

an additional 517 requests for liquor license applications throughout the state. The Division issued more Verbal Warnings in an effort to promote safety through education.

In 2014, the Division of Liquor Enforcement renewed its effort to combat instances of impaired driving. This was accomplished by developing a DUI Referral program that would allow local, county and state police to report DUI arrest, crash investigations and fatalities. A total of 59 DUI Referral investigations were handled by the Division. These referrals were major by nature often times DUI Fatalities that occurred within the state. A number of these investigations were forwarded to the Division at the time of incident (Request for assistance at the time of the reported fatality). Having immediate remote access to liquor licensee records via air card/tablets would greatly aid Investigators in the field to ensure appropriate action is taken.

In 2013: 412 total incidents were investigated with 327 total arrests. Due to an update with the Division RMS (Records Management System), further data was not available.

In 2012: 353 incidents were investigated with 378 total arrests. Due to an update with the Divisions RMS (Records Management System), further data was not available.

The Division of Liquor Enforcement and Licensing remains proactive in taking measures to investigate issues associated with over-service and over-consumption of alcohol at liquor licensed establishments throughout our state. The NHOHS is committed to addressing these issues to ensure the safety of our citizens and visitors alike.

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NH Highway Safety Agency
78 Regional Drive, Building #2
Concord, NH 03301-8530
Telephone 603-271-2131

ADDENDUM TO THE APPLICATION FOR HIGHWAY SAFETY PROJECT GRANT

PROJECT REPORTS: It is agreed that quarterly reports will be made to the NH Highway Safety Agency for one year summarizing the progress being made in implementing the project and identifying any problems being encountered. A final report will be made upon completion of the project. Monthly reports will be submitted within 20 days of the project termination date.

PROJECT TERMS: All purchases and expenditures under this project will be subject to audit procedures satisfactory to Federal regulations. It is understood that no monies provided under this project will be used for the replacement of any existing equipment which currently meets Federal Highway Safety Standards. If any existing equipment is sold or traded during the project period, in order to reduce the actual outlay of funds for equipment which is provided under this project, the proceeds will be applied in pro-rated amounts to the Federal and local shares of the costs of the project.

PROCUREMENT AND EQUIPMENT COST: State agencies receiving federal funds for the purchase of equipment are required to process orders through the Division of Plant and Property Management in accordance with state regulations. Items of equipment requiring testing and certification to verify their accuracy (i.e. breath testing devices and traffic control radar) must be selected from the State approved devices.

EQUIPMENT: Equipment acquired under this agreement for use in highway safety program areas shall be used and kept in operation for highway safety purposes by the State; or the State, by formal agreement with appropriate officials of a political subdivision or State agency, shall cause such equipment to be used and kept in operation for highway safety purposes (23 CRF 1200.21).

PROJECT INVENTORY: An inventory of each item of equipment having a useful life of more than two years and a cost of five thousand dollars (\$5,000.00) or more will be provided to the NH Highway Safety Agency during the period in which it is in use. Before such equipment is disposed of, either by trade-in or write-off, authorization will be obtained from the Highway Safety Agency, acting as agent for the National Highway Traffic Safety Administration.

PROJECT CREDIT: All publications, public information or publicity released in conjunction with this project shall state that "this project is being supported in part through a grant from the NH Highway Safety Agency with federal funds provided by the National Highway Traffic Safety Administration, US Department of Transportation", or words to that effect.

AUDIT REPORTS: The grantee agrees to provide the NH Highway Safety Agency with a copy of the audit report including this project which was conducted under provisions of Circular A-133 - Audit of State and Local Governments and Non-Profit Organizations.

Certifications and Assurances

Section 402 Requirements (as amended by Pub. L. 112-141)

(a) The Governor is responsible for the administration of the State highway safety program through the NH Highway Safety Agency (NH RSA 238) which has adequate powers and is suitably equipped and organized (as evidenced by appropriate oversight procedures governing such areas as procurement, financial administration, and the use, management, and disposition of equipment) to carry out the program (23 USC 402(b) (1) (A)); (b) The political subdivisions of this State are authorized, under NH RSA 238:6, to carry out within their jurisdictions local highway safety programs which have been approved by the Governor and are in accordance with the uniform guidelines promulgated by the Secretary of Transportation (23 USC 402(b) (1) (B)); (c) At least 40 percent of all Federal funds apportioned to this State under 23 USC 402 for this fiscal year will be expended by or for the benefit of the political subdivision of the State in carrying out local highway safety programs in accordance with 23 USC 402(b) (1) (C), 402(h)(2), unless this requirement is waived in writing; (d) This State's highway safety program provides adequate and reasonable access for the safe and convenient movement of physically handicapped persons, including those in wheelchairs, across curbs constructed or replaced on or after July 1, 1976, at all pedestrian crosswalks throughout the state in accordance with 23 USC 402(b) (1) (D); (e) The State will implement activities in support of national highway safety goals to reduce motor vehicle related fatalities that also reflect the primary data-related crash factors within the State as identified by the State highway safety planning process, including: 1) National law enforcement mobilizations; 2) Sustained enforcement of statutes addressing impaired driving, occupant protection, and driving in excess of posted speed limits; 3) An annual statewide safety belt use survey in accordance with 23 CFR Part 1340 for the measurement of State safety belt use rates; 4) Development of statewide data systems to provide timely and effective data analysis to support allocation of highway safety resources. 5) Coordination of its highway safety plan, data collection, and information systems with the state strategic highway safety plan as defined in section 148 (a). (23 USC 402 (b) (1) (E)); (f) The State shall actively encourage all relevant law enforcement agencies in the State to follow the guidelines established for vehicular pursuits issued by the International Association of Chiefs of Police that are currently in effect. (23 USC 402 (j)). 6) The State will not expend Section 402 funds to carry out a program to purchase, operate, or maintain an automated traffic enforcement system. (23 U.S.C. 402(c)(4)).

Other Federal Requirements

(g) All NH Highway Safety Agency employee's time which is charged to federal funds utilize Section 402 funds. All Time and Attendance charges from federal sources come from that single cost objective which brings the State of New Hampshire into compliance with the applicable federal regulation as stated in 2 CFR 225, Appendix B, h(3). An additional certification will be provided by the NH Highway Safety Agency each year in April in order to meet the federal requirement for biennial certification. (h) Cash drawdowns will be initiated only when actually needed for disbursement (49 CFR 18.20); cash disbursements and balances will be reported in a timely manner as required by NHTSA (49 CFR 18.21); the same standards of timing and amount, including the reporting of cash disbursement and balances, will be imposed upon any secondary recipient organizations (49 CFR 18.41); failure to adhere to these provisions may result in the termination of drawdown privileges. (i) The State has submitted appropriate documentation for review to the single point of contact designated by the Governor to review Federal programs, as required by Executive Order 12372 (Intergovernmental Review of Federal Programs); (j) Equipment acquired under this agreement for use in highway safety program areas shall be used and kept in operation for highway safety purposes by the State; or the State, by formal agreement with appropriate officials of a political subdivision or State agency, shall cause such equipment to be used and kept in operation for highway safety purposes (23 CFR 1200.21). (k) The State will comply with all applicable State procurement procedures and will maintain a financial management system that complies with the minimum requirements of 49 CFR 18.20; (l) The State's highway safety program has been specifically exempted from the provisions of Circular A-95 by the Governor of the State of New Hampshire. (m) Federal Funding Accountability and Transparency Act (FFATA). The State will comply with FFATA guidance, OMB Guidance on FFATA Subaward and Executive Compensation Reporting, August 27, 2010, (https://www.fsrs.gov/documents/OMB_Guidance_on_FFATA_Subaward_and_Executive_Compensation_Reporting_08272010.pdf) by reporting to FSRS.gov for each sub-grant awarded. 1) Name of the entity receiving the award; 2) Amount of the award; 3) Information on the award including transaction type, funding agency, the North American Industry Classification System code or Catalog of Federal Domestic Assistance number (where applicable), program source; 4) Location of the entity receiving the award and the primary location of performance under the award, including the city, State, congressional district, and country, and an award title descriptive of the purpose of each funding action; 5) A unique identifier (DUNS); 6) The names and total compensation of the five most highly compensated officers of the entity if, of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity; (i) The entity in the preceding fiscal year received—(I) 80 percent or more of its annual gross revenues in Federal awards; and (II) \$25,000,000 or more in annual gross revenues from Federal awards; and ii) The public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986; 7) Other relevant information specified by the Office of Management and Budget in subsequent guidance or regulation. (n) The State highway safety agency will comply with all Federal statutes and implementing regulations relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin (and 49 CFR Part 21); (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794) and the Americans with Disabilities Act of 1990 (42 USC § 12101, *et seq.*; PL 101-336), which prohibits discrimination on the basis of disabilities (and 49 CFR Part 27); (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse of alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 *et seq.*), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; The Civil Rights Restoration Act of 1987, which provides that any portion of a state or local entity receiving federal funds will obligate all programs or activities of that entity to comply with these civil rights laws; (k) the requirements of any other nondiscrimination statute(s) which may apply to the application; and (l) the Civil Right Restoration Act of 1987 (Pub.L. 100-259) which requires Federal-aid recipients and all sub recipients to prevent discrimination and ensure non-discrimination in all programs and activities.

The Drug-Free Workplace Act of 1988(41 U.S.C. 8103)

In accordance with the Drug-Free Workplace Act of 1988 (41 U.S.C. 702) and former Governor Judd Gregg's Executive Order No. 89-6, the State will provide a drug-free workplace by: a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition; b. Establishing a drug-free awareness program to inform employees about: 1) The dangers of drug abuse in the workplace; 2) The grantee's policy of maintaining a drug-free workplace; 3) Any available drug counseling, rehabilitation, and employee assistance programs; and 4) The penalties that may be imposed upon employees for drug violations occurring in the workplace. c. Making it a requirement that each employee engaged in the performance of the grant be given a copy of the statement required by paragraph (a). d. Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will: 1) Abide by the terms of the statement; and 2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction. e. Notifying the agency within ten days after receiving notice under subparagraph (d) (2) from an employee or otherwise receiving actual notice of such conviction. f. Taking one of the following actions, within 30 days of receiving notice under subparagraph (d) (2), with respect to any employee who is so convicted: 1) Taking appropriate personnel action against such an employee, up to and including termination; or 2) Requiring such employee to participate

satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency. g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f) above.

Buy America Act

The subgrantee will comply with the provisions of the Buy America Act (49 U.S.C. 5323(j)) which contains the following requirements: Only steel, iron and manufactured products produced in the United States may be purchased with Federal funds unless the Secretary of Transportation determines that such domestic purchases would be inconsistent with the public interest; that such materials are not reasonably available and of a satisfactory quality; or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. Clear justification for the purchase of non-domestic items must be in the form of a waiver request submitted to and approved by the Secretary of Transportation.

Political Activity (Hatch Act)

The subgrantee will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

Certification Regarding Federal Lobbying

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that: 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement. 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. 3. The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Restriction on State Lobbying

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

Certification Regarding Debarment and Suspension

In accordance with the provision of 49 CFR Part 29, the State agrees that it shall not knowingly enter into any agreement under its Highway Safety Plan with a person or entity that is barred, suspended, declared ineligible, or voluntarily excluded from participation in the Section 402 program, unless otherwise authorized by NHTSA. The State further agrees that it will include a clause in all lower tier covered transactions and in solicitations for lower tier covered transactions.

Instructions for Primary Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below. 2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 5. The terms *covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded*, as used in this clause, have the meaning set out in the Definitions and coverage sections of 49 CFR Part 29. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations. 6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. 8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR Part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the list of Parties Excluded from Federal Procurement and Non-procurement Programs. 9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings. 10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief, that its principals: a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency; b) have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of record, making false statements, or receiving stolen property; c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and d) have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default. 2. Where the prospective primary participant is unable to certify to any of the Statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Lower Tier Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below. 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 4. The terms *covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded*, as used in this clause, have the meanings set out in the Definition and Coverage sections of 49 CFR Part 29. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations. 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. (See below) 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR Part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Non-procurement Programs. 8. Nothing contained in

the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings. 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Policy to Ban Text Messaging While Driving

In accordance with Executive Order 13513, Federal Leadership On Reducing Text Messaging While Driving, and DOT Order 3902.10, Text Messaging While Driving, States are encouraged to: 1. Adopt and enforce workplace safety policies to decrease crashes caused by distracted driving including policies to ban text messaging while driving: a) Company-owned or -rented vehicles, or Government-owned, leased or rented vehicles; or b) Privately-owned when on official Government business or when performing any work on behalf of the Government. 2. Conduct workplace safety initiatives in a manner commensurate with the size of the business, such as: a) Establishment of new rules and programs or re-evaluation of existing programs to prohibit text messaging while driving; and b) Education, awareness, and other outreach to employees about the safety risks associated with texting while driving.

Environmental Impact

The Governor's Representative for Highway Safety has reviewed the State's Fiscal Year highway safety planning document and hereby declares that no significant environmental impact will result from implementing this Highway Safety Plan. If, under a future revision, this Plan will be modified in such a manner that a project would be instituted that could affect environmental quality to the extent that a review and statement would be necessary, this office is prepared to take the action necessary to comply with the National Environmental Policy Act of 1969 (42 USC 4321 et seq.) and the implementing regulations of the Council on Environmental Quality (40 CFR Parts 1500-1517).

Policy on Seat Belt Use

In accordance with Executive Order 13043, Increasing Seat Belt Use in the United States, dated April 16, 1997, the Grantee is encouraged to adopt and enforce on-the-job seat belt use policies and programs for its employees when operating company-owned, rented, or personally-owned vehicles. The National Highway Traffic Safety Administration (NHTSA) is responsible for providing leadership and guidance in support of this Presidential initiative. For information on how to implement such a program, or statistics on the potential benefits and cost-savings to your company or organization, please visit the Buckle Up America section on NHTSA's website at www.nhtsa.dot.gov. Additional resources are available from the Network of Employers for Traffic Safety (NETS), a public-private partnership headquartered in the Washington, DC, metropolitan area, and dedicated to improving the traffic safety practices of employers and employees. NETS is prepared to provide technical assistance, a simple, user-friendly program kit, and an award for achieving the President's goal of 90 percent seat belt use. NETS can be contacted at 1 (888) 221-0045 or visit its website at www.trafficsafety.org.

HS-4(a) (3/28/13)

NH Highway Safety Agency
78 Regional Drive, Building #2
Concord, NH 03301-8530
Telephone 603-271-2131

**ADDENDUM TO THE APPLICATION
FOR HIGHWAY SAFETY PROJECT GRANT**

FEDERAL REGULATIONS

2 CFR Part 25 (formerly Circular A-87). This provides principles for determining the allowable costs of programs administered by State, local and federally-recognized Indian tribal governments under grants from and contracts with the Federal Government. They are designed to provide the basis for a uniform approach to the problem of determining costs and to promote efficiency and better relationships between grantees and the Federal Government. The principles are for determining costs only and are not intended to identify the circumstances nor to dictate the extent of Federal and State or local participation in the financing of a particular project. They are designed to provide that federally-assisted programs bear their fair share of costs recognized under these principles except where restricted and prohibited by law.

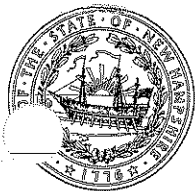
COMMON RULE (49 CFR Part 18) (Effective October 1988) This rule establishes the Uniform Administrative Requirements for Grants and Cooperative Agreements and Sub-awards to state and local governments and Indian tribal governments. Administrative rules set forth include:

18.10	Forms for Applying for Grants	18.32	Equipment
18.11	State Plans	18.33	Supplies
18.20	Standards for Financial Management Systems	18.34	Copyrights
18.21	Payment	18.35	Sub-awards to Debarred and Suspended Parties
18.22	Allowable Costs	18.36	Procurement
18.23	Period of Availability of Funds	18.37	Subgrants
18.24	Matching or Cost Sharing	18.40	Monitoring and Reporting Program Performance
18.25	Program Income	18.41	Financial Reporting
18.26	Non-Federal Audits	18.42	Retention and Access Requirements for Records
18.30	Changes	18.50	Closeout
18.31	Real Property		

CIRCULAR A-133 (June 1997). This Circular establishes audit requirements for State and local governments that receive Federal aid, and defines Federal responsibilities for implementing and monitoring those requirements.

Further information concerning these Circulars may be obtained by contacting the Financial Management Branch, Budget Review Division, Office of Management & Budget, Washington, DC 20503. Telephone 202-395-4773.

FEDERAL FUNDING ACCOUNTABILITY & TRANSPARENCY ACT. *Data Universal Numbering System (DUNS) Numbers Requirement.* As the recipient of federal highway safety funds, the applicant agency must have a DUNS number. This is a unique nine-character number that identifies the applicant agency and is used by the federal government to track how federal funds are distributed. If the applicant agency is authorized to make sub-awards under this contract, it must: 1) notify potential sub-recipients that no entity may receive a sub-award unless that entity has provided the applicant agency with its DUNS number; and 2) the applicant agency may not make a sub-award to an entity unless the entity has provided its DUNS number to the applicant agency.
(<http://fedgov.dnb.com/webform>)



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION OF FAMILY ASSISTANCE

FIS 16 087

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9474 1-800-852-3345 Ext. 9474
FAX: 603-271-4637 TDD Access: 1-800-735-2964

Jeffrey A. Meyers
Commissioner

Terry R. Smith
Director

May 9, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Family Assistance to accept and expend federal funds in the amount of \$8,298,168 from the Temporary Assistance To Needy Families (TANF) program, effective July 1, 2016 through December 31, 2016 and further authorize the funds to be allocated as follows.

05-95-45-45xxxx-xxxx HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
DIVISION OF FAMILY ASSISTANCE, GATEWAY TO WORK

Class/Object	Class Title	SFY2017 Current Modified Budget	Increase (Decrease) Amount	SFY2017 Revised Modified Amount
000-403719	Federal Funds-TANF	\$0	\$5,200,939	\$5,200,939
000-000000	General Funds	\$0	\$0	\$0
Total Revenue		\$0	\$5,200,939	\$5,200,939
010-500100	Personnel Services-Permanent	\$0	\$74,003	\$74,003
020-500252	Current Expense	\$0	\$54,025	\$54,025
041-500801	Audit Fund Set Aside	\$0	\$520	\$520
049-584927	Transfers To Other Agencies	\$0	\$1,115,430	\$1,115,430
060-500601	Benefits	\$0	\$40,212	\$40,212
070-500704	In-State Travel Reimbursement	\$0	\$5,000	\$5,000
102-500731	Contracts for Program Services	\$0	\$3,081,000	\$3,081,000
502-500891	Payments To Providers		\$830,749	\$830,749
	Sub Total Expenses	\$0	\$5,200,939	\$5,200,939

05-95-42-421110-2977 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
 DIVISION OF CHILDREN, YOUTH & FAMILIES, CHILD DEVELOPMENT, CHILD DEVELOPMENT
 PROGRAM

Class/Object	Class Title	SFY2017 Current Modified Budget	Increase (Decrease) Amount	SFY2017 Revised Modified Amount
000-400146	Federal Funds	\$19,654,133	\$1,722,092	\$21,376,225
000-000000	General Funds	\$10,858,301	\$0	\$10,858,301
	Total Revenue	\$30,512,434	\$1,722,092	\$32,234,526
041-500801	Audit Fund Set Aside	\$17,853	\$172	\$18,025
536-500377	Employment Related Child Care	\$29,460,570	\$1,721,920	\$31,182,490
564-500916	Protection & Prevention Childcare	\$1,034,011	\$0	\$1,034,011
	Sub Total Expenses	\$30,512,434	\$1,722,092	\$32,234,526

05-95-45-450010-6127 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
 TRANSITIONAL ASSISTANCE, DIV OF FAMILY ASSISTANCE, EMPLOYMENT SUPPORT

Class/Object	Class Title	SFY2017 Current Modified Budget	Increase (Decrease) Amount	SFY2017 Revised Modified Amount
000-400146	Federal Funds	\$7,472,922	\$1,250,125	\$8,723,047
000-000000	General Funds	\$3,949,928	\$0	\$3,949,928
	Total Revenue	\$11,422,850	\$1,250,125	\$12,672,975
010-500100	Personal Services-Perm. Classi	\$1,439,504		\$1,439,504
012-500128	Personal Services-Unclassified	\$164,130		\$164,130
020-500252	Current Expenses	\$45,114		\$45,114
039-500188	Telecommunications	\$10,981		\$10,981
041-500801	Audit Fund Set Aside	\$8,861	\$125	\$8,986
042-500620	Additional Fringe Benefits	\$81,759		\$81,759
050-500109	Personal Service-Temp/Appointed	\$143,872		\$143,872
060-500601	Benefits	\$891,790		\$891,790
070-500704	In-State Travel Reimbursement	\$27,214		\$27,214
102-500731	Contracts for program services	\$6,624,625	\$1,250,000	\$7,874,625
501-500425	Payments To Clients	\$600,000		\$600,000
502-500891	Payments To Providers	\$1,385,000		\$1,385,000
	TOTAL	\$11,422,850	\$1,250,125	\$12,672,975

05-95-45-450010-6146 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
 TRANSITIONAL ASSISTANCE, DIV OF FAMILY ASSISTANCE, TEMP ASSISTNC TO NEEDY
 FAMILIES

Class/Object	Class Title	SFY2017 Current Modified Budget	Increase (Decrease) Amount	SFY2017 Revised Modified Amount
000-400146	Federal Funds	\$10,891,030	\$125,013	\$11,016,043
009-407095	Other Funds	\$2,800,000	\$0	\$2,800,000
000-000000	General Funds	\$8,874,063	\$0	\$8,874,063
	Total Revenue	\$22,565,093	\$125,013	\$22,690,106
041-500801	Audit Fund Set Aside	\$12,357	\$13	\$12,370
501-500425	Payments to Clients	\$19,037,736	\$0	\$19,037,736
502-500891	Payments to Providers	\$2,765,000	\$125,000	\$2,890,000
538-500380	Emergency Assistance	\$750,000	\$0	\$750,000
	Sub Total Expenses	\$22,565,093	\$125,013	\$22,690,106
TOTALS			\$8,298,168	

EXPLANATION

The Department of Health and Human Services manages the Temporary Assistance to Needy Families (TANF) program, which is funded annually by approximately \$38 million of federal TANF block grant funds and approximately \$32 million from state general funds. In order to receive the federal funds, the State must invest \$32 million annually as maintenance of effort (MOE). The current SFY16-17 budget appropriated the minimum level of general funds necessary to reach the required MOE and retain the federal funds in order to avoid any penalties. Any reduction in general funds puts the entire federal funds at risk.

The primary use of the general and federal funds is to provide cash assistance to TANF eligible clients. Over the last 7 years caseloads have declined, and has resulted in a surplus of federal TANF funds.

6/30/2010	14,090
6/30/2011	13,735
6/30/2012	11,060
6/30/2013	8,538
6/30/2014	7,479
6/30/2015	6,582
3/31/2016	5,657

This request seeks authority to accept and expend \$8,298,168 million of this TANF surplus to pilot new services and initiatives under a new program called "Gateway to Work" for the six month period ending December 31, 2016. A six month funding period is being requested for the program to demonstrate its value.

Under the Federal TANF regulations, states are able to define services that meet one of the TANF program goals:

1. To provide assistance to needy families so that children may be cared for in their own homes or in the homes of relatives,
2. To end dependence of needy parents on governmental programs by promoting job preparation, work and marriage,
3. To prevent and reduce the incidence of out of wedlock pregnancies; and
4. To encourage the formation and maintenance of two parent families

Our federal partners in Boston and Washington, D.C., have been involved in providing guidance for the creation of the Gateway to Work program. Official approval is still needed through the submission of a TANF State Plan Amendment.

Gateway to Work Background:

As New Hampshire and the nation continue to shift toward a world economy, New Hampshire employers are striving to compete in world markets. New Hampshire's unemployment rate is now below 3%, leaving employers to struggle to find workers whose skills have kept pace with employer needs especially in the areas of healthcare, advanced manufacturing, and information technology. Despite the low unemployment rate, many TANF and New Hampshire Health Protection Program recipients live in poverty because they lack the skills for, or face barriers to, successful sustainable employment in good paying jobs needed in today's economy.

Gateway to Work has been designed to address those barriers, which include education and managing employer/employee relationships, transportation, childcare and landlord/renter relationships. Skill-building begins with early child development, and low-income children have difficulty accessing quality early learning—and even safe—child care situations. This program has the potential to impact two generations of low-income citizens (our workers and their children) simultaneously.

Gateway to Work is a collaborative effort involving DHHS, the New Hampshire Department of Education, the Community College System of New Hampshire, the New Hampshire Department of Corrections, and New Hampshire Employment Security, with additional cooperation from the New Hampshire Charitable Foundation, Community Action Agencies, and others. DHHS will provide federal TANF funding, participant recruitment, program oversight, reporting, and evaluation coordination. New Hampshire Employment Security, under a Memorandum of Agreement (MOA) with DHHS, will serve as the front door for eligibility, case management for job ready individuals, and data storage. The Department of Education under an MOA with DHHS will provide expanded employment education in after school programs as well as subsidized summer employment for youth. The Community College System of New Hampshire, funded under an MOA with DHHS, has developed training and apprenticeship programs to meet the needs of employers, especially those categorized as high labor need.

Eligibility:

Individuals eligible for the work program will include individuals who are under 200% of the federal poverty level, which includes all New Hampshire Health Protection Program enrollees.

- Adults aged 18 through 64 with children under age 18 in the household
- Non-custodial parents age 18 through 64 with children under age 18 outside the household
- Childless adults aged 18 through 24.
- Childless adults aged 25 and older

Program Phases:

Gateway to Work is comprised of five interdependent, sequential phases.

1) Recruitment. New Hampshire Health Protection enrollees and others on state assistance shall be the focus of mailings and other outreach efforts.

2) Eligibility. Clients must verify income, citizenship and other eligibility criteria.

3) Assessments. Using nationally recognized assessment tools, eligible individuals shall undergo both vocational and job readiness assessments. Vocational assessments shall include educational needs, vocational interest, personal values, and aptitude. Job readiness screening shall determine barriers such as domestic violence, child care, transportation, and so on.

4) Initial Career Counseling. At this stage, the assessments shall be scored and the individual shall be determined as "High Need" or "Job Ready." The vocational assessment will be interpreted and work on identifying the individual's career plan using career clusters. If the career pathway includes high need labor fields, specialized case management shall occur to place the client on a skill-building path related to healthcare, advanced manufacturing, or information technology (with a specific focus on networking). Training, education, and/or experience needs shall be identified and connections to appropriate service providers shall be made with an emphasis placed on their credentials. Job Ready individuals shall be retained by New Hampshire Employment Security. Individuals assessed as having barriers to employment shall be referred to appropriate community based service providers, who are most appropriate to meet that individual's needs and who have been selected (through an RFP process) to case manage those individuals for Gateway to Work.

5) Continued Case Management. In this stage, the participant receives limited funding for employment related needs, such as uniforms and mileage reimbursement, as well as referrals for barrier removal services. The client is moved into immediate employment. Case Management remains available for 12 months for Job Ready participants, and 24 months for High Need. Case management shall include on-the-job training and subsidized employment as incentives that bond workers with employers. The Community College System of NH has developed strong ties to high labor need employers, along with tailored training and apprenticeship programs to meet the needs of those employers. To measure program efficacy, all case managers shall provide monthly reports on demographics, activities and progress on forms to DHHS, Division of Family Assistance. Client long term progress shall be tracked using New Hampshire Employment Security data for job retention and earnings gain.

Program Services:

In addition to the above elements, other supportive services are crucial to the success of Gateway to Work. These include:

1) Transportation. In TANF, 52% of work ready individuals experience transportation as a barrier to employment. This is due in no small part to the essentially rural nature of our state, where public transportation from where potential employees live to where good paying jobs are available may not be available. To resolve this impediment, Gateway to Work is making \$1.2 million available to create a transportation on demand solution during the term of this proposal. This element of Gateway to Work is in the design phase.

2) Child Care. Data indicates that, for several reasons, child care remains a barrier for approximately 35% of TANF recipients. First, even clients who receive the Child Care subsidy must pay an average \$75 out of pocket, leaving little money from the TANF grant left to pay extra child care costs. Second, availability of a child care slot, especially for infant/toddler care, can be problematic; many clients have reported being offered a job but having to refuse it because no child care slot was available in time to begin the employment. The Gateway to Work solution is two-fold: 1) Gateway To Work will transfer additional TANF dollars to the Child Care Bureau to ease the copayment issue on clients, and 2) Gateway To Work supports child care centers being developed in five of the State's most populated areas. These centers will offer temporary child care solutions so parents won't have to decline jobs, and will assist the client in finding a long-term child care solution.

3) Housing. Data shows that, on average, housing is a barrier to employment for 23% of TANF recipients. To help resolve this, each Gateway to Work participant will be eligible for a one-time assistance payment of \$1,000 in order to help a) avoid eviction, b) avoid utility shutoff, and/or c) move from a location where no jobs exist in the client's career field, to an area where those jobs do exist.

4) Women Inmates. The Department of Corrections, Shea Farm transition program averages 30 residents at any point in time. Gateway to Work will provide services to this population beginning six months prior to release, with a special emphasis on criminal background as a barrier to employment.

5) Home Visiting. Home visiting services provided through the DHHS Division of Public Health already exist to provide counseling for the health and well-being of low income parents. Gateway to Work will expand funding for these services, and add home visits to the 228 TANF parents who are exempt from work programs for a year due to having a child under age one. With a TANF grant that is barely 40% of poverty, these young families need to be offered other options than remaining at home for a year. These options are being designed.

6) Youth. Gateway to Work will expand funding for after school career counseling and summer employment activities.

Metrics:

Gateway to Work will be using the Workforce Investment and Opportunity Act (WIOA) common performance measures as identified at Title IV [Sec. 116(b)(2)(A)(i)(I-IV)] as one set of outcome measures. We are also asking Gateway to Work partners for data/tracking to be identified on the Client Monthly Reporting Form to measure client outcomes and additional data/tracking to be reported on the Agency Specific Reporting Form to measure agency outcomes. MOAs and Request For Proposals (RFP's) for private contractors require that additional data be identified; requirements were written into those documents to allow for flexibility to change the required data elements on these forms in order to accommodate continuous process improvement. Participants will be tracked for degree of participation, progress with barriers, entry into employment, job retention and earnings gain.

Staffing:

Gateway to Work requires new functional assignments. Three DHHS staff will be required to manage the program, collect and approve invoicing for payment, approve client expenses for payment, collect reports and input into a data base, measure program outcomes, conduct case audits and more. NHES will be requesting 5 full-time staff to do eligibility, assessments, and career planning across the state, in addition to case managing job ready individuals. CCSNH will be seeking two staff to determine employer needs, develop training plans to fill those needs, and manage the individuals and employers who have been paired with training and apprenticeships.

Funding:

Estimated costs for the first six months of SFY17 for Gateway to Work are shown on the following summary.

Activity/Explanation	2017 First Six Months			
	Clients	Cost Per	Total 6	SSBG 35% 42%
NHES Front Door: Eligibility; case management; referrals; tracking				
NHES Staffing			\$308,073	\$129,390
Travel			\$10,867	\$4,584
Resource Center Assistance			\$17,551	\$7,371
Program Specialist I			\$98,928	\$41,550
NHES Administrative Cost			\$30,000	\$12,600
Share of Manager and Clk Interviewer Costs			\$3,787	\$1,590
Postage			\$2,025	\$851
Bonds			\$4,900	\$2,058
800#			\$1,800	\$756
NHES Testing: Math & Reading			\$25,000	\$10,500
Case Management				
Case Management High Need clients	185	\$2,400	\$222,000	\$93,240
Case Management Shea Farm Clients			\$75,000	\$31,500
Employment Training Supports (ETS)				
Job ready clients	438	\$1,000	\$219,000	\$91,980
High Need clients	185	\$2,000	\$185,000	\$77,700
Shea Farm Upon release become hi-need for 2 years. Yr 1 61; Yr 2 122	61	\$1,000	\$30,500	\$10,675
Subsidized Employment				
Job ready clients: Take up rates: 20% Yr 1; 30% Yr 2	88	\$1,000	\$43,800	\$18,396
High Need clients: Take up rates: 30% Yr 1; 40% Yr 2	56	\$1,000	\$27,750	\$11,655
Shea Farm: Take up rate 10%.	6	\$1,000	\$3,000	\$1,050
On-The-Job Training (OJT)				
Job ready clients: Take up rates: 20% Yr 1; 30% Yr 2	88	\$3,600	\$157,680	\$66,228
High Need clients: Take up rates: 20% Yr 1; 30% Yr 2	37	\$6,920	\$128,020	\$53,768
Shea Farm: Take up rate 30%.	20	\$3,600	\$36,000	\$12,600
CCSNH Training Coordinators: Two positions			\$75,000	\$0
Customized short-term training (300 clients @ \$2,500)	300	\$2,500	\$375,000	\$0
Apprenticeships (100 clients @ \$6,000)	100	\$6,000	\$300,000	\$0
Work Ready sites (1,286 clients @ \$500)	1,286	\$500	\$321,500	\$0
DOE Youth after school and subsidized employment			\$612,500	\$0
Housing Clients: 623 year 1; 1,013 Year 2-20% take up rate	125	\$1,000	\$62,500	\$26,250
Transportation subsidy			\$1,100,000	\$462,000
SNHS Child Care: SNHS Amendment			\$1,250,000	\$0
DCYF Child Care: Reduce client cost-share & copayments			\$1,721,920	\$0
DPHS Home Visiting; emphasize outreach to exempt TANF moms child under 1			\$125,000	\$0
DFA Evaluation Design & Annual Performance			\$550,000	
DFA Recruitment Posters; mailings; brochures			\$50,000	\$21,000
DFA Positions: Supervisor, Business Systems Analyst, & Program Specialist			\$123,240	\$51,761
DFA Audit Set Aside			\$830	\$124
Totals			\$8,298,168	\$1,241,155

The funds are to be budgeted as follows:

05-95-45-45xxxx-xxxx: Gateway to Work

- 010 Personnel Services-Permanent: Three DHHS positions to manage the program. The Department Will utilize current vacant positions and not be asking for new positions.
- 020 Current Expense: Recruitment Posters; mailings; brochures.
- 041 Audit Fund Set Aside: State mandated audit set aside of federal funds
- 049 Transfers To Other Agencies: \$477,930 to Department of Employment Security to manage the intake process, \$25,000 for testing and \$612,500 to Department of Education to manage youth after school and subsidized employment programs.
- 060 Benefits for three positions
- 070 Travel for two staff
- 102 Contracts for Program Services: Independent case manager \$259,500, Community College System of NH for training programs \$1,071,500, annual independent evaluation \$550,000 and \$1,162,500 for transportation and housing assistance.
- 502 Employment Training Supports (ETS) \$434,500, Subsidized Employment \$74,550, On-The-Job Training (OJT) \$321,700.

05-95-42-421110-2977: Division for Children, Youth, and Families

- 041 Audit Fund Set Aside: State mandated audit set aside of federal funds
- 536- Employment Related Child Care reduction of cost-sharing and copayments for clients

05-95-45-450010-6127: Division of Family Assistance, Employment Support

- 041 Audit Fund Set Aside: State mandated audit set aside of federal funds
- 102 Investment in child care network

05-95-45-450010-6146 Division of Family Assistance, Temporary Assistance To Needy Families

- 041 Audit Fund Set Aside: State mandated audit set aside of federal funds
- 502 Contract for Program Services: \$125,000 for home visiting services

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds. General funds are required to meet the annual maintenance of effort for the TANF program.

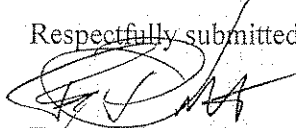
These funds will not change the program eligibility levels, but they do create new support services to encourage and assist clients in finding long term employment.

Area served: statewide

Source of funds: These funds are 100% Federal from the Temporary Assistance to Needy Families program.

In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,


Terry Smith, Director

Approved by:


Jeffery A. Meyers, Commissioner



New Hampshire Liquor Commission

50 Storrs Street, P.O. Box 503
 Concord, N.H. 03302-0503
 (603) 230-7026

Joseph W. Mollica
 Chairman

Michael R. Milligan
 Deputy Commissioner

May 31, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Executive Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to NH RSA 14:30-a, VI, the New Hampshire Liquor Commission respectfully requests authorization to accept and expend funds entitled "NH Liquor Commission Preliminary Breath Testing Device" totaling \$109,692.00 from the New Hampshire Highway Safety Agency through the National Highway Traffic Safety Administration, for the period from Fiscal Committee and Governor and Council approval through September 30, 2016.

Funding to be established in account # 02-77-77-770512-1842, LIQUOR COMMISSION, ENFORCEMENT, PRELIMINARY BREATH TESTING DEVICES;

Class #	Class Description	FY 2017
030-500311	Equipment	\$108,800.00
040-500800	Indirect Cost	\$ 892.00
	Total:	<u>\$ 109,692.00</u>
Source of Funds		
009-407036	Agency Income:	<u>\$ 109,692.00</u>

EXPLANATION

The intent of the funding is to purchase approximately 340 preliminary breath testing (PBT) devices to be distributed to law enforcement agencies throughout the state that do not have devices and to replace units that are no longer operable. Distribution will be based on several criteria (i.e. number of patrol cruisers, current number of PBT devices, number of duty officers per shift, etc.). Training will be provided by the NH Police Standards and Training with certification issued by the State Laboratory. Distribution of these devices will assist in the apprehension and prosecution of suspected impaired drivers, thus improving highway safety for the motoring public.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
May 31, 2016
Page 2 of 2

The following appropriations are requested for FY 2017:

Object Class 030- Equipment- \$108,800.00 amount needed to purchase 340 Preliminary Breath Testing (PBT) Devices at \$320.00 each.

Object Class 040- Indirect Cost - \$892.16 amount needed to cover indirect cost rate of .0082%

Respectfully Submitted
New Hampshire State Liquor Commission



Joseph W. Mollica, Chairman

**CONTRACTUAL AGREEMENT
FOR HIGHWAY SAFETY PROJECT GRANT**

For HSA Use Only

State of New Hampshire
Office of Highway Safety
33 Hazen Drive
Concord, NH 03305

Date Received	Project Number
March 31, 2016	#308-16S-085
Date Approved	PSP and Task #
March 31, 2016	16-02, 05

Part I

1. Project Title NH Liquor Commission Preliminary Breath Testing Devices	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
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3. Applicant	
A. Name of Agency NH Liquor Commission	B. Address of Agency 57 Regional Drive, Suite 8 Concord NH 03302-0503
C. Government Unit (Check One) <input type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):	D. Name & Address of Governmental Unit State of New Hampshire Concord, NH 03305
4. Contract Duration A. Contract Period Start Date: March 1, 2016 Termination Date: September 30, 2016	5. Functional Area M6OT -- 405D MAP-21 CFDA# 20.616 Program Title 405D Impaired Driving Low Funding Source National Highway Traffic Safety Administration

6. Description of Project (Describe in detail in Schedule A) and Source of Funds

Budget (Provide itemization as called for on Schedule B) and Source of Funds					
Cost Category	Total Budget	Federal Budget	Local Budget	State Budget	Other Funds
a. Personnel Services					
b. Current Expenses					
c. Equipment	\$108,800.00	\$108,800.00			
d. Indirect Costs & Audit	\$892.16	\$892.16			
e. Contractual Services					
Total Estimated Costs (Include Non-Federal Share)	\$109,692.16	\$109,692.16			

7. Local Benefit.

It is anticipated that the federal share for local benefit will be: 100% (\$109,692.16)


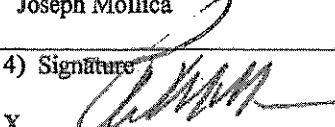
Part II

BUDGET AND PERSONNEL DATA	
a. Personnel Services	
b. Current Expenses	
c. Equipment 340 Preliminary Breath Testing (PBT) Devices at \$320.00 each to include mouthpieces, mouthpiece cups & drink sniffer, wrist strap, window protector, carrying case, training, shipping and handling, etc.	\$108,800.00
d. Indirect Costs and Audit Expense Indirect costs \$108,800.00 x .0082	\$892.16
e. Contractual Services	
f. Other Expenses	
Total	\$109,692.16


In Kind Match For This Project = \$27,423.02 (405 D Impaired Driving Funds). This match requirement shall be met through Salary and Benefits cost analysis of agency personnel performing enforcement of impairment laws.

Part III

Acceptance of Conditions. It is understood and agreed by the undersigned that a grant received as a result of this contract is subject to the regulations governing grant which have been furnished (or will be furnished upon request) to the applicant.

A. Project Director		
1) Name James W. Wilson	2) Title Director, Bureau of Enforcement NH Liquor Commission	3) Address 57 Regional Drive Concord, NH 03301
4) Signature X 		5) Telephone Number 271-3521
B. Authorized Official		
1) Name Joseph Mollica	2) Title Chairman, NH State Liquor Commission	3) Address 50 Storrs Street Concord, NH 03301
4) Signature X 		5) Telephone Number 271-3132

Part IV (For HSA Use Only)

1. Approval Date March 31, 2016	2. Signature & Title  John J. Barthelmas, Coordinator NH Office of Highway Safety
3. Federal Funds Obligated by this Agreement: \$109,692.16	



Virginia M. Barry, Ph.D.
Commissioner of Education
Tel. 603-271-3144

Paul Leather
Deputy Commissioner of Education
Tel. 603-271-3801

STATE OF NEW HAMPSHIRE
DEPARTMENT OF EDUCATION
101 Pleasant Street
Concord, N.H. 03301
FAX 603-271-1953
Citizens Services Line 1-800-339-9900

July 14, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, and contingent upon Fiscal Committee and Governor and Council approval of FIS 16-087, authorize the New Hampshire Department of Education to accept and expend funds from the New Hampshire Department of Health and Human Services in the amount of \$612,500 to provide youth employment/after school career readiness for New Hampshire students, effective upon Fiscal Committee and Governor and Council approval for the period of September 1, 2016 through February 28, 2017. **100% Transfers from Other Agencies.**

Funds to be budgeted as follows:

Gateway to Work
06-56-56-565010-52170000
(FY 2017)

Class	Budget Category	Current Budget	Requested Action	Revised Budget
Income				
001-405723	Transfers From Other Agencies	\$0	(\$612,500)	(\$612,500)
Expenditures				
072-500577	Grants to Schools - Federal	\$0	\$612,500	\$612,500
	Total	\$0	\$612,500	\$612,500

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

July 14, 2016

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EXPLANATION

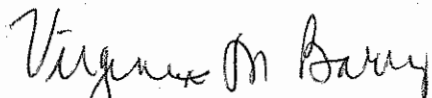
These funds were awarded to the Department of Education (DOE) through a Memorandum of Agreement (MOA) with the Department of Health and Human Services, signed on 7/14/16. The New Hampshire Team of the National Governor's Association's (NGA) Work-Based Learning Policy Academy recommended that a youth focus be "Learn About Work" and "Learn Through Work". This proposed project, which is part of Governor Hassan's Gateway to Work initiative and mirrors the NGA Team's recommendation, will focus on career readiness (Learn About Work) and work-based learning opportunities (Learn Through Work) for students ages 13-17 whose families are within 200% of poverty level. The project is designed to leverage existing structures by engaging NH's career and technical education (CTE) centers for program delivery. The Department of Health and Human Services, in partnership with the Department of Education, will release a competitive RFP to the NH Administrative Units (SAUs). CTE centers will submit proposals outlining how they will use funds effectively to achieve the project focus of career readiness and work-based learning opportunities.

DOE is requesting these Federal funds be budgeted as follows:

Class 072 – Grants to Schools – Federal – To cover the costs of wage subsidies, and/or a subsidized match, for youth employment, through internships, career awareness, and Personal Learning Plans (PLPs) to serve up to 187 students at \$10.00 per hour, up to 40 hours per week, up to a maximum of \$462,500. Also to cover the costs to provide afterschool, career awareness, and PLP development for up to 25 afterschool cohorts, per site, of 25 youth, through February 28, 2017, totaling no more than \$150,000.

These funds were not included in the FY 16/17 operating budget, as this funding opportunity was not known by nor available to the DOE at the time of budget completion. In the event that the Transfers from Other Agencies funds are not available, additional General Funds will not be requested to support this project.

We respectfully request your approval,



Virginia M. Barry, Ph.D
Commissioner of Education

S:/dcta/bvr/vrco/common/g&c/Gateway to Work

**THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH & HUMAN SERVICES
DIVISION OF FAMILY ASSISTANCE
STATE OFFICE PARK SOUTH
129 PLEASANT STREET
CONCORD, NH 03301**

**AGREEMENT TO PROVIDE FUNDING FOR THE
NEW HAMPSHIRE DEPARTMENT OF EDUCATION
GATEWAY TO WORK
AFTER SCHOOL CAREER READINESS**

**NEW HAMPSHIRE DEPARTMENT OF EDUCATION
101 PLEASANT STREET
CONCORD, NEW HAMPSHIRE 03301**

MEMORANDUM OF AGREEMENT (MOA)

Between

**New Hampshire Department of Health and Human Services
Division of Family Assistance
129 Pleasant Street
Concord NH 03301**

And

**New Hampshire Department of Education
101 Pleasant Street
Concord NH 03301**

This reflects Memorandum of Agreement between the NH Dept. of Health and Human Services (DHHS), and the NH Dept. of Education (DOE) for the purposes of the development of personalized learning plans and pathways in middle/high school, through the provision afterschool career readiness services for students, ages 13-17, who are below 200% of poverty level, by releasing a competitive RFP to the NH Administrative School Units (SAU) as official representatives of the 28 Career and Technical Centers (statewide coverage). The proposed design will directly link with the National Governor's Association Work-Based Learning Teams recommendations that youth focus on "Learn About Work" and "Learn Through Work". The purpose of this memorandum is to address the Gateway to Work initiatives proposed by the NH Governor's Office effective September 1, 2016 through February 28, 2017. The activities to be performed under this memorandum include the following:

1. NH Dept. of Education (DOE) will:

- 1.1. Through an RFP process, in collaboration with the NH Administrative School Units, expand utilization of the Career and Technical Centers, utilizing DHHS funding, not to exceed \$612,500 (six hundred twelve thousand five hundred dollars and zero cents) over a six month period, to students during afterschool, specifically to:
 - 1.1.1. Provide wage subsidies, and/or a subsidized match, to youth employment, through internship, career awareness, and Personal Learning Plans (PLP) to serve up to 187 students at \$10.00 per hour, up to 40 hours per week, for 6-12 weeks, totaling no more than \$462,500;
 - 1.1.2. Provide afterschool, career awareness and Personal Learning Plan development for up to 25 afterschool cohorts, per site, of 25 youth, from September 2016 through February 2017, up to 16 weeks for intake/eligibility certification, totaling no more than \$150,000;

Memorandum of Agreement
NH Department of Education
NH Department of Health & Human
Services – Division of Family Assistance

- 1.1.3. Ensure free access to after school career guidance and services to eligible students;
- 1.1.4. Create a statewide network to deliver services in concert with Gateway requirements;
- 1.1.5. Ensure linkages to existing after school programs to promote initiatives that are connected to workforce and economic development.
- 1.1.6. Ensure the following activities are occurring, for each sub-grantee, through the implementation of this agreement:
 - 1.1.6.1. Increasing knowledge and awareness of career opportunities through the provision of career assessment, career guidance & counseling, work-based learning activities (job shadow, internship, paid employment, etc.); exposure to, and knowledge of Career Pathway Plans of Study (CPPOS), where appropriate, that document secondary and postsecondary education connections.
 - 1.1.6.2. Making provisions for the delivery of Pre-Apprentice related instruction where appropriate;
 - 1.1.6.3. The opportunity to expose students to careers through activities such as employer site visits, industry representative speakers, review of local labor market information, tours of the NH Works system and their resource centers, etc.;
 - 1.1.6.4. The opportunity to experience job shadowing, internships, paid employment, etc.;
 - 1.1.6.5. Enabling students to explore and experience careers in NH priority areas of advanced manufacturing, IT, Healthcare, and other high labor need industry areas. The opportunity for participants to explore 3-4 career areas of interest that result in their ability to choose appropriate coursework within their school;
 - 1.1.6.6. Creation of Personalized Learning Plans (PLP), which allow students to choose/map the best classes in their individual schools, that will result in better preparing them for college, work and beyond. The PLP provides structure for learning and the creation of Individual Personalized Learning Plans, which would include, but not be limited to:
 - 1.1.6.6.1. Career Oriented Self-Assessments;
 - 1.1.6.6.2. Goals;
 - 1.1.6.6.3. Objectives;
 - 1.1.6.6.4. Statement of relevance of the goals and objectives to the overall PLP plan;

Memorandum of Agreement
NH Department of Education
NH Department of Health & Human
Services – Division of Family Assistance

- 1.1.6.6.5. Steps/Strategies;
- 1.1.6.6.6. Timeframe for each step;
- 1.1.6.6.7. Evaluation of each step;
- 1.1.6.6.8. Documentation of each step;
- 1.1.6.6.9. Evaluation of the PLP.
- 1.1.6.7. Portfolio of accomplishments, for all participants, to include, but not be limited to:
 - 1.1.6.7.1. A portfolio of accomplishment, such as:
 - 1.1.6.7.1.1. Self-assessment results;
 - 1.1.6.7.1.2. Personal Learning Plan;
 - 1.1.6.7.1.3. Letter of Recommendation from Instructor;
 - 1.1.6.7.1.4. Evidence of career education knowledge to include, but not be limited to:
 - 1.1.6.7.1.4.1. Personal reflections Journal;
 - 1.1.6.7.1.4.2. Evidence of research of 3-4 career areas explored;
 - 1.1.6.7.1.4.3. Public exhibition of learning;
- 1.1.6.8. For participants who engaged in work-based learning, to include, but not be limited to:
 - 1.1.6.8.1. Subsidized employment opportunities during after school;
 - 1.1.6.8.2. A letter of recommendation from the employer to each student (when appropriate);
 - 1.1.6.8.3. An employer evaluation of student participation, work behaviors and skill attainment which would include, but not be limited to:
 - 1.1.6.8.3.1. Showing up on time (attendance);
 - 1.1.6.8.3.2. Complying with program and employer requirements;
 - 1.1.6.8.3.3. Appropriate display of inter-group socialization and communication approaches;
 - 1.1.6.8.3.4. Progressing in their training based on the individual employment plan.

- 1.1.6.8.3.5. Supporting both subsidized and unsubsidized employment to the labor market.
- 1.2. Manage the utilization of funds provided to the NH School Administrative Units (SAU) via the DOE Grants Management System, in order to implement this agreement.
- 1.3. Verify the eligibility of participating students to ensure they are under 200% of the federal poverty line prior to acceptance into each program, via:
 - 1.3.1. An application process to identify that students are qualified eligible as a result of being in an existing low income program or category;
 - 1.3.1.1. Applicants that are currently receiving services for low income categories must verify their status through the NHES Front Door application process;
 - 1.3.2. If the family/student does not qualify under 1.3.1, eligibility will be verified through household wage income;
 - 1.3.2.1. Applicants that are not currently receiving services for low income categories must have a parent or guardian apply for NHHPP through the NH Easy application system online. Doing this will allow all applicants participate in the mandatory IEVS.
- 1.4. Monitor sub-grantees to ensure that the agreement is fully executed, to include, but not be limited to:
 - 1.4.1. All reports will indicate the specific program that services are being provided to the TANF funded groups; either after school program or employment.
 - 1.4.2. Providing both on-site visits and desk review/audit for each grantee, to:
 - 1.4.2.1. Ensure the presence of approved Department of Labor student employment documentation, through the use of established monitoring tools, for those receiving paid employment.
 - 1.4.2.2. Interview students for quality of service and identified expected outcomes, using established questionnaires;
 - 1.4.2.3. Review student portfolios to ensure work activities match with agreed-upon student outcomes;
 - 1.4.2.4. Verify wage matches individual time sheets;
 - 1.4.2.5. Review employer documented student performance as identified in the individualized portfolio;
 - 1.4.2.6. Review attendance records.
- 1.5. Ensure the activities are occurring, as noted in 1.1.5, for each sub-grantee, through the implementation of this agreement:

**Memorandum of Agreement
NH Department of Education
NH Department of Health & Human
Services – Division of Family Assistance**

- 1.6. Outcomes of program implementation are to include, but not be limited to:
 - 1.6.1. The development of an Personalized Learning Plan;
 - 1.6.2. Data and reporting elements that track:
 - 1.6.2.1. Student participation/engagement;
 - 1.6.2.2. Cost per student, related to program services provided.
 - 1.6.3. Number of students employed as a result of the project, to include:
 - 1.6.3.1. Total number of students who gained unsubsidized employment at the end of the contract period;
 - 1.6.3.2. Number of average hours worked;
 - 1.6.3.3. Hourly wage;
 - 1.6.3.4. Type of employment gained as identified through the Standard Occupational Code (SOC), through a survey of students.
 - 1.6.4. Where employment was obtained, to include:
 - 1.6.4.1. Employer name and address;
 - 1.6.4.2. Type of business.
 - 1.6.5. Total number of students that did not complete the program with specific reasons.
- 1.7. The NH Dept. of Education will coordinate and submit one invoice per semester/term to the NH Dept. of Health & Human Services, Division of Finance, of the funds that are committed, against the allocation from each of the participating NH School Administrative Units, and sub-grantees.
 - 1.7.1. Line item Description:
 - 1.7.1.1. Salaries;
 - 1.7.1.2. Benefits;
 - 1.7.1.3. Indirect costs;
 - 1.7.1.4. Insurance;
 - 1.7.1.5. Youth Wages;
 - 1.7.1.6. Tools of the trade;
 - 1.7.1.7. Transportation, to include, but not limited to:
 - 1.7.1.7.1. Bus passes/mileage reimbursement;
 - 1.7.1.7.2. Staff costs for visiting youth/employers at worksites;
 - 1.7.1.7.3. Youth transportation cost to and from youth sites, etc.;
 - 1.7.1.8. Clothes/uniforms needed for work;

Memorandum of Agreement
NH Department of Education
NH Department of Health & Human
Services – Division of Family Assistance

- 1.7.1.9. Work tools;
- 1.7.1.10. Hygiene products; etc.;
- 1.7.1.11. Office Supplies, specifically those that assisted youth in:
 - 1.7.1.11.1. Portfolio development;
 - 1.7.1.11.2. Career awareness resources, etc.

1.7.2. This invoice will include, but not be limited to:

- 1.7.2.1. Annual Allocation/ Budget Amount;
- 1.7.2.2. Previous Invoices;
- 1.7.2.3. Current Invoice;
- 1.7.2.4. Cumulated Totals;
- 1.7.2.5. Total completed to date;
- 1.7.2.6. Less previous payments;
- 1.7.2.7. Less payments requested but not received to date;
- 1.7.2.8. Total of request.

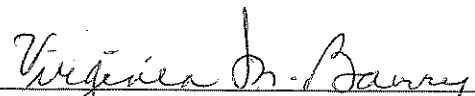
1.8. Equipment, Room Rental, Computer Labs, etc. are expected to be provided as in-kind and not to be provided under this Grant.

1.9. The NH Dept. of Education will participate in the ongoing efforts to evaluate the effectiveness of this program.

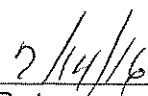
2. NH Dept. of Health & Human Services (DHHS) will:

- 2.1. Transfer funds to the NH Dept. of Education upon the receipt of an invoice with the appropriate forms attached, up to a maximum of \$612,500 (six hundred twelve thousand five hundred dollars and zero cents) over a six month period;
- 2.2. Coordinate with the NH Dept. of Education to determine the eligibility of applicants;
- 2.3. Participate in the review process that will result in the selection and distribution of funds to the sub-grantees among the NH School Administrative Units;
- 2.4. Provide consultation and technical assistance to the NH Dept. of Education and the NH School Administrative Units;
- 2.5. Coordinate with the NH Dept. of Education to develop effective procedures to evaluate program success.

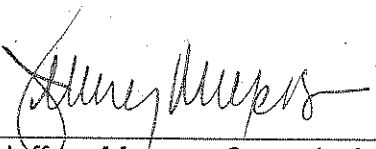
Memorandum of Agreement
NH Department of Education
NH Department of Health & Human
Services – Division of Family Assistance




Virginia M. Barry, Commissioner
NH Department of Education



Date



Jeffery Meyers, Commissioner
NH Department of Health and Human Services



Date



FIS 16 098

Virginia M. Barry, Ph.D.
Commissioner of Education
Tel. 603-271-3144

Paul Leather
Deputy Commissioner of Education
Tel. 603-271-3801

STATE OF NEW HAMPSHIRE
DEPARTMENT OF EDUCATION
101 Pleasant Street
Concord, N.H. 03301
FAX 603-271-1953
Citizens Services Line 1-800-339-9900

May 26, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, and contingent upon Fiscal Committee and Governor and Council approval of FIS 16-087, authorize the New Hampshire Department of Education to accept and expend funds from the New Hampshire Department of Health and Human Services in the amount of \$612,500 to provide summer youth employment/after school career readiness for New Hampshire students, effective upon Fiscal Committee and Governor and Council approval for the period of July 1, 2016 through December 31, 2016. **100% Transfers from Other Agencies.**

Funds to be budgeted as follows:

Gateway to Work
06-56-56-565010-52170000
(FY 2017)

Class	Budget Category	Current Budget	Requested Action	Revised Budget
Income				
001-405723	Transfers From Other Agencies	\$0	(\$612,500)	(\$612,500)
Expenditures				
072-500577	Grants to Schools - Federal	\$0	\$612,500	\$612,500
	Total	\$0	\$612,500	\$612,500

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

May 26, 2016

Page 2

EXPLANATION

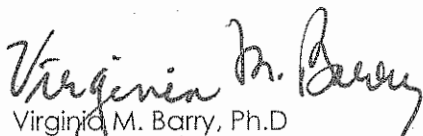
These funds were awarded to the Department of Education (DOE) through a Memorandum of Agreement (MOA) with the Department of Health and Human Services, signed on 5/2/16. The New Hampshire Team of the National Governor's Association's (NGA) Work-Based Learning Policy Academy recommended that a youth focus be "Learn About Work" and "Learn Through Work". This proposed project, which is part of Governor Hassan's Gateway to Work initiative and mirrors the NGA Team's recommendation, will focus on career readiness (Learn About Work) and work-based learning opportunities (Learn Through Work) for students ages 13-17 whose families are within 200% of poverty level. The project is designed to leverage existing structures by engaging NH's career and technical education (CTE) centers for program delivery. The Department of Health and Human Services, in partnership with the Department of Education, will release a competitive RFP to the NH Administrative Units (SAUs). CTE centers will submit proposals outlining how they will use funds effectively to achieve the project focus of career readiness and work-based learning opportunities.

DOE is requesting these Federal funds be budgeted as follows:

Class 072 – Grants to Schools – Federal – To cover the costs of wage subsidies, and/or a subsidized match, to summer youth employment, through internships, career awareness, and Personal Learning Plans (PLPs) to serve up to 187 students at \$10.00 per hour, up to 40 hours per week, up to a maximum of \$462,500. Also to cover the costs to provide afterschool, career awareness, and PLP development for up to 25 afterschool cohorts, per site, of 25 youth, through December 31, 2016, totaling no more than \$150,000.

These funds were not included in the FY 16/17 operating budget, as this funding opportunity was not known by nor available to the DOE at the time of budget completion. In the event that the Transfers from Other Agencies funds are not available, additional General Funds will not be requested to support this project.

We respectfully request your approval,



Virginia M. Barry, Ph.D
Commissioner of Education

S:/dcta/bvr/vrco/common/g&c/Gateway to Work

**THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH & HUMAN SERVICES
DIVISION OF FAMILY ASSISTANCE
STATE OFFICE PARK SOUTH
129 PLEASANT STREET
CONCORD, NH 03301**

**AGREEMENT TO PROVIDE FUNDING FOR THE
NEW HAMPSHIRE DEPARTMENT OF EDUCATION
GATEWAY TO WORK
SUMMER YOUTH EMPLOYMENT/AFTER SCHOOL CAREER READINESS**

**NEW HAMPSHIRE DEPARTMENT OF EDUCATION
101 PLEASANT STREET
CONCORD, NEW HAMPSHIRE 03301**

MEMORANDUM OF AGREEMENT (MOA)

Between

**New Hampshire Department of Health and Human Services
Division of Family Assistance
129 Pleasant Street
Concord NH 03301**

And

**New Hampshire Department of Education
101 Pleasant Street
Concord NH 03301**

This reflects Memorandum of Agreement between the NH Dept. of Health and Human Services (DHHS), and the NH Dept. of Education (DOE) for the purposes of addressing summer youth employment and the development of personalized learning plans and pathways in middle/high school, through the provision of summer employment and afterschool career readiness services for students, ages 13-17, who are below 200% of poverty level, by releasing a competitive RFP to the NH Administrative School Units (SAU) as official representatives of the 28 Career and Technical Centers (statewide coverage). The proposed design will directly link with the National Governor's Association Work-Based Learning Teams recommendations that youth focus on "Learn About Work" and "Learn Through Work". The purpose of this memorandum is to address the Gateway to Work initiatives proposed by the NH Governor's Office effective July 1, 2016 through December 31, 2016. The activities to be performed under this memorandum include the following:

1. NH Dept. of Education (DOE) will:

- 1.1. Through an RFP process, in collaboration with the NH Administrative School Units, expand utilization of the Career and Technical Centers, utilizing DHHS funding, not to exceed \$612,500 (six hundred twelve thousand five hundred dollars and zero cents) over a six month period, to students during afterschool, and/or summer breaks, specifically to:
 - 1.1.1. Provide wage subsidies, and/or a subsidized match, to summer youth employment, through internship, career awareness, and Personal Learning Plans (PLP) to serve up to 187 students at \$10.00 per hour, up to 40 hours per week, for 6-12 weeks, totaling no more than \$462,500;
 - 1.1.2. Provide afterschool, career awareness and Personal Learning Plan development for up to 25 afterschool cohorts, per site, of 25 youth, from

Memorandum of Agreement
NH Department of Education
NH Department of Health & Human
Services – Division of Family Assistance

September 2016 through December 2016, up to 16 weeks for intake/eligibility certification, totaling no more than \$150,000;

- 1.1.3. Ensure free access to after school career guidance and services to eligible students;
- 1.1.4. Create a statewide network to deliver services in concert with Gateway requirements;
- 1.1.5. Ensure linkages to existing after school and summer youth programs to promote initiatives that are connected to workforce and economic development.
- 1.1.6. Ensure the following activities are occurring, for each sub-grantee, through the implementation of this agreement:
 - 1.1.6.1. Increasing knowledge and awareness of career opportunities through the provision of career assessment, career guidance & counseling, work-based learning activities (job shadow, internship, paid employment, etc.); exposure to, and knowledge of Career Pathway Plans of Study (CPPOS), where appropriate, that document secondary and postsecondary education connections.
 - 1.1.6.2. Making provisions for the delivery of Pre-Apprentice related instruction where appropriate;
 - 1.1.6.3. The opportunity to expose students to careers through activities such as employer site visits, industry representative speakers, review of local labor market information, tours of the NH Works system and their resource centers, etc.;
 - 1.1.6.4. The opportunity to experience job shadowing, internships, paid employment, etc.;
 - 1.1.6.5. Enabling students to explore and experience careers in NH priority areas of advanced manufacturing, IT, Healthcare, and other high labor need industry areas. The opportunity for participants to explore 3-4 career areas of interest that result in their ability to choose appropriate coursework within their school;
 - 1.1.6.6. Creation of Personalized Learning Plans (PLP), which allow students to choose/map the best classes in their individual schools, that will result in better preparing them for college, work and beyond. The PLP provides structure for learning and the creation of Individual Personalized Learning Plans, which would include, but not be limited to:
 - 1.1.6.6.1. Career Oriented Self-Assessments;
 - 1.1.6.6.2. Goals;
 - 1.1.6.6.3. Objectives;

Memorandum of Agreement
NH Department of Education
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- 1.1.6.6.4. Statement of relevance of the goals and objectives to the overall PLP plan;
- 1.1.6.6.5. Steps/Strategies;
- 1.1.6.6.6. Timeframe for each step;
- 1.1.6.6.7. Evaluation of each step;
- 1.1.6.6.8. Documentation of each step;
- 1.1.6.6.9. Evaluation of the PLP.
- 1.1.6.7. Portfolio of accomplishments, for all participants, to include, but not be limited to:
 - 1.1.6.7.1. A portfolio of accomplishment, such as:
 - 1.1.6.7.1.1. Self-assessment results;
 - 1.1.6.7.1.2. Personal Learning Plan;
 - 1.1.6.7.1.3. Letter of Recommendation from Instructor;
 - 1.1.6.7.1.4. Evidence of career education knowledge to include, but not be limited to:
 - 1.1.6.7.1.4.1. Personal reflections Journal;
 - 1.1.6.7.1.4.2. Evidence of research of 3-4 career areas explored;
 - 1.1.6.7.1.4.3. Public exhibition of learning;
- 1.1.6.8. For participants who engaged in work-based learning, to include, but not be limited to:
 - 1.1.6.8.1. Subsidized employment opportunities during after school and/or summer break;
 - 1.1.6.8.2. A letter of recommendation from the employer to each student (when appropriate);
 - 1.1.6.8.3. An employer evaluation of student participation, work behaviors and skill attainment which would include, but not be limited to:
 - 1.1.6.8.3.1. Showing up on time (attendance);
 - 1.1.6.8.3.2. Complying with program and employer requirements;
 - 1.1.6.8.3.3. Appropriate display of inter-group socialization and communication approaches;

Memorandum of Agreement
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- 1.1.6.8.3.4. Progressing in their training based on the individual employment plan.
- 1.1.6.8.3.5. Supporting both subsidized and unsubsidized employment to the labor market.
- 1.2. Manage the utilization of funds provided to the NH School Administrative Units (SAU) via the DOE Grants Management System, in order to implement this agreement.
- 1.3. Verify the eligibility of participating students to ensure they are under 200% of the federal poverty line prior to acceptance into each program, via:
 - 1.3.1. An application process to identify that students are qualified eligible as a result of being in an existing low income program or category;
 - 1.3.1.1. Applicants that are currently receiving services for low income categories must verify their status through the NHES Front Door application process;
 - 1.3.2. If the family/student does not qualify under 1.3.1, eligibility will be verified through household wage income;
 - 1.3.2.1. Applicants that are not currently receiving services for low income categories must have a parent or guardian apply for NHHPP through the NH Easy application system online. Doing this will allow all applicants participate in the mandatory IEVS.
- 1.4. Monitor sub-grantees to ensure that the agreement is fully executed, to include, but not be limited to:
 - 1.4.1. All reports will indicate the specific program that services are being provided to the TANF funded groups; either after school program or summer youth employment.
 - 1.4.2. Providing both on-site visits and desk review/audit for each grantee, to:
 - 1.4.2.1. Ensure the presence of approved Department of Labor student employment documentation, through the use of established monitoring tools, for those receiving paid employment.
 - 1.4.2.2. Interview students for quality of service and identified expected outcomes, using established questionnaires;
 - 1.4.2.3. Review student portfolios to ensure work activities match with agreed-upon student outcomes;
 - 1.4.2.4. Verify wage matches individual time sheets;
 - 1.4.2.5. Review employer documented student performance as identified in the individualized portfolio;

Memorandum of Agreement
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NH Department of Health & Human
Services – Division of Family Assistance

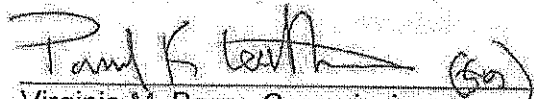
- 1.4.2.6. Review attendance records.
- 1.5. Ensure the activities are occurring, as noted in 1.1.5, for each sub-grantee, through the implementation of this agreement:
- 1.6. Outcomes of program implementation are to include, but not be limited to:
 - 1.6.1. The development of an Personalized Learning Plan;
 - 1.6.2. Data and reporting elements that track:
 - 1.6.2.1. Student participation/engagement;
 - 1.6.2.2. Cost per student, related to program services provided.
 - 1.6.3. Number of students employed as a result of the project, to include:
 - 1.6.3.1. Total number of students who gained unsubsidized employment at the end of the contract period;
 - 1.6.3.2. Number of average hours worked;
 - 1.6.3.3. Hourly wage;
 - 1.6.3.4. Type of employment gained as identified through the Standard Occupational Code (SOC), through a survey of students.
 - 1.6.4. Where employment was obtained, to include:
 - 1.6.4.1. Employer name and address;
 - 1.6.4.2. Type of business.
 - 1.6.5. Total number of students that did not complete the program with specific reasons.
- 1.7. The NH Dept. of Education will coordinate and submit one invoice per semester/term to the NH Dept. of Health & Human Services, Division of Finance, of the funds that are committed, against the allocation from each of the participating NH School Administrative Units, and sub-grantees.
 - 1.7.1. Line item Description:
 - 1.7.1.1. Salaries;
 - 1.7.1.2. Benefits;
 - 1.7.1.3. Indirect costs;
 - 1.7.1.4. Insurance;
 - 1.7.1.5. Youth Wages;
 - 1.7.1.6. Tools of the trade;
 - 1.7.1.7. Transportation, to include, but not limited to:
 - 1.7.1.7.1. Bus passes/mileage reimbursement;

Memorandum of Agreement
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NH Department of Health & Human
Services – Division of Family Assistance

- 1.7.1.7.2. Staff costs for visiting youth/employers at worksites;
- 1.7.1.7.3. Youth transportation cost to and from youth sites, etc.;
- 1.7.1.8. Clothes/uniforms needed for work;
- 1.7.1.9. Work tools;
- 1.7.1.10. Hygiene products; etc.;
- 1.7.1.11. Office Supplies, specifically those that assisted youth in:
 - 1.7.1.11.1. Portfolio development;
 - 1.7.1.11.2. Career awareness resources, etc.
- 1.7.2. This invoice will include, but not be limited to:
 - 1.7.2.1. Annual Allocation/ Budget Amount;
 - 1.7.2.2. Previous Invoices;
 - 1.7.2.3. Current Invoice;
 - 1.7.2.4. Cumulated Totals;
 - 1.7.2.5. Total completed to date;
 - 1.7.2.6. Less previous payments;
 - 1.7.2.7. Less payments requested but not received to date;
 - 1.7.2.8. Total of request.
- 1.8. Equipment, Room Rental, Computer Labs, etc. are expected to be provided as in-kind and not to be provided under this Grant.
- 1.9. The NH Dept. of Education will participate in the ongoing efforts to evaluate the effectiveness of this program.
- 2. **NH Dept. of Health & Human Services (DHHS) will:**
 - 2.1. Transfer funds to the NH Dept. of Education upon the receipt of an invoice with the appropriate forms attached, up to a maximum of \$612,500 (six hundred twelve thousand five hundred dollars and zero cents) over a six month period;
 - 2.2. Coordinate with the NH Dept. of Education to determine the eligibility of applicants;
 - 2.3. Participate in the review process that will result in the selection and distribution of funds to the sub-grantees among the NH School Administrative Units;
 - 2.4. Provide consultation and technical assistance to the NH Dept. of Education and the NH School Administrative Units;
 - 2.5. Coordinate with the NH Dept. of Education to develop effective procedures to evaluate program success.

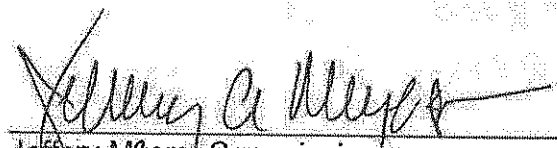
Memorandum of Agreement
NH Department of Education
NH Department of Health & Human
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- 2.4. Provide consultation and technical assistance to the NH Dept. of Education and the NH School Administrative Units;
- 2.5. Coordinate with the NH Dept. of Education to develop effective procedures to evaluate program success.



Virginia M. Barry, Commissioner
NH Department of Education

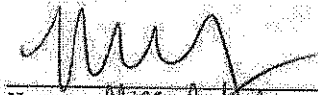
5-2-16
Date



Jeffery M. Biers, Commissioner
NH Department of Health and Human Services

4/27/16
Date

OFFICE OF THE ATTORNEY GENERAL



Name: Megan A. [unclear]
Title: [unclear]



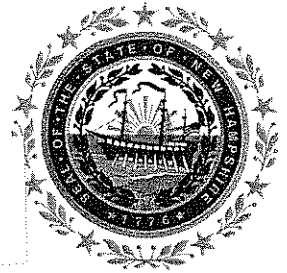
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ADMINISTRATIVE OFFICE

45 SOUTH FRUIT STREET
CONCORD, NH 03301-4857



**FIS 16-099
Replacement**

July 18, 2016

GEORGE N. COPADIS, COMMISSIONER

RICHARD J. LAVERS, DEPUTY COMMISSIONER

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Executive Council
State House
Concord, NH 03301

REQUESTED ACTIONS

- Pursuant to RSA 14:30-a, VI, and contingent upon Fiscal Committee and Governor and Council approval of FIS 16-087, and contingent upon Governor and Council approval of a Memorandum of Understanding between Department of Health and Human Services and New Hampshire Employment Security at the August 24, 2016 meeting, authorize the New Hampshire Employment Security (NHES) to accept and expend \$477,930 in funds from the New Hampshire Department of Health and Human Services (NHDHHS) for the purpose of providing services under the Gateway To Work (Gateway) program beginning September 1, 2016 through February 28, 2017. 100% Agency Income (Federal Funds at origin). Funding to be budgeted as follows:

02-27-02-270010-80400000 EMPLOYMENT SECURITY		STATE FISCAL YEAR 2017		
Class/Object	Description	Current Appropriation	Requested Change	Revised Appropriation
010/500100	Personal Service-Permanent	12,667,820	50,498	12,718,318
011/501096	Personal Service-Unclassified	216,387	0	216,387
012/501097	Personal Service-Unclassified	96,149	0	96,149
013/501098	Personal Service-Unclassified	269,253	0	269,253
018/500106	Overtime	100,000	0	100,000
019/500105	Holiday Pay	999	0	999
020/500200	Current Expense	734,940	14,325	749,265
022/500248	Rents-Leases	485,840	3,900	489,740
023/500291	Utilities	550,676	9,358	560,034
024/500225	Maintenance Other Than B&G	159,500	14,076	173,576
026/500251	Organizational Dues	25,970	0	25,970
027/502799	Transfers To OIT	5,537,390	0	5,537,390
028/582814	Transfers To General Services	25,001	0	25,001
030/500311	Equipment New/Replacement	30,514	3,675	34,189
035/583514	Shared Services	31,996	0	31,996

037/500168	Technology-Hardware	2,500	0	2,500
038/509038	Technology-Software	1,011,528	0	1,011,528
039/500180	Telecommunications	432,535	7,941	440,476
040/500800	Indirect Costs	330,603	4,996	335,599
041/500801	Audit Fund Set Aside	25,000	0	25,000
042/500620	Additional Fringe Benefits	1,000,000	25,510	1,025,510
046/500464	Consultants	15,000	0	15,000
047/500240	Own Forces Maintenance	11,000	0	11,000
048/500226	Contractual Maintenance, B&G	231,500	2,339	233,839
049/584935	Transfers to Other Agencies	2,009,750	0	2,009,750
050/500109	Personal Service-Part Time	1,068,100	572	1,068,672
057/500535	Books, Periodicals	16,025	0	16,025
059/500117	Personal Service-Full Time Temp	1,608,484	192,463	1,800,947
060/500602	Benefits	8,161,135	124,489	8,285,624
061/500536	Unemployment Compensation	15,000	0	15,000
062/500538	Workers Compensation	100,000	0	100,000
066/500544	Employee training	10,000	0	10,000
070/500704	In-State Travel	116,911	10,867	127,778
080/500713	Out-Of State Travel	26,960	0	26,960
102/500731	Contracts for Program Services	125,000	0	125,000
103/500739	Contracts for Operations	227,300	12,921	240,221
229/500764	Sheriff Reimbursement	9,000	0	9,000
230/500765	Interpreter Services	15,000	0	15,000
	TOTALS	\$37,500,766	\$477,930	\$37,978,696

02-27-02-270010-80400000				
EMPLOYMENT SECURITY		STATE FISCAL YEAR 2017		
Class/Object	Description	Current Appropriation	Requested Change	Revised Appropriation
001/405303	Transfer from Other Agencies	258,056	0	258,056
001/484945	Transfer from Other Agencies	0	477,930	477,930
003/407549	Revolving Fund	11,938,523	0	11,938,523
007/406443	Agency Income	200,299	0	200,299
009/402338	Agency Income	587,051	0	587,051
000/404625	Federal Funds	24,516,837	0	24,516,837
	TOTALS	\$37,500,766	\$477,930	\$37,978,696

2. Pursuant to the provisions of RSA 124:15, authorize NHES to establish seven (7) full-time temporary, class 059 positions for the purpose of supporting the Gateway To Work program for period beginning September 1, 2016 through February 28, 2017. 100% Agency Income (Federal Funds at origin).

Full-Time Temporary Positions		
Classification:	Employment Counselor Specialist	Program Specialist I
Labor Grade:	19	19
Annual Salary Rate:	\$37,752	\$37,752
Position Count:	6	1

EXPLANATION

The above request is to accept and expend funds from the New Hampshire Department of Health and Human Services (NHDHHS) for the purpose of providing services under the Gateway To Work (Gateway) program.

The Gateway To Work (Gateway) program has been developed to address barriers to employment, such as low education levels, child care, transportation, and housing, to help individuals regain financial independence. Gateway is a collaborative work program among state agencies, including the Departments of Employment Security, Corrections, and Education, the Community College System and certain independent contractors providing case management services. The goal of the program is to prepare participants for long-term career opportunities and immediate engagement in employment. This approach supports the TANF program purpose of reducing the dependency of needy parents by promoting job preparation, work and marriage.

Gateway recognizes the importance of a two generational approach in programs designed to assist those parents involved in becoming self-sufficient. Families can achieve self-sufficiency by seeking and obtaining employment while working on money management skills. Parents are connected with childcare providers and begin to utilize early learning supports. Early learning supports encourage lifelong education that may have not been an option previously. Children who are connected to these services are more likely to achieve success in their own lives. Families eligible for Gateway include the following categories:

- Food Stamp (FS) recipient with at least one (1) dependent child under 18 years of age.
- New Hampshire Health Protection Program (NHPP) recipient with dependent child under 18 years of age.
- Any adult [under 200% of Federal Poverty Level (FPL)] with a dependent child under 18 years of age.
- FS recipient who is childless, under 24 years of age.
- NHHPP adult under the age of 24 who is childless.
- Any adult under the age of 24 (under 200% of FPL) who is childless.
- FS recipient between the ages of 24 to 64 who is childless (or child is 18 years of age or older).
- NHHPP adult between the ages of 24 to 64 who is childless.
- Any adult (under 200% of FPL) childless (or child is 18 years of age or older) and age 24 – 64.

NHES will act as the front door for the Gateway to Work program. To apply for the program, participants will contact NHES or report to the NHWorks American Job Center for an application packet. Designated staff will assist participants with questions, review applications for Gateway, and will issue an eligibility determination. All participants will be referred to an NHES case manager. Instructions will also be provided to assist participants to register in the Job Match System and to complete the vocational and job readiness assessments.

The case manager will be responsible to review the Job Match registration and assessment results with the participant. Based on the results, the case manager and participant will create an initial Career Plan. Participants that are found to be job ready and participants that are working with the Community College System for an apprenticeship will continue to work with the NHES case manager. Participants who are assessed as not job ready will be referred to the appropriate provider to receive the case management services necessary to assist them with the removal of barriers to self-sufficiency.

NHES is requesting authorization to accept and expend \$477,930 in funds from NHDHHS, and to budget the funds as follows:

Class 010 Personal Services, Permanent will be used to support a portion of existing full-time permanent staff involved in administering/overseeing the program.

Class 020 Current Expenses will be used to support the portion of operating costs associated with the positions involved in the program as well as costs associated with postage and bonds for the program.

Class 022 Rentals will be used to support the portion of operating costs associated with the positions involved in the program.

Class 023 Utilities will be used to support the portion of operating costs associated with the positions involved in the program.

Class 024 Maintenance, Other than Building & Grounds will be used to support the portion of operating costs associated with the positions involved in the program.

Class 030 Equipment will be used to support the portion of operating costs associated with the positions involved in the program.

Class 039 Telecommunications will be used to support the portion of operating costs associated with the positions involved in the program as well as cost associated with a toll-free line for the program.

Class 040 Indirect Costs will be used to cover indirect costs incurred under the program.

Class 042 Additional Fringe Benefits will be used to cover the program's share of additional fringe benefit costs.

Class 048 Maintenance, Building & Grounds will be used to support the portion of operating costs associated with the positions involved with the program.

Class 050 Personal Services – Part Time will be used to support a portion of one (1) position involved with the program.

Class 059 Personal Services – Full Time Temporary will be used to support a total of seven (7) positions responsible for providing direct services under the program.

Class 060 Benefits will be used to cover the benefits cost associated with the positions involved in the program.

Class 070 In-State Travel will be used to cover the travel-related costs associated with the positions involved with the program.

Class 103 Contracts for Operations will be used to support the portion of operating costs associated with the positions involved with the program.

The following information is provided in accordance with the Comptroller's instructional memorandum with respect to the acceptance of federal and other funds by which new positions are established:

1. List of personnel involved:

Classification	Position Count	Labor Grade
Employment Counselor Specialist	6	19
Program Specialist I	1	19

2. Nature, need, and duration:

The funds will be used for seven (7) full-time temporary positions and associated costs. The duration of these temporary positions is from September 1, 2016 through February 28, 2017.

3. Relationship to existing programs:

NHES funding for reemployment services consists of Wagner-Peyser funding (which has been static for many years), Reemployment Service and Eligibility Assessment funding, Trade Act funding and Jobs for Veterans State Grant funding (which can only be used for targeted populations receiving unemployment benefits). The funding for Gateway to Work will allow NHES to provide services to a population that is in need of reemployment services and does not fall within these categories. It will also assist with providing employers with job ready applicants to fill open positions.

4. Has a similar program been requested of the legislature and denied?

No.

5. Why wasn't funding included in NHES's budget request?

These funds were unanticipated at the time the SFY 2016/2017 biennium budget was established.

6. Can portions of these funds be utilized [for other purposes]?

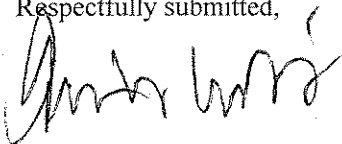
The amount of support required under the provisions of these funds will require all of the funds requested. These funds can only be used for the purpose of the Gateway To Work program.

7. Estimate the funds required to continue this position(s).

The seven (7) full-time temporary positions devoted to provide services under the Gateway To Work program will not be continued beyond the program need.

There are no General Funds required with this request. In the event that these funds become no longer available, General funds will not be requested to support these expenditures.

Respectfully submitted,



George N. Copadis
Commissioner

GNC/jdr
Attachments



**New Hampshire
Employment
Security**

www.nhes.nh.gov

"We're working to keep New Hampshire working"

FIS 16 099

ADMINISTRATIVE OFFICE

45 SOUTH FRUIT STREET
CONCORD, NH 03301-4857



GEORGE N. COPADIS, COMMISSIONER

RICHARD J. LAVERS, DEPUTY COMMISSIONER

June 1, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Executive Council
State House
Concord, NH 03301

REQUESTED ACTIONS

- Pursuant to RSA 14:30-a, VI, and contingent upon Fiscal Committee and Governor and Council approval of FIS 16-087, and contingent upon Governor and Council approval of a Memorandum of Understanding between Department of Health and Human Services and New Hampshire Employment Security at the June 29, 2016 meeting, authorize the New Hampshire Employment Security (NHES) to accept and expend \$477,930 in funds from the New Hampshire Department of Health and Human Services (NHDHHS) for the purpose of providing services under the Gateway To Work (Gateway) program through December 31, 2016. 100% Agency Income (Federal Funds at origin). Funding to be budgeted as follows:

02-27-02-270010-8040000 EMPLOYMENT SECURITY		STATE FISCAL YEAR 2017		
Class/Object	Description	Current Appropriation	Requested Change	Revised Appropriation
010/500100	Personal Service-Permanent	12,667,820	50,498	12,718,318
011/501096	Personal Service-Unclassified	216,387	0	216,387
012/501097	Personal Service-Unclassified	96,149	0	96,149
013/501098	Personal Service-Unclassified	269,253	0	269,253
018/500106	Overtime	100,000	0	100,000
019/500105	Holiday Pay	999	0	999
020/500200	Current Expense	734,940	14,325	749,265
022/500248	Rents-Leases	485,840	3,900	489,740
023/500291	Utilities	550,676	9,358	560,034
024/500225	Maintenance Other Than B&G	159,500	14,076	173,576
026/500251	Organizational Dues	25,970	0	25,970
027/502799	Transfers To OIT	5,537,390	0	5,537,390
028/582814	Transfers To General Services	25,001	0	25,001
030/500311	Equipment New/Replacement	30,514	3,675	34,189
035/583514	Shared Services	31,996	0	31,996
037/500168	Technology-Hardware	2,500	0	2,500
038/509038	Technology-Software	1,011,528	0	1,011,528

NHES is a proud member of America's Workforce Network and NH Works. NHES is an Equal Opportunity Employer and complies with the Americans with Disabilities Act. Auxiliary aids and services are available upon request of individuals with disabilities

Telephone (603) 224-3311 Fax (603) 228-4145 TDD/ TTY Access: Relay NH 1-800-735-2964 Web site: www.nhes.nh.gov

039/500180	Telecommunications	432,535	7,941	440,476
040/500800	Indirect Costs	330,603	4,996	335,599
041/500801	Audit Fund Set Aside	25,000	0	25,000
042/500620	Additional Fringe Benefits	1,000,000	25,510	1,025,510
046/500464	Consultants	15,000	0	15,000
047/500240	Own Forces Maintenance	11,000	0	11,000
048/500226	Contractual Maintenance, B&G	231,500	2,339	233,839
049/584935	Transfers to Other Agencies	2,009,750	0	2,009,750
050/500109	Personal Service-Part Time	1,068,100	572	1,068,672
057/500535	Books, Periodicals	16,025	0	16,025
059/500117	Personal Service-Full Time Temp	1,608,484	192,463	1,800,947
060/500602	Benefits	8,161,135	124,489	8,285,624
061/500536	Unemployment Compensation	15,000	0	15,000
062/500538	Workers Compensation	100,000	0	100,000
066/500544	Employee training	10,000	0	10,000
070/500704	In-State Travel	116,911	10,867	127,778
080/500713	Out-Of State Travel	26,960	0	26,960
102/500731	Contracts for Program Services	125,000	0	125,000
103/500739	Contracts for Operations	227,300	12,921	240,221
229/500764	Sheriff Reimbursement	9,000	0	9,000
230/500765	Interpreter Services	15,000	0	15,000
	TOTALS	\$37,500,766	\$477,930	\$37,978,696

02-27-02-270010-8040000				
EMPLOYMENT SECURITY		STATE FISCAL YEAR 2017		
Class/Object	Description	Current Appropriation	Requested Change	Revised Appropriation
001/405303	Transfer from Other Agencies	258,056	0	258,056
001/484945	Transfer from Other Agencies	0	477,930	477,930
003/407549	Revolving Fund	11,938,523	0	11,938,523
007/406443	Agency Income	200,299	0	200,299
009/402338	Agency Income	587,051	0	587,051
000/404625	Federal Funds	24,516,837	0	24,516,837
	TOTALS	\$37,500,766	\$477,930	\$37,978,696

2. Pursuant to the provisions of RSA 124:15, authorize NHES to establish seven (7) full-time temporary, class 059 positions for the purpose of supporting the Gateway To Work program for period beginning July 1, 2016 through December 31, 2016. 100% Agency Income (Federal Funds at origin).

Full-Time Temporary Positions		
Classification:	Employment Counselor Specialist	Program Specialist I
Labor Grade:	19	19

Annual Salary Rate:	\$37,752	\$37,752
Position Count:	6	1

EXPLANATION

The above request is to accept and expend funds from the New Hampshire Department of Health and Human Services (NHDHHS) for the purpose of providing services under the Gateway To Work (Gateway) program.

The Gateway To Work (Gateway) program has been developed to address barriers to employment, such as low education levels, child care, transportation, and housing, to help individuals regain financial independence. Gateway is a collaborative work program among state agencies, including the Departments of Employment Security, Corrections, and Education, the Community College System and certain independent contractors providing case management services. The goal of the program is to prepare participants for long-term career opportunities and immediate engagement in employment. This approach supports the TANF program purpose of reducing the dependency of needy parents by promoting job preparation, work and marriage.

Gateway recognizes the importance of a two generational approach in programs designed to assist those parents involved in becoming self-sufficient. Families can achieve self-sufficiency by seeking and obtaining employment while working on money management skills. Parents are connected with childcare providers and begin to utilize early learning supports. Early learning supports encourage lifelong education that may have not been an option previously. Children who are connected to these services are more likely to achieve success in their own lives. Families eligible for Gateway include the following categories:

- Food Stamp (FS) recipient with at least one (1) dependent child under 18 years of age.
- New Hampshire Health Protection Program (NHPP) recipient with dependent child under 18 years of age.
- Any adult [under 200% of Federal Poverty Level (FPL)] with a dependent child under 18 years of age.
- FS recipient who is childless, under 24 years of age.
- NHPP adult under the age of 24 who is childless.
- Any adult under the age of 24 (under 200% of FPL) who is childless.
- FS recipient between the ages of 24 to 64 who is childless (or child is 18 years of age or older).
- NHPP adult between the ages of 24 to 64 who is childless.
- Any adult (under 200% of FPL) childless (or child is 18 years of age or older) and age 24 – 64.

NHES will act as the front door for the Gateway to Work program. To apply for the program, participants will contact NHES or report to the NHWorks American Job Center for an application packet. Designated staff will assist participants with questions, review applications for Gateway, and will issue an eligibility determination. All participants will be referred to an NHES case manager. Instructions will also be provided to assist participants to register in the Job Match System and to complete the vocational and job readiness assessments.

The case manager will be responsible to review the Job Match registration and assessment results with the participant. Based on the results, the case manager and participant will create an initial Career Plan. Participants that are found to be job ready and participants that are working with the Community College System for an apprenticeship will continue to work with the NHES case manager. Participants who are assessed as not job ready will be referred to the appropriate provider to receive the case management services necessary to assist them with the removal of barriers to self-sufficiency.

NHES is requesting authorization to accept and expend \$477,930 in funds from NHDHHS, and to budget the funds as follows:

Class 010 Personal Services, Permanent will be used to support a portion of existing full-time permanent staff involved in administering/overseeing the program.

Class 020 Current Expenses will be used to support the portion of operating costs associated with the positions involved in the program as well as costs associated with postage and bonds for the program.

Class 022 Rentals will be used to support the portion of operating costs associated with the positions involved in the program.

Class 023 Utilities will be used to support the portion of operating costs associated with the positions involved in the program.

Class 024 Maintenance, Other than Building & Grounds will be used to support the portion of operating costs associated with the positions involved in the program.

Class 030 Equipment will be used to support the portion of operating costs associated with the positions involved in the program.

Class 039 Telecommunications will be used to support the portion of operating costs associated with the positions involved in the program as well as cost associated with a toll-free line for the program.

Class 040 Indirect Costs will be used to cover indirect costs incurred under the program.

Class 042 Additional Fringe Benefits will be used to cover the program's share of additional fringe benefit costs.

Class 048 Maintenance, Building & Grounds will be used to support the portion of operating costs associated with the positions involved with the program.

Class 050 Personal Services – Part Time will be used to support a portion of one (1) position involved with the program.

Class 059 Personal Services – Full Time Temporary will be used to support a total of seven (7) positions responsible for providing direct services under the program.

Class 060 Benefits will be used to cover the benefits cost associated with the positions involved in the program.

Class 070 In-State Travel will be used to cover the travel-related costs associated with the positions involved with the program.

Class 103 Contracts for Operations will be used to support the portion of operating costs associated with the positions involved with the program.

The following information is provided in accordance with the Comptroller's instructional memorandum with respect to the acceptance of federal and other funds by which new positions are established:

1. List of personnel involved:

Classification	Position Count	Labor Grade
Employment Counselor Specialist	6	19
Program Specialist I	1	19

2. Nature, need, and duration:

The funds will be used for seven (7) full-time temporary positions and associated costs. The duration of these temporary positions is from July 1, 2016 through December 31, 2016.

3. Relationship to existing programs:

NHES funding for reemployment services consists of Wagner-Peyser funding (which has been static for many years), Reemployment Service and Eligibility Assessment funding, Trade Act funding and Jobs for Veterans State Grant funding (which can only be used for targeted populations receiving unemployment benefits). The funding for Gateway to Work will allow NHES to provide services to a population that is in need of reemployment services and does not fall within these categories. It will also assist with providing employers with job ready applicants to fill open positions.

4. Has a similar program been requested of the legislature and denied?

No.

5. Why wasn't funding included in NHES's budget request?

These funds were unanticipated at the time the SFY 2016/2017 biennium budget was established.

6. Can portions of these funds be utilized [for other purposes]?

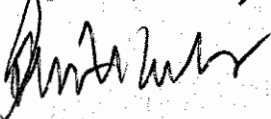
The amount of support required under the provisions of these funds will require all of the funds requested. These funds can only be used for the purpose of the Gateway To Work program.

7. Estimate the funds required to continue this position(s).

The seven (7) full-time temporary positions devoted to provide services under the Gateway To Work program will not be continued beyond the program need.

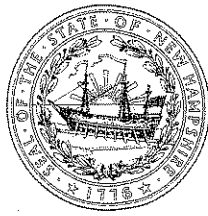
There are no General Funds required with this request. In the event that these funds become no longer available, General funds will not be requested to support these expenditures.

Respectfully submitted,



George N. Copadis
Commissioner

GNC/jdr
Attachments



FIS 16 112

MICHAEL W. KANE, MPA
Legislative Budget Assistant
(603) 271-3161

CHRISTOPHER M. SHEA, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

July 5, 2016

Fiscal Committee of the General Court
The Honorable Neal M. Kurk, Chairman
State House
Concord, NH 03301

Dear Representative Kurk and Members of the Committee,

RSA 14:30-a, III requires the Fiscal Committee to consider recommendations proposed to it by the Legislative Performance Audit and Oversight Committee.

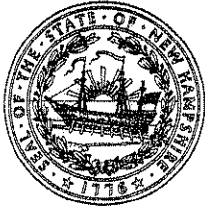
At its June 20, 2016 meeting, the Legislative Performance Audit and Oversight Committee voted to recommend one new performance audit topic for the Office of Legislative Budget Assistant, Audit Division. This new topics is:

1. Community College System of New Hampshire, Efficient and Effective Operations.

I respectfully request the Fiscal Committee's approval of this topic at its August 5, 2016 meeting.

Sincerely,

Senator John Reagan, Chairman *RC*
Joint Legislative Performance Audit
and Oversight Committee



STATE OF NEW HAMPSHIRE
 DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
 DIVISION OF PARKS AND RECREATION
Bureau of Historic Sites

172 Pembroke Road Concord, New Hampshire 03301
 Phone: 603-271-3556 www.nhstateparks.org

July 7, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 and
 Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Executive Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Parks and Recreation, Bureau of Historic Sites (Department) to **RETROACTIVELY** budget and expend funds from a Settlement Agreement between Groton Wind, LLC and Counsel to the Public, approved by the New Hampshire Site Evaluation Committee in Docket No. 2010-01 the amount of \$150,000 upon Fiscal Committee and Governor and Executive Council approval for the period of July 1, 2016 through June 30, 2017. The original request to accept and expend these funds was approved by the Fiscal Committee on March 18, 2016, FIS #16-042 and the Governor and Executive Council on March 23, 2016, Item #41. **100% Agency Income**

Funding to be budgeted as follows:

03-35-35-351510-52040000 Groton Wind Settlement Fund		2017 Requested Budget
009-407079	Agency Income	(\$150,000)
047-500240	Own Forces Maintenance – B&G	\$ 75,000
103-502664	Contracts for Operational Services	75,000
<i>Total:</i>		\$ 150,000

EXPLANATION

In accordance with the Settlement Agreement between Groton Wind, LLC and Counsel to the Public, approved by the New Hampshire Site Evaluation Committee (SEC) in Docket No. 2010-01, the New Hampshire Department of Justice (NHDOJ) accepted payment on behalf of the State in the amount of \$160,000. A portion of the funding (\$10,000) was retained by the NHDOJ to defray its expenses associated with SEC Docket No. 2010-01. The remaining funds (\$150,000) were provided to the Bureau of Historic Sites to support improvements at Livermore Falls. In March 2016, the Fiscal Committee and the Governor and Executive Council approved a budget for expenditure of these funds through June 30, 2016. However, due to development planning and approvals for the Livermore Falls' site delaying completion of the intended improvements, the Department now requests a budget retroactive from July 1, 2016 through June 30, 2017.

The following appropriations are being requested for Fiscal Year 2017:

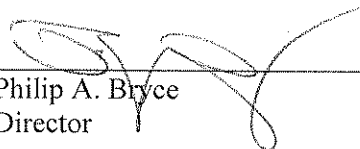
Class 047 Own Forces Maintenance B&G - \$75,000, amount budgeted to cover supplies and repair materials for completing projects by the Department's own forces; and


Class 103 Contracts for Operational Services - \$75,000, amount budgeted to cover contracts for interpretive signs, infrastructure repairs, safety access, and parking area improvements.

Respectfully submitted,

Concurred,

(JRR)


Philip A. Bryce
Director


Jeffrey J. Rose
Commissioner

State of New Hampshire
Department of Resources and Economic Development
Division of Economic Development

FISCAL SITUATION

03-35-35-351510-52040000 Groton Wind Settlement Fund

Fiscal Year 2017 Appropriation Request

\$150,000

Total Request: \$150,000



approved - 10-092 011716
b+c Item #41 3/23/16

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
Bureau of Historic Sites

172 Pembroke Road Concord, New Hampshire 03301
Phone: 603-271-3556 www.nhstateparks.org

February 24, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Parks and Recreation, Bureau of Historic Sites to accept and expend funds from a Settlement Agreement between Groton Wind, LLC and Counsel to the Public, approved by the New Hampshire Site Evaluation Committee in Docket No. 2010-01 the amount of \$150,000 upon Fiscal Committee and Governor and Executive Council approval through June 30, 2016. **100% Agency Income**

Funding to be budgeted as follows:

03-35-35-351510-52040000 Groton Wind Settlement Fund		2016 Requested Budget
009-407079	Agency Income	(\$150,000)
103-502664	Contracts for Operational Services	\$150,000
<i>Total:</i>		\$150,000

EXPLANATION

In accordance with the Settlement Agreement between Groton Wind, LLC and Counsel to the Public, approved by the New Hampshire Site Evaluation Committee (SEC) in Docket No. 2010-01, the New Hampshire Department of Justice (NHDOJ) accepted payment on behalf of the State in the amount of \$160,000. A portion of the funding (\$10,000) is to be retained by the NHDOJ to defray its expenses associated with SEC Docket No. 2010-01. The remaining funds (\$150,000) are to be provided to the Bureau of Historic Sites to support improvements at Livermore Falls.

The following appropriation is being requested for Fiscal Year 2016:

Class 103 Contracts for Operational Services - \$150,000, amount budgeted to cover contracts for interpretive signs, infrastructure repairs, safety access, and parking area improvements.

Concurred,

Jeffrey J. Rose

Jeffrey J. Rose
Commissioner

State of New Hampshire
Department of Resources and Economic Development
Division of Economic Development

FISCAL SITUATION

03-35-35-351510-52040000 Groton Wind Settlement Fund

Fiscal Year 2016 Appropriation Request

\$150,000

Total Request: \$150,000

SETTLEMENT AGREEMENT

This Settlement Agreement ("Agreement") is made as of this 9th day of July, 2014, ("Effective Date"), by and between, Groton Wind, LLC, an Oregon limited liability company ("Groton Wind") and Peter C.L. Roth, in his capacity as Counsel to the Public ("Counsel for the Public") in New Hampshire Site Evaluation Committee ("SEC") Docket No. 2010-01. Groton Wind and Counsel for the Public are sometimes referred to herein individually as a "Party" and collectively as the "Parties."

RECITALS

- A. Groton Wind is the owner of an electric generating wind power project ("Wind Project") in Groton, New Hampshire.
- B. Counsel for the Public is a party to SEC Docket No. 2010-01 and has asserted, among other things, that: Groton Wind constructed its Wind Project not in accordance with its SEC certificate in violation of RSA 162-H:5, I; the SEC should order Groton Wind to terminate the violation and move the Wind Project's operations and maintenance ("O&M") building to its originally certificated location; and Groton Wind's Environmental, Health and Safety Plan (filed October 11, 2013) is deficient (the "Enforcement Claims").
- C. Groton Wind and Counsel for the Public recognize that claims and concerns of certain owners of property in close proximity to the O&M building (i.e. Mario Rampino, Mary Ann Peabody; and Greg Saulnier) have been addressed and settled as to these property owners.
- D. Groton Wind and Counsel for the Public recognize that Groton Wind and the Office of the State Fire Marshal have executed a Compliance Agreement to satisfy the State Fire Marshal's issues pending in SEC Docket No. 2010-01, (the "Compliance Agreement"), and have substantially performed the responsibilities required thereby, and that Groton Wind has filed, and the State Fire Marshal has accepted, the Groton Wind Plant "Emergency Plan", filed with the SEC on June 20, 2014 (the "Emergency Plan").
- E. Groton Wind and Counsel for the Public are entering into this Agreement to compromise, settle and resolve Counsel for the Public's Enforcement Claims presently pending before the SEC, for Counsel for the Public to permanently withdraw said claims, and for Groton Wind to make a payment in the amount of \$160,000 for the public benefit (the "Payment") and to take additional steps described below in paragraph 2.

AGREEMENT

NOW THEREFORE in consideration of the promises, terms and conditions set forth herein the Parties mutually agree as follows:

1. Withdrawal of Enforcement Claims. Within five (5) days after full execution of this Agreement, Counsel for the Public shall: (a) cause all of his claims, protests and objections currently pending before the SEC against Groton Wind and/or the Wind Project related to the Enforcement Claims to be withdrawn by filing a ~~notice~~ notice of withdrawal with the SEC. Such withdrawal shall become permanent upon receipt by Counsel for the Public of the Payment. Notwithstanding the foregoing, Counsel for the Public reserves the right to participate, in accordance with his powers under RSA 162-H:9, in an adjudicative proceeding with respect to Groton Wind's requests to amend its certificate of site and facility, but agrees that he will not demand that the Wind Project's O&M building be removed or relocated, nor will he seek or assist others with seeking a determination that Groton Wind has violated the terms of its certificate of site and facility, or any provision of law.
2. Payments and Actions by Groton Wind. Groton Wind shall make the Payment to the New Hampshire Department of Justice ("NHDOJ") on or before May 1, 2015. Up to \$10,000 of said Payment funds may be retained by NHDOJ to defray its expenses associated with SEC Docket No. 2010-01; these funds shall be used at the discretion of the Attorney General. The remaining funds shall be used by NHDOJ for the public purpose of supporting the "Livermore Falls Project" in Grafton County by the New Hampshire Department of Resources and Economic Development for park management and park infrastructure at Livermore Falls. Groton Wind shall also continue to comply with all of the terms and conditions of the Compliance Agreement and shall maintain in effect an emergency plan satisfactory to the State Fire Marshal, substantially similar to the Emergency Plan.
3. Release. Upon the delivery of the Payment by Groton Wind to Counsel for the Public, Counsel for the Public, on his own behalf, and on behalf of his representatives, employees, contractors, attorneys, agents, successors, and assigns, and any other person or entity claiming by or through any of them, will release, acquit and discharge Groton Wind from the Enforcement Claims. This release expresses a full and complete settlement of the Enforcement Claims, regardless of the adequacy of the above consideration.
4. Warranties and Representations. In addition to the representations and warranties contained elsewhere in this Agreement, the Parties warrant and represent to each other as follows:
 - a. By signing below, each signatory represents and warrants that he/she is authorized to sign this Agreement on the Party's behalf and to thereby bind such Party to the terms of the Agreement.
 - b. Each Party represents and warrants to all other Parties that neither the execution of this Agreement nor the performance of any obligations

hereunder will violate, or constitute a default under or breach of, any other agreement to which any Party is bound.

5. Settlement and Compromise. It is understood and agreed that this Agreement is a settlement and compromise of disputed claims described herein. The Parties agree to the following Iberdrola Renewables statement:

Iberdrola Renewables is a company that prides itself on transparency and clear communication. Iberdrola Renewables acknowledges that certain communications and decisions surrounding its construction of the operations and maintenance building of the Groton Wind Project did not meet the expectations of state officials and some members of the local community and was not explicitly authorized by the certificate. While we believe that we acted appropriately, we regret that this situation has resulted in misunderstandings and a lack of trust with those impacted. Iberdrola Renewables is working diligently to reestablish its reputation as a good corporate citizen within the State of New Hampshire, as it does at all of its nearly 60 renewable projects throughout the United States and has taken a number of important steps to rectify the situation with respect to the operations and maintenance facility.

Iberdrola Renewables also acknowledges that a lack of close coordination with the State Fire Marshal's office ahead of construction has led to further misunderstanding and a lack of trust when it comes to fire safety issues. Safety is the number one concern for Iberdrola Renewables, both for the communities it serves and its own employees and contractors. With all of this in mind, we have reached an agreement with the State Fire Marshall's office on an action plan which addresses all concerns.

6. SEC Approval. The Parties agree that within 10 days of its full execution, they will develop a Joint Motion for Approval of Settlement Agreement and provide the Motion and Settlement Agreement to the parties in SEC Docket No. 2010-01 for concurrence, at which time the terms of this Agreement shall be public. The Parties agree to cooperate in advocating that this Agreement be approved by the SEC in its entirety and without modification. This Agreement is expressly conditioned upon the SEC's acceptance and approval of all of its provisions without change or condition. If the SEC does not accept this Agreement in its entirety in full satisfaction of all of the pending claims against Groton Wind and/or the Wind Project, or if the SEC makes changes to this Agreement, or issues conditions or findings that go beyond the scope of this Agreement, the Settling Parties shall negotiate reasonable modifications to this Agreement if necessary and possible. Such modifications shall take into account the SEC's determinations, and to the extent possible, maintain the original language and intent of this Agreement.
7. Entire Agreement. This Agreement sets forth the entirety of the agreement between the Parties hereto and supersedes all prior negotiations, agreements, representations, and understandings between the Parties with respect to the subject matter hereof, and any reliance upon any prior negotiations, agreements, representations, and understandings between the Parties is expressly waived and disclaimed.

8. Confidentiality of Settlement Negotiations. This Agreement is the product of confidential settlement negotiations. The content of these negotiations, including any documents prepared during such negotiations for the purpose of reaching settlement, shall be privileged and all offers of settlement shall be confidential and without prejudice to the position of any party presenting such offer.
9. Counterparts. This Agreement may be signed in counterparts and facsimile signatures will be accepted in lieu of original signatures.
10. Successors. The terms of this Agreement shall be binding upon the heirs, representatives, successors and assigns of each Party hereto.
11. Governing Law. This Agreement shall be governed by, and construed in accordance with, the laws of the State of New Hampshire.
12. Negotiated Agreement. This Agreement shall not be construed as if it were prepared by one of the Parties, and shall be deemed to have been negotiated by and between the Parties, who are separately represented by counsel. All provisions of this Agreement have been negotiated at arm's length, and this Agreement shall not be construed for or against any Party by reason of the authorship or alleged authorship of any provision hereof.
13. Survival. All representations and warranties set forth in, and all provisions of, this Agreement shall survive the consummation of the transactions contemplated by this Agreement, and the delivery of any instruments required to be delivered hereunder and be fully enforceable thereafter.
14. Performance of Additional Acts. Each Party agrees that it shall execute and deliver any and all documents and perform any and all acts required on its part or those which may be reasonably necessary to effectuate and complete and facilitate the provisions of this Agreement.
15. Modification of Agreement. Any modification of this Agreement shall be in writing and signed by the Parties.
16. Breach and Remedies. Each Party shall retain its rights to pursue legal and/or equitable actions to remedy breach(es) of this Agreement by the other Party.
17. Third Party Beneficiaries. The Parties hereto do not intend to benefit any third parties and this Agreement shall not be construed to confer any such benefit.

IN WITNESS WHEREOF, the Parties have executed this Agreement as of the Effective Date.

Saura Maynard
Witness

Peter C.L. Roth
Peter C.L. Roth
Counsel for the Public

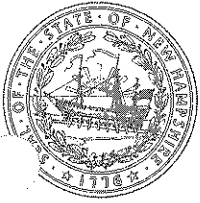
Van Agnew
Witness

GROTON WIND, LLC,
an Oregon Limited Liability
Company

By: [Signature] **LEGAL**
Name: Kevin Devlin
Authorized Representative
Its: _____

Van Agnew
Witness

By: [Signature]
Name: Scott Jacobson
Authorized Representative
Its: _____



State of New Hampshire

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

FIS 16 123

VICKI V. QUIRAM
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

July 14, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

(1) Pursuant to RSA 14:30-a, VI and RSA 21-I:30-c, authorization is requested by the Department of Administrative Services (DAS) for the release of Active Health Benefit Reserve Funds in the amount of \$379,000, retroactive to April 1, 2016, to support Active Dental (\$341,000) and Active Trooper (\$38,000) plan expenditures, effective upon Fiscal Committee and Governor and Executive Council approval.

(2) Pursuant to RSA 14:30-a, VI and RSA 21-I:30-c, authorization is further requested by the Department of Administrative Services (DAS) to reduce the Active Trooper Health Benefit reserve from 100% of estimated annual claims and administrative expenses to 90% of estimated annual claims and administrative expenses thereby releasing \$384,400 (including the \$38,000 noted in Requested Item #1) to be made available for fluctuations in plan costs that occur on a monthly basis, effective upon Fiscal Committee and Governor and Executive Council approval.

EXPLANATION

Background: Employee and Retiree Benefit Risk Management Fund and Reserves

RSA 21-I:30-e establishes the Employee and Retiree Benefit Risk Management Fund and this fund is nonlapsing and continually appropriated. RSA 21-I:30-e further requires the active and retiree health benefits to be accounted for separately such that the funds accumulated for Active employee health benefits are accounted for in the fund and used solely to pay for Active health care expenses and administrative costs. Similarly, DAS is required to account for accumulated Retiree health benefit funds separately and to use those funds solely for the purposes of paying Retiree health care expenses and administrative costs.

RSA 21-I:30-b requires the State's self-insured Health Benefit Plan to maintain a reserve equal to a minimum of 3% of estimated annual claims and administrative costs of the health plan for the active employee and retiree benefit risk management fund. While the statutory construct requires the reserve to be maintained at a retiree and active level, DAS further breaks out the accounting in the Active account into three major categories: Actives, Troopers and Dental. This separate accounting within the Active account is driven in part by the different working rates, or premium equivalents, that are deposited into the account for Active employees, Troopers and Dental health benefits. In addition, the breakout of the Active accounting also allows DAS to

manage and maintain the different fund mix for the Actives, Troopers and Dental accounts. Finally, the separate accounting for Actives, Troopers and Dental benefits, also means that DAS maintains separate accounting for the reserve funds for each of these groups.

In general, DAS establishes the reserve amounts for a Fiscal Year in September after finalizing the financials for the prior Fiscal Year. In September 2016, the reserve amounts were maintained at 5% for Retirees, Actives and Dental and 100% for Troopers. After the budget passed in late September 2016, the minimum reserve amount required was reduced from 5% to 3% (Chapter 276:164, Laws of 2016).

In March 2016, DAS reduced the total Active Reserve, containing the Active, Trooper and Dental reserves, by \$5.1 million total funds to comply with the Surplus Statement associated with the FY 16/17 budget and deposited \$1.8 million into the General Fund, \$652,000 into the Highway Fund and \$53,900 into the Fish and Game Fund. See FIS 16-085, Informational Item. DAS did not reduce the Retiree reserve at that time because it was fiscally prudent to maintain those reserves at the 5% level based on recent experience with the volatility of prescription drug costs and the known deficit in the Retiree Health Benefits account for the biennium FY16/17.

After complying with the Surplus Statement, DAS used available surplus funds in the Active and Trooper accounts to return reserve levels to 5% and 100% respectively of projected annual claims and administrative expenses for those two groups. See FIS 16-033, Informational Item. DAS determined at that time that a 3% reserve was sufficient for the Dental account since the dental benefit provided to Active and Trooper plan participants is capped on an annual basis.

In the aggregate, the Active reserves consisting of the Active, Trooper, and Dental reserves, equals \$13,355,000, or approximately 7% of the total Active (Active, Trooper and Dental) projected FY16 claims and administrative expenses. Thus, the 7% total Active reserve exceeds the minimum reserve amount of 3% that DAS is required to maintain pursuant to RSA 21-I:30-b, I(a).

Administering a Health Benefit Plan

In any given month, the Health Benefit Plan brings in revenue from the working rates paid by agencies and others authorized to participate in the plan and pays medical, prescription drug and dental claims expenses. Revenues can vary from month to month based on headcount and the number of pay periods in given a month. Claims expenses also vary from month to month depending on the health and dental care needs of plan participants. Therefore, on a monthly basis, revenues and claims expenses can increase or decrease the surplus on hand and the separate accounts may dip into a reserve to pay for regular monthly program expenses should those expenses prove to be high and if there are insufficient surplus funds, or operating capital, on hand.

The third party administrators for the medical, prescription drug and dental benefits pay the health benefit expenses up front and then invoice DAS for claims and administrative expenses. In general, the contracts with these vendors require DAS to pay the invoices within five or seven days. Under these circumstances, the Risk Management Unit places a priority on processing and paying vendor invoices.

The Trooper and Dental Accounts

In FY 16, the DAS dipped into the Trooper reserve account in the approximate amount of \$38,000 and into the Dental reserve account in the amount of approximate amount of \$341,000 in order to pay normal claims and administrative expenses. This was because in the past two years, the Health Benefit Program account surpluses have been exhausted to satisfy a Dental surplus rate holiday, a Collective Bargaining surplus payout, and the statutory reserve reduction as required by the FY16 Surplus Statement requirements. A summary of these reductions is found in the following chart.

Active Health Benefit Funds Returned to Agencies and Employees FY15 & FY16					
	Actives	Troopers	Dental	Total	Total General Funds
FY15 CBA Payout	\$7,300,000	\$300,000	\$0	\$7,600,000	\$0
FY15 Dental Holiday	\$0	\$0	\$1,400,000	\$1,400,000	\$423,000
FY16 Statutory Reserve Reduction	\$4,800,000	\$100,000	\$200,000	\$5,100,000	\$1,800,000
Totals	\$12,100,000	\$400,000	\$1,600,000	\$14,100,000	\$2,223,000

In retrospect, the health benefit plan has never been run on as thin margins as it has in the last year. In FY 15, the Collective Bargaining Agreements required the payout of \$7.3 million in surplus funds to Active employees and the payout of \$300,000 in surplus funds to Trooper employees. In FY 15, in compliance with FY 2014 audit of the Internal Service Fund (Fund 60), DAS conducted a Dental working rate holiday to reduce the surplus funds in the Dental account in the total amount of \$1.4 million, returning \$423,000 to the General Fund. In FY 16, as discussed above, DAS reduced the statutory reserves in the Active, Trooper and Dental accounts in the total amount of \$5.1 million and then used available surplus funds to restore reserve levels for Actives and Troopers to 5% and 100% respectively. These three actions in combination reduced operating surpluses, particularly in the Trooper and Dental accounts, to extremely low levels. Typically, DAS administers the health benefit plan with some level of surplus in the various accounts and these surpluses afford DAS the flexibility to administer the plan and pay TPA invoices without having to consider using reserve funds. The detail for the Trooper and Dental accounts follows.

A. The Trooper Account

In FY 15, the Trooper account surplus was paid out to NHTA union members as part of the FY14/15 NHTA Collective Bargaining Agreement. Thereafter, the Trooper account status hovered around a zero surplus balance from month to month. In the fall of 2015, the FY16 budget passed and DAS planned to implement an employee and employer health and dental holiday to satisfy the statutory reserve reduction and to comply with the surplus statement amounts. The reserve reduction was applied to Actives, Troopers, and Dental accounts.

During the winter of 2016, the Trooper account had a surplus of approximately \$115,000. After the reserve holiday and restoring the Trooper reserve to 100% of projected annual claims and administrative expenses, the surplus in April 2016 was approximately \$28,000. In May and June 2016, the Trooper account experienced higher than average claims expenses. In May 2016, the prescription drug claims expense was \$32,000 more than on average; in June 2016, the medical claims expense was approximately \$45,000 more than on average. As of June 30, 2016, without a sizeable surplus or operating cash on hand to pay these higher than average claims expenses, the trooper account has used approximately \$38,000 of its reserve fund.

B. The Dental Account

The Dental surplus had grown slowly since Calendar Year 2012 and was approximately \$1.5 million as of April 30, 2015. In May 2015, in an effort to minimize surplus on hand and satisfy the recent FY14 LBA Audit finding about surplus management, DAS implemented a Dental Working Rate Holiday for employees and employers. The holiday spent down approximately \$1.4 million of the \$1.5 million Dental surplus and returned approximately \$1.2 million in FY15 to state agencies, of which about \$423,000 were general funds. Thereafter, similar to the Trooper account, the FY 16 reserve reduction was applied to the Dental reserve. When DAS applied the FY 16 reserve reduction to the Dental account, there was a surplus of approximately \$140,000 in the account. Following this reserve reduction, DAS maintained the Dental reserve at 3% of annual projected claims and administrative expenses.

In general, Dental benefits trends are less volatile compared to health and prescription drug cost trends and the risk associated with the Dental account is minimal because there is a cap on the annual employee dental benefit. However, while DAS was planning and implementing the reserve reduction, a concurrent change in the dental plan annual benefit limits began affecting the rate of spending in the dental account.

Pursuant to the Collective Bargaining Agreements, effective January 1, 2016, the annual cap on dental benefits increased from \$1,500 to \$2,000. This increase was funded by increases in employee premium contributions. However, with a capped annual benefit, it is not uncommon for plan members to access services and to exhaust the plan year limit on benefits early in the year. In terms of plan year expenses, this often has the effect of front-loading plan year costs that taper as the plan year progresses. With the convergence of the Dental holiday, reserve reduction and increased annual Dental benefit amount, the front loading of claims expenses has been drawn out into the light. DAS expects monthly dental revenues to exceed expenses as we continue through the calendar year, allowing the replenishment of the Dental reserve.

Retroactive Request

It is only since DAS requested and received the Department of Justice opinion on use of the reserves to pay for the retiree health long term study and to pay for any projected deficit in the retiree health account (See companion item, Requested Action on Retiree Health) that DAS realized that while it is a proper use of reserve funds to pay for unexpected shortfalls or losses, it should seek Fiscal Committee and Governor and Executive Council approval to spend funds from the reserves.

This request is retroactive for two reasons. As stated above, DAS only recently learned about the nuance between the proper use of reserve funds and the required Fiscal Committee approval mechanism to use reserve funds to pay the bills. Moreover, in the course of running a health care business, DAS placed appropriate importance and priority on complying with the payment terms of its contracts with the health benefit plan's third party administrators. For this reason, DAS is requesting the release of \$379,000 from the Active reserves account, \$38,000 from the Trooper reserves and \$341,000 from the Dental reserves, retroactive to April 1, 2016, the point in time prior to reserves falling below the established levels for these active sub-groups.

DAS further requests the Fiscal Committee and Governor and Executive Council approve the reduction in the Trooper reserve from 100% of estimated annual claims and administrative expenses to 90% of estimated annual claims and administrative expenses, or in the amount of \$384,400. This \$384,400 reduction in the reserve will leave the Trooper account with a surplus in the amount of \$346,400. As stated above, the maintenance of a reasonable surplus allows DAS the flexibility to pay its TPA invoices given the unpredictability of monthly revenues and claims expenses.

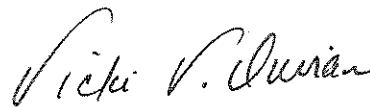
In addition, DAS will be using \$541,000 of the \$4.695 million surplus in Active account to address the cash flow issues in the Dental account. DAS will use \$341,000 of the \$541,000 to restore the Active Dental reserve to 3% of estimated annual claims and administrative expenses. This will leave a \$200,000 surplus in the Dental account for payment of monthly dental invoices. As we progress through the plan year, monthly Dental revenues will begin to exceed monthly Dental claims expenses and DAS will be able to fully restore the \$541,000 to the Active account. DAS will account for this \$541,000 separately until such time as the \$541,000 is fully restored by the Dental account to the Active account, and DAS will report to the Fiscal Committee in its regular bi-monthly Informational Item about this accounting.

The below chart demonstrates updated reserve and surplus amounts based on the reduction of the Trooper reserve to 90% of estimated annual claims and administrative expenses and the use of the \$541,000 in Active surplus to support the Dental account.

Current Reserve Detail				
	Actives	Trooper	Dental	Total
Total FY16 Projected Expenditures	\$183,170,000	\$3,844,000	\$11,100,000	\$198,114,000
Current Statutory Reserve Level	\$9,159,000	\$3,844,000	\$352,000	\$13,355,000
<i>Percentage</i>	5%	100%	3%	7%
Amount of Reserve Spent as of 6/30/2016	\$0	(\$38,000)	(\$341,000)	(\$379,000)
Reserve Balances as of 6/30/16	\$9,159,000	\$3,806,000	\$11,000	\$12,976,000
Surplus Balances as of 6/30/16	\$4,695,000	\$0	\$0	\$4,695,000
Available Surplus Funds to Pay Claims as Necessary	(\$541,000)	\$0	\$0	(\$541,000)
Decrease Trooper Reserve by 10%	\$0	\$384,400	\$0	\$384,400
Replenishment of Dental Reserve and Operating Capital	\$0	\$0	\$200,000	\$200,000
Restore the Dental Reserve at 3%	\$0	\$0	\$341,000	\$341,000
Updated Surplus Balances as of 6/30/16	\$4,154,000	\$346,400	\$200,000	\$4,700,400
Updated Reserves Balances as of 6/30/16	\$9,159,000	\$3,459,600	\$352,000	\$12,970,600
<i>Percentage</i>	5%	90%	3%	6%

I am available to address any questions you may have.

Respectfully Submitted,



Vicki V. Quiram
Commissioner

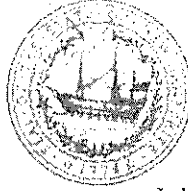
Attachment: Letter from Department of Justice Dated July 18, 2016.

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL

ANN M. RICE
DEPUTY ATTORNEY GENERAL



July 18, 2016

Vicki Quiram, Commissioner
Department of Administrative Services
25 Capitol Street
Concord, NH 03301

Dear Commissioner Quiram:

This letter addresses the use of the two funds related to the health benefit program – the “Reserve Fund” established by RSA 21-I:30-b and c, and the “Management Fund” established by RSA 21-I:30-e.

There are two issues: 1) the appropriate use of reserve funds; and 2) the mechanism by which those funds can be spent.

Appropriate Use of Reserve Funds

RSA 21-I:30-b requires a reserve of three percent of claims and administrative costs as well as “the unpaid portion of ultimate expected losses, including incurred but not reported claims, and related expenses incurred in the provision of benefits for eligible participants...” (RSA 21-I:30-b, I(b)). The Reserve Fund is established in RSA 21-I:30-c which states, in pertinent part, “a reserve fund shall be established to protect the state from unexpected losses and self-insured losses and related expenses incurred in the provision of such a plan.”

RSA 21-I:30-b, I(b) also states that “if the state self-insures for more than one employee group plan, a reserve meeting the requirements of this paragraph must be maintained for each plan.” You have indicated that a reserve is accounted for separately for each of the following groups – active employees, troopers, retirees and dental benefits. The trooper and dental accounts are for “active” benefits.

The primary function of a self-insured health benefit plan is the payment of medical claims. As discussed below, these claims are typically paid from the Management Fund. However, if the Management Fund could not cover claims it would be appropriate to use the Reserve Fund, as this would be an unexpected or self-insured loss and an expense incurred in the provision of a self-insured plan.

You have also specifically inquired about the funding of a study focused on the long term status (financial and otherwise) of the retiree health plan. As this study would be done with the

objective of identifying options to maintain a financially viable plan for the benefit of retirees, it is our opinion that it would constitute a related expense incurred in the provision of the plan.

Mechanism to Spend Funds

While RSA 21-I:30-b discusses the establishment and use of the fund, RSA 21-I:30-c establishes the fund itself and states:

“In the event that the medical and surgical benefits under RSA 21-I:30 are provided using a self-funded alternative, a reserve fund shall be established to protect the state from unexpected losses and self-insured losses and related expenses incurred in the provision of such a plan. Such reserve fund shall be administered by the commissioner of administrative services and shall be nonlapsing.”

RSA 21-I:30-e establishes the Management Fund to pay for active and retiree health care expenses and any administrative costs related thereto. That fund is nonlapsing and continually appropriated (RSA 21-I:30-e(1)).

As you know, a fund that is nonlapsing does not have its contents revert back to the general fund at the end of the fiscal year or biennium. Both funds are nonlapsing. However, only the Management Fund is “continually appropriated.” This language has been consistently interpreted by Administrative Services and the Legislative Budget Assistant to mean that the funds have been authorized by appropriation of the legislature to be spent even if in excess of estimated levels. The Reserve Fund is not continually appropriated and, thus, a request of the Fiscal Committee, and to the extent necessary the Governor and Executive Council, to transfer or expend the funds would be necessary.

As we have discussed, in 2015, the Legislature authorized a reduction in the Reserve Fund from five percent to three percent in House Bill 2. This was, in effect, legislative authorization to transfer the two percent excess out of the Reserve. I understand that a decision was made to keep the Active and Retiree Reserve Funds at five percent. The Dental and Trooper reserves are kept at three percent and 100 percent respectively. However, as House Bill 2 is so recent and we are in the biennium which it covers, if the Department now wishes to reduce those amounts below five percent and release the funds for use pursuant to RSA 21-I:30-b and c, you could reasonably do so without further permission, based on the House Bill 2 authorization. However, absent legislative approval such as House Bill 2, or for use of funds from a reserve account that is already maintained at three percent, it is our opinion that releasing those funds for use would require Fiscal Committee approval.

I hope this analysis is helpful in your administration of the health benefit plan. Please do not hesitate to contact me with further questions.

Sincerely,



Christen Lavers
Assistant Attorney General

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 16 126

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

July 21, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a VI, authorize the Department of Justice, Drug Task Force to accept and expend a subgrant from the Department of Justice in the amount of \$108,223 for the purpose of adding an attorney to focus on prosecuting drug cases upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017. 100% Agency Income.

Funds are to be budgeted in 02-20-20-200510-29040000 Job#20BYRNDTFPFY17,
Department of Justice, Drug Task Force as follows:

Class/Expense	Class Title	Current SFY17 Budget	Increase Amount	Revised SFY17 Budget
010-500100	Personal Services Perm. Class.	\$72,414	\$0	\$72,414
013-500132	Personal Services Unclassified	\$132,801	\$0	\$132,801
014-500134	Personal Services-Unclassified	\$212,041	\$0	\$212,041
017-500147	FT Employees Special Payment	\$3,615	\$0	\$3,615
018-500106	Overtime	\$10,000	\$0	\$10,000
020-500200	Current Expense	\$15,100	\$260	\$15,360
022-500255	Rents Leases Other Than State	\$750	\$0	\$750
027-582703	Transfers to DoIT	\$25,000	\$1,000	\$26,000
028-582814	Transfers to General Services	\$41,587	\$0	\$41,587

030-500311	Equipment	\$0	\$2,000	\$2,000
037-500173	PC Desktop Hardware New	\$0	\$1,500	\$1,500
038-500175	Desktop Software New	\$0	\$1,200	\$1,200
039-500188	Telecommunications	\$12,000	\$1,200	\$13,200
040-500800	Indirect Costs	\$54,469	\$0	\$54,469
041-500801	Audit Fund Set-Aside	\$605	\$0	\$605
042-500620	Additional Fringe Benefits	\$16,316	\$6,300	\$22,616
059-500117	Temp-Full Time	\$1,730	\$64,000	\$65,730
060-500612	Benefits	\$183,450	\$29,763	\$213,213
070-500704	In State Travel	\$2,000	\$1,000	\$3,000
Total		\$783,878	\$108,223	\$892,101

Source of Funds

000-404463	Federal Funds	\$783,878	\$0	\$783,878
009-401345	Agency Income	\$0	\$108,223	\$108,223
Total		\$783,878	\$108,223	\$892,101

2. Upon approval of paragraph one and pursuant to RSA 124:15, authorize the Department of Justice, Drug Task Force, to establish one (1) full-time salaried Drug Prosecutor within budget class 059 (Full-Time Temporary) upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017. 100% Agency Income.

EXPLANATION

The Department of Justice has the opportunity to accept a subgrant from the Department of Justice (origin: Federal Fiscal Year 2013 Byrne Justice Assistance Grant Program) to fund an attorney dedicated to prosecuting drug cases, as authorized under 2016 Chapter Law 330.14. The drug prosecutor would work with other attorneys in the Department's Division of Public Protection to prosecute cases arising from the illegal sale of drugs.

In response to the monumental increase in drug overdose deaths over the past two years, the Department has launched an initiative, in conjunction with the New Hampshire State Police and the United States Drug Enforcement Administration to identify and prosecute individuals who sell or distribute illegal drugs that have caused someone's death. The requested drug prosecutor position will assist on the initiative.

The funds budgeted to support the activities are as follows:

- Class 020-Current Expense-To cover office supplies and outreach/support materials.
- Class 027-Payments to DoIT for technical support.
- Class 030-Equipment-to cover office furniture for the Drug Prosecutor.
- Class 037-PC Desktop Hardware New-For desktop PC and monitor.
- Class 038-Desktop Software New-For software licensing.

Class 039-Telecommunications-to cover telephone service charges and cell phone.

Class 042-Additional Fringe Benefits-Post Retirement.

Class 059-Personnel-Temporary Full Time-Covers salary expenses for an attorney position to prosecute drug cases.

Class 060-Benefits-To cover benefits for the Drug Prosecutor position.

Class 070- In State Travel expenses to cover in-state mileage for the attorney for drug-related prosecution matters.

Class 040 (Indirect Costs) and Class 041 (Audit Fund Set-Aside) are budgeted with the Department of Justice's acceptance of the original federal grant.

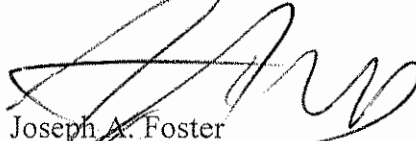
The following information is provided in accordance with the Comptroller's Instructional Memorandum dated September 21, 1981.

1. **List of personnel involved:** One temporary, full-time salaried position (Class 059) to serve as a Drug Prosecutor.
2. **Nature, Need and Duration:** This full time position will be in effect for the period effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017.
3. **Relationship to existing agency programs:** This funding is to be utilized specifically to establish a Drug Prosecutor as permitted in 2016 Chapter Law 330.14.
4. **Has a similar program been requested of the legislature and denied?** No similar program has been requested and denied.
5. **Why wasn't funding included in the agency's budget request?** This project was not included in the Department's budget request because the legislation for the position was not passed until June 2016.
6. **Can portions of the funds be utilized for other purposes?** No portion of this subgrant can be used for any other purpose.
7. **Estimate the funds required to continue this position(s):** It is estimated that it will cost \$93,763 for salary and benefits for the full time temporary position through June 30, 2017.

In the event these funds should no longer become available, general funds will not be requested in support of this position.

Please let me know if you have any questions regarding this request. Your consideration is greatly appreciated.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'J. Foster', written over a horizontal line.

Joseph A. Foster
Attorney General

#1451763

Department of Justice
GRANTS FISCAL SITUATION FISCAL YEAR 2017
02-20-20-200510-29040000 Division of Public Protection, Drug Task Force

Total Agency Authorization	\$ 108,223
Less Expenditures to 06/30/2016	\$ -
Remaining Authorizatin to Budget	\$ 108,223

Less Current Budget Authorization	\$ -
Total Available for Budgeting	\$ 108,223

Available to Budget at Later Date	\$ -
REQUESTED ACTION	\$ 108,223

Grant Award Number	Award Amount	Expenses to 06/30/2016	Balance
2017JAG06	\$ 108,223	\$ -	\$ 108,223
Prior Year Grant Award	\$ -	\$ -	\$ -
Total			\$ 108,223

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: NH Department of Justice		Vendor No.: 177877-B001
Program Name: Drug Prosecutor		Amount: \$ 108,223
Grant Start Date:	Fis & G&C App	State Grant Number:
Grant End Date:	6/30/2017	Federal Grant Number: 2017JAG06
Appropriation No.: 02-20-20-201510-2904-072-500576		
Head of Agency	Project Director	Fiscal Officer
Joseph Foster Attorney General 33 Capitol Street Concord, NH 03301 603-271-3650	Jane Young Associate Attorney General	Kathleen Carr Director of Administration
Federal Grant Name: Byrne JAG		
Federal Agency: United States Department of Justice		
Bureau/Office: Office of Justice Programs		
CFDA Number: 16.738		
Purpose of Grant: Drug Prosecutor		
Program Requirements: Adherence to Program Conditions and Guidelines.		
Match Requirements: Match must be spent on program allowable activities.		
Program income Requirements:		
Reporting Requirements: Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.		
Approval	Program Agency	NH Department of Justice
Name	Joseph Foster	Kathleen Carr
Title	Attorney General	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		



State of New Hampshire
DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603)-271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603)-271-3204

July 14, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

(1) Pursuant to RSA 14:30-a, VI and RSA 21-I:30-c, authorize the Department of Administrative Services (DAS), Risk Management Unit (RMU), to reduce Retiree Health Benefit Reserve Funds from 5% to 4%, a reduction in the amount of \$800,000 of projected annual claims and administrative expenses upon the date of Fiscal Committee and Governor and Executive Council Approval.

(2) Pursuant to RSA 21-I:30, II schedule and hold a public hearing before the Fiscal Committee to review the retiree health benefit plan design and premium contribution changes.

EXPLANATION

DAS requests authorization for the release of \$800,000 from the Retiree Health Benefit Statutory Reserve Fund to pay for a Long Term Retiree Health Benefit Study and the projected FY17 retiree health benefit budget shortfall. In addition, DAS requests that the Fiscal Committee to provide notice to the public and schedule a public hearing for review and determination of changes to the Retiree Health Benefit plan design and the premium contribution percentage paid for by Under 65/non-Medicare retirees.

Background

The attached companion **DAS Informational Item dated July 14, 2016** details the history of a projected deficit for this biennium in the amount of \$550,000. DAS recently updated the projected deficit from \$700,000 to \$550,000 based on the Fiscal Committee's approval of the transfer of \$50,000 from the FY 16 DAS utility budget to the Retiree Health Benefits account (FIS 16-106) and based on the anticipated approval of a companion item to this Requested Actions item that requests the transfer of \$100,000 from the FY 17 DAS utility budget. This deficit includes an adjusted projected health care claims shortfall of \$250,000 plus an additional \$300,000 to pay for a Retiree Health Benefits Long Term Study. Requested action #1 above also asks that an additional \$250,000 be released into available Retiree surplus should operating expenses fluctuate necessitating the temporary use of additional funding.

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In addition, in order to meet the health care needs of retirees in the FY 18/19 biennium, DAS projects it will require an additional \$30 million (\$18 million General Funds/\$12 million other funds) in its budget, assuming that there are no changes to the Retiree Health Benefit plan. This \$30 million includes filling the budget deficit or hole created by this biennium's use of one-time surplus funds in the amount of \$5.4 million, and cost and head count growth in FYs 18/19.

As DAS has discussed with the Fiscal Committee and the legislature for more than one year, there are several options available to the legislature to pay for the projected deficit in this biennium as well as for the projected \$30 million dollar increase in the FY18/19 biennium. At the most simple level, those options distill to: (1) increasing the budget or otherwise making funds available to pay for the increased Retiree Health Benefits needs, or (2) making premium contribution percentage and/or plan design changes using the existing tools available to DAS and the Fiscal Committee by increasing deductibles, copays, maximum out-of-pocket amounts and coinsurance amounts or other elements of plan design, or (3) passing laws to make new tools available to DAS and the Fiscal Committee, such as an Over 65/Medicare eligible premium contribution to balance the need for increased funding with providing the best possible health benefits to retirees should the budget not cover the Retiree Health benefit need.

There is also a tool that can reduce the impact of changes to retirees that is available to DAS and the Fiscal Committee that is not found in the law: time. The sooner changes are made to plan design (deductibles, copays etc.) and premium contribution percentages, the less drastic those changes need to be because the changes can be spread out over more months. There is also an option to wait and see how claims experience actually develops, an option that could prove to be risky should claims experience turn out to be negative. Delaying plan design or premium contribution changes costs time and results in deeper cuts for retiree health benefit plan members.

The attached flow charts (**See attached Long Term Study Flow Chart and Retiree Health Benefits Budget FY18/19 Flow Chart**) are intended to assist DAS and the Fiscal Committee with the analysis of the options available to manage the challenges to the Retiree Health Benefit plan and budget. With respect to funding the important long term study (\$300,000) and claims deficit (\$250,000 assuming approval of the FY 17 transfer mentioned above), the options are to meet these needs by paying for them now by using health care reserves or waiting to see how health care claims experience develops. If claims experience proves to be better than projected, then the problem resolves itself. If claims experience proves to be as projected (\$250,000 projected claims deficit) or worse than projected, then the options are to fund the FY 17 deficit through the FY 18/19 budget, or approve transfer(s) of budget funding, or approve future plan design and premium contribution changes.

With respect to the increased need for \$30 million, the options are to fund Retiree Health Benefits needs fully in the FY 18/19 budget or to make changes to plan design and premium contribution percentages. Premium contribution percentages can be changed at any point during a calendar year. A decision to change the premium contribution percentage requires adequate time to notify retirees about the change and time to implement the change through the New Hampshire Retirement System that withholds premiums from retiree's pension checks. Plan design changes that involve changes to the coverage provided, or to out-of-pocket costs such as deductibles, copays, coinsurance and other maximums, must be made on a calendar year basis beginning on January 1st of a year and must take into account federal requirements for adequate notice of change to retirees. This means that decisions need to be made no later than mid-October for changes to be effective on January 1 of a calendar year.

The Study for Long Term Redesign of the Retiree Health Benefit Plan

Among the many pressures on the Retiree Health Benefits budget, one is that DAS must administer these benefits within the funds appropriated by the legislature. RSA 21-I:30. In FY 16/17, the budget for retiree health benefits was funded at 100% and 103% of FY 15, \$5.5 million less than then projected expenses; increases in the pharmacy trend resulted in a further projected shortfall of \$4.0 million and a \$1 million reduction in a federal subsidy to the Medicare Part D Employer Group Waiver Program (EGWP) resulted in the projected \$10.6 million deficit for this biennium. Additionally, the State faces a “silver tsunami” of retirees. Although there is no crystal ball to predict when employees will retire, 33% of state employees are eligible to retire today; 50% of state retirees are eligible to retire in five years. Even with the many cost saving measures that have been put into place, retiree health costs will continue to increase. DAS is projecting a need for an additional \$30 million (\$18 million General Funds/\$12 million Other Funds) over and above the FY 16/17 funding levels. Over the long term, the current benefit structure is not sustainable.

As these facts were discussed during the 2015 Fiscal Committee process and during the 2016 legislative process, there was a sense of urgency and concurrence to begin a long term study of retiree health benefits options. It is essential for the State to research and examine its options for maintaining the best possible benefits for retirees. Pursuant to RSA 21-I:28, the DAS Commissioner has the authority to enter into contracts necessary to administer the health plan and studying options for the long term sustainability of the program falls squarely within this authority.

Pursuant to a contract approved by Governor and Council effective January 1, 2015, DAS has been working with The Segal Company (Segal), the medical consultant to the State’s health benefit program to examine options for long term redesign of the retiree health benefit plan. DAS informed the legislature and the Fiscal Committee (FIS 15-280 and FIS 16-033) that work on the long term study was underway. In fact, during the legislative session, several legislators who are members of the Fiscal Committee expressed interest in completion of the long term study and DAS, assuming passage of legislation funding the study, projected the study could be completed as early as September, 2016. However, after the retiree health bills authorizing the payment of the study from the Retiree Health Benefits account failed, DAS directed Segal to cease all work on the study until funding to pay for the study is identified. DAS has already paid Segal approximately \$109,000 to fund its work on the study; DAS estimates that a completed study will cost approximately \$300,000.

Options to pay for the study include paying for it out of Retiree Health Benefits reserve funds, funds that are available to DAS now. In the alternative, DAS could wait to see how claims experience develops and if funding becomes available within the existing budget, or in the future budget, or by making changes to the plan design and/or premium contribution.

DAS’ recommendation is to authorize funding from the Retiree Health Reserve to pay for the study now. The study will be an extremely important tool available to the governor, legislature and DAS as the future of Retiree Health Benefits is discussed.

Health Fund Reserves

Pursuant to RSA 21-I:30-b, DAS is required to maintain a minimum reserve fund in the amount of 3% of the projected claims and administrative expenses for health benefits for a fiscal year. Chapter 276:164(a) of HB 2

(Laws of 2015), reduced this minimum reserve from 5% to 3%. As previously reported to the Fiscal Committee, See FIS 16-085, DAS applied this reserve reduction to 3% to the Active, Trooper and Dental accounts only, and DAS made the determination to maintain the Retiree Health Benefit reserve at 5% while still meeting the requirements of the FY 16 surplus statements.

Additionally, because of the volatility in pharmacy costs, after complying with the requirements of the Surplus Statements, DAS reported its decision to restore the reserve level for Actives, a group of approximately 28,000 members, to 5%. DAS also reported the restoration of the Trooper reserve to 100% because the Trooper group of approximately 900 total members is relatively small compared to the Active group and therefore it is more sensitive to adverse claims experience. See FIS 16-033 and FIS 16-085.

DAS determined that it was prudent to maintain the Retiree Health Benefit reserve at the 5% level given the volatility of prescription drug costs and uncertainty about the numbers of individuals who may retire. The 5% Retiree Health Benefit reserve equals approximately \$4 million at this time.

Use of the Retiree Health Benefit Reserves

During the 2016 legislative session, as retiree health bills were being debated, including discussions about how DAS might obtain funds to address the projected deficit in the FY 16/17 Retiree Health Benefits budget (See attached DAS Informational Item dated July 14, 2016), DAS obtained an opinion from the Department of Justice (DOJ) and reported in several legislative hearings that DAS has the authority under RSA 21-I:30-c to use the Retiree Health Benefit Statutory Reserve to cover the projected claims shortfall. RSA 21-I: 30-c states:

“In the event that the medical and surgical benefits under RSA 21-I: 30 are provided using a self-funded alternative, a reserve fund shall be established to protect the state from unexpected losses and self-insured losses and related expenses incurred in the provision of such a plan. Such reserve fund shall be administered by the commissioner of administrative services and shall be nonlapsing.”

Thus, the purposes for which the reserves may be used are quite broad, ranging from unexpected losses to expenses related to administration of the health benefit plan, such as the long term study.

After the 2016 legislative session ended without the passage of any of the bills that contained important proposed tools (such as the long term retiree health study, funding to support the projected retiree health deficit, and a premium contribution for Over 65/Medicare eligible retirees) to manage the Retiree Health Benefits budget in the near and long term, (See attached DAS Informational Item dated July 14, 2016), DAS requested a DOJ opinion to determine whether DAS could use reserve funds pay for the study. At that point in time, the DOJ reviewed RSA 21-I: 30-c and communicated to DAS that the reserves could properly be used to pay for the long term retiree study. However, the DOJ opinion distinguished between the proper use of reserve funds and the mechanism to employ reserve funds

This refined DOJ opinion was based on an analysis of RSA 21-1:30-b, RSA 21-1:30-c, and RSA 21-1:30-e, and advises DAS that the Statutory Reserve Fund, while nonlapsing, is not continually appropriated. *See attached Letter from the Department of Justice dated July 18, 2016*. As previously reported to the Fiscal Committee, DAS complied with the Surplus Statements by reducing Actives, Troopers and Dental reserves, See FIS 16-033.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

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DAS also informed the Fiscal Committee that it had subsequently restored reserve levels to 5% for Actives and 100% for Troopers. See FIS 16-085. Although the DOJ letter states that the recently passed HB 2 (Laws of 2016) authorized DAS to reduce the reserve to 3% and that DAS could use reserve funds in excess of 3% without further action from the Fiscal Committee, DAS believes that further communication with the Fiscal Committee is warranted given its present understanding of reserve levels.

Because it is important for DAS to maintain communication with the Fiscal Committee about the Retiree Health Benefits fund status, DAS is requesting Fiscal Committee and Governor and Executive Council release Retiree Health Benefit Reserve Funds (21-I:30-c) in the amount of \$800,000. This request if approved would reduce the reserve level from 5% to 4% of annual projected claims and administrative expenses and would make these reserve funds available to DAS to provide the flexibility to pay for the long term study in addition to the projected and potentially unexpected claims shortfall. See attached DAS Informational Item dated July 14, 2016.

Public Hearing

SB 388 (Chapter 123, Laws of 2016) amended RSA 21-I:30, II provides that “any change in [the Retiree Health Benefits] plan [must be] approved by the fiscal committee of the general court, **after a duly noticed public hearing on any proposed changes to the plan is held before the fiscal committee.**”

In light of the \$30 million increase required to meet the retiree health benefits need in FY 18 and FY 19 based on the current design, DAS believes it is important for the Fiscal Committee to plan for possible plan design and premium contribution changes by considering holding a duly noticed public hearing. The attached flow chart outlines different options available to the Fiscal Committee. Scheduling and conducting a public hearing will allow the Fiscal Committee to hear the retirees’ concerns and ideas and position the Fiscal Committee to make decisions about changing the retiree health benefit plan design and premium contributions, taking into consideration the timing of those changes.

Should the Fiscal Committee decide to schedule a public hearing on proposed changes, DAS will be prepared at that hearing to offer options for changing the premium contribution percentage for Under 65/non-Medicare retirees, plan design changes such as out-of-pockets expenses associated with deductibles, copays, coinsurance and maximum out-of-pockets as well as other fundamental changes to the plan design such as implementing a defined contribution plan and changing the scope of coverage.

I am available to address any questions you may have.

Respectfully Submitted,



Vicki V. Quiram
Commissioner

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

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Attachments:

- 1. DAS Informational Item dated July 14, 2016**
- 2. Long Term Study Flow Chart**
- 3. Retiree Health Benefits Budget FY18/19 Flow Chart**
- 4. Letter from Department of Justice Dated July 18, 2016**



State of New Hampshire
 DEPARTMENT OF ADMINISTRATIVE SERVICES
 OFFICE OF THE COMMISSIONER
 25 Capitol Street – Room 120
 Concord, New Hampshire 03301

VICKI V. QUIRAM
 Commissioner
 (603)-271-3201

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 Assistant Commissioner
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July 14, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Dear Representative Kurk:

INFORMATIONAL ITEM

In accordance with Chapter 319:32, Laws of 2003, State Employee Health Insurance; Administrative Services Reporting, I respectfully submit this report regarding the State's self-funded Health Benefits Plan (HBP).

A. Program Activity:

Cash Basis: The beginning Cumulative Cash Fund Balance as of July 1, 2015 was \$51.2 million. To this balance subtract \$11.4 million, which represents Revenue less Expenditures from July 1, 2015 through June 30, 2016. The Ending Cumulative Cash Fund Balance at June 30, 2016 is \$39.9 million.

	<i>FY 2016</i> <i>(000's)</i>
Cumulative Cash Fund Balance (<i>July 1, 2015</i>).....	<u>\$ 51,240</u>
Plus: Program Revenue Collected.....	<u>\$243,258</u>
Less: Total Expenditures	<u>\$254,640</u>
Revenue less Expenditures (<i>July 1 – June 30, 2016</i>).....	\$(11,382)
Cumulative Cash Fund Balance (<i>June 30, 2016</i>).....	<u>\$39,858</u>

Source: NH FIRST

Accrual Basis: The above amounts are cash basis only and do not take into consideration IBNR, statutory reserve, accounts payable or receivables. To arrive at a true fund balance as of June 30, 2016, we must start with the Cumulative Cash Fund Balance as of that date and add outstanding receivables earned and realized or realizable and payables incurred as of June 30, 2016. Then we must subtract the IBNR (Incurred but not Reported) reserve and the statutory reserve.

<i>FY2016</i>		
Line Item	Description	Total (000's)
1	Cumulative Cash Fund Balance (June 30, 2016)	\$ 39,858
2	Add: Program Revenue Earned (<i>estimate as of June 30, 2016</i>)	\$ 9,873
3	Less: Program Expenses Incurred (<i>estimate as of June 30, 2016</i>)	(\$ 8,190)
4	Subtotal: Cumulative Accrual Fund Balance (June 30, 2016)	\$ 41,541
5	Less: IBNR	(\$ 14,990)
6	Less: Statutory Reserve*	(\$ 17,066)
	<i>*(Actives & Retiree Plans = 5% of FY16 Projected Expenses, Troopers Plan = 100% of FY16 Projected Expenses)</i>	
8	Cumulative Accrual Fund Balance: Net of IBNR and Reserves (June 30, 2016)	\$ 9,485

As indicated above, the HBP's cumulative accrual fund balance, net of IBNR and statutory reserves, as of June 30, 2016 is \$9.5 million and encompasses surplus for actives and retirees.

It is important to note that working rates are set on a calendar year basis based on an average rate for the midpoint of the year. Accordingly, working rates are expected to generate a surplus at the beginning of the calendar year that may be spent down in the last six months of the year.

B. Retiree Health FY16/17 Budget Update:

As the Department of Administrative Services (DAS) has discussed with the Fiscal Committee at several meetings and in prior Informational Items, running a health benefit plan is complicated and unpredictable from month to month. Every month, the health benefit plan brings in revenue and every month it must pay the claims expenses based on the health services and prescription drugs required by our plan members. From month to month, the plan fund surplus goes up and down depending on claims experience, the number of enrolled members, and estimated revenues, including federal subsidies and rebates. The ideal position for the health benefit plan is to run just enough of a surplus to cover expenses should they rise above an average amount in a given month.

DAS is projecting a deficit in the Retiree Health Benefits budget for the biennium FY 16/17. In 2015, DAS projected a \$10.6 million deficit in the Retiree Health Benefits budget and worked with the Fiscal Committee to make changes to the plan to assist with managing the budget. In November 2015, the Fiscal Committee approved prescription drug plan design changes totaling \$2 million and also approved an increase in the Non-Medicare eligible retiree premium contribution totaling \$2.8 million, addressing \$4.8 million of a projected \$10.6 million budget shortfall. When approving the plan design and premium contribution changes, the Fiscal Committee was aware there was a \$5.4 million surplus in the Retiree Health Benefits account that was available to DAS to use toward the deficit. This left DAS with a projected deficit of \$400,000 for claims expenses plus a \$300,000 expenditure for a Long Term Retiree Health Study for a total projected deficit of \$700,000. The below chart provides background on the FY16/17 biennium projected Retiree Health budget shortfall.

FY16/17 Retiree Health Budget	
Projected Expense	\$153,300,000
Total Retiree Health Budget	\$142,749,000
Retiree Health Budget Shortfall	\$10,600,000
Rx Plan Design Changes	-\$2,000,000
Retiree Premium Contribution (Increase to 17.5%)	-\$2,800,000
Updated Retiree Health Budget Shortfall	\$5,800,000
Long Term Study	\$300,000
Total Biennium Projected Deficit	\$6,100,000
Surplus	-\$5,400,000
Projected Retiree Health Deficit	\$700,000

In June 2016, of the projected \$5.4 million in surplus to be used over the biennium, the retiree health account used \$574,000 in retiree health surplus to fund normal monthly claims and administrative expenses. This was because in FY 16 DAS exhausted the General Funds appropriation in the Retiree Health Benefits budget and accordingly used \$574,000 of surplus. Effective July 1, 2016, FY 17 funds are available to pay regular Retiree Health operating expenses. DAS expects to use Retiree Health Benefits surplus funds toward the end of FY 17 to pay for retiree health care.

The below chart details the DAS FY16 Retiree Health Budget and the actual FY16 Retiree Health Budget revenue and expenses. The Retiree Health Fund accounted for \$69.9M in FY16 from all funding sources, but needed approximately \$70.4M in funds in FY16 to break even during the fiscal year. Therefore, in June 2016 there was a decrease in the surplus in the retiree health account by \$574,000 due to the budget shortfall. DAS is projecting to use the remaining \$4.8M (\$5.4M - \$574,000) in surplus in May and June of FY17.

DAS Retiree Health FY16 Budget - AU 2903		
Revenue Sources	FY16 Budget	Total FY16 Actual
Other Funds (Self-Funded Agencies, NHRS Medical Subsidy, Retiree Prem. Cont. (17.5%))	\$37,420,000	\$37,390,000
General Funds	\$32,412,000	\$32,412,000
DAS Transfer from Utility Savings (GF)	\$50,000	\$50,000
Total Retiree Health FY16 Expense to AU2903	\$69,882,000	\$69,852,000
Use of Surplus		\$574,000
Total Retiree Health FY16 Budget Need		\$70,426,000

DAS recently updated the Retiree Health Benefits budget deficit projection from \$700,000 to \$650,000. This is because on June 24, 2016 the Fiscal Committee approved the transfer of \$50,000 from the DAS utility budget to the Retiree Health Benefits budget. In further commitment of its support of Retiree Health Benefits, DAS is submitting a companion item to transfer \$100,000 from the DAS utility budget to the Retiree Health Benefits budget. Assuming this transfer request is approved by the Fiscal Committee, this would lower the projected FY 17 Retiree Health Benefits deficit to \$550,000.

C. Retiree Health Benefits Update: 2016 Legislation

There were multiple bills introduced or amended during the 2016 legislative session that addressed retiree health benefits. Of those bills, only one became law: SB 388.

Public Hearing Requirement

SB 388 (Chapter 123, Laws of 2016) amended RSA 21-I:30, II now provides that “any change in [the Retiree Health Benefits] plan [must be] approved by the fiscal committee of the general court, **after a duly noticed public hearing on any proposed changes to the plan is held before the fiscal committee.**”

Other Retiree Health Legislation

The following Retiree Health Benefits bills failed at various stages of the legislative process: SB 414, HB 1592, HB 1591 and SB 495. SB 485 was amended toward the end of the session to include the retiree health provisions but it too failed to pass. These bills, separately and in combination, contained three different but important proposed tools to manage Retiree Health Benefits and its budget: (1) a requirement that DAS complete a study long term options for the Retiree Health Benefit plan, (2) funding to assist DAS in paying the projected \$700,000 deficit over the biennium, and (3) authority for DAS to request the Fiscal Committee approve a premium contribution for the Over 65/Medicare eligible retirees. As previously discussed, given that there are more than 9000 Over 65/Medicare eligible retirees, a premium contribution would be an important tool to balance future increases in deductibles, copays, coinsurance and maximum out-of-pocket expenses in a way that would best serve retirees, should budget allocations not cover the entire retiree health benefit need.

DAS and the Fiscal Committee emerge from the legislative session with limited tools to solve the funding problems within Retiree Health Benefits for FY17 as well as for FY 18/19 biennium, should budget allocations not cover the expected costs. Based on the current law, DAS has 3 options to bring to the Fiscal Committee for approval 1) increase the premium contribution of Under 65/Non-Medicare retirees (2) change the plan design of the medical and pharmacy benefits, including increases in copays and maximum out-of-pocket expenses, and (3) spend funds from the Retiree Health Benefits reserve to cover any shortfall in the retiree health benefits account for claims or other expenses such as the long term study.

I am available to address any questions you may have.

Respectfully Submitted,



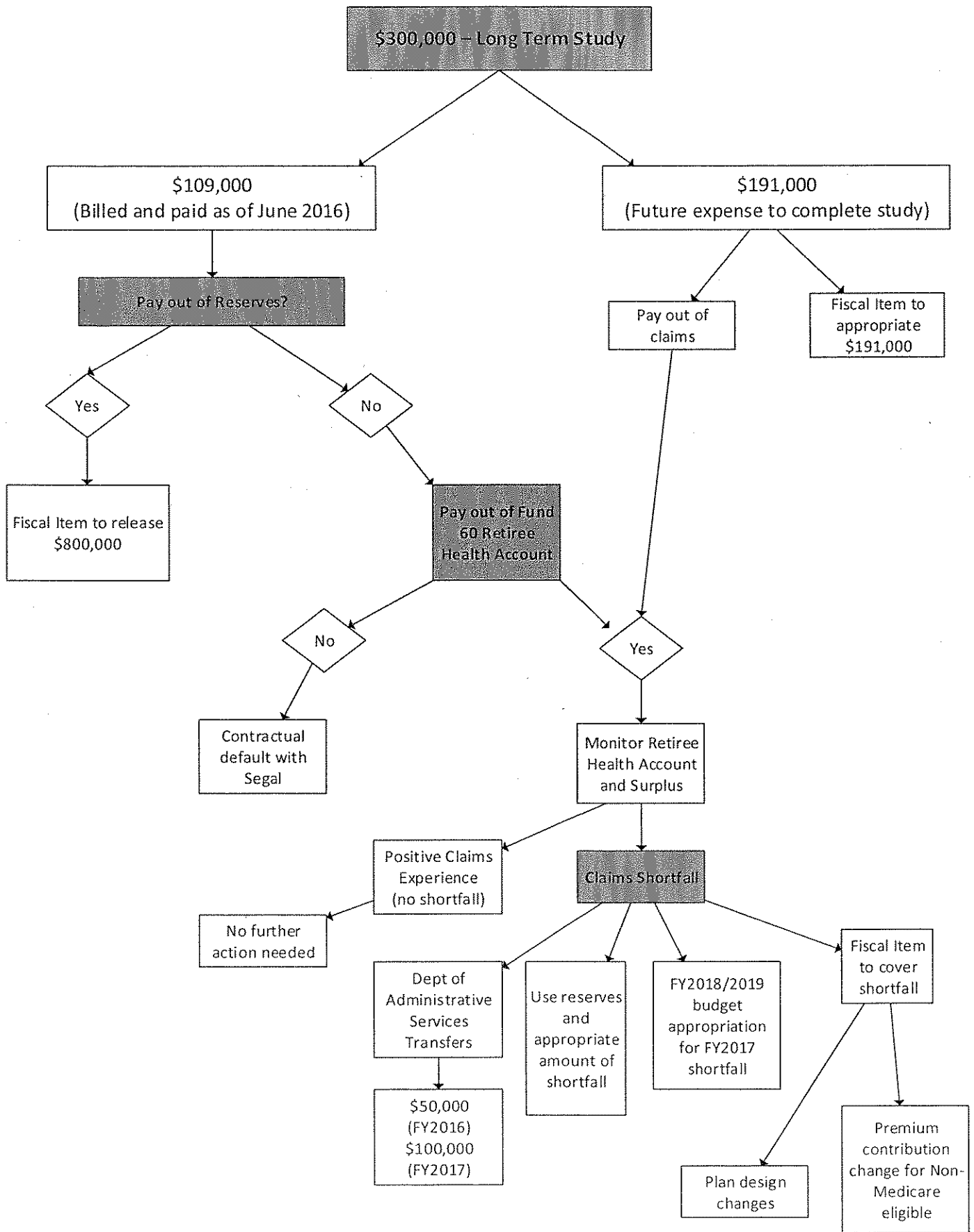
Vicki V. Quiram
Commissioner

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1															
2	PLAN	FY 2016													
3	Actives	Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	ALL FUNDS (FYTD)
4	Revenue														
5	Total Revenue	\$ 10,855,064	\$ 13,390,410	\$ 13,574,963	\$ 20,233,268	\$ 13,459,257	\$ 13,578,996	\$ 13,514,292	\$ 13,546,482	\$ 8,357,107	\$ 20,121,336	\$ 13,601,291	\$ 13,627,577	\$ 167,860,042	
6	Expenditures														
8	Total Expenditures	\$ 17,540,840	\$ 12,485,160	\$ 11,302,519	\$ 15,488,227	\$ 14,408,479	\$ 13,622,014	\$ 15,588,899	\$ 14,165,557	\$ 17,115,644	\$ 11,600,425	\$ 12,713,806	\$ 15,109,274	\$ 171,140,847	
9	Net Plan Activity	\$ (6,685,777)	\$ 905,250	\$ 2,272,443	\$ 4,745,041	\$ (949,223)	\$ (43,018)	\$ (2,074,607)	\$ (619,075)	\$ (8,758,537)	\$ 8,520,910	\$ 887,485	\$ (1,481,698)	\$ (3,280,805)	
10	Cumulative Plan Activity	\$ 29,780,537	\$ 23,094,760	\$ 24,000,010	\$ 26,272,454	\$ 31,017,495	\$ 30,068,272	\$ 30,025,254	\$ 27,950,646	\$ 27,331,571	\$ 18,573,034	\$ 27,093,944	\$ 27,981,429	\$ 26,499,732	\$ 26,499,732
11															
12	Troopers														
13	Revenue														
14	Total Revenue	\$ 251,747	\$ 273,679	\$ 274,480	\$ 406,974	\$ 273,964	\$ 272,793	\$ 265,839	\$ 272,442	\$ 269,186	\$ 402,117	\$ 165,000	\$ 268,017	\$ 3,396,236	
15	Expenditures														
17	Total Expenditures	\$ 318,157	\$ 219,268	\$ 273,515	\$ 215,038	\$ 231,018	\$ 291,856	\$ 253,857	\$ 321,639	\$ 349,961	\$ 164,595	\$ 192,396	\$ 403,850	\$ 3,235,150	
18	Net Plan Activity	\$ (66,410)	\$ 54,411	\$ 965	\$ 191,936	\$ 42,946	\$ (19,063)	\$ 11,982	\$ (49,197)	\$ (80,775)	\$ 237,522	\$ (27,396)	\$ (135,834)	\$ 161,086	
19	Cumulative Plan Activity	\$ 4,097,210	\$ 4,030,800	\$ 4,085,210	\$ 4,086,175	\$ 4,278,111	\$ 4,321,057	\$ 4,301,994	\$ 4,313,976	\$ 4,264,779	\$ 4,184,004	\$ 4,421,526	\$ 4,394,131	\$ 4,258,297	\$ 4,258,297
20															
21	Retiree - U65														
22	Revenue														
23	Total Revenue	\$ 2,188,157	\$ 2,781,872	\$ 2,748,985	\$ 2,750,080	\$ 20,558	\$ 5,502,076	\$ 18,756	\$ 5,465,813	\$ 2,811,285	\$ 2,724,888	\$ 2,699,113	\$ 2,416,254	\$ 32,127,836	
24	Expenditures														
26	Total Expenditures	\$ 3,364,974	\$ 2,491,801	\$ 2,476,523	\$ 3,167,095	\$ 2,812,120	\$ 3,068,822	\$ 3,463,355	\$ 2,744,481	\$ 3,533,257	\$ 2,732,011	\$ 2,222,131	\$ 2,663,121	\$ 34,739,690	
27	Net Plan Activity	\$ (1,176,818)	\$ 290,070	\$ 272,463	\$ (417,015)	\$ (2,791,562)	\$ 2,433,254	\$ (3,444,598)	\$ 2,721,332	\$ (721,971)	\$ (7,123)	\$ 476,982	\$ (246,867)	\$ (2,611,854)	
28	Cumulative Plan Activity	\$ 14,858,564	\$ 13,681,746	\$ 13,971,817	\$ 14,244,280	\$ 13,827,264	\$ 11,035,702	\$ 13,468,956	\$ 10,024,357	\$ 12,745,689	\$ 12,023,718	\$ 12,016,595	\$ 12,493,577	\$ 12,246,710	\$ 12,246,710
29															
30	Retiree - O65														
31	Revenue														
32	Total Revenue	(117,745)	3,125,886	3,364,798	3,195,278	302,710	6,849,564	262,854	7,562,700	3,688,731	3,603,304	4,825,457	3,210,555	39,874,092	
33	Expenditures														
35	Total Expenditures	4,404,185	3,628,320	2,688,694	4,217,136	5,170,118	3,366,594	4,056,906	3,467,534	4,421,030	3,438,953	2,626,603	4,038,313	45,524,385	
36	Net Plan Activity	(4,521,930)	(502,434)	676,105	(1,021,858)	(4,867,408)	3,482,970	(3,794,052)	4,095,166	(732,300)	164,352	2,198,854	(827,758)	(5,650,293)	
37	Cumulative Plan Activity	2,503,216	(2,018,714)	(2,521,148)	(1,845,043)	(2,866,901)	(7,734,309)	(4,251,339)	(8,045,391)	(3,950,225)	(4,682,525)	(4,518,173)	(2,319,319)	(3,147,077)	(3,147,077)
38	Total Retirees	\$ 17,361,780	\$ 11,663,032	\$ 11,450,669	\$ 12,399,236	\$ 10,960,363	\$ 3,301,393	\$ 9,217,617	\$ 1,978,966	\$ 8,795,464	\$ 7,341,193	\$ 7,498,422	\$ 10,174,257	\$ 9,099,633	\$ 9,099,633

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
		Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	ALL FUNDS (FYTD)
2															
3	Plan Summary Information:														
4	Total Program Revenue														
5	001 State Agency Revenue		16,695,431	16,783,848	16,969,938	23,336,744	12,725,589	21,224,028	12,725,887	21,373,785	12,448,117	23,479,827	17,181,716	16,556,574	\$ 211,501,484.21
6	005 Cobra/NHRS Subsidy		1,421,985	1,419,909	1,440,824	1,415,150	16,898	2,879,130	1,523	3,015,287	1,599,520	1,570,884	1,547,929	1,575,407	\$ 17,904,445.51
7	006 Rx Rebate/EGWP Subsidies		(5,948,418)	255,032	415,738	217,846	219,420	953,628	182,798	1,314,846	361,622	185,625	1,446,043	182,950	\$ (212,870.77)
8	007 Interest Earned														\$ -
9	008 Employee Contributions		831,772	825,563	823,095	1,236,023	821,343	820,684	825,802	824,314	516,068	1,233,790	825,298	826,063	\$ 10,409,813.80
10	009 Statutorily Authorized Groups		176,451	287,495	313,631	379,837	173,239	325,960	264,454	319,205	193,933	335,294	289,875	380,826	\$ 3,440,199.95
11	Performance Guarantees/Recov						100,000		61,276		7,051	46,224		582	\$ 215,133.08
12	Total Combined Revenue		13,177,222	19,571,846	19,963,226	26,585,600	14,056,489	26,203,429	14,061,742	26,847,436	15,126,309	26,851,644	21,290,861	19,522,402	\$ 243,258,205.78
13															
14	Total Program Expenditures														
15	HB Employee Salary Costs					188,777			220,557			207,158		234,338	\$ 850,831
16	HB Employee Benefit Costs					94,484			111,623			104,619		118,165	\$ 428,891
17	Medical Claims		19,223,759	12,309,375	12,395,631	16,310,898	12,979,868	12,758,755	16,195,439	13,937,266	16,841,013	10,777,261	13,774,205	17,751,055	\$ 175,254,525
18	Medical Administration		580,788	638,060	624,344	560,689	599,798	616,733	609,263	663,842	610,105	714,113	609,075	670,156	\$ 7,496,965
19	Exercise Incentive									288,222					\$ 288,222
20	Consulting		73,547	10,147	69,282		131,975		27,550		89,717		73,477	104,557	\$ 580,251
21	Pharmacy Claims		5,458,246	5,543,311	3,439,375	5,725,639	8,555,865	5,656,310	5,881,061	5,558,756	7,523,994	5,767,321	2,965,729	2,892,060	\$ 64,967,667
22	Pharmacy Administration		89,590	96,352	80,595	80,897	87,593	80,796	80,852	80,211	81,311	81,718	83,430	82,255	\$ 1,005,600
23	HRA Claims		124,539	160,060	109,681	117,444	251,882	197,810	221,526	153,671	208,078	206,155	179,700	222,785	\$ 2,153,331
24	HRA Administration		11,198	10,622	10,925	10,394	10,513	10,713	10,854	5,688	7,560	8,663	9,227	9,531	\$ 115,888
25	Other Expenses		66,491	709	8,573	(2,963)	211	11,372	3,012	10,251	306	9,598	2,018	898	\$ 110,476
26	ACA Taxes and Fees							1,016,797						68,973	\$ 1,085,770
27	Vaccination Assessment Fees			1,282	2,844	1,236	4,031		1,282	1,303	57,808	59,377	58,076	59,785	\$ 247,024
28	Contracts for Program Svcs			54,632											\$ 54,632
29	Total Combined Expenses		25,628,157	18,769,917	16,741,250	23,087,496	22,621,735	20,349,286	23,363,017	20,699,211	25,419,892	17,935,983	17,754,936	22,214,559	\$ 254,640,072
30															
31	Net Plan Fund Activity		(12,450,935)	801,929	3,221,976	3,498,104	(8,565,247)	5,854,143	(9,301,276)	6,148,225	(10,293,583)	8,915,661	3,535,925	(2,692,156)	(11,381,866)
32															
33	Cumulative Net Fund Activity	51,239,527	38,788,592	39,590,521	42,812,497	46,310,601	37,745,354	43,599,497	34,298,221	40,446,446	30,152,863	39,068,524	42,604,449	39,912,293	39,857,661
34															
35															\$9,923,898
36															(\$8,181,590)
37															\$41,599,970
38															
39															Less:
40															IBNR
41															(\$14,990,000)
42															Statutory Reserve (≥5%)
43															Actives
44															(\$9,159,000)
45															Troopers
46															(\$3,844,000)
															Retirees
															(\$4,063,000)
															Total Statutory Reserve
															(\$17,066,000)
															Cumulative Accrual Fund Balance: Net of IBNR & Stat Reserve
															\$9,543,970

Appendix A: Health and Dental Employee and Retiree Benefit Fund Detail

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
2		Fund	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	ALL FUNDS
3	DENTAL	Balance													(FYTD)
4	Revenue														
5	Total DENTAL Revenue - PLAN		800,256	820,018	816,940	1,215,749	814,547	829,815	893,470	899,279	659,285	1,330,740	876,627	885,266	10,841,992
6															
7	Expense														
8	Total DENTAL Expense - PLAN		772,782	803,197	740,290	803,465	987,112	756,192	1,091,452	1,067,594	1,276,618	1,023,023	673,584	1,162,555	11,157,865
9															
10	Net Plan Fund Activity - PLAN		27,474	16,821	76,649	412,284	(172,565)	73,623	(197,982)	(168,315)	(617,333)	307,717	203,043	(277,289)	(315,872)
11	Cumulative Fund Balance	1,035,123	1,062,597	1,079,418	1,156,067	1,568,351	1,395,787	1,469,409	1,271,428	1,103,112	485,779	793,496	996,539	719,251	719,251
12															
13									Add Receivables as of 6/30/16						\$38,188
14									Less Payables as of 6/30/16						(\$393,973)
15															\$363,466
16															
17									<i>Less:</i>						
18									IBNR						(\$352,000)
19									Statutory Reserve (≥5%)						(\$352,000)
20									Cumulative Accrual Fund Balance						(\$340,534)



JUL 18 2016 3:39 PM

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

July 18, 2016

Vicki Quiram, Commissioner
Department of Administrative Services
25 Capitol Street
Concord, NH 03301

Dear Commissioner Quiram:

This letter addresses the use of the two funds related to the health benefit program – the “Reserve Fund” established by RSA 21-I:30-b and c, and the “Management Fund” established by RSA 21-I:30-e.

There are two issues: 1) the appropriate use of reserve funds; and 2) the mechanism by which those funds can be spent.

Appropriate Use of Reserve Funds

RSA 21-I:30-b requires a reserve of three percent of claims and administrative costs as well as “the unpaid portion of ultimate expected losses, including incurred but not reported claims, and related expenses incurred in the provision of benefits for eligible participants...” (RSA 21-I:30-b, I(b)). The Reserve Fund is established in RSA 21-I:30-c which states, in pertinent part, “a reserve fund shall be established to protect the state from unexpected losses and self-insured losses and related expenses incurred in the provision of such a plan.”

RSA 21-I:30-b, I(b) also states that “if the state self-insures for more than one employee group plan, a reserve meeting the requirements of this paragraph must be maintained for each plan.” You have indicated that a reserve is accounted for separately for each of the following groups – active employees, troopers, retirees and dental benefits. The trooper and dental accounts are for “active” benefits.

The primary function of a self-insured health benefit plan is the payment of medical claims. As discussed below, these claims are typically paid from the Management Fund. However, if the Management Fund could not cover claims it would be appropriate to use the Reserve Fund, as this would be an unexpected or self-insured loss and an expense incurred in the provision of a self-insured plan.

You have also specifically inquired about the funding of a study focused on the long term status (financial and otherwise) of the retiree health plan. As this study would be done with the

objective of identifying options to maintain a financially viable plan for the benefit of retirees, it is our opinion that it would constitute a related expense incurred in the provision of the plan.

Mechanism to Spend Funds

While RSA 21-I:30-b discusses the establishment and use of the fund, RSA 21-I:30-c establishes the fund itself and states:

“In the event that the medical and surgical benefits under RSA 21-I:30 are provided using a self-funded alternative, a reserve fund shall be established to protect the state from unexpected losses and self-insured losses and related expenses incurred in the provision of such a plan. Such reserve fund shall be administered by the commissioner of administrative services and shall be nonlapsing.”

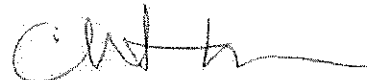
RSA 21-I:30-e establishes the Management Fund to pay for active and retiree health care expenses and any administrative costs related thereto. That fund is nonlapsing and continually appropriated (RSA 21-I:30-e(I)).

As you know, a fund that is nonlapsing does not have its contents revert back to the general fund at the end of the fiscal year or biennium. Both funds are nonlapsing. However, only the Management Fund is “continually appropriated.” This language has been consistently interpreted by Administrative Services and the Legislative Budget Assistant to mean that the funds have been authorized by appropriation of the legislature to be spent even if in excess of estimated levels. The Reserve Fund is not continually appropriated and, thus, a request of the Fiscal Committee, and to the extent necessary the Governor and Executive Council, to transfer or expend the funds would be necessary.

As we have discussed, in 2015, the Legislature authorized a reduction in the Reserve Fund from five percent to three percent in House Bill 2. This was, in effect, legislative authorization to transfer the two percent excess out of the Reserve. I understand that a decision was made to keep the Active and Retiree Reserve Funds at five percent. The Dental and Trooper reserves are kept at three percent and 100 percent respectively. However, as House Bill 2 is so recent and we are in the biennium which it covers, if the Department now wishes to reduce those amounts below five percent and release the funds for use pursuant to RSA 21-I:30-b and c, you could reasonably do so without further permission, based on the House Bill 2 authorization. However, absent legislative approval such as House Bill 2, or for use of funds from a reserve account that is already maintained at three percent, it is our opinion that releasing those funds for use would require Fiscal Committee approval.

I hope this analysis is helpful in your administration of the health benefit plan. Please do not hesitate to contact me with further questions.

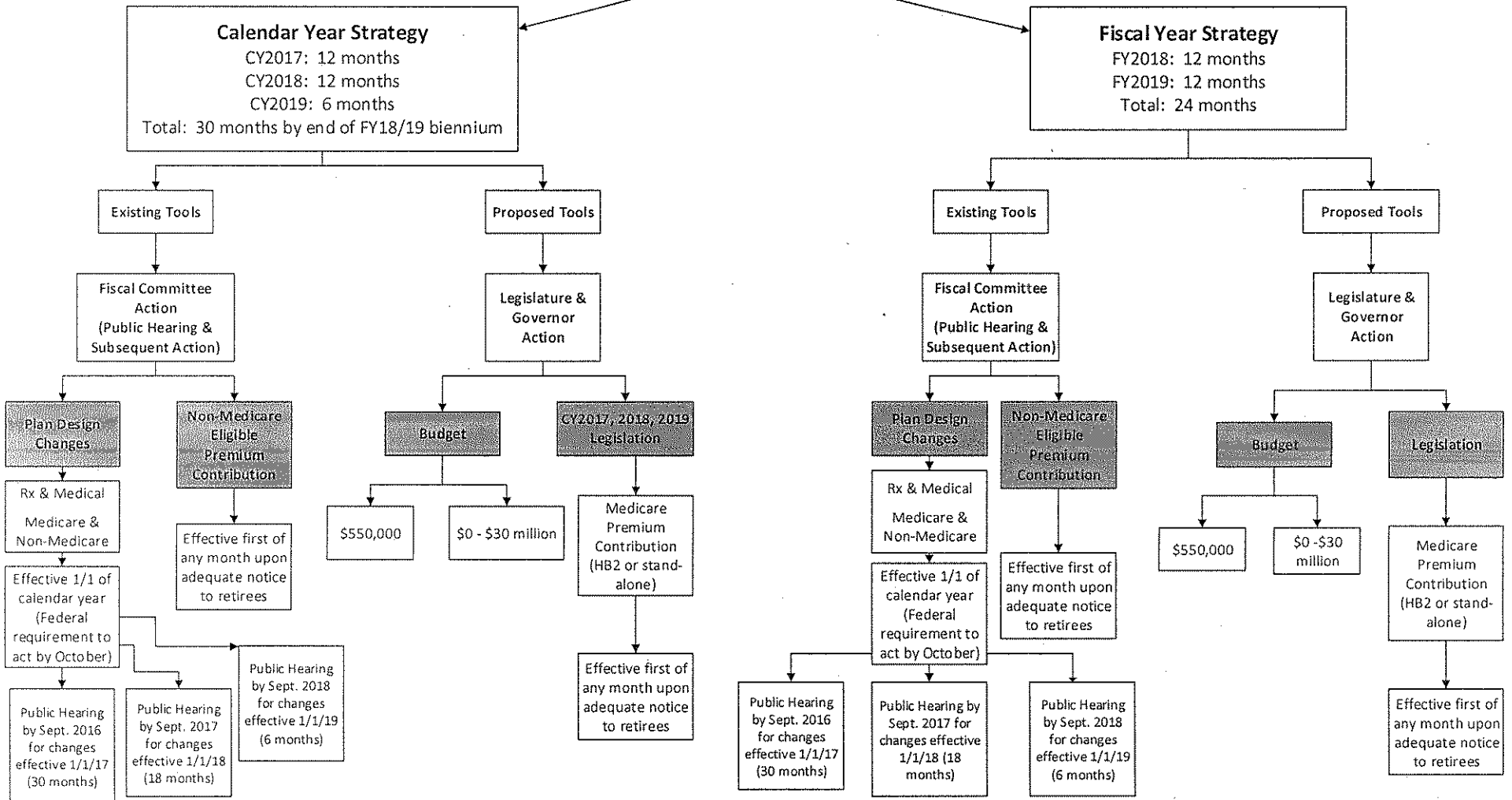
Sincerely,



Christen Lavers
Assistant Attorney General

Retiree Health Benefits Budget FY18/19

\$30 Million Increase from FY16/17



ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 16 117

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

July 19, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 7:12, authorize the Department of Justice (DOJ) to accept and expend a sum not to exceed \$1,352,300 from funds not otherwise appropriated for the purpose of covering projected shortfalls in general litigation expenses incurred in the defense of the State and the prosecution of criminal law effective with the approvals of the Fiscal Committee and the Governor and Executive Council through June 30, 2017.
100% General Funds

These funds are to be budgeted in account #02-20-20-200010-2601 entitled "Attorney General" as follows:

<u>Class/Expense</u>	<u>Class Title</u>	<u>Current Budget</u>	<u>Balance Forward</u>	<u>Available Balance</u>	<u>Projected Shortfall</u>	<u>Anticipated Expenditures</u>
233-500769	Litigation Expense	\$350,000	(\$56,891)	\$293,109	(\$1,352,300)	(\$1,645,409)

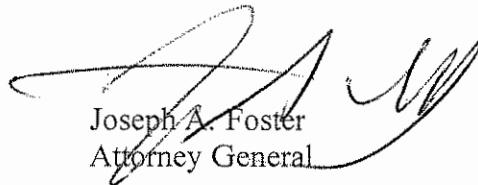
EXPLANATION

The DOJ was appropriated a sum of \$350,000 for general litigation expenses for Fiscal Year 2017. In addition, (\$56,891) was brought forward from Fiscal Year 2016. The Department estimates it will need approximately \$1,352,300 to cover on-going legal processes.

The Department will incur major litigation costs in the Criminal Bureau for cases that include *State v. Barnaby*, *State v. Miller* and *State v. Noyes*. The Department anticipates that it will incur estimated expenses of \$456,000 for various lab tests, crime scene evaluations and expert review for upcoming hearings. The Department expects to spend \$745,000 in the Civil Bureau for on-going outside counsel related to the State's Fair Labor Standard Act, payments to the Disability Rights Center, independent consulting services for DOJ civil case reviews and other civil matters. The Environmental Bureau is preparing for possible involvement needing outside expertise related to nuclear power plants estimated at approximately \$120,000. The Transportation and Charitable Bureaus' litigation costs are estimated to be approximately \$135,700.

Please let me know if you have any questions regarding this request. Your consideration is greatly appreciated.

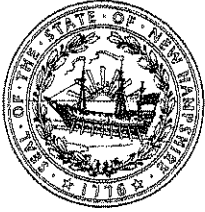
Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/

#1460533



State of New Hampshire

16 122

HEALTH AND HUMAN SERVICES OVERSIGHT COMMITTEE

Legislative Office Building, Room 205
Concord, New Hampshire 03301

June 20, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Dear Representative Kurk and Members of the Committee:

RSA 167:4, I(b) requires the Fiscal Committee to consider the New Hampshire Department of Health and Human Services' proposed administrative rules regarding asset transfers being promulgated under this section as reviewed and recommended by the Health and Human Services Oversight Committee established by RSA 126-A:13, et seq.

At its June 17, 2016 regular meeting, the Health and Human Services Oversight Committee voted to recommend the proposed rules pursuant to the attached committee report and addendum including the full text of the draft rules.

As an overview, these proposed rules are for the readoption and amendment of He-W 620.01 concerning the treatment of asset transfers for all categories of financial assistance and the adoption of PART He-W 820 concerning asset transfers for individuals, applying for or receiving nursing facility medical assistance or any home and community-based care (HCBC) services, and the community spouse. These rules prevent applicants and recipients from artificially impoverishing themselves to qualify for all categories of financial assistance and nursing facility or HCBC services. The rules are slated to expire on September 20, 2016.

The department's proposed rulemaking readopts the existing rules at He-W 620.01, replacing "district office" with "DHHS," "client" with "individual," and "NHEP/FAP" with "FANF," and removes those policies in the rules that are applicable to medical assistance only. The medical assistance policy is being adopted in PART He-W 820, under the new He-W 800 that has been established for medical assistance policy. Department program policy regarding the treatment of asset transfers remains unchanged in the proposed rulemaking and the rule does not impose new or changed requirements on eligible applicants or recipients of the cash assistance programs.

Therefore, the Health and Human Services Oversight Committee respectfully requests the Fiscal Committee's approval of these proposed rules on asset transfers at its August 5, 2016 meeting.

Sincerely,


Representative Frank R. Kotowski, Chairman
Health and Human Services Oversight Committee

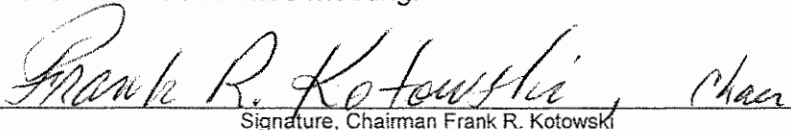
ENCLOSURE

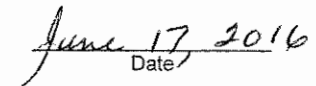
DATE: June 17, 2016
TO: Members of the Joint Legislative Fiscal Committee
FROM: Members of the Health and Human Services Oversight Committee
RE: **ACTION ITEM:** Report to the Joint Legislative Fiscal Committee on DHHS Proposed Rules

The Department of Health and Human Services (DHHS) is proposing to readopt with amendment administrative rule He-W 620.01 concerning the treatment of asset transfers for all categories of financial assistance and to adopt PART He-W 820 concerning asset transfers for individuals, applying for or receiving nursing facility medical assistance or any home and community-based care (HCBC) services, and the community spouse. These rules prevent applicants and recipients from artificially impoverishing themselves to qualify for all categories of financial assistance and nursing facility or Home and Community Based Care (HCBC) SERVICES. The rule is slated to expire on September 20, 2016.

DHHS' proposed rulemaking readopts the existing rules at He-W 620.01, replacing "district office" with "DHHS," "client" with "individual," and "NHEP/FAP" with "FANF," and removes those policies in the rules that are applicable to medical assistance only. The medical assistance policy is being adopted in PART He-W 820, under new He-W 800 that has been established for medical assistance policy. DHHS program policy regarding the treatment of asset transfers remains unchanged and the rules do not impose new or changed requirements on eligible applicants or recipients.

Attached is a draft copy of the rules for your review. DHHS has targeted the August 5, 2016 Joint Legislative Fiscal Committee meeting.


Signature, Chairman Frank R. Kotowski


Date

271-3334
Phone

Readopt with amendments He-W 620.01, effective 3-24-16 (Document #11058), as amended effective 1-25-12 (Document #10078), cited and to read as follows:

PART He-W 620 ASSET TRANSFERS

He-W 620.01 Asset Transfers.

~~(a) Asset transfers described in this rule shall be in addition to and shall not supersede Sections 1917(e)(2)(A), (B), (C) and (D) of the Social Security Act, 42 USC 1396p(e)(2)(A), (B), (C), and (D), as amended by Public Law 100-360, Public Law 100-485, Public Law 103-66, and Public Law 109-171.~~

~~(b)~~(a) A transfer of assets shall be considered to have been made when:

- (1) Action is taken that reduces or eliminates an individual's ownership or control of such assets;
- (2) Another person has been given access to the asset through joint ownership and any action is taken, either by the individual or by any other person, that reduces or eliminates such individual's ownership;
- (3) An instrument to transfer title at some future date has been completed by the individual who is applying for or receiving assistance and delivered to the individual who is to receive title;
- (4) An individual who is applying for or receiving financial assistance ~~or institutionalized care~~ has transferred or transfers title or ownership of an otherwise excluded home to another individual or entity, including a home, which has become income producing;
- (5) An individual places assets into an irrevocable trust or similar legal device, including an annuity;
- (6) An individual applying for or receiving financial assistance ~~or institutional care~~ obtains a reverse mortgage, a home equity conversion mortgage, or similar loan on an otherwise excluded home or other real property and transfers the proceeds to another individual;
- (7) An individual applying for or receiving financial assistance ~~or institutional care~~ converts a countable asset; or
- (8) An individual is entitled to an asset but does not receive the asset because of action:
 - a. By the individual or the individual's spouse;
 - b. By a person, including a court or administrative body, with legal authority to act in place of or on behalf of the individual or such individual's spouse; or
 - c. By any person, including any court or administrative body, acting at the direction or upon the request of the individual or such individual's spouse;

~~(9) An individual who is applying for or receiving medical assistance services described in 42 USC 1396p(c)(1) purchases a promissory note, loan, or mortgage, unless such note, loan, or mortgage:~~

~~a. Provides a repayment term that is actuarially sound pursuant to He-W 620.01(i)(3);~~

~~b. Provides for payments to be made in equal amounts during the term of the loan with no deferral and no balloon payments; and~~

~~c. Prohibits the cancellation of the balance upon the death of the lender; or~~

~~(10) An individual who is applying for or receiving medical assistance described in 42 USC 1396p(c)(1) purchases a life estate interest in another individual's home, unless they have resided in the home for a period of at least one year after the date of the purchase.~~

~~(b)(e)~~ Actions which would cause income or resources not to be received shall include but not be limited to:

(1) Irrevocably waiving pension income or any other form of income;

(2) Waiving an inheritance;

(3) Not accepting or accessing injury settlements, judgments, or court awards;

(4) Tort settlements which are diverted by the defendant into a trust or similar device to be held for the benefit of the plaintiff; or

(5) Refusal to take legal action to obtain a court ordered payment that is not being paid, such as child support or alimony.

~~(c)(d)~~ For individuals applying for or receiving financial assistance ~~or institutionalized medical assistance~~, the ~~district office~~ department of health and human services (DHHS) shall evaluate asset transfers to determine if the individual derived fair market value, as defined in He-W 601.04(b), from the transfer.

~~(d)(e)~~ The ~~district office~~ DHHS shall evaluate the transfer to determine if the individual derived fair market value, as defined in He-W 601.04(b), whenever an individual applying for or receiving financial assistance ~~or institutionalized medical assistance~~ has transferred, assigned or disposed of title or ownership of an otherwise excluded home to another individual or entity.

~~(e)(f)~~ Asset transfers in which the ~~client~~ individual receives fair market value shall require no further evaluation for asset transfer.

~~(f)(g)~~ For an asset to be considered transferred for fair market value or to be considered to be transferred for valuable consideration, the compensation received for the asset shall be in a tangible or otherwise assessable form with intrinsic value. A transfer of assets for love and consideration, or similar reasons, shall not be considered to be a transfer for fair market value.

~~(g)(h)~~ Although relatives may legitimately be paid for providing care, a transfer of assets to a relative for care provided in the past shall not be a transfer for fair market value. It shall be assumed that services provided for free in the past were intended to be provided without compensation unless it can be

rebutted with tangible evidence that a compensation arrangement had been agreed to in writing at the time services were provided.

(h)(i) When determining whether an individual has received fair market value for a transfer when a life estate has been established, the division shall:

- (1) Determine what the fair market value of the asset was at the time of transfer;
- (2) Take into account the individual's age at the time of the transfer; and
- (3) Calculate the value of the life estate using the life estate tables found in the Supplemental Security Income (SSI) Program Operations Manual System (POMS), section SI 01140.120 as follows:
 - a. The life estate value shall be established by multiplying the market value of the asset by the life estate factor that corresponds to the individual's age at the time of the transfer;
 - b. The value of the life estate shall be subtracted from the value of the asset transferred; and
 - c. The difference between the value of the life estate and the amount the individual was reimbursed for the remainder interest shall be the portion of the asset transferred for less than fair market value.

(i)(j) When determining whether an individual or spouse has received fair market value for a transfer of assets into an annuity, ~~DHHS~~the department shall:

- (1) Determine the fair market value of the asset at the time of transfer into the annuity;
- (2) Determine if the expected return on the annuity is commensurate with a reasonable estimate of the life expectancy of the beneficiary in order to determine whether the annuity is actuarially sound;
- (3) Use the life expectancy tables published by the office of the chief actuary of the social security administration, pursuant to ~~42 USC 1396p(e)(1)(G)(ii)(II)~~;
- (4) Determine that the individual has received fair market value for the annuity if the average number of years of expected life remaining for the individual coincides or exceeds the life of the annuity; and
- (5) Determine that the individual did not receive fair market value for the annuity if the average number of years of expected life remaining for the individual is less than the life of the annuity.

~~(j)(k)~~ The background information of the asset transfer shall be evaluated further to determine if assets might have been transferred for purposes of qualifying for financial assistance ~~or medical assistance as an institutionalized individual~~, if the ~~district office~~ DHHS determines that the individual did not receive fair market value from the transfer.

(k)(l) Factors to be evaluated in assessing asset transfers shall include:

- (1) Timeframes between the transfer of assets and the date of application;
- (2) The individual's health at the time of the transfer; and
- (3) The individual's economic situation at the time of the transfer.

~~(l)(m)~~ The transfer shall be considered questionable if the evaluation of background information of the transfer suggests that the individual transferred assets for purposes of qualifying for financial assistance ~~or medical assistance as an institutionalized individual~~ or results in qualifying earlier than otherwise would have been possible if the individual had retained all of the asset(s).

~~(m)(n)~~ The individual shall provide additional information and documentation to demonstrate that assets were not transferred for purposes of qualifying for assistance, if the transfer is considered questionable.

~~(n)(e)~~ Reasons for transferring assets for purposes other than qualifying for assistance shall include:

- (1) The individual transferred the asset to prevent foreclosure or sale of the asset by the lien holder, thus preventing total loss of the asset;
- (2) The individual transferred the asset for self-support because the individual's income and resources were insufficient to meet basic needs or to maintain upkeep of the asset, such as taxes and repairs, and the individual's basic needs were provided for in return for the transfer, or the individual lived off the proceeds of the asset;
- (3) The individual transferred the asset to meet the terms of a written agreement, including debts arising from such agreement;
- (4) The individual transferred the asset to meet the terms of an oral agreement, including debts arising from such agreement; or
- (5) The individual is not able to afford to take the necessary action to obtain the asset or the cost of obtaining the asset is greater than the asset is worth, resulting in a case of failure to cause assets to be received.

~~(o)(p)~~ The burden of proof for substantiating the fact that assets were not transferred for purposes of qualifying for assistance shall rest with the individual.

~~(p)(e)~~ If the individual refuses or fails to prove that assets were not transferred for purposes of qualifying for financial assistance, ~~the district office~~ DHHS shall determine that assets were transferred for the purposes of qualifying for assistance and shall deny financial assistance:

- (1) For 60 months from the date of the transfer to an irrevocable trust when the principal is not available to the individual; and
- (2) For 36 months from the date of all other transfers.

~~(r) If the individual refuses or fails to prove that assets were not transferred for purposes of qualifying for medical assistance, the district office shall determine that the assets were transferred for the purposes of qualifying for assistance and the individual shall be ineligible pursuant to (s) below for:~~

- ~~(1) Nursing facility services;~~
- ~~(2) A level of care in any institution equivalent to that of nursing facility services; and~~
- ~~(3) Home and community based services.~~

~~(s) The department shall use the following methodologies to determine the number of months of ineligibility for the services described in (r) above for an individual who has transferred property for purposes of qualifying for medical assistance:~~

~~(1) The penalty date for all individuals who transfer assets for less than fair market value in order to make themselves eligible for assistance prior to February 8, 2006, shall be the first day of the month in which the asset was transferred, provided that the date does not occur during an existing penalty period as described in (s)(5) below;~~

~~(2) The penalty date for all individuals who transfer assets for less than fair market value in order to make themselves eligible for assistance on or after February 8, 2006, shall be whichever is later:~~

~~a. The first day the individual met all other eligibility criteria and would be eligible but for the transfer, provided that the date does not occur during an existing penalty period as described in (5) below; or~~

~~b. The first day of a month after which assets have been transferred provided that the date does not occur during an existing penalty period as described in (5) below;~~

~~(3) When an individual or an individual's spouse makes multiple fractional transfers of assets in more than one month for less than fair market value, the penalty shall be based on the total cumulative uncompensated value of all such transfers, pursuant to 42 USC 1396p(e)(1);~~

~~(4) The penalty period shall be based solely on the value of the assets transferred;~~

~~(5) When a countable transfer takes place during an existing penalty period, a new penalty period shall not begin until the existing penalty period has expired;~~

~~(6) When an individual makes a series of transfers within one month, the total value of the individual transfers for the month shall be used to calculate the penalty;~~

~~(7) The penalty period shall be the number of months equal to:~~

~~a. The uncompensated value of assets transferred by the individual, divided by the average statewide monthly nursing facility private rate; and~~

~~b. The average statewide daily nursing facility rate shall be established by dividing the average statewide monthly nursing facility private rate, as determined and updated annually by the division's bureau of audits and rate setting, by 30.42;~~

~~(8) When the penalty period consists of any number of full months and a partial month, the partial month penalty period shall apply in accordance with He W 620.01(s)(10);~~

~~(9) When the amount of the transfer is less than the average statewide monthly nursing facility private rate, a partial month penalty shall apply;~~

~~(10) To determine the number of days the partial month penalty shall be in effect, the uncompensated value of assets transferred by the individual shall be divided by the average daily nursing facility rate described in He W 620.01(s)(7)b;~~

~~(11) When assets have been transferred so that the penalty periods overlap, the individual penalty periods shall be calculated and imposed sequentially;~~

~~(12) When multiple transfers are made in such a way that the penalty period for each transfer would not overlap, each transfer shall be treated as a separate event, each with its own penalty period;~~

~~(13) When a spouse of an individual transfers an asset that results in a penalty for the individual, the penalty period shall be apportioned between the spouses when:~~

~~a. The spouse either is or becomes eligible for Medicaid;~~

~~b. A penalty could be assessed against the spouse; and~~

~~c. Some portion of the penalty against the individual remains at the time the above conditions are met;~~

~~(14) When the penalty period for an individual is interrupted due to the death of the individual or due to discharge from institutionalized care, the remaining penalty period applicable to both spouses shall be served by the remaining spouse; and~~

~~(15) A penalty period imposed for a transfer of assets shall run continuously from the first date of the penalty period, regardless of whether the individual remains institutionalized.~~

~~(q)(t)~~ A penalty shall not be assessed for transfers of assets for less than fair market value under any of the following circumstances:

(1) The individual intended and attempted to dispose of the asset either at fair market value, or for other valuable consideration, and circumstances caused the individual to transfer the asset for less than fair market value;

(2) The individual transferred the assets for a purpose other than to qualify for medical financial assistance; or

(3) All of the assets transferred for less than fair market value have been returned to the individual.

~~(r)(t)~~ Individuals claiming that circumstances caused the asset to be transferred for less than fair market value pursuant to ~~(q)(t)~~(1) above, shall provide documentation of:

- (1) The individual's attempt to dispose of the asset at fair market value, or for other valuable consideration; and
- (2) The value at which the asset was disposed.

~~(s)(v)~~ Individuals claiming that assets were transferred for a purpose other than to qualify for ~~medical-financial~~ assistance pursuant to ~~(q)(t)(2)~~ above, shall provide documentation of:

- (1) The specific purpose for which the asset was transferred; and
- (2) The reason it was necessary to transfer the asset for less than fair market value.

~~(t)(w)~~ If a penalty was assessed for transferring an asset for less than fair market value and the asset was returned to the individual, then ~~the department~~ DHHS shall:

~~(1) Generate a retroactive adjustment back to the beginning of the penalty period if the individual met all other eligibility criteria; or~~ Forma

~~(2) Redetermine the penalty period pursuant to (s) above, when only part of an asset, or its equivalent value, has been returned.~~ Forma

~~(x) Asset transfer penalties shall not be imposed due to undue hardship pursuant to RSA 167:4, III-a and 42 USC 1396p(c)(2)(D) as amended by Public Law 109-171 Section 6011.~~

APPENDIX

<u>Rule</u>	<u>Federal Reg./RSA</u>
He-W 620.01	RSA 161:4-a, II; RSA 167:3-c, I; RSA 167:4, I(b)

Adopt PART He-W 820 to read as follows:

PART He-W 820 ASSET TRANSFERS

He-W 820.01 Asset Transfers.

(a) Asset transfers described in this rule shall:

- (1) Be in addition to and shall not supersede 42 USC 1396p(c)(2)(A); (B), (C), and (D);
- (2) Include every type of income and resource, unless otherwise noted in this rule; and
- (3) Apply to:
 - a. Individuals applying for or receiving institutionalized medical assistance or any category of home and community-based care, pursuant to He-W 856.01(d); and
 - b. The individual's spouse.

(b) A transfer of assets shall be considered to have been made when:

- (1) Action is taken that reduces or eliminates an individual's ownership or control of such assets;
- (2) Another person has been given access to the asset through joint ownership and any action is taken, either by the individual or by any other person, that reduces or eliminates such individual's ownership;
- (3) An instrument to transfer title at some future date has been completed by the individual who is applying for or receiving assistance and delivered to the individual who is to receive title;
- (4) An individual who is applying for or receiving assistance has transferred or transfers title or ownership of an otherwise excluded home to another individual or entity, including a home, which has become income producing;
- (5) An individual places assets into an irrevocable trust or similar legal device, including an annuity;
- (6) An individual applying for or receiving assistance obtains a reverse mortgage, a home equity conversion mortgage, or similar loan on an otherwise excluded home or other real property and transfers the proceeds to another individual;
- (7) An individual applying for or receiving assistance converts a countable asset;
- (8) An individual is entitled to an asset but does not receive the asset because of action:
 - a. By the individual or the individual's spouse;
 - b. By a person, including a court or administrative body, with legal authority to act in place of or on behalf of the individual or such individual's spouse; or

c. By any person, including any court or administrative body, acting at the direction or upon the request of the individual or such individual's spouse;

(9) An individual who is applying for or receiving medical assistance services described in 42 USC 1396p(c)(1) purchases a promissory note, loan, or mortgage, unless such note, loan, or mortgage:

- a. Provides a repayment term that is actuarially sound pursuant to (i)(3) below;
- b. Provides for payments to be made in equal amounts during the term of the loan with no deferral and no balloon payments; and
- c. Prohibits the cancellation of the balance upon the death of the lender; or

(10) An individual who is applying for or receiving medical assistance described in 42 USC 1396p(c)(1) purchases a life estate interest in another individual's home, unless they have resided in the home for a period of at least one year after the date of the purchase.

(c) Actions which would cause income or resources not to be received shall include but not be limited to:

- (1) Irrevocably waiving pension income or any other form of income;
- (2) Waiving an inheritance;
- (3) Not accepting or accessing injury settlements, judgments, or court awards;
- (4) Tort settlements which are diverted by the defendant into a trust or similar device to be held for the benefit of the plaintiff; or
- (5) Refusal to take legal action to obtain a court ordered payment that is not being paid, such as child support or alimony.

(d) For individuals applying for or receiving assistance, the department of health and human services (DHHS) shall evaluate asset transfers to determine if the individual derived fair market value, as defined in He-W 601.04(b), from the transfer.

(e) DHHS shall evaluate the transfer to determine if the individual derived fair market value, as defined in He-W 601.04(b), whenever an individual applying for or receiving assistance has transferred, assigned or disposed of title or ownership of an otherwise excluded home to another individual or entity.

(f) Asset transfers in which the individual receives fair market value shall require no further evaluation for asset transfer.

(g) For an asset to be considered transferred for fair market value or to be considered to be transferred for valuable consideration, the compensation received for the asset shall be in a tangible or otherwise assessable form with intrinsic value. A transfer of assets for love and consideration, or similar reasons, shall not be considered to be a transfer for fair market value.

(h) Although relatives may legitimately be paid for providing care, a transfer of assets to a relative for care provided in the past shall not be a transfer for fair market value. It shall be assumed that services provided for free in the past were intended to be provided without compensation unless it can be rebutted with tangible evidence that a compensation arrangement had been agreed to in writing at the time services were provided.

(i) When determining whether an individual has received fair market value for a transfer when a life estate has been established, DHHS shall:

- (1) Determine what the fair market value of the asset was at the time of transfer;
- (2) Take into account the individual's age at the time of the transfer; and
- (3) Calculate the value of the life estate using the life estate tables found in the Supplemental Security Income (SSI) Program Operations Manual System (POMS), section SI 01140.120 as follows:
 - a. The life estate value shall be established by multiplying the market value of the asset by the life estate factor that corresponds to the individual's age at the time of the transfer;
 - b. The value of the life estate shall be subtracted from the value of the asset transferred; and
 - c. The difference between the value of the life estate and the amount the individual was reimbursed for the remainder interest shall be the portion of the asset transferred for less than fair market value.

(j) When determining whether an individual or spouse has received fair market value for a transfer of assets into an annuity, DHHS shall:

- (1) Determine the fair market value of the asset at the time of transfer into the annuity;
- (2) Determine if the expected return on the annuity is commensurate with a reasonable estimate of the life expectancy of the beneficiary to determine whether the annuity is actuarially sound;
- (3) Use the life expectancy tables published by the office of the chief actuary of the social security administration, pursuant to 42 USC 1396p(c)(1)(G)(ii)(II);
- (4) Determine that the individual has received fair market value for the annuity if the average number of years of expected life remaining for the individual coincides or exceeds the life of the annuity; and
- (5) Determine that the individual did not receive fair market value for the annuity if the average number of years of expected life remaining for the individual is less than the life of the annuity.

(k) The background information of the asset transfer shall be evaluated further to determine if assets might have been transferred for purposes of qualifying for assistance if DHHS determines that the individual did not receive fair market value from the transfer.

(l) Factors to be evaluated in assessing asset transfers shall include:

- (1) Timeframes between the transfer of assets and the date of application;
- (2) The individual's health at the time of the transfer; and
- (3) The individual's economic situation at the time of the transfer.

(m) The transfer shall be considered questionable if the evaluation of background information of the transfer suggests that the individual transferred assets for purposes of qualifying for assistance or results in qualifying earlier than otherwise would have been possible if the individual had retained all of the asset(s).

(n) The individual shall provide additional information and documentation to demonstrate that assets were not transferred for purposes of qualifying for assistance, if the transfer is considered questionable.

(o) Reasons for transferring assets for purposes other than qualifying for assistance shall include:

- (1) The individual transferred the asset to prevent foreclosure or sale of the asset by the lien holder, thus preventing total loss of the asset;
- (2) The individual transferred the asset for self-support because the individual's income and resources were insufficient to meet basic needs or to maintain upkeep of the asset, such as taxes and repairs, and the individual's basic needs were provided for in return for the transfer, or the individual lived off the proceeds of the asset;
- (3) The individual transferred the asset to meet the terms of a written agreement, including debts arising from such agreement;
- (4) The individual transferred the asset to meet the terms of an oral agreement, including debts arising from such agreement; or
- (5) The individual is not able to afford to take the necessary action to obtain the asset or the cost of obtaining the asset is greater than the asset is worth, resulting in a case of failure to cause assets to be received.

(p) The burden of proof for substantiating the fact that assets were not transferred for purposes of qualifying for assistance shall rest with the individual.

(q) If the individual refuses or fails to prove that assets were not transferred for purposes of qualifying for medical assistance, DHHS shall determine that the assets were transferred for the purposes of qualifying for assistance and the individual shall be ineligible pursuant to (r) below for:

- (1) Nursing facility services;
- (2) A level of care in any institution equivalent to that of nursing facility services; and
- (3) All categories of home and community-based services.

(r) To determine the number of months of ineligibility for the services described in (q) above for an individual who has transferred property for purposes of qualifying for medical assistance the following methodologies shall be used:

(1) The penalty date for all individuals who transfer assets for less than fair market value to make themselves eligible for assistance prior to February 8, 2006, shall be the first day of the month in which the asset was transferred, provided that the date does not occur during an existing penalty period as described in (5) below;

(2) The penalty date for all individuals who transfer assets for less than fair market value to make themselves eligible for assistance on or after February 8, 2006, shall be whichever is later:

a. The first day the individual met all other eligibility criteria and would be eligible but for the transfer, provided that the date does not occur during an existing penalty period as described in (5) below; or

b. The first day of a month after which assets have been transferred provided that the date does not occur during an existing penalty period as described in (5) below;

(3) When an individual or an individual's spouse makes multiple fractional transfers of assets in more than one month for less than fair market value, the penalty shall be based on the total cumulative uncompensated value of all such transfers, pursuant to 42 USC 1396p(c)(1);

(4) The penalty period shall be based solely on the value of the assets transferred;

(5) When a countable transfer takes place during an existing penalty period, a new penalty period shall not begin until the existing penalty period has expired;

(6) When an individual makes a series of transfers within one month, the total value of the individual transfers for the month shall be used to calculate the penalty;

(7) The penalty period shall be the number of months equal to:

a. The uncompensated value of assets transferred by the individual, divided by the average statewide monthly nursing facility private rate; and

b. The average statewide daily nursing facility rate shall be established by dividing the average statewide monthly nursing facility private rate, as determined and updated annually by the division's bureau of audits and rate setting, by 30.42;

(8) When the penalty period consists of any number of full months and a partial month, the partial month penalty period shall apply in accordance with (10) below;

(9) When the amount of the transfer is less than the average statewide monthly nursing facility private rate, a partial month penalty shall apply;

(10) To determine the number of days the partial month penalty shall be in effect, the uncompensated value of assets transferred by the individual shall be divided by the average daily nursing facility rate described in (7)b. above;

(11) When assets have been transferred so that the penalty periods overlap, the individual penalty periods shall be calculated and imposed sequentially;

(12) When multiple transfers are made in such a way that the penalty period for each transfer would not overlap, each transfer shall be treated as a separate event, each with its own penalty period;

(13) When a spouse of an individual transfers an asset that results in a penalty for the individual, the penalty period shall be apportioned between the spouses when:

- a. The spouse either is or becomes eligible for Medicaid;
- b. A penalty could be assessed against the spouse; and
- c. Some portion of the penalty against the individual remains at the time the above conditions are met;

(14) When the penalty period for an individual is interrupted due to the death of the individual or due to discharge from institutionalized care, the remaining penalty period applicable to both spouses shall be served by the remaining spouse; and

(15) A penalty period imposed for a transfer of assets shall run continuously from the first date of the penalty period, regardless of whether the individual remains institutionalized.

(s) A penalty shall not be assessed for transfers of assets for less than fair market value under any of the following circumstances:

(1) The individual intended and attempted to dispose of the asset either at fair market value, or for other valuable consideration, and circumstances caused the individual to transfer the asset for less than fair market value;

(2) The individual transferred the assets for a purpose other than to qualify for medical assistance; or

(3) All of the assets transferred for less than fair market value have been returned to the individual.

(t) Individuals claiming that circumstances caused the asset to be transferred for less than fair market value pursuant to (s)(1) above, shall provide documentation of:

(1) The individual's attempt to dispose of the asset at fair market value, or for other valuable consideration; and

(2) The value at which the asset was disposed.

(u) Individuals claiming that assets were transferred for a purpose other than to qualify for medical assistance pursuant to (s)(2) above, shall provide documentation of:

(1) The specific purpose for which the asset was transferred; and

(2) The reason it was necessary to transfer the asset for less than fair market value.

(v) If a penalty was assessed for transferring an asset for less than fair market value and the asset was returned to the individual, then DHHS shall:

(1) Generate a retroactive adjustment back to the beginning of the penalty period if the individual met all other eligibility criteria; or

(2) Redetermine the penalty period pursuant to (r) above, when only part of an asset, or its equivalent value, has been returned.

(w) Asset transfer penalties shall not be imposed due to undue hardship pursuant to RSA 167:4, III-a and 42 USC 1396p(c)(2)(D).

APPENDIX

Rule	Federal Reg./RSA
He-W 820.01 (formerly He-W 620.01)	RSA 126-A:4-b,(a); RSA 161:4-a, II; RSA 167:3-c, I; RSA 167:4, I(b), III-a, & IV; 42 USC 1396p(c)



New Hampshire Liquor Commission

50 Storrs Street, P.O. Box 503
 Concord, N.H. 03302-0503
 (603) 230-7026

Joseph W. Mollica
 Chairman

Michael R. Milligan
 Deputy Commissioner

July 18, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 107 North Main Street
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Executive Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 177:2 II, Closing of State Stores, the New Hampshire Liquor Commission respectfully request Fiscal Committee and Governor and Council approval of the Indirect Cost Allocation Plan for State Fiscal Year 2017. The Indirect Cost Allocation Plan reflects the operating expenses of each state store and the expenses of the Commission and then allocated to all state stores on a consistent, rational basis.

II. In order to properly reflect the operating expenses of each state store, the commission shall prepare annually an indirect cost allocation plan for all indirect operating expenses of the commission. All such expenses of the commission, with the exception of the enforcement and licensing division operating expenses, shall be included in the plan and allocated to all state stores on a consistent, rational basis. No later than 30 days following the closure of any state liquor store, the commission shall submit a revised indirect cost allocation plan to the fiscal committee of the general court and the governor and council for approval.

EXPLANATION

On June 20, 2016 the Liquor Commission closed State Liquor Store #46 located at 46 North Main Street, Ashland, New Hampshire which necessitates this request. Please accept the indirect cost plan for Fiscal Year 2017, based on Fiscal Year 2017 approved budget amounts. On a total budget \$62,751,282, the indirect cost amount to be allocation back to the liquors stores is \$7,505,606 which is allocated to the stores based on each stores percentage of total sales.

**STATE OF NEW HAMPSHIRE
LIQUOR COMMISSION
INDIRECT COST ALLOCATION PLAN - INDIRECT EXPENSES
FISCAL YEAR ENDED JUNE 30, 2017**

<u>BUDGETARY OPERATING EXPENSES:</u>	<u>CLASSIFICATION</u>	<u>FY16 TOTAL</u>	<u>OPERATING POOL</u>	<u>INDIRECT POOL</u>	<u>UNALLOWABLE</u>
OFFICE OF THE COMMISSION	INDIRECT	\$973,299	NA	\$973,299	NA
INFORMATION TECHNOLOGY	INDIRECT	3,068,770	NA	3,068,770	NA
FINANCIAL ACCOUNTING	INDIRECT	2,774,648	NA	2,774,648	NA
HUMAN RESOURCES	INDIRECT	688,889	NA	688,889	NA
MARKETING AND MERCHANDISING	DIRECT	1,038,741	1,038,741	NA	NA
PURCHASING	DIRECT	71,579	71,579	NA	NA
STORE OPERATIONS - ALL STORES	BASE	46,907,152		NA	NA
ADVERTISING	DIRECT	2,300,000	2,300,000	NA	NA
WAREHOUSE AND TRANSPORTATION	DIRECT	410,642	410,642	NA	NA
ENFORCEMENT, LICENSING, EDUCATION & GRANTS	NOT ALLOWABLE	4,517,562	NA	NA	4,517,562
		<u>\$62,751,282</u>	<u>\$3,820,962</u>	<u>\$7,505,606</u>	<u>\$4,517,562</u>

ALLOCATION PLAN FOR INDIRECT EXPENSES:

Allocation for Stores indirect expenses (includes the following organizations - Commission, IT, Financial Accounting and Human Resources)
Total of \$7,505,606 allocated to the 79 retail store locations based on their respective percentage contribution to total sales.

Respectfully Submitted,
New Hampshire State Liquor Commission



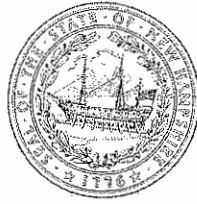
Joseph W. Mollica, Chairman

STATE OF NEW HAMPSHIRE

FIS 16 121

CONSUMER ADVOCATE
Donald M. Kreis

ASSISTANT CONSUMER ADVOCATE
Pradip K. Chattopadhyay



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OFFICE OF CONSUMER ADVOCATE

21 S. Fruit St., Suite 18
Concord, NH 03301-2441

July 19, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 363:28, III, authorize the Office of the Consumer Advocate (OCA) to enter into a contract with Strategen Consulting, LLC of 2150 Allston Way, Suite 210, Berkeley, California 94704 (Vendor #274255) to provide expert services to support the OCA's participation in the Net Energy Metering proceeding, Docket No. DE 16-576, before the New Hampshire Public Utilities Commission in an amount not to exceed \$75,000 effective upon the date of Fiscal Committee and Governor and Council approvals through June 30, 2017.

Funds will be available in account 02-81-81-812010-50040000-046-500464, OCA Special Assessments:

<u>FY 2017</u>	<u>Total</u>
\$75,000	\$75,000

EXPLANATION

2016 N.H. Laws Chapter 31, House Bill 1116 increased from 50 to 100 megawatts the cap on generation capacity used by eligible customer-generators to export surplus energy to the electricity grid in New Hampshire. The measure also instructed the New Hampshire Public Utilities Commission (PUC) to open, and to complete by March 2, 2017, a proceeding to develop "new alternative net metering tariffs, which may include other regulatory mechanisms" and to "determine whether and to what extent such tariffs should be limited in their availability within each electric distribution utility's service territory." Among the subjects the General Court specifically directed the PUC to consider is "an avoidance of unjust and unreasonable cost shifting" to customer-generators

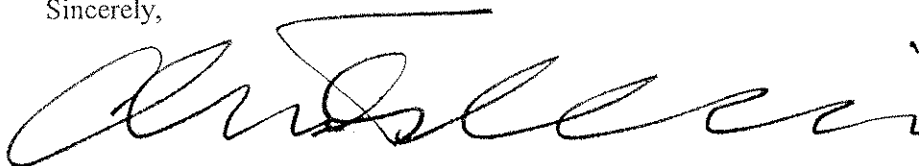
from other customers. Because the OCA represents the interests of *all* residential utility customers, this issue is of special concern to the OCA and it is essential that the OCA participate vigorously in the net metering proceeding. In the absence of sufficient in-house expertise to address issues related to net metering in comprehensive fashion, the OCA has obtained the services of a consulting firm with experience in providing unbiased and independent analytical support to ratepayer advocates in connection with net energy metering. To mitigate the logistical challenges of working with a consulting firm based in California, the contractor has subcontracted with a partner firm (Sustainable Energy Advantage, LLC of Framingham, Massachusetts) whose representatives will provide day-to-day support of the OCA in connection with meetings at the PUC in the early phases of the docket.

RFP Process and Selection

On May 18, 2016, the OCA provided a Request for Proposals (RFP) to consulting firms that work in the field of utility regulation, many of which were recommended by other Consumer Advocate offices around the country. The OCA also posted the RFP on our website. Five firms responded with proposals meeting the requirements of the RFP. Strategen Consulting, LLC proposed a competitive hourly rate, access to expert staff on the issues the PUC and OCA must address pursuant to HB 1116, and a not to exceed price to complete the contract. The OCA chose Strategen Consulting as the winning bidder based on the combination of expertise, direct experience and competitive price. Information about Strategen Consulting, LLC is provided in Exhibit E.

Thank you for your consideration. Please do not hesitate to contact me with any questions or concerns.

Sincerely,



Donald M. Kreis
Consumer Advocate

Enclosures:

- RSA 363:28
- Bid Summary
- General Provisions Agreement, P-37
- Exhibit A – Scope of Services
- Exhibit B – Method of Payment
- Exhibit C – Special Provisions
- Certificate of Good Standing
- Certificate of Authority
- Certificate of Insurance

363:28 Office of the Consumer Advocate. –

I. The office of the consumer advocate shall be an independent agency administratively attached to the public utilities commission pursuant to RSA 21-G:10. The office shall consist of the following:

(a) A consumer advocate, appointed by the governor and council, who shall be a qualified attorney admitted to practice in this state. The consumer advocate shall serve a 4-year term and until a successor is appointed and qualified.

(b) An assistant consumer advocate appointed by the consumer advocate, who shall be a full-time classified employee.

(c) A secretary appointed by the consumer advocate.

(d) Two additional staff people appointed by the consumer advocate. When filling these positions, the consumer advocate should consider appointing rate analysts or economists.

II. Except as pertains to any end user of an excepted local exchange carrier or services provided to such end user, the consumer advocate shall have the power and duty to petition for, initiate, appear or intervene in any proceeding concerning rates, charges, tariffs, and consumer services before any board, commission, agency, court, or regulatory body in which the interests of residential utility consumers are involved and to represent the interests of such residential utility consumers.

III. The consumer advocate shall have authority to contract for outside consultants within the limits of funds available to the office. With the approval of the fiscal committee of the general court and the governor and council, the office of the consumer advocate may employ experts to assist it in proceedings before the public utilities commission, and may pay them reasonable compensation. The public utilities commission shall charge a special assessment for any such amounts against any utility participating in such proceedings and shall provide for the timely recovery of such amounts for the affected utility.

IV. The consumer advocate shall have authority to promote and further consumer knowledge and education.

V. The consumer advocate shall publicize the Link-Up New Hampshire and Lifeline Telephone Assistance programs in order to increase public awareness and utilization of these programs.

VI. The filing party shall provide the consumer advocate with copies of all confidential information filed with the public utilities commission in adjudicative proceedings in which the consumer advocate is a participating party and the consumer advocate shall maintain the confidentiality of such information.

Vendor Submission Criteria

NH Office of the Consumer Advocate

(DK) Donald Kreis, Consumer Advocate; (PC) Dr. Pradip Chattopadhyay, Assistant Consumer Advocate; (NC) Nicholas Cicale, Attorney; (JB) James Brennan, Finance Director

VENDOR : Acadian Consulting Group, LLC	Max Points	DK	PC	NC	JB	AVG
A. Knowledge and practical skills and experience to the project	25	22	25	25	14	
B. Experience & qualifications in providing similar services in NH and other states and to other state utility CAs or regulatory agencies	10	9	8	5	5	
C. Availability & accessibility of staff to project including physical proximity to NH and travel costs	10	7	7	5	7	
D. Ability to perform and complete the work requested	25	25	25	25	16	
E. Cost of consulting services and expenses	20	14	15	10	18	
F. Overall responsiveness to the requirements of the RFP	10	10	10	10	8	
Criteria Score	100	87	90	80	68	81.25

VENDOR: Ben Johnson Associates, Inc.	Max Points	DK	PC	NC	JB	AVG
A. Knowledge and practical skills and experience to the project	25	10	18	18	13	
B. Experience & qualifications in providing similar services in NH and other states and to other state utility CAs or regulatory agencies	10	5	10	7	4	
C. Availability & accessibility of staff to project including physical proximity to NH and travel costs	10	5	8	6	7	
D. Ability to perform and complete the work requested	25	18	25	18	15	
E. Cost of consulting services and expenses	20	15	16	12	18	
F. Overall responsiveness to the requirements of the RFP	10	5	8	10	8	
Criteria Score	100	58	85	71	65	69.75

Vendor Submission Criteria

VENDOR : Christensen Associates Energy Consulting, LLC	Max Points	DK	PC	NC	JB	AVG
A. Knowledge and practical skills and experience to the project	25	20	25	25	14	
B. Experience & qualifications in providing similar services in NH and other states and to other state utility CAs or regulatory agencies	10	10	6	5	6	
C. Availability & accessibility of staff to project including physical proximity to NH and travel costs	10	5	8	5	7	
D. Ability to perform and complete the work requested	25	23	25	25	17	
E. Cost of consulting services and expenses	20	9	10	5	15	
F. Overall responsiveness to the requirements of the RFP	10	8	10	10	8	
Criteria Score	100	75	84	75	67	75.25

VENDOR: PCMG and Associates, LLC	Max Points	DK	PC	NC	JB	AVG
A. Knowledge and practical skills and experience to the project	25	10	20	25	14	
B. Experience & qualifications in providing similar services in NH and other states and to other state utility CAs or regulatory agencies	10	7	8	6	5	
C. Availability & accessibility of staff to project including physical proximity to NH and travel costs	10	7	8	6	7	
D. Ability to perform and complete the work requested	25	18	25	25	15	
E. Cost of consulting services and expenses	20	20	20	20	18	
F. Overall responsiveness to the requirements of the RFP	10	8	8	10	7	
Criteria Score	100	70	89	92	66	79.25

Vendor Submission Criteria

VENDOR : Strategen Consulting, LLC	Max Points	DK	PC	NC	JB	AVG
A. Knowledge and practical skills and experience to the project	25	25	25	20	25	
B. Experience & qualifications in providing similar services in NH and other states and to other state utility CAs or regulatory agencies	10	10	6	7	10	
C. Availability & accessibility of staff to project including physical proximity to NH and travel costs	10	9	8	10	7	
D. Ability to perform and complete the work requested	25	24	25	16	25	
E. Cost of consulting services and expenses	20	5	8	4	18	
F. Overall responsiveness to the requirements of the RFP	10	10	9	10	10	
Criteria Score	0	83	81	67	95	81.5

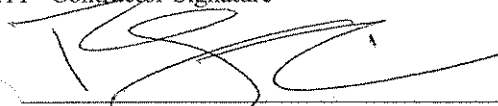
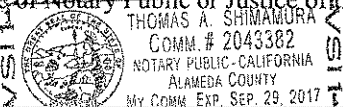
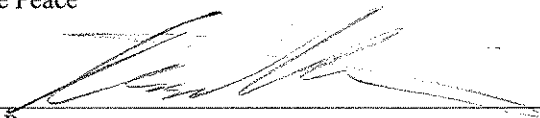


Notice: This agreement and all of its attachments shall become public upon submission to Governor and Executive Council for approval. Any information that is private, confidential or proprietary must be clearly identified to the agency and agreed to in writing prior to signing the contract.

AGREEMENT

The State of New Hampshire and the Contractor hereby mutually agree as follows:

GENERAL PROVISIONS

1. IDENTIFICATION.

1.1 State Agency Name NH Office of Consumer Advocate		1.2 State Agency Address 21 South Fruit Street, Concord, NH 03301	
1.3 Contractor Name Strategen Consulting, LLC		1.4 Contractor Address 2150 Allston Way, Suite 210, Berkeley, CA 94704	
1.5 Contractor Phone Number 510-665-7811	1.6 Account Number 50040000/500464	1.7 Completion Date June 30, 2017	1.8 Price Limitation \$75,000
1.9 Contracting Officer for State Agency Donald M. Kreis		1.10 State Agency Telephone Number 603-271-1174	
1.11 Contractor Signature 		1.12 Name and Title of Contractor Signatory Randall E. Fish, Agent of Janice Lin	
1.13 Acknowledgement: State of <u>CA</u> , County of <u>Alameda</u> On <u>07/12/2016</u> , before the undersigned officer, personally appeared the person identified in block 1.12, or satisfactorily proven to be the person whose name is signed in block 1.11, and acknowledged that she he executed this document in the capacity indicated in block 1.12.			
1.13.1 Signature of Notary Public or Justice of the Peace  			
1.13.2 Name and Title of Notary or Justice of the Peace <u>Thomas A. Shimamura Notary Public</u>			
1.14 State Agency Signature  Date: <u>7/19/16</u>		1.15 Name and Title of State Agency Signatory <u>DONALD M. KREIS, CONSUMER ADVOCATE</u>	
1.16 Approval by the N.H. Department of Administration, Division of Personnel (if applicable) By: <u>Sandra J. Kelly</u> Director, On: <u>7/19/16</u>			
1.17 Approval by the Attorney General (Form, Substance and Execution) (if applicable) By:  On: <u>7/20/16</u>			
1.18 Approval by the Governor and Executive Council (if applicable) By: _____ On: _____			

2. EMPLOYMENT OF CONTRACTOR/SERVICES TO BE PERFORMED. The State of New Hampshire, acting through the agency identified in block 1.1 ("State"), engages contractor identified in block 1.3 ("Contractor") to perform, and the Contractor shall perform, the work or sale of goods, or both, identified and more particularly described in the attached EXHIBIT A which is incorporated herein by reference ("Services").

3. EFFECTIVE DATE/COMPLETION OF SERVICES.

3.1 Notwithstanding any provision of this Agreement to the contrary, and subject to the approval of the Governor and Executive Council of the State of New Hampshire, if applicable, this Agreement, and all obligations of the parties hereunder, shall become effective on the date the Governor and Executive Council approve this Agreement as indicated in block 1.18, unless no such approval is required, in which case the Agreement shall become effective on the date the Agreement is signed by the State Agency as shown in block 1.14 ("Effective Date").

3.2 If the Contractor commences the Services prior to the Effective Date, all Services performed by the Contractor prior to the Effective Date shall be performed at the sole risk of the Contractor, and in the event that this Agreement does not become effective, the State shall have no liability to the Contractor, including without limitation, any obligation to pay the Contractor for any costs incurred or Services performed. Contractor must complete all Services by the Completion Date specified in block 1.7.

4. CONDITIONAL NATURE OF AGREEMENT.

Notwithstanding any provision of this Agreement to the contrary, all obligations of the State hereunder, including, without limitation, the continuance of payments hereunder, are contingent upon the availability and continued appropriation of funds, and in no event shall the State be liable for any payments hereunder in excess of such available appropriated funds. In the event of a reduction or termination of appropriated funds, the State shall have the right to withhold payment until such funds become available, if ever, and shall have the right to terminate this Agreement immediately upon giving the Contractor notice of such termination. The State shall not be required to transfer funds from any other account to the Account identified in block 1.6 in the event funds in that Account are reduced or unavailable.

5. CONTRACT PRICE/PRICE LIMITATION/PAYMENT.

5.1 The contract price, method of payment, and terms of payment are identified and more particularly described in EXHIBIT B which is incorporated herein by reference.

5.2 The payment by the State of the contract price shall be the only and the complete reimbursement to the Contractor for all expenses, of whatever nature incurred by the Contractor in the performance hereof, and shall be the only and the complete compensation to the Contractor for the Services. The State shall have no liability to the Contractor other than the contract price.

5.3 The State reserves the right to offset from any amounts otherwise payable to the Contractor under this Agreement those liquidated amounts required or permitted by N.H. RSA 80:7 through RSA 80:7-c or any other provision of law.

5.4 Notwithstanding any provision in this Agreement to the contrary, and notwithstanding unexpected circumstances, in no event shall the total of all payments authorized, or actually made hereunder, exceed the Price Limitation set forth in block 1.8.

6. COMPLIANCE BY CONTRACTOR WITH LAWS AND REGULATIONS/ EQUAL EMPLOYMENT OPPORTUNITY.

6.1 In connection with the performance of the Services, the Contractor shall comply with all statutes, laws, regulations, and orders of federal, state, county or municipal authorities which impose any obligation or duty upon the Contractor, including, but not limited to, civil rights and equal opportunity laws. This may include the requirement to utilize auxiliary aids and services to ensure that persons with communication disabilities, including vision, hearing and speech, can communicate with, receive information from, and convey information to the Contractor. In addition, the Contractor shall comply with all applicable copyright laws.

6.2 During the term of this Agreement, the Contractor shall not discriminate against employees or applicants for employment because of race, color, religion, creed, age, sex, handicap, sexual orientation, or national origin and will take affirmative action to prevent such discrimination.

6.3 If this Agreement is funded in any part by monies of the United States, the Contractor shall comply with all the provisions of Executive Order No. 11246 ("Equal Employment Opportunity"), as supplemented by the regulations of the United States Department of Labor (41 C.F.R. Part 60), and with any rules, regulations and guidelines as the State of New Hampshire or the United States issue to implement these regulations. The Contractor further agrees to permit the State or United States access to any of the Contractor's books, records and accounts for the purpose of ascertaining compliance with all rules, regulations and orders, and the covenants, terms and conditions of this Agreement.

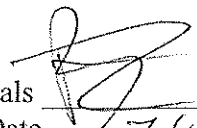
7. PERSONNEL.

7.1 The Contractor shall at its own expense provide all personnel necessary to perform the Services. The Contractor warrants that all personnel engaged in the Services shall be qualified to perform the Services, and shall be properly licensed and otherwise authorized to do so under all applicable laws.

7.2 Unless otherwise authorized in writing, during the term of this Agreement, and for a period of six (6) months after the Completion Date in block 1.7, the Contractor shall not hire, and shall not permit any subcontractor or other person, firm or corporation with whom it is engaged in a combined effort to perform the Services to hire, any person who is a State employee or official, who is materially involved in the procurement, administration or performance of this

Contractor Initials

Date


7/12/16

Agreement. This provision shall survive termination of this Agreement.

7.3 The Contracting Officer specified in block 1.9, or his or her successor, shall be the State's representative. In the event of any dispute concerning the interpretation of this Agreement, the Contracting Officer's decision shall be final for the State.

8. EVENT OF DEFAULT/REMEDIES.

8.1 Any one or more of the following acts or omissions of the Contractor shall constitute an event of default hereunder ("Event of Default"):

8.1.1 failure to perform the Services satisfactorily or on schedule;

8.1.2 failure to submit any report required hereunder; and/or

8.1.3 failure to perform any other covenant, term or condition of this Agreement.

8.2 Upon the occurrence of any Event of Default, the State may take any one, or more, or all, of the following actions:

8.2.1 give the Contractor a written notice specifying the Event of Default and requiring it to be remedied within, in the absence of a greater or lesser specification of time, thirty (30) days from the date of the notice; and if the Event of Default is not timely remedied, terminate this Agreement, effective two (2) days after giving the Contractor notice of termination;

8.2.2 give the Contractor a written notice specifying the Event of Default and suspending all payments to be made under this Agreement and ordering that the portion of the contract price which would otherwise accrue to the Contractor during the period from the date of such notice until such time as the State determines that the Contractor has cured the Event of Default shall never be paid to the Contractor;

8.2.3 set off against any other obligations the State may owe to the Contractor any damages the State suffers by reason of any Event of Default; and/or

8.2.4 treat the Agreement as breached and pursue any of its remedies at law or in equity, or both.

9. DATA/ACCESS/CONFIDENTIALITY/PRESERVATION.

9.1 As used in this Agreement, the word "data" shall mean all information and things developed or obtained during the performance of, or acquired or developed by reason of, this Agreement, including, but not limited to, all studies, reports, files, formulae, surveys, maps, charts, sound recordings, video recordings, pictorial reproductions, drawings, analyses, graphic representations, computer programs, computer printouts, notes, letters, memoranda, papers, and documents, all whether finished or unfinished.

9.2 All data and any property which has been received from the State or purchased with funds provided for that purpose under this Agreement, shall be the property of the State, and shall be returned to the State upon demand or upon termination of this Agreement for any reason.

9.3 Confidentiality of data shall be governed by N.H. RSA Chapter 91-A or other existing law. Disclosure of data requires prior written approval of the State.

10. **TERMINATION.** In the event of an early termination of this Agreement for any reason other than the completion of the Services, the Contractor shall deliver to the Contracting Officer, not later than fifteen (15) days after the date of termination, a report ("Termination Report") describing in detail all Services performed, and the contract price earned, to and including the date of termination. The form, subject matter, content, and number of copies of the Termination Report shall be identical to those of any Final Report described in the attached EXHIBIT A.

11. **CONTRACTOR'S RELATION TO THE STATE.** In the performance of this Agreement the Contractor is in all respects an independent contractor, and is neither an agent nor an employee of the State. Neither the Contractor nor any of its officers, employees, agents or members shall have authority to bind the State or receive any benefits, workers' compensation or other emoluments provided by the State to its employees.

12. **ASSIGNMENT/DELEGATION/SUBCONTRACTS.** The Contractor shall not assign, or otherwise transfer any interest in this Agreement without the prior written notice and consent of the State. None of the Services shall be subcontracted by the Contractor without the prior written notice and consent of the State.

13. **INDEMNIFICATION.** The Contractor shall defend, indemnify and hold harmless the State, its officers and employees, from and against any and all losses suffered by the State, its officers and employees, and any and all claims, liabilities or penalties asserted against the State, its officers and employees, by or on behalf of any person, on account of, based or resulting from, arising out of (or which may be claimed to arise out of) the acts or omissions of the Contractor. Notwithstanding the foregoing, nothing herein contained shall be deemed to constitute a waiver of the sovereign immunity of the State, which immunity is hereby reserved to the State. This covenant in paragraph 13 shall survive the termination of this Agreement.

14. INSURANCE.

14.1 The Contractor shall, at its sole expense, obtain and maintain in force, and shall require any subcontractor or assignee to obtain and maintain in force, the following insurance:

14.1.1 comprehensive general liability insurance against all claims of bodily injury, death or property damage, in amounts of not less than \$1,000,000 per occurrence and \$2,000,000 aggregate; and

14.1.2 special cause of loss coverage form covering all property subject to subparagraph 9.2 herein, in an amount not less than 80% of the whole replacement value of the property.

14.2 The policies described in subparagraph 14.1 herein shall be on policy forms and endorsements approved for use in the State of New Hampshire by the N.H. Department of Insurance, and issued by insurers licensed in the State of New Hampshire.

14.3 The Contractor shall furnish to the Contracting Officer identified in block 1.9, or his or her successor, a certificate(s) of insurance for all insurance required under this Agreement. Contractor shall also furnish to the Contracting Officer identified in block 1.9, or his or her successor, certificate(s) of insurance for all renewal(s) of insurance required under this Agreement no later than thirty (30) days prior to the expiration date of each of the insurance policies. The certificate(s) of insurance and any renewals thereof shall be attached and are incorporated herein by reference. Each certificate(s) of insurance shall contain a clause requiring the insurer to provide the Contracting Officer identified in block 1.9, or his or her successor, no less than thirty (30) days prior written notice of cancellation or modification of the policy.

15. WORKERS' COMPENSATION.

15.1 By signing this agreement, the Contractor agrees, certifies and warrants that the Contractor is in compliance with or exempt from, the requirements of N.H. RSA chapter 281-A ("*Workers' Compensation*").

15.2 To the extent the Contractor is subject to the requirements of N.H. RSA chapter 281-A, Contractor shall maintain, and require any subcontractor or assignee to secure and maintain, payment of Workers' Compensation in connection with activities which the person proposes to undertake pursuant to this Agreement. Contractor shall furnish the Contracting Officer identified in block 1.9, or his or her successor, proof of Workers' Compensation in the manner described in N.H. RSA chapter 281-A and any applicable renewal(s) thereof, which shall be attached and are incorporated herein by reference. The State shall not be responsible for payment of any Workers' Compensation premiums or for any other claim or benefit for Contractor, or any subcontractor or employee of Contractor, which might arise under applicable State of New Hampshire Workers' Compensation laws in connection with the performance of the Services under this Agreement.

16. WAIVER OF BREACH. No failure by the State to enforce any provisions hereof after any Event of Default shall be deemed a waiver of its rights with regard to that Event of Default, or any subsequent Event of Default. No express failure to enforce any Event of Default shall be deemed a waiver of the right of the State to enforce each and all of the provisions hereof upon any further or other Event of Default on the part of the Contractor.

17. NOTICE. Any notice by a party hereto to the other party shall be deemed to have been duly delivered or given at the time of mailing by certified mail, postage prepaid, in a United States Post Office addressed to the parties at the addresses given in blocks 1.2 and 1.4, herein.

18. AMENDMENT. This Agreement may be amended, waived or discharged only by an instrument in writing signed by the parties hereto and only after approval of such amendment, waiver or discharge by the Governor and Executive Council of the State of New Hampshire unless no

such approval is required under the circumstances pursuant to State law, rule or policy.

19. CONSTRUCTION OF AGREEMENT AND TERMS.

This Agreement shall be construed in accordance with the laws of the State of New Hampshire, and is binding upon and inures to the benefit of the parties and their respective successors and assigns. The wording used in this Agreement is the wording chosen by the parties to express their mutual intent, and no rule of construction shall be applied against or in favor of any party.

20. THIRD PARTIES. The parties hereto do not intend to benefit any third parties and this Agreement shall not be construed to confer any such benefit.

21. HEADINGS. The headings throughout the Agreement are for reference purposes only, and the words contained therein shall in no way be held to explain, modify, amplify or aid in the interpretation, construction or meaning of the provisions of this Agreement.


22. SPECIAL PROVISIONS. Additional provisions set forth in the attached EXHIBIT C are incorporated herein by reference.

23. SEVERABILITY. In the event any of the provisions of this Agreement are held by a court of competent jurisdiction to be contrary to any state or federal law, the remaining provisions of this Agreement will remain in full force and effect.

24. ENTIRE AGREEMENT. This Agreement, which may be executed in a number of counterparts, each of which shall be deemed an original, constitutes the entire Agreement and understanding between the parties, and supersedes all prior Agreements and understandings relating hereto.

Contractor Initials

Date


2/12/16

Scope of Services


Strategen Consulting, LLC shall deliver services at the direction of and in a manner prescribed by the OCA, consistent with the procedural schedule adopted by the Public Utilities Commission in Docket No. DE 16-576. Deliverables include:

- Analysis of New Hampshire-specific solar photovoltaic (“PV”) system costs, to provide a clear, fundamental and objective understanding of the total revenue required for such projects to be deployed in the context of distributed generation (“DG”) (i.e., on customer premises on the customer “side” of the electric meter, or other residential off-takers of a “group net metering” project). Task completion is dependent upon the availability of system cost statistics. Specificity and scope of analysis shall be based upon mutually agreed terms.
- A forecast of the amount of incentive revenue (or lack thereof) needed to allow selected project types to reach commercial operation under the different tariff options under active consideration in the docket. Specificity and scope of forecast shall be based upon mutually agreed terms.
- Rate design and policy recommendations that would meet the requirements of H.B. 1116 and serve the interests of residential utility customers in particular.
- Review and analysis of other parties’ responses to discovery requests;
- Preparation of pre-filed written testimony on rate design and policy recommendations on behalf of the OCA;
- Response to discovery requests on testimony;
- Review and analysis of testimony filed by other parties;
- Review and analysis of data responses on other parties’ testimony;
- Assistance with settlement discussions;
- Assistance with hearing preparation including drafting questions for cross examination;
- Attendance at technical sessions, settlement conferences and/or hearings (up to four in-person Strategen visits, additional in person attendance by Strategen or SEA attendance in general, as mutually agreed upon between parties);
- Assistance with the preparation of pleadings, including motions to compel responses to discovery requests and post-hearing briefs; and
- Other assistance as needed.

Initials 
Date 2/12/16

PAYMENT TERMS

The hourly rate for the professional staff of Strategen Consulting and its subcontractor Sustainable Energy Advantage is \$229. Invoices will be based on actual time expended, in increments of 0.25 hours and paid under net 30 terms. Travel expenses for attendance at meetings and hearings in Concord will be reimbursed (1) to employees of Strategen Consulting at cost and subject to the discretion of the Director and (2) to employees of Sustainable Energy Advantage at the applicable mileage reimbursement rate adopted by the Internal Revenue Service for business mileage, for travel between Framingham, Massachusetts and Concord, New Hampshire. The total not-to-exceed price for Strategen Consulting's services under this contract is \$75,000.

Initials 
Date 7/12/16

SPECIAL PROVISIONS

Item No. 12 of the Agreement is modified as follows:

In order to minimize the cost of services under this contract, Strategen is authorized to subcontract a portion of its work under the contract to Sustainable Energy Advantage, LLC ("SEA"). Specifically, employees of SEA may, with the approval of OCA, attend docket-related hearings and meetings at the offices of, or convened by, the Public Utilities Commission. It is expressly understood that, as contractor, Strategen Consulting LLC shall be responsible for all work performed under this contract by its subcontractor SEA. The provisions of Item No. 12 of the Agreement shall otherwise be applicable.

Initials RS
Date 7/12/16

State of New Hampshire
Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that STRATEGEN CONSULTING LLC a(n) California limited liability company registered to do business in New Hampshire on July 12, 2016. I further certify that it is in good standing as far as this office is concerned, having paid the fees required by law.



In TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 14th day of July, A.D. 2016

A handwritten signature in cursive script, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State

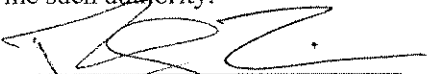
CERTIFICATE OF AUTHORITY/VOTE
(Limited Liability Company)

I, Randall E. Fish (agent of Janice Lin), hereby certify that:
(Name of Sole Member/Manager of Limited Liability Company, Contract Signatory – Print Name)

1. I am the Sole Member/Manager of the Company of Strategen Consulting
(Name of Limited Liability Company)

2. I hereby further certify and acknowledge that the State of New Hampshire will rely on this certification as evidence that I have full authority to bind Strategen Consulting
(Name of Limited Liability Company)

and that no corporate resolution, shareholder vote, or other document or action is necessary to grant me such authority.


(Contract Signatory – Signature)

7/12/2016
(Date)

STATE OF CA
COUNTY OF Alameda

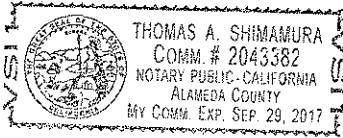
On this 12 day of July 2016, before me Thomas A. Shimamura Notary Public
(Day) (Month) (Yr) (Name of Notary Public/Justice of the Peace)
the undersigned officer, personally appeared Randall E. Fish, known to me (or
(Contractor Signatory - Print Name)

satisfactorily proven) to be the person whose name is subscribed to the within instrument and acknowledged that he/she executed the same for the purposes therein contained. In witness whereof, I hereunto set my hand and official seal.

(NOTARY SEAL)


(Notary Public/Justice of the Peace Signature)

Commission Expires: 09/29/2017



CALIFORNIA GENERAL DURABLE POWER OF ATTORNEY

**THE POWERS YOU GRANT BELOW ARE EFFECTIVE
EVEN IF YOU BECOME DISABLED OR INCOMPETENT**

CAUTION: A DURABLE POWER OF ATTORNEY IS AN IMPORTANT LEGAL DOCUMENT. BY SIGNING THE DURABLE POWER OF ATTORNEY, YOU ARE AUTHORIZING ANOTHER PERSON TO ACT FOR YOU, THE PRINCIPAL. BEFORE YOU SIGN THIS DURABLE POWER OF ATTORNEY, YOU SHOULD KNOW THESE IMPORTANT FACTS: YOUR AGENT (ATTORNEY-IN-FACT) HAS NO DUTY TO ACT UNLESS YOU AND YOUR AGENT AGREE OTHERWISE IN WRITING. THIS DOCUMENT GIVES YOUR AGENT THE POWERS TO MANAGE, DISPOSE OF, SELL, AND CONVEY YOUR REAL AND PERSONAL PROPERTY, AND TO USE YOUR PROPERTY AS SECURITY IF YOUR AGENT BORROWS MONEY ON YOUR BEHALF. THIS DOCUMENT DOES NOT GIVE YOUR AGENT THE POWER TO ACCEPT OR RECEIVE ANY OF YOUR PROPERTY, IN TRUST OR OTHERWISE, AS A GIFT, UNLESS YOU SPECIFICALLY AUTHORIZE THE AGENT TO ACCEPT OR RECEIVE A GIFT. YOUR AGENT WILL HAVE THE RIGHT TO RECEIVE REASONABLE PAYMENT FOR SERVICES PROVIDED UNDER THIS DURABLE POWER OF ATTORNEY UNLESS YOU PROVIDE OTHERWISE IN THIS POWER OF ATTORNEY. THE POWERS YOU GIVE YOUR AGENT WILL CONTINUE TO EXIST FOR YOUR ENTIRE LIFETIME, UNLESS YOU STATE THAT THE DURABLE POWER OF ATTORNEY WILL LAST FOR A SHORTER PERIOD OF TIME OR UNLESS YOU OTHERWISE TERMINATE THE DURABLE POWER OF ATTORNEY.

THE POWERS YOU GIVE YOUR AGENT IN THIS DURABLE POWER OF ATTORNEY WILL CONTINUE TO EXIST EVEN IF YOU CAN NO LONGER MAKE YOUR OWN DECISIONS RESPECTING THE MANAGEMENT OF YOUR PROPERTY. YOU CAN AMEND OR CHANGE THIS DURABLE POWER OF ATTORNEY ONLY BY EXECUTING A NEW DURABLE POWER OF ATTORNEY OR BY EXECUTING AN AMENDMENT THROUGH THE SAME FORMALITIES AS AN ORIGINAL. YOU HAVE THE RIGHT TO REVOKE OR TERMINATE THIS DURABLE POWER OF ATTORNEY AT ANY TIME, SO LONG AS YOU ARE COMPETENT.

THIS DURABLE POWER OF ATTORNEY MUST BE DATED AND MUST BE ACKNOWLEDGED BEFORE A NOTARY PUBLIC OR SIGNED BY TWO WITNESSES. IF IT IS SIGNED BY TWO WITNESSES, THEY MUST WITNESS EITHER (1) THE SIGNING OF THE POWER OF ATTORNEY OR (2) THE PRINCIPAL'S SIGNING OR ACKNOWLEDGMENT OF HIS OR HER SIGNATURE. A DURABLE POWER OF ATTORNEY THAT MAY AFFECT REAL PROPERTY SHOULD BE ACKNOWLEDGED BEFORE A NOTARY PUBLIC SO THAT IT MAY EASILY BE RECORDED.

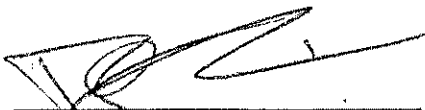
YOU SHOULD READ THIS DURABLE POWER OF ATTORNEY CAREFULLY. WHEN EFFECTIVE, THIS DURABLE POWER OF ATTORNEY WILL GIVE YOUR AGENT THE RIGHT TO DEAL WITH PROPERTY THAT YOU NOW HAVE OR MIGHT ACQUIRE IN THE FUTURE. THE DURABLE POWER OF ATTORNEY IS IMPORTANT TO YOU. IF YOU DO NOT UNDERSTAND THE DURABLE POWER OF ATTORNEY, OR ANY PROVISION OF IT, THEN YOU SHOULD OBTAIN THE ASSISTANCE OF AN ATTORNEY OR OTHER QUALIFIED PERSON.

NOTICE TO PERSON ACCEPTING THE APPOINTMENT AS ATTORNEY-IN-FACT BY ACTING OR AGREEING TO ACT AS THE AGENT (ATTORNEY-IN-FACT) UNDER THIS POWER OF ATTORNEY YOU ASSUME THE FIDUCIARY AND OTHER LEGAL RESPONSIBILITIES OF AN AGENT. THESE RESPONSIBILITIES INCLUDE:

- 1. THE LEGAL DUTY TO ACT SOLELY IN THE INTEREST OF THE PRINCIPAL AND TO AVOID CONFLICTS OF INTEREST.**
- 2. THE LEGAL DUTY TO KEEP THE PRINCIPAL'S PROPERTY SEPARATE AND DISTINCT**

FROM ANY OTHER PROPERTY OWNED OR CONTROLLED BY YOU. YOU MAY NOT TRANSFER THE PRINCIPAL'S PROPERTY TO YOURSELF WITHOUT FULL AND ADEQUATE CONSIDERATION OR ACCEPT A GIFT OF THE PRINCIPAL'S PROPERTY UNLESS THIS POWER OF ATTORNEY SPECIFICALLY AUTHORIZES YOU TO TRANSFER PROPERTY TO YOURSELF OR ACCEPT A GIFT OF THE PRINCIPAL'S PROPERTY. IF YOU TRANSFER THE PRINCIPAL'S PROPERTY TO YOURSELF WITHOUT SPECIFIC AUTHORIZATION IN THE POWER OF ATTORNEY, YOU MAY BE PROSECUTED FOR FRAUD AND/OR EMBEZZLEMENT. IF THE PRINCIPAL IS 65 YEARS OF AGE OR OLDER AT THE TIME THAT THE PROPERTY IS TRANSFERRED TO YOU WITHOUT AUTHORITY, YOU MAY ALSO BE PROSECUTED FOR ELDER ABUSE UNDER PENAL CODE SECTION 368. IN ADDITION TO CRIMINAL PROSECUTION, YOU MAY ALSO BE SUED IN CIVIL COURT. I HAVE READ THE FOREGOING NOTICE AND I UNDERSTAND THE LEGAL AND FIDUCIARY DUTIES THAT I ASSUME BY ACTING OR AGREEING TO ACT AS THE AGENT (ATTORNEY-IN-FACT) UNDER THE TERMS OF THIS POWER OF ATTORNEY.

DATE:



(SIGNATURE OF AGENT)

Randall Edward Fish

(PRINT NAME OF AGENT)

CALIFORNIA GENERAL DURABLE POWER OF ATTORNEY

**THE POWERS YOU GRANT BELOW ARE EFFECTIVE
EVEN IF YOU BECOME DISABLED OR INCOMPETENT**

NOTICE: THE POWERS GRANTED BY THIS DOCUMENT ARE BROAD AND SWEEPING. THEY ARE EXPLAINED IN THE UNIFORM STATUTORY FORM POWER OF ATTORNEY ACT. IF YOU HAVE ANY QUESTIONS ABOUT THESE POWERS, OBTAIN COMPETENT LEGAL ADVICE. THIS DOCUMENT DOES NOT AUTHORIZE ANYONE TO MAKE MEDICAL AND OTHER HEALTH-CARE DECISIONS FOR YOU. YOU MAY REVOKE THIS POWER OF ATTORNEY IF YOU LATER WISH TO DO SO. THIS POWER OF ATTORNEY IS EFFECTIVE IMMEDIATELY AND WILL CONTINUE TO BE EFFECTIVE EVEN IF YOU BECOME DISABLED, INCAPACITATED, OR INCOMPETENT.

[Janice Trina Lin at 2741 Ashby Place, Berkeley, CA 94705

Randall Edward Fish at 778 Ynez Circle Danville, CA 94526

[insert your name and address] appoint

[insert the name and address of the person appointed] as my Agent (attorney-in-fact) to act for me in any lawful way with respect to the following initialed subjects:

TO GRANT ALL OF THE FOLLOWING POWERS, INITIAL THE LINE IN FRONT OF (N) AND IGNORE THE LINES IN FRONT OF THE OTHER POWERS.

TO GRANT ONE OR MORE, BUT FEWER THAN ALL, OF THE FOLLOWING POWERS, INITIAL THE LINE IN FRONT OF EACH POWER YOU ARE GRANTING.

TO WITHHOLD A POWER, DO NOT INITIAL THE LINE IN FRONT OF IT. YOU MAY, BUT NEED NOT, CROSS OUT EACH POWER WITHHELD.

Note: If you initial Item A or Item B, which follow, a notarized signature will be required on behalf of the Principal.

INITIAL

_____ **(A) Real property transactions.** To lease, sell, mortgage, purchase, exchange, and acquire, and to agree, bargain, and contract for the lease, sale, purchase, exchange, and acquisition of, and to accept, take, receive, and possess any interest in real property whatsoever, on such terms and conditions, and under such covenants, as my Agent shall deem proper; and to maintain, repair, tear down, alter, rebuild, improve manage, insure, move, rent, lease, sell, convey, subject to liens, mortgages, and security deeds, and in any way or manner deal with all or any part of any interest in real property whatsoever, including specifically, but without limitation, real property lying and being situated in the State of California, under such terms and conditions, and under such covenants, as my Agent shall deem proper and may for all deferred payments accept purchase money notes payable to me and secured by mortgages or deeds to secure debt, and may from time to time collect and cancel any of said notes, mortgages, security interests, or deeds to secure debt.


_____ **(B) Tangible personal property transactions.** To lease, sell, mortgage, purchase, exchange, and acquire, and to agree, bargain, and contract for the lease, sale, purchase, exchange, and acquisition of, and to accept, take, receive, and possess any personal property whatsoever, tangible or intangible, or interest thereto, on such terms and conditions, and under such covenants, as my Agent shall deem proper; and to maintain, repair, improve, manage, insure, rent, lease, sell, convey, subject to liens or mortgages, or to take any other security interests in said property which are recognized under the Uniform Commercial Code as adopted at that time under the laws of the State of California or any applicable state, or otherwise hypothecate (pledge), and in any way or manner deal with all or any part of any real or personal property whatsoever, tangible or intangible, or any interest therein, that I own at the time of execution or may thereafter acquire, under such terms and conditions, and under such covenants, as my Agent shall deem proper.

_____ **(C) Stock and bond transactions.** To purchase, sell, exchange, surrender, assign, redeem, vote at any meeting, or otherwise transfer any and all shares of stock, bonds, or other securities in any business, association, corporation, partnership, or other legal entity, whether private or public, now or hereafter belonging to me.

_____ **(D) Commodity and option transactions.** To organize or continue and conduct any business which term includes, without limitation, any farming, manufacturing, service, mining, retailing or other type of business operation in any form, whether as a proprietorship, joint venture, partnership, corporation, trust or other legal entity; operate, buy, sell, expand, contract, terminate or liquidate any business; direct, control, supervise, manage or participate in the operation of any business and engage, compensate and discharge business managers, employees, agents, attorneys, accountants and consultants; and, in general, exercise all powers with respect to business interests and operations which the principal could if present and under no disability.

_____ **(E) Banking and other financial institution transactions.** To make, receive, sign, endorse, execute, acknowledge, deliver and possess checks, drafts, bills of exchange, letters of credit, notes, stock certificates, withdrawal receipts and deposit instruments relating to accounts or deposits in, or certificates of deposit of banks, savings and loans, credit unions, or other institutions or associations. To pay all sums of money, at any time or times, that may hereafter be owing by me upon any account, bill of exchange, check, draft, purchase, contract, note, or

trade acceptance made, executed, endorsed, accepted, and delivered by me or for me in my name, by my Agent. To borrow from time to time such sums of money as my Agent may deem proper and execute promissory notes, security deeds or agreements, financing statements, or other security instruments in such form as the lender may request and renew said notes and security instruments from time to time in whole or in part. To have free access at any time or times to any safe deposit box or vault to which I might have access.

 **(F) Business operating transactions.** To conduct, engage in, and otherwise transact the affairs of any and all lawful business ventures of whatever nature or kind that I may now or hereafter be involved in.

_____ **(G) Insurance and annuity transactions.** To exercise or perform any act, power, duty, right, or obligation, in regard to any contract of life, accident, health, disability, liability, or other type of insurance or any combination of insurance; and to procure new or additional contracts of insurance for me and to designate the beneficiary of same; provided, however, that my Agent cannot designate himself or herself as beneficiary of any such insurance contracts.

_____ **(H) Estate, trust, and other beneficiary transactions.** To accept, receipt for, exercise, release, reject, renounce, assign, disclaim, demand, sue for, claim and recover any legacy, bequest, devise, gift or other property interest or payment due or payable to or for the principal; assert any interest in and exercise any power over any trust, estate or property subject to fiduciary control; establish a revocable trust solely for the benefit of the principal that terminates at the death of the principal and is then distributable to the legal representative of the estate of the principal; and, in general, exercise all powers with respect to estates and trusts which the principal could exercise if present and under no disability; provided, however, that the Agent may not make or change a will and may not revoke or amend a trust revocable or amendable by the principal or require the trustee of any trust for the benefit of the principal to pay income or principal to the Agent unless specific authority to that end is given.

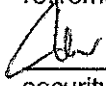
_____ **(I) Claims and litigation.** To commence, prosecute, discontinue, or defend all actions or other legal proceedings touching my property, real or personal, or any part thereof, or touching any matter in which I or my property, real or personal, may be in any way concerned. To defend, settle, adjust, make allowances, compound, submit to arbitration, and compromise all accounts, reckonings, claims, and demands whatsoever that now are, or hereafter shall be, pending between me and any person, firm, corporation, or other legal entity, in such manner and in all respects as my Agent shall deem proper.

_____ **(J) Personal and family maintenance.** To hire accountants, attorneys at law, consultants, clerks, physicians, nurses, agents, servants, workmen, and others and to remove them, and to appoint others in their place, and to pay and allow the persons so employed such salaries, wages, or other remunerations, as my Agent shall deem proper.

_____ **(K) Benefits from Social Security, Medicare, Medicaid, or other governmental programs, or military service.** To prepare, sign and file any claim or application for Social Security, unemployment or military service benefits; sue for, settle or abandon any claims to any benefit or assistance under any federal, state, local or foreign statute or regulation; control, deposit to any account, collect, receipt for, and take title to and hold all benefits under any Social Security, unemployment, military service or other state, federal, local or foreign statute or regulation; and, in general, exercise all powers with respect to Social Security, unemployment, military service, and governmental benefits, including but not limited to Medicare and Medicaid, which the principal could exercise if present and under no disability.

_____ **(L) Retirement plan transactions.** To contribute to, withdraw from and deposit funds in any type of retirement plan (which term includes, without limitation, any tax qualified or nonqualified pension, profit sharing, stock bonus, employee savings and other retirement plan, individual retirement account, deferred compensation plan and any other type of employee

benefit plan); select and change payment options for the principal under any retirement plan; make rollover contributions from any retirement plan to other retirement plans or individual retirement accounts; exercise all investment powers available under any type of self-directed retirement plan; and, in general, exercise all powers with respect to retirement plans and retirement plan account balances which the principal could if present and under no disability.

 **(M) Tax matters.** To prepare, to make elections, to execute and to file all tax, social security, unemployment insurance, and informational returns required by the laws of the United States, or of any state or subdivision thereof, or of any foreign government; to prepare, to execute, and to file all other papers and instruments which the Agent shall think to be desirable or necessary for safeguarding of me against excess or illegal taxation or against penalties imposed for claimed violation of any law or other governmental regulation; and to pay, to compromise, or to contest or to apply for refunds in connection with any taxes or assessments for which I am or may be liable.

_____ **(N) ALL OF THE POWERS LISTED ABOVE. YOU NEED NOT INITIAL ANY OTHER LINES IF YOU INITIAL LINE (N).**

SPECIAL INSTRUCTIONS:

ON THE FOLLOWING LINES YOU MAY GIVE SPECIAL INSTRUCTIONS LIMITING OR EXTENDING THE POWERS GRANTED TO YOUR AGENT.

THIS POWER OF ATTORNEY IS EFFECTIVE IMMEDIATELY AND WILL CONTINUE UNTIL IT IS REVOKED.

THIS POWER OF ATTORNEY SHALL BE CONSTRUED AS A GENERAL DURABLE POWER OF ATTORNEY AND SHALL CONTINUE TO BE EFFECTIVE EVEN IF I BECOME DISABLED, INCAPACITATED, OR INCOMPETENT.

(YOUR AGENT WILL HAVE AUTHORITY TO EMPLOY OTHER PERSONS AS NECESSARY TO ENABLE THE AGENT TO PROPERLY EXERCISE THE POWERS GRANTED IN THIS FORM, BUT YOUR AGENT WILL HAVE TO MAKE ALL DISCRETIONARY DECISIONS. IF YOU WANT TO GIVE YOUR AGENT THE RIGHT TO DELEGATE DISCRETIONARY DECISION-MAKING POWERS TO OTHERS, YOU SHOULD KEEP THE NEXT SENTENCE, OTHERWISE IT SHOULD BE STRICKEN.)

Authority to Delegate. My Agent shall have the right by written instrument to delegate any or all of the foregoing powers involving discretionary decision-making to any person or persons whom my Agent may select, but such delegation may be amended or revoked by any agent (including

any successor) named by me who is acting under this power of attorney at the time of reference.

(YOUR AGENT WILL BE ENTITLED TO REIMBURSEMENT FOR ALL REASONABLE EXPENSES INCURRED IN ACTING UNDER THIS POWER OF ATTORNEY. STRIKE OUT THE NEXT SENTENCE IF YOU DO NOT WANT YOUR AGENT TO ALSO BE ENTITLED TO REASONABLE COMPENSATION FOR SERVICES AS AGENT.)

Right to Compensation. My Agent shall be entitled to reasonable compensation for services rendered as agent under this power of attorney.

(IF YOU WISH TO NAME SUCCESSOR AGENTS, INSERT THE NAME(S) AND ADDRESS(ES) OF SUCH SUCCESSOR(S) IN THE FOLLOWING PARAGRAPH.)

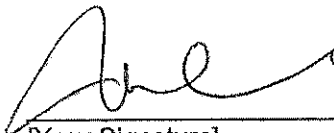
Successor Agent. If any Agent named by me shall die, become incompetent, resign or refuse to accept the office of Agent, I name the following (each to act alone and successively, in the order named) as successor(s) to such Agent:

Choice of Law. THIS POWER OF ATTORNEY WILL BE GOVERNED BY THE LAWS OF THE STATE OF CALIFORNIA WITHOUT REGARD FOR CONFLICTS OF LAWS PRINCIPLES. IT WAS EXECUTED IN THE STATE OF CALIFORNIA AND IS INTENDED TO BE VALID IN ALL JURISDICTIONS OF THE UNITED STATES OF AMERICA AND ALL FOREIGN NATIONS.

I am fully informed as to all the contents of this form and understand the full import of this grant of powers to my Agent.

I agree that any third party who receives a copy of this document may act under it. Revocation of the power of attorney is not effective as to a third party until the third party learns of the revocation. I agree to indemnify the third party for any claims that arise against the third party because of reliance on this power of attorney.

Signed this 8 day of July, 2016



[Your Signature]

193-64-6570

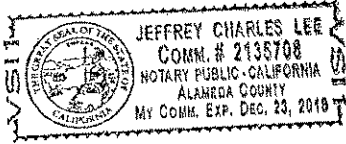
[Your Social Security Number]

CERTIFICATE OF ACKNOWLEDGMENT OF NOTARY PUBLIC

STATE OF CALIFORNIA
COUNTY OF Alameda

This document was acknowledged before me on July 8, 2016 [Date] by JANICE TRINA LIA [name of principal].

[Notary Seal, if any]:



A handwritten signature in black ink, appearing to be "J. Lee", written over a horizontal line.

(Signature of Notarial Officer)

Notary Public for the State of California

My commission expires: 12/23/2019

ACKNOWLEDGMENT OF AGENT

BY ACCEPTING OR ACTING UNDER THE APPOINTMENT, THE AGENT ASSUMES THE FIDUCIARY AND OTHER LEGAL RESPONSIBILITIES OF AN AGENT.

Randall Edward Fish

[Typed or Printed Name of Agent]

A handwritten signature in black ink, appearing to be "Randall Edward Fish", written over a horizontal line.

[Signature of Agent]

PREPARATION STATEMENT

This document was prepared by the following individual:

Randall Edward Fish

[Typed or Printed Name]

A handwritten signature in black ink, appearing to be "Randall Edward Fish", written over a horizontal line.

[Signature]



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
7/12/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES LOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER SelectSolutions Insurance Services, LLC #0127711 1350 Carlback Avenue Walnut Creek, CA 94596	CONTACT NAME: PHONE (A/C, No, Ext): 866-500-6359 FAX (A/C, No): (855) 804-8449 E-MAIL ADDRESS:		
	INSURER(S) AFFORDING COVERAGE		
INSURED Straten Consulting LLC 2150 Allston Way #210 Berkeley, CA 94704	INSURER A :	Hartford Fire Insurance Company	19682
	INSURER B :	Darwin National Assurance Company	16624
	INSURER C :	Aspen American Insurance Company	43460
	INSURER D :	Valley Forge Insurance Company	20508
	INSURER E :	Hartford Accident and Indemnity Company	22357
	INSURER F :	CNA Insurance Companies	20443


COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
D	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			5085030710	4/26/2016	4/26/2017	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMP/OP AGG \$ 4,000,000 \$
D	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			5085030710	4/26/2016	4/26/2017	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
F	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$			B 6019950927	4/26/2016	4/26/2017	EACH OCCURRENCE \$ 3,000,000 AGGREGATE \$ 3,000,000 \$
E	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y / N If yes, describe under DESCRIPTION OF OPERATIONS below N / A			57WECTR8303	6/1/2016	6/1/2017	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
B	Professional Liability (Errors and Omissions)			0303-5694	9/2/2015	9/2/2016	\$1,000,000 / \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

A: ERISA Bond 57BDDGO4010 8/7/2013 - 8/7/2016 \$400,000
 C: Directors and Officers Liability EL00422 1/6/2016 - 1/6/2017 \$2,000,000

CERTIFICATE HOLDER NH Office Of Consumer Advocate 21 S. Fruit Street, Ste 18 Concord, NH 03301	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE 



EVIDENCE OF PROPERTY INSURANCE

DATE (MM/DD/YYYY)
7/13/2016

THIS EVIDENCE OF PROPERTY INSURANCE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE ADDITIONAL INTEREST NAMED BELOW. THIS EVIDENCE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS EVIDENCE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE ADDITIONAL INTEREST.

AGENCY SelectSolutions Insurance Services, LLC #0127711 1350 Carback Avenue Walnut Creek, CA 94596		PHONE (A/C, No, Ext): (866) 500-6359		COMPANY Valley Forge Insurance Company NAIC: 20508	
FAX (A/C, No): (855) 804-8449		E-MAIL ADDRESS: info@selectsolutionsins.com			
CODE:		SUB CODE:			
AGENCY CUSTOMER ID #: 3769		LOAN NUMBER		POLICY NUMBER 5085030710	
INSURED Strategen Consulting LLC 2150 Allston Way #210 Berkeley, CA 94704		EFFECTIVE DATE 4/26/2016	EXPIRATION DATE 4/26/2017	CONTINUED UNTIL TERMINATED IF CHECKED	
THIS REPLACES PRIOR EVIDENCE DATED:					

PROPERTY INFORMATION

LOCATION/DESCRIPTION
 LOC1 - 2150 Allston Way #210, Berkeley, CA 94704

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS EVIDENCE OF PROPERTY INSURANCE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

COVERAGE INFORMATION

COVERAGE / PERILS / FORMS	AMOUNT OF INSURANCE	DEDUCTIBLE
LOC1 - Contents	\$29,550	\$500
LOC1 - Business Income Limit (with Extra Expense) - 12 months	Actual loss Sustained	
LOC1 - EDP Coverage	\$50,000	\$500

REMARKS (Including Special Conditions)

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

ADDITIONAL INTEREST

NAME AND ADDRESS NH Office Of Consumer Advocate 21 S. Fruit Street, Ste 18 Concord, NH 03301	MORTGAGEE	ADDITIONAL INSURED
	LOSS PAYEE	
LOAN #		
AUTHORIZED REPRESENTATIVE 		



State of New Hampshire
DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
 25 Capitol Street -- Room 120
 Concord, New Hampshire 03301

FIS 16 119

VICKI V. QUIRAM
 Commissioner
 (603)-271-3201

JOSEPH B. BOUCHARD
 Assistant Commissioner
 (603)-271-3204

July 15, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 276:4, Laws of 2015, Transfer Among Accounts and Classes, authorize the Department of Administrative Services to transfer funds in and among accounting units in the amount of \$100,000. The adjustment is summarized below and detailed on the attached worksheet. Effective upon Fiscal Committee and Governor and Council approval through June 30, 2017. **Funding Source: 100% General Funds**

SFY 2017

<u>Division</u>	<u>Account</u>	<u>Amount</u>
Retiree Health Benefits	29030000	\$ 100,000

EXPLANATION

The transfer reflects an increase into Accounting Unit 29030000, Retiree Health Benefits which will require additional funds, from Accounting Unit 29500000, General Services Maintenance & Grounds, which experienced lower than anticipated utility expenditures. This transfer will provide for the anticipated shortfall of retiree health insurance costs.

The following is the information specifically required when transfers are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested action.

- A. *Justification:* This transfer is to cover a projected shortfall in the Retiree Health Benefits account for FY17. Energy savings are anticipated in the Heat-Electricity-Water class line due to new utility contracts being negotiated and lower than anticipated expenditures for FY17.
- B. *Does this transfer involve continuing programs or one-time projects?* This transfer involves continuing programs;

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 15, 2016
Page 2 of 2

- C. *Is this transfer required to maintain existing program levels or will it increase the program level?* This transfer is required to maintain existing program levels;
- D. *Cite any requirements which make this program mandatory.* The programs for the Department are mandated by various state and federal laws;
- E. *Identify the source of funds on all accounts listed on this transfer.* See the attached worksheet for the source of funds for all accounts;
- F. *Will there be any effect on revenue if this transfer is not approved?* There is no anticipated effect on revenue as a result of this transfer;
- G. *Are funds expected to lapse if this transfer is not approved?* It is anticipated that some funds will lapse whether this transfer is approved or not;
- H. *Are personnel services involved?* No

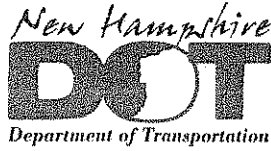
The Department has conducted a detailed review of these line items in the budget to ensure that available funds are maximized to the greatest degree possible.

Respectfully submitted,



Vicki V. Quiram
Commissioner

Co.	Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
0010	1435	29030000	RETIREE HEALTH BENEFITS	102-500731	CONTRACTS FOR PROGRAM SERV	72,867,373	100,000	72,967,373
			TOTAL			72,867,373	100,000	72,967,373
			REVENUES					
			Transfer Other Agency	001-486499		21,997,462		21,997,462
			Agency Income	008-402308		11,974,718		11,974,718
			Agency Income	Various		5,615,096		5,615,096
			Total General Funds	010-000010		33,280,097	100,000	33,380,097
			TOTAL REVENUES			72,867,373	100,000	72,967,373
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	010-500100	PERSONAL SERVICES PERM CLAS	844,427		844,427
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	018-500106	OVERTIME	37,069		37,069
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	020-500200	CURRENT EXPENSES	148,952		148,952
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	022-500255	RENTS-LEASES OTHER THAN STA	1,209		1,209
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	023-500210	HEAT ELECTRICITY WATER	1,390,280	-100,000	1,290,280
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	024-500225	MAINT OTHER THAN BUILD-GRN	1		1
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	030-500301	EQUIPMENT NEW REPLACEMENT	796		796
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	039-500188	TELECOMMUNICATIONS	15,710		15,710
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	047-500240	OWN FORCES MAINT BUILD-GRN	33,316		33,316
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	048-500226	CONTRACTUAL MAINT BUILD-GRN	847,639		847,639
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	050-500109	PERSONAL SERVICE TEMP APPOI	269,267		269,267
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	060-500602	BENEFITS	506,942		506,942
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	061-500536	UNEMPLOYMENT COMPENSATION	7		7
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	070-500704	IN STATE TRAVEL REIMBURSEME	6,654		6,654
0010	1415	29500000	GENERAL SERVICES MAINT & GRND	103-500735	CONTRACTS FOR OP SERVICES	108,763		108,763
			TOTAL			4,211,032	-100,000	4,111,032
			REVENUES					
			Total General Funds	010-000010		4,082,697	-100,000	3,982,697
			Tranfers Other Agencies	various		128,335	0	128,335
			TOTAL REVENUES			4,211,032	-100,000	4,111,032



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION

FIS 16 118



Victoria F. Sheehan
Commissioner

William Cass, P.E.
Assistant Commissioner

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Division of Aeronautics, Rail and Transit
July 18, 2016

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 276:29, Laws of 2015, Transfer Among Accounts and Classes, authorize the Department of Transportation to transfer funds in and among accounting units in the amount of \$324,800 effective upon Fiscal Committee and Governor and Council approval through June 30, 2017. Funding Source: 100% Federal Funds.

04-096-096-964010-2916	Current Budget FY 2017	Requested Change	Revised Budget FY 2017
Public Transportation			
Expenses:			
010 500100 Personal Services – Perm	\$361,606	\$0	\$361,606
018 500106 Overtime	7,500	0	7,500
020 500200 Current Expense	10,500	0	10,500
022 500255 Rents-Leases Other than State	400	0	400
024 500225 Maint Other than Build-Ground	100,000	(50,000)	50,000
026 500251 Organizational Dues	8,250	0	8,250
029 500290 Intra-Agency Transfers	6,000	0	6,000
030 500311 Equipment - New Replacement	1,672,028	253,000	1,925,028
035 509035 Shared Services Support	3,688	0	3,688
037 500174 Technology – Hardware	36,500	9,800	46,300
038 500177 Technology- Software	25,500	9,500	35,000
039 500180 Telecommunications	4,350	0	4,350
040 500800 Indirect Costs	31,658	0	31,658
046 500463 Consultants	66,775	45,500	112,275
047 500140 Own Forces Maint Build-Ground	20,000	0	20,000
048 500226 Contractual Maint Build-Ground	67,643	7,000	74,643
057 500535 Books Periodicals Subscriptions	100	0	100
060 500601 Benefits	180,161	0	180,161

066 500546 Employee Training	500	0	500
067 500557 Training of Providers	25,000	0	25,000
070 500704 In-State Travel Reimbursement	500	0	500
072 500575 Grants Federal	11,159,791	(274,800)	10,884,991
073 500581 Grants Non Federal	451,538	0	451,538
081 509081 Out of State Travel Fed Reimb	4,500	0	4,500
103 502664 Contracts for Operational Svcs	2,237,575	0	2,237,575
400 500869 Construction Repair Materials	10,000	0	10,000
404 500880 Intra-Indirect Costs	55,996	0	55,996
Total	16,548,059	0	16,548,059
Source of Funds			
Revenue:			
000 404622 Federal Funds	\$15,965,568	\$0	\$15,965,568
005 402759 Private Local Funds	514,644	0	514,644
000 000010 General Funds	67,847	0	67,847
Total	\$16,548,059	\$0	\$16,548,059

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Public Transportation (2916) – 96.48% Federal Funds, 3.11% Private Local Funds, 0.41% General

- Class 024 Decrease Maintenance Other Than Buildings & Grounds by \$50,000. Expenses anticipated to be paid in Class 024 have been more appropriately budgeted class 030 Equipment New Replacement and Class 48 Contractual Maintenance Buildings & Ground, per accounting policy, for capital improvements and repairs to state-owned bus terminals.
- Class 030 Increase Equipment - New Replacement by \$253,000. This transfer is needed for the purchase of state-owned capital equipment which will be installed in state-owned bus terminals and will include defibrillators, emergency call boxes, electronic maintenance equipment, and miscellaneous furniture. All equipment purchased will be state-owned equipment.
- Class 037 Increase Technology - Hardware by \$9,800. This transfer will include computer and server-related items needed to update security camera systems at state-owned bus terminals and computer-related hardware for NHDOT transit staff conducting compliance reviews and site visits.
- Class 038 Increase Technology - Software by \$9,500. This transfer will include software solutions related to the upgrade of security camera systems at state-owned bus terminals.
- Class 046 Increase Consultants by \$45,500. This transfer is to utilize an engineering consultant under contract with Transportation Systems Management & Operations (TSMO) Bureau in order to

upgrade security camera systems at state-owned bus terminals including terminals used by Boston Express on the I-93 corridor.

Class 048 Increase Contractual Maintenance Buildings & Ground by \$7,000. This transfer will include maintenance to state-owned bus terminals, including tiling and floor repair.

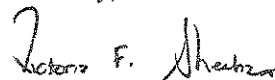
Class 072 Decrease Grants Federal by \$274,800. Some Federal funds for miscellaneous capital items were originally budgeted in class 072, but as the capital items are to be state-owned, it was determined that the funds should be transferred to the appropriate class lines per accounting policy.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Federal Funds, Private Local Funds, and General Funds.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Should funds lapse as a result of not approving this request for project funding, such funds will lapse to their respective account fund balances.
7. Are personal services involved?
The transfer does not result in any new positions.

Your approval of this resolution is respectfully requested.

Sincerely,



Victoria F. Sheehan
Commissioner



THE STATE OF NEW HAMPSHIRE DEPARTMENT OF TRANSPORTATION



Victoria F. Sheehan Commissioner

William Cass, P.E. Assistant Commissioner

The Honorable Neal M. Kurk, Chairman Fiscal Committee of the General Court State House Concord, New Hampshire 03301

July 27, 2016 Division of Project Development

Her Excellency, Governor Margaret Wood Hassan and the Honorable Council State House Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 276:29, Laws of 2015, authorize the Department of Transportation to establish a non-budgeted class in an accounting unit and to transfer \$22,000 between accounts and classes effective upon Fiscal Committee and the date of Governor and Council approval through June 30, 2017.

Table with 4 columns: Description, Current Budget FY2017, Requested Change, Revised Budget FY2017. Rows include Right-of-Way Bureau Expenses and Source of Funds.

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Right-of-Way Bureau (3028) 27.32% Federal Funds, 3.34% Agency Income and 69.34% Highway Funds

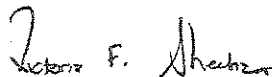
- Class 065 Increase Board Expenses by \$22,000 for payment of Highway Layout Commission members per diem and mileage expenditures. Per RSA 230:14 the commission shall determine whether there is occasion for the laying out or alteration of a class I or class II highway or a highway within the state included in the national system of interstate highways as proposed by the commissioner of transportation and if so, the commission may purchase land or other property that is reasonably necessary for the construction, reconstruction, or alteration and shall lay out the remainder of such highway or alteration.
- Class 069 Decrease Promotional Marketing Expense by \$22,000 due to a reduction in required advertising as a result of fewer public hearings for Department projects in FY2017.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

1. Does transfer involve continuing programs or one-time projects?
Transfer is for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfer is to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds are Federal Funds, Agency Income and Highway Funds.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Should funds lapse as a result of not approving this request for project funding, such funds will lapse to their respective account fund balances.
7. Are personal services involved?
The transfer does not result in any new positions.

Your approval of this resolution is respectfully requested.

Sincerely,



Victoria F. Sheehan
Commissioner



State of New Hampshire

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857

603-271-9200 FAX: 603-271-4912 TDD ACCESS: RELAY NH 1-800-735-2964

FIS 16 116

JEFFREY A. MEYERS
COMMISSIONER

July 14, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 276:143, Laws of 2015, and RSA 14:30-a, VI Additional Revenue authorize the Department of Health and Human Services to transfer general funds in the amount of \$2,815,934, increase related Federal revenues in the amount of \$813,000 and increase related Other revenues in the amount of \$160,965 in the Department of Health and Human Services. The transfers and adjustments are summarized below and detailed in the attached worksheets, effective upon approval of the Fiscal Committee and the Governor and Executive Council through June 30, 2017.

Salary Needs:

From Salary Accounts	Various	(\$ 458,000)
From Other Accounts	Various	<u>(\$ 20,682)</u>
Total From Accounts		(\$ 478,682)

To Salary Accounts	Various	\$ 478,682
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Other Needs:

From Other Accounts	Various	(\$2,337,252)
To Other Accounts	Various	\$2,337,252

Total To Accounts	Various	\$2,815,934
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Total From Accounts	Various	(\$2,815,934)
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EXPLANATION

The Department of Health and Human Services is requesting authorization to transfer funds between various class lines in order to address projected shortfalls and projected needs with anticipated surpluses within the Department's authorized budget. Based upon review of year to date expenditure patterns, a number of accounts were found to require additional funds, due to costs not budgeted and/or budget assumptions that are not anticipated to be realized for the State fiscal year ending June 30, 2017. Accounts where expected expenditures are tracking below budget are being used to transfer within the Department in order to continue to provide services to our clients.

Appendix A, to the letter, includes two (2) summary tables by division for transfers From and To salary accounts and non-salary and benefit accounts.

Appendix B, to this letter, contains narratives by division and account describing why shortfalls and/or surpluses have or are expected to occur.

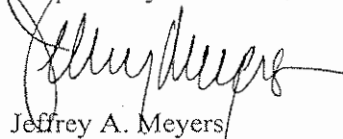
Appendix C, to this letter, contains the detailed accounting information to support the transfer.

The following is the information specifically required when transfers are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested actions:

- A. Justification:
See the attached Appendix B for justification of the availability of funds and required additional funds.
- B. Does this transfer involve continuing programs or one-time projects?
This transfer involves continuing programs.
- C. Is this transfer required to maintain existing program levels or will it increase the program level?
This transfer is required to maintain existing program levels.
- D. Cite any requirements which make this program mandatory.
The programs of the Department are mandated by various state and federal laws.
- E. Identify the source of funds on all accounts listed on this transfer.
See Appendix C for the source of funds for all accounts.
- F. Will there be any effect on revenue if this transfer is not approved?
The effect on revenue, including Federal participation, as a result of this transfer is detailed in the attached Appendix C.
- G. Are funds expected to lapse if this transfer is not approved?
Most funds that are in excess of the budget would lapse or offset estimated federal revenue if not transferred to cover shortfalls
- H. Are personnel services involved?
No positions are being transferred as a result of this request.

The Department has conducted a detailed review of line items in the budget to ensure that available funds are maximized to the greatest degree possible.

Respectfully submitted,



Jeffrey A. Meyers
Commissioner

Salary Accounts	Account From	General Funds Only		Net	Net FF/Oth
		From	To		
Division for Children, Youth and Families	Various	(\$458,000)	\$458,000	\$0	\$0
Division for Client Services	Various	\$0	\$20,682	\$20,682	\$14,319
TL Salary Accts Department of Health and Human Services		(\$458,000)	\$478,682	\$20,682	\$14,319

Net Federal Funds	\$14,319
Net Other Funds	\$0
	<u>\$14,319</u>

Other Accounts	Account From	General Funds Only		Net	Net FF/Oth
		From	To		
Division for Children, Youth and Families	Various	(\$2,337,252)	\$2,337,252	\$0	\$973,965
Division for Client Services	Various	(\$20,682)	\$0	(\$20,682)	(\$14,319)
TL Other Accts Department of Health and Human Services		(\$2,357,934)	\$2,337,252	(\$20,682)	\$959,646

Net Federal Funds	\$798,681
Net Other Funds	\$160,965
	<u>\$959,646</u>

GRAND TOTALS		(\$2,815,934)	\$2,815,934	\$0	
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Total Federal Funds	\$813,000
Total Other Funds	\$160,965

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
TRANSFER OF FUNDS SFY 2017 – Other Expenses**

DIVISION FOR CHILDREN, YOUTH & FAMILIES

05-95-042-421010-12380000

Fast Forward/Stay Grant - Other

Funding in this Accounting Unit represents the costs associated with the Department of Health and Human Services System of Care. The System of Care work is connected to the work of the Children's Behavioral Health Collaborative and the Children's Behavioral Health Plan. The New Hampshire System of Care is a value-based system designed to serve child, youth and families experiencing difficulties due to the child or youth's Severe Emotional Disturbance. The child or youth served through the system of care are at risk for acute psychiatric hospitalization or out-of-home placement in a residential facility. This transfer is to accept funds for the System of Care included in an inappropriate account unit (29580000). Source of funds: 34.50% Federal, 65.50% General.

05-95-042-421010-29580000

Child and Family Services - Other

Funding in this organization represents costs associated with purchased services for Abuse and Neglect, CHINS and Delinquent clients. These services include board and care, as well as, community-based services as ordered by the courts. Funds belong to the System of Care will be available in Class 535 (Out of Home Placements) and Class 563 (Community Based Services) and are to be transferred to an appropriate account unit. Source of funds: 34.50% Federal, 65.50% General.

05-95-042-421010-29590000

Domestic Violence - Other

Funding in this organization represents costs associated with services to children and families exposed or victimized by domestic and sexual violence. Additional funds will be available in Class 073 (Grants) due to funds received from the passage RSA 244:1,V and RSA 457:29 that was effective July 1, 2015. Source of funds: 19.61% Other, 80.39% Agency.

05-95-042-421010-29700000

Teen Independent Living - Other

Funding in this organization code represents the costs associated with the Teen Independent Living Bureau. Functions include; providing adult living preparation services to all DCYF/DJJS adolescents in out-of-home care who are 16 years of age or older or 14 years of age and in DCYF's Guardianship. Service areas addressed include education, employment, healthcare and housing. This transfer is to accept unspent CHAFEE federal grant dollars and move them into more appropriate class lines to be spent on Teens. Source of funds: 100% Federal.

05-95-042-421110-29770000

Child Development Programs - Other

Funding in this organization code represents the costs associated with the payments of providers for child care services. This transfer is to accept unspent Child Care federal grant dollars and move them into more appropriate class lines to be spent on Child Care initiatives. Source of funds: 100% Federal.

05-95-042-421410-79050000
Juvenile Field Services - Other

Funding in this Accounting Unit represents costs associated with Juvenile Justice Field Services, including Juvenile Probation & Parole Officers, Juvenile Probation & Parole Supervisors, and support staff located at itinerant and District Offices. This transfer is to create a new class line (102) to track Drug Testing Expenses effectively. Funding will be decreased in class 020. Source of funds: 32.50% Federal, 67.50% General.

05-95-042-421410-79070000
JAIBG - Other

Funding in this organization represents programs funded by the Juvenile Accountability and Incentive Block Grant from the Office of Juvenile Justice & Delinquency Prevention (OJJDP). Funds are needed in Class 030 (Equipment) due to purchase of Recreation Equipment for the youth in the Sununu Youth Service Center. The funds are available in Class 102 (Contracts for Program Services). Source of funds: 100% Federal.

05-95-042-421510-79090000
Director's Office - Salary

Funding in this organization represents costs associated with the operation of the Office of the Director along with other administrative support staff. This transfer will help funding a projected deficit in Class 050 (Part-Time Temp) due to increasing of switchboard operations. Source of funds: 100% General.

05-95-042-421510-79100000
Business Office - Salary

Funding in this organization represents costs associated with the Business Office staff and business operations at the Sununu Youth Services Center. A projected surplus in Class 050 (Part-Time Temp) due to vacancies will help funding a projected deficit in account unit 79090000. Source of funds: 100% General.

05-95-042-421510-79150000
Health Services - Other

Funding in this Accounting Unit represents costs associated with the medical services provided to the residents of the John H. Sununu Youth Services Center. This transfer is to create a new class line (102) to track Drug Testing Expenses effectively. Source of funds: 100% General.

05-95-042-421510-79160000

Rehabilitative Programs - Salary

Funding in this organization represents costs associated with the John H Sununu Youth Services Center direct care staff, including youth counselors, treatment coordinators, operations staff, and other program costs. As a result of the reclassification of 29 part-time temp youth counselor positions to 12 full-time temp youth counselor positions, requires transferring fund from Class 050 (Part-Time Temp) to Class 059 (Temp Full Time). Source of funds: 100% General.

05-95-042-421510-79190000

Chapter I Neglected – Disadvantaged - Other

Funding in this organization represents costs associated with the John H Sununu Youth Services Center educational department's Title I grant. This grant funds one full-time teacher assistant and the part-time summer school staff. This transfer will align the funds in Class 020 (Current Expenses), Class 030 (Equipment), Class 039 (Telecommunications), Class 040 (Indirect Costs), Class 042 (Additional Fringe Benefits), Class 070 (In-State Travel), and Class 102 (Contracts for Program Services) in this account with DOE approved plan. Source of funds: 100% Other

DIVISION OF CLIENT SERVICES

05-95-045-451010-79940000

Client Svcs – DCYF Field OPS Program Eligibility - Other

Funding in this organization code represents the costs associated with the eligibility determination/revenue enhancement staff for DCYF. . This transfer will help funding projected deficits in Class 018 (Overtime) and Class 050 (Part-Time Temp) due to vacancies with a projected surplus in Class 070 (In-State Travel). Source of Funds: 40.91% Federal, 59.09% General

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	R	S	
1	Fund	Agcy	Org	Clas	Rcpt Acct	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/I	FF	Transfer Amount OF		GF	FF	SOF OF	GF
4	LAWSON ACCOUNTING FORMAT																	
5	COMP	N/A	ACCOUNTING UNIT	CLASS	ACCOUNT													
7	DIVISION FOR CHILDREN, YOUTH AND FAMILIES																	
9	Director's Office - SYSC																	
10	010	042	79090000			Federal Funds	\$ -											
11	010	042	79090000			Other Funds	\$ -											
12	010	042	79090000			General Funds	\$ 8,000	\$ 8,000										
13	Total Revenue						\$ 8,000											
15	010	042	79090000	050	500109	Part-Time Temp	\$ 8,000			\$ 8,000		\$ -	\$ -	\$ 8,000		0%		100%
16	Total Expense						\$ 8,000				\$ 8,000							
18	SYSC Business Office																	
19	010	042	79100000			Federal Funds	\$ -											
20	010	042	79100000			Other Funds	\$ -											
21	010	042	79100000			General Funds	\$ (8,000)	\$ (8,000)										
22	Total Revenue						\$ (8,000)											
24	010	042	79100000	050	500109	Part-Time Temp	\$ (8,000)			\$ (8,000)		\$ -	\$ -	\$ (8,000)		0%	0%	100%
25	Total Expense						\$ (8,000)				\$ (8,000)							
27	Rehabilitative Programs																	
28	010	042	79160000			Federal Funds	\$ -											
29	010	042	79160000			Other Funds	\$ -											
30	010	042	79160000			General Funds	\$ -	\$ -										
31	Total Revenue						\$ -											
33	010	042	79160000	050	500109	Part-Time Temp	\$ (450,000)			\$ (450,000)		\$ -	\$ -	\$ (450,000)		0%	0%	100%
34	010	042	79160000	059	500117	Temp Full Time	\$ 450,000			\$ 450,000		\$ -	\$ -	\$ 450,000		0%	0%	100%
35	Total Expense						\$ -				\$ -							
37	Stay Grant																	
38	010	042	12380000	000	400388	Federal Funds	\$ 1,223,276											
39	010	042	12380000			Private Local Funds	\$ -											
40	010	042	12380000			General Funds	\$ 2,322,452	\$ 2,322,452										
41	Total Revenue						\$ 3,545,728											
43	010	042	12380000	563	500915	Community Based Services	\$ 3,545,728			\$ 2,322,452		\$ 1,223,276	\$ -	\$ 2,322,452		34.50%	0.00%	65.50%
44	Total Expense						\$ 3,545,728				\$ 2,322,452							
46	Child & Family Services																	
47	010	042	29580000	000	404230	Federal Funds	\$ (1,223,276)											
48	010	042	29580000	007	407139	Private Local Funds	\$ -											
49	010	042	29580000			General Funds	\$ (2,322,452)	\$ (2,322,452)										
50	Total Revenue						\$ (3,545,728)											
52	010	042	29580000	535	500376	Out of Home Placements	\$ (1,769,429)			\$ (1,158,976)		\$ (610,453)	\$ -	\$ (1,158,976)		34.50%	0.00%	65.50%
53	010	042	29580000	563	500915	Community Based Services	\$ (1,776,299)			\$ (1,163,476)		\$ (612,823)	\$ -	\$ (1,163,476)		34.50%	0.00%	65.50%
54	Total Expense						\$ (3,545,728)				\$ (2,322,452)							
56	Domestic Violence																	
57	010	042	29590000	001	403211	Other Funds	\$ 19,450											
58	010	042	29590000	009	405265	Agency Funds	\$ 79,745											
59	010	042	29590000			General Funds	\$ -	\$ -										
60	Total Revenue						\$ 99,195											
62	010	042	29590000	073	500581	Grants	\$ 99,195			\$ -		\$ -	\$ 99,195	\$ -		19.61%	80.39%	0.00%
63	Total Expense						\$ 99,195				\$ -							
65	Teen Independent Living																	
66	010	042	29700000	000	404213	Federal Funds	\$ 100,000											
67	010	042	29700000			General Funds	\$ -	\$ -										
68	Total Revenue						\$ 100,000											

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Cl	Rcpt Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF		FF	SOF OF	GF	
69																			
70	010	042	29700000	020	500200	Current Expenses	\$ 50,000			\$ -		\$ 50,000	\$ -	\$ -		100%	0%	0%	
71	010	042	29700000	502	500691	Payments To Providers	\$ 50,000			\$ -		\$ 50,000	\$ -	\$ -		100%	0%	0%	
72	Total Expense						\$ 100,000				\$ -								
73	Child Development Program																		
74	Child Development Program																		
75	010	042	29770000	000	403979	Federal Funds	\$ 713,000												
76	010	042	29770000			Other Funds	\$ -												
77	010	042	29770000			General Funds	\$ -	\$ -											
78	Total Revenue						\$ 713,000												
79	Child Development Program																		
80	010	042	29770000	020	500200	Current Expenses	\$ 213,000			\$ -		\$ 213,000	\$ -	\$ -		100.00%	0.00%	0.00%	
81	010	042	29770000	102	500731	Contracts for program services	\$ 500,000			\$ -		\$ 500,000	\$ -	\$ -		100.00%	0.00%	0.00%	
82	Total Expense						\$ 713,000				\$ -								
83	Juvenile Field Services																		
84	Juvenile Field Services																		
85	010	042	79050000	000	408044	Federal Funds	\$ -												
86	010	042	79050000			Other Funds	\$ -												
87	010	042	79050000			General Funds	\$ -	\$ -											
88	Total Revenue						\$ -												
89	Juvenile Field Services																		
90	010	042	79050000	020	500200	Current Expenses	\$ (16,000)			\$ (10,800)		\$ (5,200)	\$ -	\$ (10,800)		32.50%	0.00%	67.50%	
91	010	042	79050000	102	500731	Contracts for program services	\$ 16,000			\$ 10,800		\$ 5,200	\$ -	\$ 10,800		32.50%	0.00%	67.50%	
92	Total Expense						\$ -				\$ -								
93	JAIBG																		
94	JAIBG																		
95	010	042	79070000	000	404802	Federal Funds	\$ -												
96	010	042	79070000			Other Funds	\$ -												
97	010	042	79070000			General Funds	\$ -	\$ -											
98	Total Revenue						\$ -												
99	JAIBG																		
100	010	042	79070000	030	500301	Equipment	\$ 4,500			\$ -		\$ 4,500	\$ -	\$ -		100.00%	0.00%	0.00%	
101	010	042	79070000	102	500731	Contracts for program services	\$ (4,500)			\$ -		\$ (4,500)	\$ -	\$ -		100.00%	0.00%	0.00%	
102	Total Expense						\$ -				\$ -								
103	Health Services																		
104	Health Services																		
105	010	042	79150000			Federal Funds	\$ -												
106	010	042	79150000			Other Funds	\$ -												
107	010	042	79150000			General Funds	\$ -	\$ -											
108	Total Revenue						\$ -												
109	Health Services																		
110	010	042	79150000	020	500200	Current Expenses	\$ (4,000)			\$ (4,000)		\$ -	\$ -	\$ (4,000)		0%	0%	100%	
111	010	042	79150000	102	500731	Contracts for program services	\$ 4,000			\$ 4,000		\$ -	\$ -	\$ 4,000		0%	0%	100%	
112	Total Expense						\$ -				\$ -								
113	Chapter I Neglected - Disad																		
114	Chapter I Neglected - Disad																		
115	010	042	79190000			Federal Funds	\$ -												
116	010	042	79190000	001	405569	Other Funds	\$ 61,770												
117	010	042	79190000			General Funds	\$ -	\$ -											
118	Total Revenue						\$ 61,770												
119	Chapter I Neglected - Disad																		
120	010	042	79190000	020	500200	Current Expenses	\$ 8,000			\$ -		\$ -	\$ 8,000	\$ -		0%	100%	0%	
121	010	042	79190000	030	500301	Equipment	\$ 13,000			\$ -		\$ -	\$ 13,000	\$ -		0%	100%	0%	
122	010	042	79190000	039	500188	Telecommunications	\$ (330)			\$ -		\$ -	\$ (330)	\$ -		0%	100%	0%	
123	010	042	79190000	040	500800	Indirect Costs	\$ (2,000)			\$ -		\$ -	\$ (2,000)	\$ -		0%	100%	0%	
124	010	042	79190000	042	500620	Additional Fringe Benefits	\$ (1,000)			\$ -		\$ -	\$ (1,000)	\$ -		0%	100%	0%	
125	010	042	79190000	070	500700	In-State Travel	\$ 1,995			\$ -		\$ -	\$ 1,995	\$ -		0%	100%	0%	
126	010	042	79190000	102	500731	Contracts for Program Services	\$ 42,105			\$ -		\$ -	\$ 42,105	\$ -		0%	100%	0%	
127	Total Expense						\$ 61,770				\$ -								
128	DIVISION OF CLIENT SERVICES																		
129	DIVISION OF CLIENT SERVICES																		
130	DIVISION OF CLIENT SERVICES																		
131	DIVISION OF CLIENT SERVICES																		
132	DCYF FIL OPS PG ELB																		
133	010	045	79940000	000	404671	Federal Funds	\$ 14,318												
134	010	045	40000			Other Funds	\$ -												
135	010	045	40000			General Funds	\$ 20,682	\$ 20,682											

	A	B	C	D	E	G		H	I	J	K	L	M	N	O	P	R	S	
	Fund	Agcy	Org	Clas	Rcpt Acct	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF	FF	OF	GF		
136	Total Revenue																		
137							\$ 35,000												
138	010	045	79940000	018	500106	Overtime	\$ 10,000			\$ 5,909		\$ 4,091	\$ -	\$ 5,909	40.91%	0%	59.09%		
139	010	045	79940000	050	500109	Part-Time Temp	\$ 25,000			\$ 14,773		\$ 10,227	\$ -	\$ 14,773	40.91%	0%	59.09%		
140	Total Expense																		
141							\$ 35,000				\$ 20,682								
142	DCYF FIL OPS PG ELB																		
143	010	045	79940000	000	404671	Federal Funds	\$ (14,318)												
144	010	045	79940000			Other Funds	\$ -												
145	010	045	79940000			General Funds	\$ (20,682)	\$ (20,682)											
146	Total Revenue																		
147							\$ (35,000)												
148	010	045	79940000	070	500700	In-State Travel	\$ (35,000)			\$ (20,682)		\$ (14,318)	\$ -	\$ (20,682)	40.91%	0.00%	59.09%		
149	Total Expense																		
150							\$ (35,000)				\$ (20,682)								
151																			
152																			
153	Total DHHS							\$ -	\$ -	\$ -	\$ 813,000	\$ 160,965	\$ -						

ADDITIONAL REVENUES AND POSITIONS - BIENNIUM ENDING JUNE 30, 2017
Fiscal Committee Approvals Through Meeting of 06/24/16

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
FISCAL YEAR 2016										
16-035	March'16	Adjutant General's Department	RSA 14:30-a, VI	-	450,000	-	450,000	-	-	
		Adjutant General's Department Total		-	450,000	-	450,000	-	-	
15-138	July'15	Cultural Resources, Department of	RSA 14:30-a, VI; RSA 124:15	-	594,691	-	594,691	-	3	Authorizes 3 part time positions (grants coordinator and 2 program specialists I)
15-167	Aug'15	Cultural Resources, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	29,456	29,456	-	-	Extended authorization for consultants from 6/30/15 to 12/31/15.
		Cultural Resources, Department of Total		-	594,691	29,456	624,147	-	3	
15-141	July'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	1,084,997	-	1,084,997	-	-	Item extends the end date for 2 temporary full time positions (program specialist III and program assistant II) originally established in FIS 15-033
15-143	July'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	1,586,127	-	1,586,127	-	-	Item extends the end date for 3 temp full time (Administrator II, Program Specialist III, Program Assistant II) and one part time position (Program Specialist III) originally established in FIS 14-026
15-263	Dec'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	763,462	-	763,462	1	-	Item allows for 1 temporary full time position (education consultant I)
		Education, Department of Total		-	3,434,586	-	3,434,586	1	-	
16-002	Jan'16	Energy and Planning, Office of	RSA 14:30-a, VI	-	300,000	-	300,000	-	-	
		Energy and Planning, Office of Total		-	300,000	-	300,000	-	-	
15-117	July'15	Environmental Services, Department of	RSA 124:15	-	-	-	-	-	-	Item extends the end date for 5 temp full time positions (administrator IV, Administrator III, Civil Engineer VI, and 2 Environmentalist IV) originally established in FIS 13-246. FIS 15-239 extends end date from 12/31/15 to 6/30/17.
16-010	Jan'16	Environmental Services, Department of	RSA 14:30-a, VI	-	127,420	-	127,420	-	-	
16-019	Feb'16	Environmental Services, Department of	RSA 14:30-a, VI	-	163,209	-	163,209	-	-	
16-026	Feb'16	Environmental Services, Department of	RSA 14:30-a, VI	-	-	75,000,000	75,000,000	-	-	
16-041	March'16	Environmental Services, Department of	RSA 14:30-a, VI	-	300,000	-	300,000	-	-	
16-084	May '16	Environmental Services, Department of	RSA 14:30-a, VI	-	-	285,000	285,000	-	-	
16-091	June'16	Environmental Services, Department of	RSA 14:30-a, VI	-	-	5,198,361	5,198,361	-	-	
16-092	June'16	Environmental Services, Department of	RSA 14:30-a, VI	-	-	50,000,000	50,000,000	-	-	
		Environmental Services, Department of Total		-	590,629	130,483,361	75,590,629	0	0	
15-213	Oct'15	Fish and Game Department	RSA 14:30-a, VI	-	595,800	-	595,800	-	-	
15-214	Oct'15	Fish and Game Department	RSA 14:30-a, VI	-	174,570	-	174,570	-	-	
16-003	Jan'16	Fish and Game Department	RSA 14:30-a, VI	-	29,359	10,000	39,359	-	-	
16-062	April'16	Fish and Game Department	RSA 14:30-a, VI; RSA 124:15	-	170,170	-	170,170	-	-	Establishes a class 046 consultant line to hire a consultant to develop and implement a web-based event manager service.
		Fish and Game Department Total		-	969,899	10,000	979,899	0	0	
15-152	July'15	Governor's Office	RSA 14:30-a, VI; RSA 124:15	-	-	112,500	112,500	-	-	Item extends the end date for one non-classified full time position originally established in FIS 14-196
		Governor's Office Total		-	-	112,500	112,500	-	-	
15-120	July'15	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	124,150	34,328	158,478	-	-	
15-270	Dec'15	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	9,129,830	1,219,378	10,349,208	-	-	
16-029	Feb'16	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	1,557,555	61,714	1,619,269	-	-	
16-030	Feb'16	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	4,000	-	4,000	-	-	
16-031	Feb'16	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	(74,999)	(9,630)	(84,629)	-	-	
16-103	June'16	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	981,121	187,640	1,168,761	-	-	
		DHHS - Office of the Commissioner Total		-	11,721,657	1,493,430	13,215,087	-	-	

LBAO
06/28/16

ADDITIONAL REVENUES AND POSITIONS - BIENNIUM ENDING JUNE 30, 2017
Fiscal Committee Approvals Through Meeting of 06/24/16

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-122	Aug'15	DHHS - Division of Children, Youth and Families	RSA 14:30-a, VI	-	219,342	-	219,342	-	-	
		DHHS - Division of Children, Youth and Families Total		-	219,342	-	219,342	-	-	
15-123	July'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,636,364	-	1,636,364	-	-	
15-124	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	127,089	-	127,089	-	-	
15-169	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,724,196	-	1,724,196	-	-	FIS 15-272 extends the end date from 12/31/15 to 6/30/17 and accepts additional funds (see below)
15-272	Dec'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	340,567	-	340,567	-	-	See above
15-170	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	103,396	-	103,396	-	-	
15-171	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,067,592	-	1,067,592	-	-	
15-172	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	257,214	-	257,214	-	-	Item extends the end date for 3 positions (a senior management analyst and two program planner III) originally approved with FIS 15-002
15-224	Oct'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	1,038,641	-	1,038,641	5	-	Item creates 5 temporary full-time positions (Toxicologist IV, 2 toxicologist II, public health program manager, and program specialist IV)
15-255	Dec'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	-	300,000	300,000	-	-	
15-264	Dec'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	120,522	-	120,522	-	-	
15-258	Dec'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	286,867	-	286,867	-	-	
16-011	Jan'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	309,247	-	309,247	-	-	
16-012	Jan'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,727,822	-	1,727,822	-	-	
16-020	Feb'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	678,574	-	678,574	2	-	Item establishes two full time temporary positions
16-021	Feb'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	466,012	-	466,012	2	-	Item establishes two full time temporary positions
		DHHS - Division of Public Health Services Total		-	9,884,103	300,000	10,184,103	9	-	
15-240	Dec'15	DHHS - Office of Human Services	RSA 14:30-a, VI; RSA 124:15	-	362,852	-	362,852	1	1	Item establishes 1 full time temporary position
		DHHS - Office of Human Services Total		-	362,852	-	362,852	1	1	
15-139	July'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	2,500,000	-	2,500,000	-	-	
15-215	Oct'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	2,231,951	-	2,231,951	-	-	
15-228	Oct'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	324,997	-	324,997	-	-	
16-004	Jan'16	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	4,083,670	-	4,083,670	-	-	
16-052	March'16	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	-	-	-	-	-	Item amends item 15-034 by reallocating federal funds between classes and extending the end date to 12/20/2016.
16-078	May '16	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	10,010,000	10,000,000	20,010,000	-	-	Additional drug rebate revenue and matching federal funds.
16-109	June'16	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	7,912,306	23,803,994	31,716,300	-	-	Additional drug rebate revenue, matching federal funds and MET revenue.
		DHHS - Office of Medicaid Business and Policy Total		-	27,062,924	33,803,994	60,866,918	-	-	
16-045	March'16	Department of Information Technology	RSA 14:30-a, VI; RSA 124:15	-	-	120,000	120,000	-	-	Item provides approval for establishing consultant positions. Federal Funds from Homeland Security
		Department of Information Technology Total		-	-	120,000	120,000	-	-	
15-153	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	444,179	-	444,179	-	-	Item extends the end date for consultants established in FIS 14-174
15-154	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	1,055,985	-	1,055,985	-	-	Item extends the end date for consultants established in FIS 13-247
15-155	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	529,678	-	529,678	-	-	Item provides approval for establishing consultant positions
		Insurance Department Total		-	2,029,842	-	2,029,842	-	-	
15-114	July'15	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	106,177	-	106,177	-	-	Item provides approval for establishing consultant positions. FIS 15-250 extends end date from 12/31/15 to 6/30/17.

ADDITIONAL REVENUES AND POSITIONS - BIENNIUM ENDING JUNE 30, 2017
Fiscal Committee Approvals Through Meeting of 06/24/16

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-118	July'15	Justice, Department of	RSA 124:15	-	-	-	-			Item establishes one part time program specialist IV position
15-194	Sept'15	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	138,902	138,902	-	1	Item establishes one temp full time planning analyst (LG 24) position and approval for establishing consultant position. FIS 15-247 extends the end date from 12/31/15 to 6/30/17 and accepts an additional \$138,902 of agency income.
15-216	Oct'15	Justice, Department of	RSA 14:30-a, VI	-	5,940,633	-	5,940,633	-	-	
15-246	Dec'15	Justice, Department of	RSA 14:30-a, VI	-	142,145	-	142,145	-	-	
15-248	Dec'15	Justice, Department of	RSA 14:30-a, VI	-	500,000	-	500,000	-	-	
15-267	Dec'15	Justice, Department of	RSA 14:30-a, VI	-	60,000	-	60,000	1	-	Establishes 1 drug prosecutor position
16-005	Jan'16	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	140,000	140,000	1	-	Establishes 1 victim witness specialist (LG 26) position
16-046	March'16	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	132,346	132,346	1	-	Establishes 1 Elder Abuse Prosecutor.
16-047	March'16	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	123,454	123,454	1	-	Establishes 1 victim witness specialist (LG 26) position
16-048	March'16	Justice, Department of	RSA 14:30-a, VI	-	399,622	-	399,622	-	-	
16-081	May '16	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	100,000	100,000	-	-	Hire a consultant to assist in investigation of potential claims regarding fraudulent marketing of opioid drugs. Consumer Protection Revolving Funds.
16-090	June'16	Justice, Department of	RSA 14:30-a, VI	-	-	59,313,582	59,313,582	-	-	
		Justice, Department of Total		-	7,148,577	59,948,284	67,096,861	4	1	
15-137	July'15	Pharmacy, Board of	RSA 124:15	-	-	-	-	-	-	Item extends the end date for one temp full time Administrator I and one part time Administrator I as contained in FIS 14-015 and FIS 13-164, FIS 15-273 extends end date through 3/31/16.
		Pharmacy, Board of Total		-	-	-	-	-	-	
16-055	March'16	Office of Professional Licensure and Certification	RSA 14:30-a, VI; RSA 124:15	-	-	452,084	452,084	1	-	Establishes 1 administrative assistant (LG 16) position and extends a administrator I (LG 27) through June 30, 2017.
		Office of Professional Licensure and Certification Total		-	-	452,084	452,084	1	-	
15-178	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	-	109,020	109,020	-	-	
15-179	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	-	189,276	189,276	-	-	
15-181	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI; RSA 124:15	-	319,848	-	319,848	-	-	Item provides approval for establishing consultant position of consortium manager. FIS 15-253 extends end date from 12/31/15 to 5/31/16
15-193	Sept'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	199,878	-	199,878	-	-	FIS 15-234 extends end date from 12/31/15 to 9/29/16
16-042	March'16	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	-	150,000	150,000	-	-	
16-079	May '16	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	-	215,000	215,000	-	-	Parks Fund. Purchase of camps at Umbagog.
		Resources and Economic Development, Department of Total		-	519,726	663,296	1,183,022	-	-	
15-133	July'15	Safety, Department of	RSA 124:15	-	-	-	-	-	-	Item extends the end date for consultant positions originally contained in FIS 14-203
15-134	July'15	Safety, Department of	RSA 124:15	-	-	-	-	-	-	Item extends the end date for consultant positions originally contained in FIS 15-032
15-144	July'15	Safety, Department of	RSA 124:15	-	-	-	-	-	-	Item extends the end date for consultant positions originally contained in FIS 14-152. FIS 15-242 extends end date from 12/31/15 to 9/30/16.
15-132	Aug'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	870,841	-	870,841	-	-	Item provides approval for establishing consultant positions. See FIS 15-249

ADDITIONAL REVENUES AND POSITIONS - BIENNIUM ENDING JUNE 30, 2017
Fiscal Committee Approvals Through Meeting of 06/24/16

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-249	Aug'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	(471,861)	-	(471,861)	-	-	Item extends end date for FIS 15-132 from 12/31/15 to 6/30/17 and reduces the amount to reflect what has been appropriated.
15-164	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	4,439,989	-	4,439,989	-	-	FIS 15-236 changes end date from 12/31/15 to 6/30/17
15-165	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	2,237,568	-	2,237,568	-	-	FIS 15-237 changes end date from 12/31/15 to 6/30/17
15-166	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	554,499	-	554,499	-	-	FIS 15-238 changes end date from 12/31/15 to 6/30/17
15-195	Sept'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	7,747,351	-	7,747,351	-	2	Item provides approval to establish 2 temporary positions. FIS 15-241 extends end date from 12/31/15 to 6/30/17.
15-217	Oct'15	Safety, Department of	RSA 14:30-a, VI	-	1,200,143	-	1,200,143	-	-	
15-218	Oct'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	165,714	165,714	1	-	Item provides approval to establish 1 temporary position (full-time State Police Captain)
15-235	Dec'15	Safety, Department of	RSA 14:30-a, VI	-	-	101,655	101,655	-	-	
15-265	Dec'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	165,975	165,975	-	-	Item provides approval to establish consultant positions
15-266	Dec'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	77,170	77,170	-	4	Item provides approval to establish 4 temporary part-time positions in class 50
16-016	Jan'16	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	257,346	257,346	2	-	Item provides approval to establish 2 temporary full-time hearings examiner prosecutor positions
16-022	Feb'16	Safety, Department of	RSA 14:30-a, VI	-	-	329,164	329,164	-	-	
16-023	Feb'16	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	109,960	109,960	-	-	Item provides approval to establish consultant positions
16-043	March'16	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	756,812	-	756,812	-	1	Establishes 1 part-time program assistant II position.
		Safety, Department of Total		-	17,335,342	1,206,984	18,542,326	3	7	
15-177	Aug'15	Transportation, Department of	RSA 14:30-a, VI	-	-	750,000	750,000	-	-	FIS 15-254 extended end date from 12/31/15 to 6/30/16
15-188	Sept'15	Transportation, Department of	RSA 14:30-a, VI	-	300,000	-	300,000	-	-	FIS 15-259 extends end date from 12/31/15 to 6/30/17
16-044	March'16	Transportation, Department of	RSA 14:30-a, VI	-	3,000,000	-	3,000,000	-	-	
16-062	April'16	Transportation, Department of	RSA 14:30-a, VI	-	200,000,000	-	200,000,000	-	-	Pursuant to RSA 6:13-d Authority to Borrow, the Department is requesting that the Treasurer borrow \$200,000,000 through a Transportation Infrastructure Finance and Innovation Act (TIFIA) loan.
		Transportation, Department of Total		-	203,300,000	750,000	204,050,000	-	-	
16-100	June'16	Treasury	RSA 14:30-a, VI	-	-	500,000	500,000	-	-	
		Treasury, Total		-	-	500,000	500,000	-	-	
		FY 16 Total		-	285,924,170	229,873,389	515,797,559	19	12	
FISCAL YEAR 2017										
16-104	June'16	Administrative Services, Department of	RSA 14:30-a, VI	-	-	100,000	100,000	-	-	
		Administrative Services, Department of Total		-	-	100,000	100,000	-	-	
16-107	June'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	941,245	-	941,245	-	-	
16-108	June'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	294,310	-	294,310	-	-	Extends 3 full-time temporary positions.
		DHHS - Division of Public Health Services Total		-	1,235,555	-	1,235,555	-	-	
		FY 17 Total		-	1,235,555	100,000	1,335,555	-	-	

JOINT LEGISLATIVE FACILITIES COMMITTEE
 LEGISLATIVE BRANCH
 DETAIL OF BALANCE OF FUNDS AVAILABLE
 FISCAL YEAR 2016
 As of 06/30/2016

Legislative Branch:	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Senate:	1170							
Personal srvs. - members	011		700.00			99.00		601.00
Personal srvs. - nonclassi	016	3,000.00	1,722,878.00			1,614,416.57		111,461.43
Current expenses	020		40,000.00			13,584.94		26,415.06
Rents-Leases other than state	022	1,000.00	9,500.00			8,893.49		1,606.51
Equipment	030		2,500.00			128.00		2,372.00
Telecommunications	039		18,000.00			13,877.08		4,122.92
Legal srvs.& consultants	046	11,700.00	82,000.00			80,626.12		13,073.88
Personal srvs. - temp/app	050	42,000.00	25,212.00			16,614.10		50,597.90
Benefits	060	40,000.00	793,248.00			651,679.06		181,568.94
Employee training	066	1,000.00	500.00					1,500.00
Travel:								
In state	070	35,000.00	140,000.00			128,603.43		46,396.57
Out of state	080	9,000.00	3,000.00			1,476.85	900.00	9,623.15
President's discretionary fund	285	2,156.00	4,500.00			4,146.50		2,509.50
Contingency	289	500.00	0.00					500.00
Total		145,356.00	2,842,038.00	0.00	0.00	2,534,145.14	900.00	452,348.86

Legislative Branch - continued:

	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
House	1180							
Personal srvs. - members	011	8,000.00	5,000.00			1,614.00		11,386.00
Personal srvs. - nonclassi	016	20,000.00	1,654,403.00		(30,000.00)	1,551,192.23		93,210.77
Current expenses	020	7,500.00	55,000.00			36,575.98		25,924.02
Rents-Leases Other than State	022	1,000.00	4,500.00			3,424.28		2,075.72
Maint. Other than bldg/grnd	024	23,556.38	6,000.00			23,000.00	0.00	6,556.38
Equipment	030	17,000.00	10,000.00		30,000.00	23,413.53		33,586.47
Telecommunications	039	3,000.00	30,000.00			22,469.24		10,530.76
Consultants	046	50,000.00	80,000.00			10,845.00		119,155.00
Personal srvs. - temp/app	050	35,000.00	249,022.00			165,173.88		118,848.12
Benefits	060	150,000.00	854,997.00			617,451.90		387,545.10
Employee training	066	2,000.00	1,000.00					3,000.00
Travel:								
In state	070	270,260.00	1,000,000.00			738,043.07		532,216.93
Out of state	080	40,000.00	95,000.00			47,059.50		87,940.50
Speaker's special fund	286	2,500.00	10,000.00			3,611.72		8,888.28
Democratic Leader's Account	287	500.00	4,500.00			4,776.34		223.66
Republican Leader's Account	288	500.00	4,500.00			2,620.12		2,379.88
Total		630,816.38	4,063,922.00	0.00	0.00	3,251,270.79	0.00	1,443,467.59

Legislative Branch - continued:

		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Operations	1160							
Personal svcs. - nonclassi	016	2,565.00	241,593.00			207,737.47		36,420.53
Current expenses	020	2,200.00	2,000.00			450.08		3,749.92
Equipment New/Replacement	030		750.00					750.00
Telecommunications	039	1,000.00	7,500.00			6,936.03		1,563.97
Benefits	060	2,000.00	152,133.00			119,596.45		34,536.55
Total		7,765.00	403,976.00		0.00	334,720.03	0.00	77,020.97

Joint Expenses	8677							
Current expenses	020	6,000.00	48,000.00			50,499.39		3,500.61
Rents-Leases Other Than State	022	1,000.00	18,000.00			11,371.40		7,628.60
Organizational Dues	026	15,000.00	130,000.00			130,000.00		15,000.00
Equipment New/Replacement	030		1,000.00			249.00		751.00
Consultants	046	13,000.00	3,000.00			16,000.00		0.00
Transfer to Other State Agencies	049		3,000.00			3,000.00		0.00
Legislative Printing & Binding	290	20,848.00	280,000.00			188,836.50		112,011.50
Joint Orientation	291	2,000.00	0.00					2,000.00
Redistricting	292		2,000.00					2,000.00
Total		57,848.00	485,000.00	0.00	0.00	399,956.29	0.00	142,891.71
Less estimated Revenue		-2,050.65	-9,000.00	5,815.07	(A)			-5,235.58
Total		55,797.35	476,000.00	5,815.07	0.00	399,956.29	0.00	137,656.13

Joint Legislative Historical Committee	8870							
Current Expenses	020	43,306.05				10,679.95		32,626.10
Consultants	046	10,145.57	10,000.00			16,190.00		3,955.57
Flag Preservation Consultants	046	0.00			82,624.00	9,339.05		73,284.95
Flag Preservation Revenue	8870-3586	82,624.49			(82,624.00)			0.49
Total		136,076.11	10,000.00	0.00	0.00	36,209.00	0.00	109,867.11

Legislative Branch - continued:

		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Visitor's Center:	1229							
Personal srvs. - nonclassi	016		108,911.00			108,884.55		26.45
Current Expenses	020		750.00		700.00	944.68		505.32
Equipment New/Replacement	030		400.00			339.98		60.02
Telecommunications	039		750.00			409.85		340.15
Personal srvs. - temp/app	050		55,862.00		(55,862.00)			0.00
Benefits	060	182.00	884.00		55,162.00	53,275.15		2,952.85
Total		182.00	167,557.00		0.00	163,854.21	0.00	3,884.79

Visitor's Ctr. Revolving Fund (G)

	1230							
Souvenir Purchases	106	7,100.70	0.00		42,873.51	25,763.69		24,210.52
Revenue	2016	42,873.51	0.00	49,632.00	(42,873.51) (B)			49,632.00
Total		49,974.21	0.00	49,632.00	0.00	25,763.69	0.00	73,842.52

Legislative Accounting:

	1166							
Personal srvs. - nonclassi	016	5,000.00	226,923.00			226,919.26		5,003.74
Current expenses	020		2,000.00			309.63		1,690.37
Equipment New/Replacement	030		1,000.00			239.99		760.01
Telecommunications	039	500.00	750.00			652.02		597.98
Personal srvs. - temp/app	050		22,583.00					22,583.00
Benefits	060	3,291.00	80,932.00			76,301.96		7,921.04
Total		8,791.00	334,188.00		0.00	304,422.86	0.00	38,556.14

General Court Info. Systems:

	4654							
Personal srvs. - nonclassi	016	14,000.00	345,537.00		5,000.00	347,286.25		17,250.75
Current expenses	020		39,200.00			25,080.42		14,119.58
Equipment New/Replacement	030		750.00					750.00
Technology - Hardware	037	8,000.00	87,025.00			66,450.27		28,574.73
Technology - Software	038		101,797.00			57,343.07	0.00	44,453.93
Telecommunications	039		2,500.00			1,326.74		1,173.26
Personal srvs. - temp/app	050		8,640.00		(5,000.00)			3,640.00
Benefits	060	24,186.00	184,661.00			175,979.88		32,867.12
Employee training	066		2,500.00					2,500.00
Total		46,186.00	772,610.00		0.00	673,466.63	0.00	145,329.37

Legislative Branch - continued:

Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Protective Services:	1164						
Personal srvs. - nonclassi	016	18,000.00	410,585.00		415,125.46		13,459.54
Current expenses	020		7,500.00		4,901.65		2,598.35
Equipment New/Replacement	030		10,200.00		6,949.61		3,250.39
Telecommunications	039		2,200.00		1,674.50		525.50
Personal srvs. - temp/app	050		1.00				1.00
Benefits	060	1,252.00	216,708.00		203,486.11		14,473.89
Total		19,252.00	647,194.00	0.00	632,137.33	0.00	34,308.67

Health Services:	1165						
Current expenses	020	200.00	1,600.00		846.43		953.57
Equipment New/Replacement	030		300.00		112.58		187.42
Telecommunications	039		350.00		243.55		106.45
Personal srvs. - temp/app	050	12,000.00	34,522.00		36,403.29		10,118.71
Benefits	060	5,050.00	2,777.00		2,784.85		5,042.15
Employee training	066		400.00		109.00		291.00
Total		17,250.00	39,949.00	0.00	40,499.70	0.00	16,699.30

Legislative Services:	1270						
Personal srvs. - nonclassi	016	30,000.00	1,704,769.00		1,725,318.10		9,450.90
Current expenses	020	1,000.00	19,000.00		15,653.76		4,346.24
Rents-Leases other than State	022		5,500.00		4,874.04		625.96
Equipment New/Replacement	030		3,000.00		375.00		2,625.00
Telecommunications	039		6,500.00		6,104.66		395.34
Personal srvs. - temp/app	050	4,000.00	25,212.00		18,413.31		10,798.69
Benefits	060	13,797.00	725,193.00		706,191.07		32,798.93
Employee training	066	1,000.00	1,500.00		1,178.00		1,322.00
Out of state Travel	080		10,000.00		3,716.66		6,283.34
Printing and binding	290		8,000.00		7,006.82	0.00	993.18
Total		49,797.00	2,508,674.00	0.00	2,488,831.42	0.00	69,639.58
Less estimated revenue	009/2045	170.68	-750.00	989.00	©		409.68
Total		49,967.68	2,507,924.00	989.00 ©	0.00	2,488,831.42	70,049.26

Legislative Branch - continued:

		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Budget Division:	1221							
Personal srvs. - nonclassi	016	13,256.00	917,072.00		215,000.00	925,817.22		219,510.78
Current expenses	020		10,000.00			4,042.32		5,957.68
Rents-Leases other than State	022		6,000.00		300.00	6,217.00		83.00
Organizational Dues	026		1,050.00			1,025.00		25.00
Equipment	030		17,500.00			8,054.00		9,446.00
Telecommunications	039		3,300.00			3,010.61		289.39
Consultants	046		15,000.00			1,309.40		13,690.60
Personal srvs. - temp/app	050		65,000.00		(40,000.00)			25,000.00
Benefits	060		376,955.00		134,700.00	339,977.59		171,677.41
Employee training	066		3,500.00			156.15		3,343.85
In state travel	070		500.00			120.00		380.00
Out of state travel	080		2,000.00					2,000.00
Total		13,256.00	1,417,877.00		310,000.00	1,289,729.29	0.00	451,403.71

Legislative Budget Assistant:

Audit Division:	1222							
Personal srvs. - nonclassi	016		1,994,393.00		(90,000.00)	1,893,158.86		11,234.14
Current expenses	020		12,860.00			8,442.73		4,417.27
Rents-Leases other than State	022		100,000.00			97,524.00		2,476.00
Equipment	030		20,000.00			1,576.00		18,424.00
Telecommunications	039		2,500.00			1,635.72		864.28
Consultants	046	250,368.00	570,000.00		195,000.00	767,333.00		248,035.00
Personal srvs. - temp/app	050		10,000.00		(1,500.00)			8,500.00
Benefits	060		898,927.00		25,000.00	753,859.87		170,067.13
Employee training	066		25,000.00			24,125.95		874.05
In state travel	070		7,500.00		(300.00)	1,944.26		5,255.74
Out of state travel	080		100.00		1,800.00	1,759.78		140.22
Total		250,368.00	3,641,280.00	0.00	130,000.00	3,551,360.17	0.00	470,287.83
Less estimated revenue	006/1251	559,023.00	-654,297.00	917,385.00	(440,000.00) (D)			382,111.00
Total		809,391.00	2,986,983.00	917,385.00	(310,000.00)	3,551,360.17	0.00	852,398.83
Total		1,990,060.73	16,670,218.00	973,821.07	0.00	15,726,366.55	0.00	3,907,733.25

- (A) Proceeds from the sale of legislative subscriptions, advance sheets, permanent journals, and rosters, and royalties from Lexis Law Publishing.
- (B) Pursuant to Chapter 177:151 State House Visitor's Center Revolving Fund established - Proceeds from sales of souvenirs and expenditures from souvenir purchases transferred to V.C. Revolving account effective 10/18/06.
- (C) Proceeds from sales of photocopies and rulemaking registers.
- (D) Auditing fees



State of New Hampshire

FIS 16 110

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857

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JEFFREY A. MEYERS
COMMISSIONER

June 20, 2016

The Honorable Neal M. Kurk
Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Re: INFORMATIONAL ITEM: Health and Human Services Dashboard

Information

The Department of Health and Human Services (DHHS) hereby submits as an information item the Department's monthly dashboard in order to inform the legislature and the public on the current status of the utilization of the Department's programs and services and the related implications for the Department's budget. The monthly dashboard also includes a status report on significant initiatives being implemented to transform and improve the Department's programs. Please note that financial and caseload information contained in this monthly dashboard is current through May 31, 2016.

Explanation

Budget Issues

As of May 31, 2016, the Department has identified a potential budget deficit of \$46.9 million, on a cash basis. This deficit results from unexpected costs not budgeted and budget assumptions that either have not been realized or are now not anticipated to be realized. The projected deficit has increased by \$15.9 million from last month as a result of higher than anticipated payments to the State's hospitals for their uncompensated care. Based on uncompensated care data submitted to DHHS in late May, the Disproportionate Share (DSH) payments are \$15.9 million higher than budgeted. As a result, DHHS is now projecting a \$15.9 million shortfall on this month's dashboard, which was not previously identified.

General Fund Only -Figures in \$Millions

Medicaid Services	\$25.5
Medicaid - DSH/MET	\$15.9
SYSC	\$.7
Other	\$ 4.8
Total Potential Deficit	\$46.9

Caseload Trends

	SFY 14	SFY 15	SFY 16				
	6/30/2014	6/30/2015	9/30/2015	12/31/2015	3/31/2016	4/30/2016	5/31/2016
Medicaid Standard	139,105	138,252	138,908	138,959	139,242	138,518	137,601
<i>% increase over prior</i>		-0.61%	0.47%	0.04%	0.20%	-0.52%	-0.66%
NHHPP	-	41,657	43,107	46,996	49,203	48,817	49,137
<i>% increase over prior</i>			3.48%	9.02%	4.70%	-0.78%	0.66%
Food Stamps (SNAP)	110,590	105,322	102,869	100,495	99,543	99,453	97,610
<i>% increase over prior</i>		-4.76%	-2.33%	-2.31%	-0.95%	-0.09%	-1.85%
FANF Persons	7,116	6,138	5,764	5,425	5,183	5,159	5,068
<i>% increase over prior</i>		-13.74%	-6.09%	-5.88%	-4.46%	-0.46%	-1.76%
APTD Persons	7,745	7,526	7,343	7,116	7,033	6,972	6,933
<i>% increase over prior</i>		-2.83%	-2.43%	-3.09%	-1.17%	-0.87%	-0.56%
LTC - Persons	7,271	7,109	7,042	7,191	7,231	7,226	7,163
<i>% increase over prior</i>		-2.23%	-0.94%	2.10%	0.56%	-0.07%	-0.87%

Medicaid Shortfall

The current Medicaid shortfall is primarily the result of managed care (per member per month) rate increases, higher fee-for-service rates for mental health services during the time when mental health services were carved out of managed care, and caseloads not trending as budgeted. To date, DHHS has managed the SFY16 Medicaid shortfall by transferring \$23.2 million of general funds that would otherwise lapse from other areas within the Department. (\$13.2 million of general funds was approved by the Fiscal Committee, and Governor and Council, at their respective meetings in April and another \$10.0 million at the Fiscal Committee, and Governor and Council respective meetings in May.)

The Department’s Medicaid deficit has also been increased by \$15.9 million at the end of May 2016 as a result of higher than budgeted payments for the Disproportionate Share Program (DSH), which reimburses hospitals for a portion of their uncompensated care.

Disproportionate Share Hospital (DSH) payments

As a result of a shortfall in Medicaid Enhancement Tax (MET) Revenue received in SFY 2016 and changes to the calculation of uncompensated care costs by the federal government, the Department’s liability to the State’s hospitals under the Disproportionate Share Program (DSH) for their uncompensated care has exceeded the amount budgeted for SFY 2016 for the DSH payment.

The shortfall in MET revenue of \$7,995,190 and the increase expense for DSH in the amount of \$7,904,402 (excluding the federal match) has resulted in an overall shortfall of \$15.9 million. At the respective Fiscal Committee and Governor and Council meetings in June, the Department has requested two action items in order to (i) pay the DSH payment in full and (ii) cover the shortfall of MET revenue. The request transfers funds from the provider payment account to the uncompensated care fund to cover the increase in the DSH liability and accepts and expends additional drug rebate monies to reimburse the provider payment account in order that the Department meets all of its provider payment obligations.

DSH payments totaling the budgeted \$191.4 million were processed on May 31st. The remaining DSH owed of \$15.9 million is contingent upon the Fiscal Committee and Governor and Council approval of the transfer and accept and expend of drug rebate revenue at their respective June meetings.

DSH Shortfall

New Hampshire's Critical and Non Critical Access Hospitals annually file their Medicaid Enhancement Tax (MET) and self-report Uncompensated Care Costs, in April and May respectively. DSH payments are required to be paid to New Hampshire hospitals to reimburse for care for which they have not been paid, known as "Uncompensated Care."

DSH payments are distributed and paid annually by May 31st and are processed in the following priority order (subject to certain caps at both the ceiling and floor level):

- 1) Critical Access Hospital will be reimbursed at an amount equal to 75% of UCC
- 2) Non-Critical Access Hospital will be reimbursed at an amount equal to 50% of UCC
- 3) Remaining funds shall support Medicaid Provider payments

The State's fiscal year 2016 DSH obligation was higher than could have been anticipated at the time the current biennial budget was enacted because of a subsequent change in the definition of uncompensated care by the federal government that resulted in the issuance of a preliminary injunction by the Federal District Court of the District of New Hampshire in *New Hampshire Hospital Association v. Sylvia Matthews Burwell*. The effect of the preliminary injunction prevents the inclusion of any third party payments against hospital costs to calculate a hospital's Uncompensated Care Cost. As an example, hospital charges reimbursed by Medicare, which previously would have lowered uncompensated care, are now excluded allowing those charges to be considered unreimbursed and subject to a DSH payment.

An additional significant factor influencing the State's DSH liability for fiscal year 2016 is the fact that the hospitals' uncompensated care costs were required to be calculated for the purposes of the 2016 DSH payment using fiscal year 2014 uncompensated care data. Because of the varying fiscal years for New Hampshire's hospitals and the fact that the New Hampshire Health Protection program did not commence until September 2014, the reduction in hospitals' uncompensated care that has and will continue to be realized is not reflected in the fiscal year 2014 UCC data. The Department and the hospitals anticipate that fiscal year 2015 uncompensated care costs will be significantly lower as that year will reflect more completely the impact of the NHHPP program.

MET Revenue Shortfall

The Medicaid Enhancement Tax is assessed at 5.45% of net patient service revenue, collected by the Department of Revenue Administration, and subsequently transferred to DHHS. MET revenue is first distributed to fund DSH payments followed by Medicaid provider payments (in prior budget years the provider payments were funded first and once the budget was satisfied, the remainder was available for DSH). MET revenue in total was projected at \$220.5 million. MET is currently projected to come in at \$212.5 million, less than planned by \$7.9 million.

Because MET funds both DSH and provider payments, and since the law requires DSH to be satisfied first, the Department has requested to transfer \$7.9 million of MET originally budgeted in provider payment to the DSH account, which can then be matched with federal funds to satisfy the full DSH obligation of \$15.9 million. However, after the transfer, it will create a revenue shortfall in the provider payment account. In order to cover the shortfall, DHHS also requested to accept and expend additional drug rebate revenue to continue to fund provider payments.

Drug Rebate Additional Revenue

Due to delays in the SFY16 MCO contract negotiations, which when executed would allow the MCOs to negotiate and receive rebates directly from manufactures, DHHS was able to continue invoicing and receiving manufacturer drug rebates directly. As a result, rebate collection have exceed the amount budgeted. Since last month's dashboard a full quarter of invoicing has occurred and an additional \$15.9 million is expected by June 30th. This additional revenue is expected to be a one-time gain for SFY16 and is not expected to repeat itself in SFY17.

Sununu Youth Services Center (SYSC)

N.H. Laws of 2015, Chap. 276, (HB2), required a reduction in appropriation to SYSC of \$1.7 million general funds for SFY16 and \$3.5 million for SFY17 and for the Department to develop a plan around the use of SYSC.

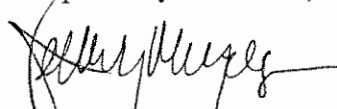
SB466, as amended by the House, has reduced the SFY16 appropriation reduction to \$700,000 from \$1.7 million. Based on a review of all accounts within the SYSC budget, the Department will be able to reduce appropriations by \$700,000 and upon passage of the bill, will provide the Department of Administrative Services with a list of application accounts.

The Department will also comply with the requirements of SB 466, as enacted this year.

NHH Inpatient Stabilization Unit & Nurse Recruitment

As a result of the Department's recruitment efforts, as well as the approval by the Governor and Executive Council of a contract for nursing services provided by a staffing agency, the Department plans to open the new 10-bed inpatient stabilization unit on July 5, 2016.

Respectfully submitted,



Jeffrey A. Meyers
Commissioner

Enclosure

cc: Her Excellency, Governor Margaret Wood Hassan
The Honorable Neal M. Kurk, Chairman, House Finance Committee
The Honorable Chuck W. Morse, President, NH State Senate
The Honorable Shawn Jasper, Speaker, NH House of Representatives
Michael W. Kane, Legislative Budget Assistant

Executive Council

The Honorable Colin Van Ostern The Honorable Christopher Sununu
The Honorable Christopher Pappas The Honorable David Wheeler
The Honorable Joseph D. Kenney

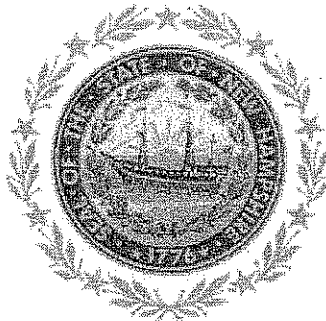
House Finance Committee

The Honorable Mary Allen The Honorable Richard Barry The Honorable Thomas Buco
The Honorable Frank Byron The Honorable David Danielson The Honorable Daniel Eaton
The Honorable Frank Edelblut The Honorable J. Tracy Emerick The Honorable Susan Ford
The Honorable William Hatch The Honorable Peter Leishman The Honorable Dan McGuire
The Honorable Betsy McKinney The Honorable Sharon Nordgren The Honorable Lynne Ober
The Honorable Joseph Pitre The Honorable Katherine Rogers The Honorable Cindy Rosenwald
The Honorable Marjorie Smith The Honorable Peter Spanos The Honorable Timothy Twombly
The Honorable Karen Umberger The Honorable Mary Jane Wallner The Honorable Robert Walsh
The Honorable Kenneth Wyler

Senate Finance Committee

The Honorable Jeanie Forrester The Honorable Lou D'Allesandro The Honorable Andrew Hosmer
The Honorable John Reagan

DEPARTMENT OF HEALTH AND HUMAN SERVICES



OPERATING STATISTICS DASHBOARD

Fiscal Meeting June 2016

SFY16

Budget Summary as of 5/31/16
Data/Caseloads as of 5/31/16 (except for MH as of 4/30/16)

TABLE A

	A	B	C	E	F	G	H	I
1	Department of Health and Human Services							
2	Financial Summary - CASH BASIS							
3	As of May 31 --- SFY16							
4	General Funds Rounded to \$000							
5								
6	The budget for SFY16-17 provides insufficient general funds to address the legislative intents for services and obligations that are expected to be incurred.							
7	The items reported on the list include only those which a) are likely to be incurred and b) for which amounts can be reasonably estimated.							
8								
9	Legislative Lapse Target per Final Budget (3.3%) = \$20,856							
10								
11				As of	As of	As of	As of	As of
12	Shortfalls			1/31/16	2/29/16	3/31/16	4/30/16	5/31/16
13	Programs							
14	Medicaid (step 1 svcs)	Medicaid services (excluding BDS waivers & Nursing/CFI)		\$20,500	\$15,400	\$19,100	\$19,100	\$18,400
15	Medicaid	MCO Health Reimbursement Fee		\$3,250	\$3,250	\$3,250	\$3,250	\$3,250
16	Medicaid	Part A&B		\$994	\$994	\$994	\$994	\$994
17	Medicaid	Part D: State Phasedown		\$3,055	\$2,700	\$2,900	\$2,900	\$2,900
18		Subtotal Medicaid		\$27,799	\$22,344	\$26,244	\$26,244	\$25,544
19	Medicaid - DSH/MET	DSH Obligations Exceeded Budget/ MET revenue shortfall						\$15,899
20		Total Medicaid		\$27,799	\$22,344	\$26,244	\$26,244	\$41,443
21		Change over prior month		\$ (5,451)	\$ (5,455)	\$ 3,900	\$ -	\$ 15,199
22								
23								
24	SYSC	Footnote reduction HB2		\$1,722	\$1,722	\$1,722	\$700	\$700
25	DFA	APTD & Old Age Assistance cost per case		\$507	\$300	\$295	\$335	\$335
26	NHH	Nursing shortfall - salary enhancement		\$465	\$465	\$465	\$465	\$465
27	NHH	Nursing Temps Pending Contract				\$375	\$375	\$375
28	DCYF	Enhanced CPSW coverage			\$252	\$252	\$252	\$252
29	DCYF	Foster Care & Out of Home Placement Case increases				\$600	\$600	\$600
30								
31	Litigation							
32		Chase Home Settlement		TBD	TBD	TBD	\$319	\$319
33		Harbor Homes Settlement (paid)		TBD	\$1,300	\$1,350	\$1,350	\$1,350
34								
35	Operational Challenges							
36	Medicaid	Contracts: Actuarial		\$609	\$0	\$0	\$0	\$0
39	Medicaid	Non-Emergency Medical Transportation		\$522	\$522	\$522	\$522	\$522
41	Public Health	Water Testing Pease		\$225	\$225	\$225	\$225	\$225
42	Medicaid	HIPP program		\$50	\$50	\$50	\$50	\$50
43	Glenciff	Revenue Shortfall - Census Down			\$425	\$425	\$425	\$325
44		Total Estimated Shortfalls		\$31,899	\$27,605	\$32,525	\$31,862	\$46,961
45		Change over prior month		\$ (6,010)	\$ (4,294)	\$ 4,920	\$ (663)	\$ 14,436
46	Funds that would otherwise Lapse (cash basis)							
47								
48	Medicaid	Drug Rebate Revenue (transferred per Fiscal 5/20/16)		\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
49	Medicaid	Drug Rebate Excess Collections, including carry forward					\$5,075	\$15,899
50	Medicaid	MCO Health Reimbursement Fee (to be paid 7/6/16)						\$3,250
51	DHHS	Salary & Benefits - Department Wide (Transferred Fiscal April)		\$7,000	\$7,000	\$6,906	\$6,906	\$6,906
52								
53	Agency Specific Accounts							
54	Facilities	Utilities, Rent, Fuel		\$2,500	\$2,500	\$540	\$1,160	\$1,160
55	OIS	IT		\$500	\$500	\$456	\$456	\$456
56	Client Services	Misc Contracts (DDU, Transportation, Broker)		\$500	\$475	\$244	\$244	\$257
57	SYSC	Utilities, Prescriptions, misc operations		\$400	\$400	\$700	\$900	\$900
58	GH	Utilities and Sal/Ben		\$100	\$250	\$425	\$425	\$807
59	NHH	Maintenance, Utilities, Misc Contracts and Sal/Ben		\$500	\$425	\$953	\$1,117	\$1,063
60	Human Services	Misc Operations and Sal/Ben		\$750	\$500	\$502	\$364	\$473
61	DFA	State Asst Non TANF Interim Disabled Parent (IDP)		\$300	\$300	\$295	\$335	\$643
62	BEAS	Projected spend under budget from Step 2 FFS transferred to OMBI		\$1,250	\$2,750	\$2,239	\$2,239	\$2,239
63	BEAS	Social Services Non-Medicaid Contracts		\$1,045	\$1,045	\$1,038	\$1,513	\$1,838
64	BBH	Transferred to OMBP to cover BBH FFS			\$2,500	\$4,541	\$4,541	\$4,541
65	PH	Rent, Lab Supplies, Contracts: Emerg Prep & Maternal Child Health			\$585	\$483	\$622	\$622
66	DAS	Pending reimbursement for Harbor Homes					\$1,350	\$1,350
67	Other	Other misc lapses		TBD	\$550	\$550	\$550	\$550
68		Total Estimated Funds that Would Otherwise Lapse		\$24,845	\$29,780	\$29,872	\$ 37,797	\$ 52,954
69		Change from prior month		\$14,845	\$4,935	\$92	\$7,925	\$23,082
70								
71		Net Surplus (Deficit)		(\$7,054)	\$2,175	(\$2,653)	\$5,935	\$5,993

TABLE A-1
Account Summary of DSH / MET

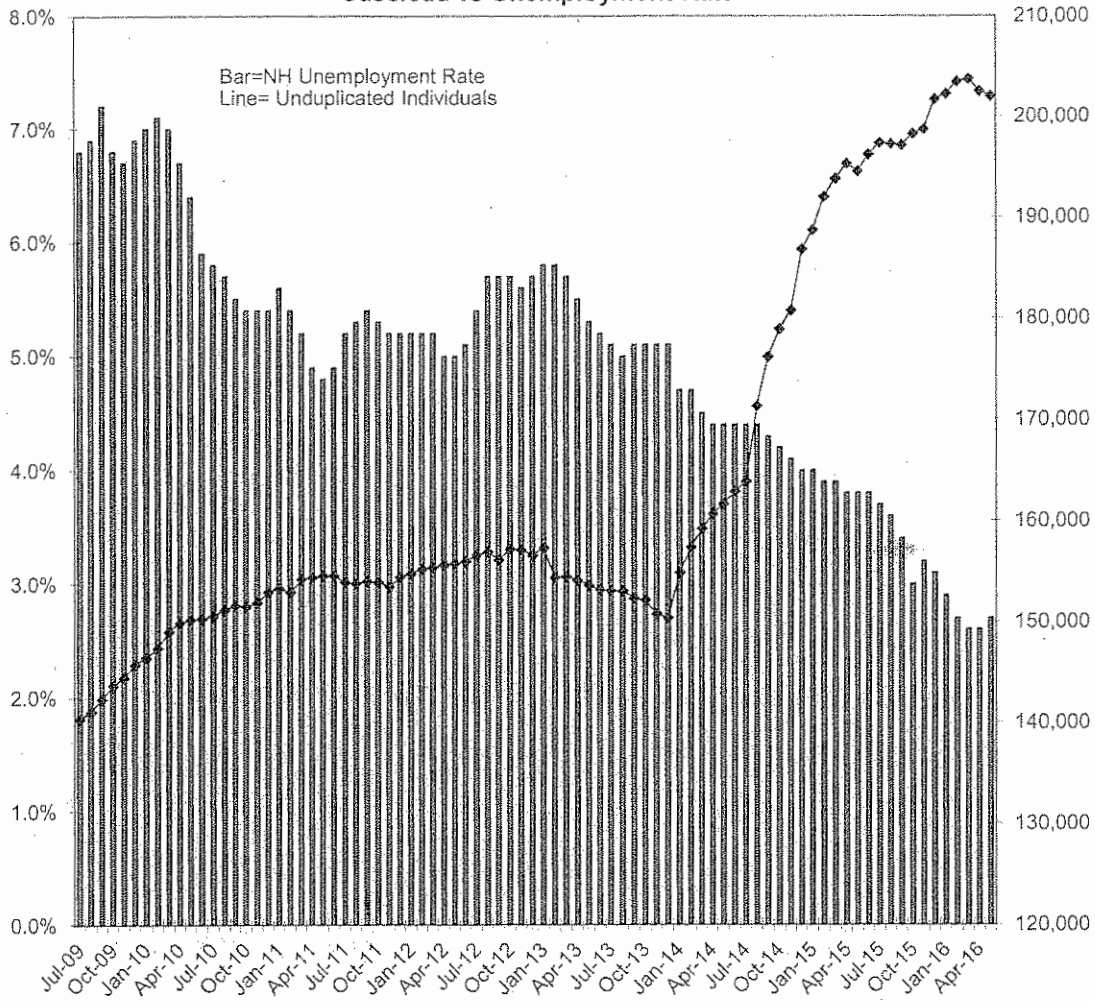
05-95-47-470010-7948 HHS: Office of Medicaid and Policy, Medicaid Care Management

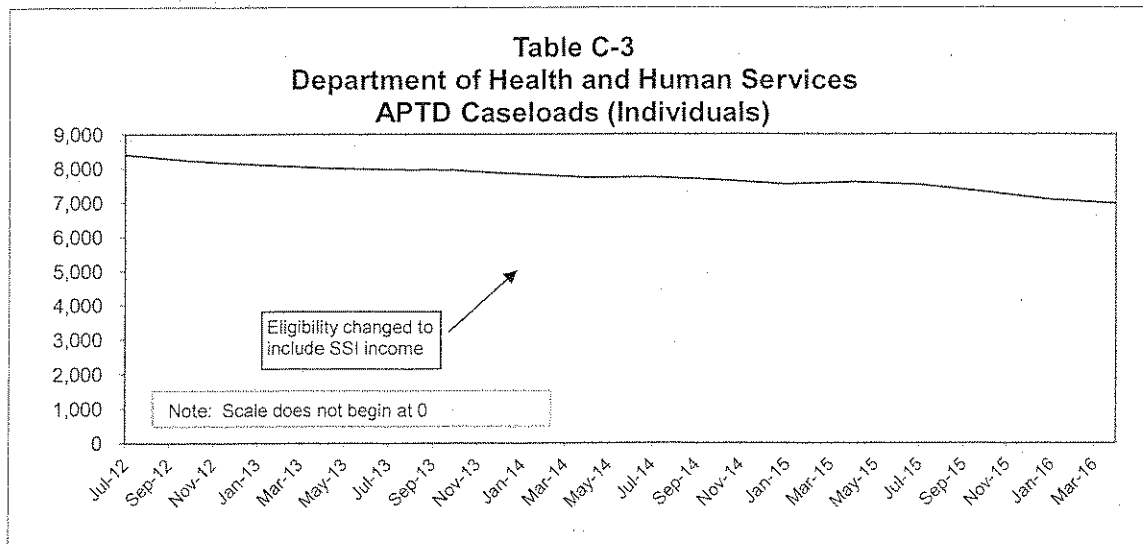
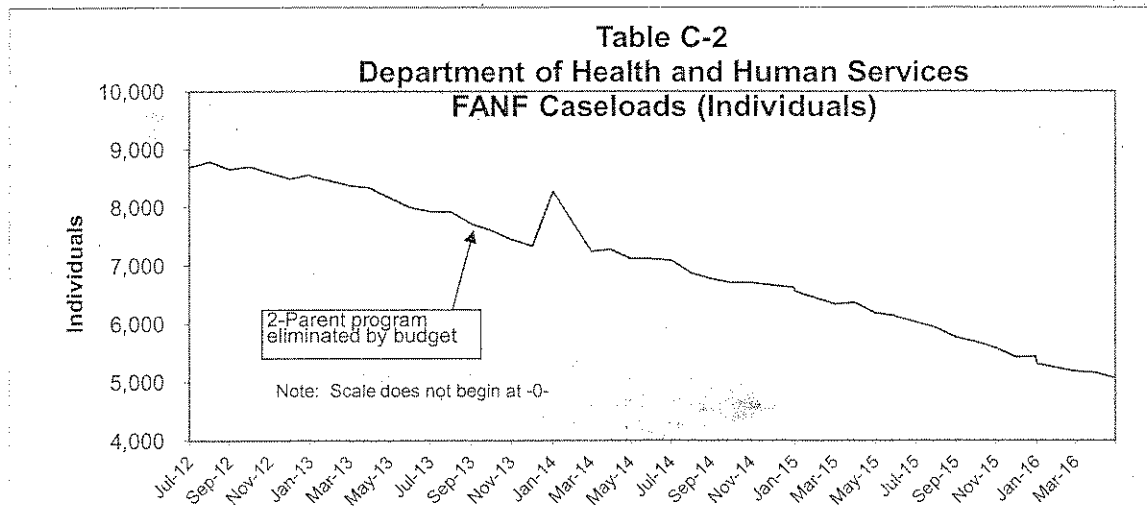
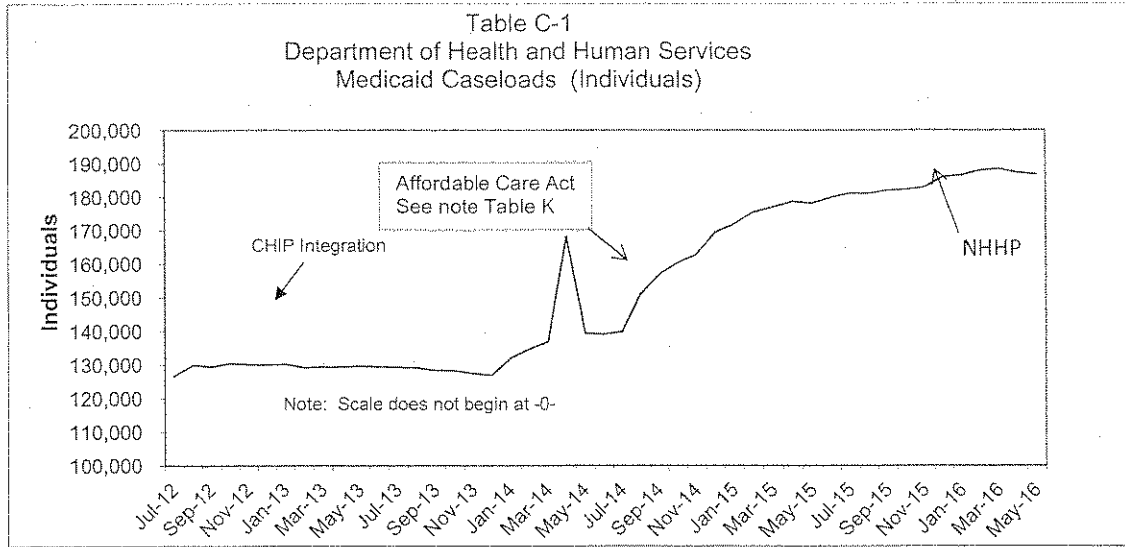
CLASS OBJ	CLASS TITLE	Current Authorized Budget	Increase / (Decrease) Transfer to UCC Fund	Increase / (Decrease) Adjust for MET shortfall	Increase / (Decrease) Excess Drug Rebate to Cover MET	Revised Modified Budget
010-79480000-403978-000	Federal Funds	\$344,389,010				\$344,389,010
010-79480000-402201-005	Agency Income: Medicaid Enhancement - Local	\$124,656,230	(\$7,904,402)	(\$7,995,190)		\$108,756,638
010-79480000-407145-007	Agency Income Drug Rebates	\$13,570,935			\$15,899,592	\$29,470,527
010-79480000-406848-009	Agency Income MEAD	\$128,399				\$128,399
010-79480000	General Fund	\$184,684,658				\$184,684,658
	Total Revenue	\$667,429,233	(\$7,904,402)	(\$7,995,190)	\$15,899,592	\$667,429,233
041-500801	Audit Set Aside	\$410,409				\$410,409
101-500729	Medical Payments to Providers	\$666,721,652				\$666,721,652
102-500731	Contracts for Program Services	\$297,172				\$297,172
	Total Expense	\$667,429,233				\$667,429,233

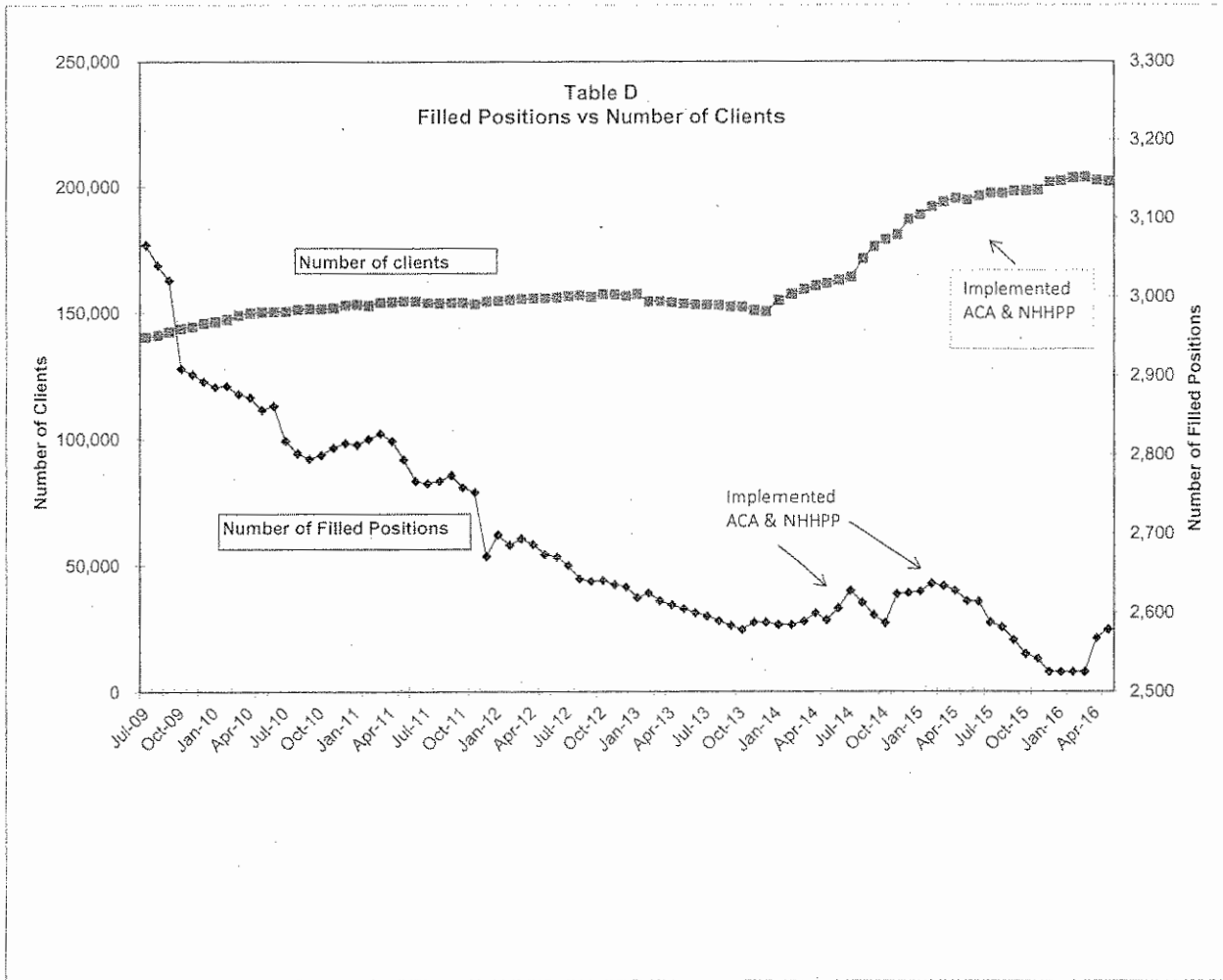
05-95-47-470010-7943 HHS: Office of Medicaid and Policy, Uncompensated Care Fund

CLASS OBJ	CLASS TITLE	Current Authorized Budget	Increase / (Decrease)	Revised Modified Budget
010-79430000-403978-000	Federal Funds: Medical Grants	\$95,950,758	\$7,912,306	\$103,863,064
010-79430000-402201-005	Medicaid Enhancement-Local	\$95,850,408	\$7,904,402	\$103,754,810
	Total Revenue	\$191,801,166	\$15,816,708	\$207,617,874
041-500801	Audit Set Aside	\$100,350	\$7,904	\$108,254
102-500731	Contracts for Program Services	\$324,704	\$0	\$324,704
515-500357	Hospital Uncomp Care Pool	\$191,376,112	\$15,808,804	\$207,184,916
	Total Expense	\$191,801,166	\$15,816,708	\$207,617,874

Table B
Department of Health and Human Services
Caseload vs Unemployment Rate







	A	B	C	D	E	F	G	H
1	Table E							
2	Department of Health and Human Services							
3	Operating Statistics							
4	Children In Services							
5								
6		DCYF	DCYF	Family Foster	Residential	Child Care	Child Care	SYSC
7		Referrals	Assessments	Care	Placement	Emplmnt	Wait List	Secure
8			Closed	Placement		Related		Census
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual
59	Jul-13	1,124	772	571	315	5,568	0	61
60	Aug-13	1,045	591	570	323	5,517	0	60
61	Sep-13	1,276	544	560	297	5,345	0	56
62	Oct-13	1,276	603	567	305	5,357	0	58
63	Nov-13	1,083	536	565	304	5,350	0	61
64	Dec-13	1,111	649	559	299	5,322	0	61
65	Jan-14	1,260	706	542	290	5,298	0	66
66	Feb-14	962	688	531	309	5,238	0	59
67	Mar-14	1,307	1,016	537	311	5,459	0	62
68	Apr-14	1,324	972	539	313	5,512	0	62
69	May-14	1,370	866	531	317	5,737	0	59
70	Jun-14	1,267	684	535	324	5,694	0	59
71	Jul-14	1,049	890	510	319	5,742	0	52
72	Aug-14	1,273	827	510	254	5,626	0	52
73	Sep-14	1,485	921	501	282	5,543	0	48
74	Oct-14	1,356	790	519	301	5,341	0	47
75	Nov-14	1,090	681	512	308	5,384	0	50
76	Dec-14	1,312	768	544	313	5,438	0	47
77	Jan-15	1,169	587	532	303	5,370	0	41
78	Feb-15	1,079	467	550	301	5,259	0	36
79	Mar-15	1,427	630	554	319	5,494	0	40
80	Apr-15	1,281	874	564	334	5,474	0	42
81	May-15	1,298	858	566	341	5,497	0	43
82	Jun-15	1,314	869	578	348	5,581	0	47
83	Jul-15	1,120	908	564	322	5,651	0	48
84	Aug-15	1,074	743	571	319	5,588	0	51
85	Sep-15	1,298	895	570	304	5,528	0	49
86	Oct-15	1,336	863	591	308	5,192	0	54
87	Nov-15	1,182	680	605	303	5,219	0	59
88	Dec-15	1,280	825	647	316	5,267	0	65
89	Jan-16	1,178	736	658	335	5,370	0	72
90	Feb-16	1,143	2,569	666	336	5,201	0	73
91	Mar-16	1,458	1,165	691	341	5,269	0	74
92	Apr-16	1,231	731	701	342	5,245	0	71
93	May-16	1,376	612	705	349	5,230	0	73
94	Jun-16							
95	YEAR-TO-DATE AVERAGE							
96	SFY11	1,131	747	630	415	4,788	1,285	61
97	SFY12	1,200	762	595	319	5,022	0	59
98	SFY13	1,180	750	607	320	5,164	0	59
99	SFY14	1,194	722	552	308	5,428	0	60
100	SFY15	1,256	754	533	307	5,470	0	45
101	SFY16	1,243	975	634	325	5,342	0	63
102								
103	Source of Data							
104	Column							
105	B	DCYF SFY Management Database Report: Bridges.						
106	C	DCYF Assessment Supervisory Report: Bridges.						
107	D	Bridges placement authorizations during the month, unduplicated.						
108	E	Bridges placement authorizations during the month, unduplicated.						
109	F	Bridges Expenditure Report, NHB-OAR8-128						
110	G	Child Care Wait List Screen: New Heights						
111	H	Bridges Service Day Query - Bed days divided by days in month						

	A	B	C	D	E	F	G	H	I
1	Table F								
2	Department of Health and Human Services								
3	Operating Statistics								
4	Social Services								
5									
6		FANF	APTD Persons	Food Stamps Persons	Child Support Cases				
7					Current Cases	Former Cases	Never Cases	Total Cases	
8									
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual	
58	Jul-13	7,926	7,962	115,691	4,035	17,724	13,193	34,952	
59	Aug-13	7,922	7,955	115,499	3,866	17,901	13,180	34,947	
60	Sep-13	7,709	7,889	114,725	3,772	17,913	13,183	34,868	
61	Oct-13	7,609	7,945	114,915	3,938	17,797	13,227	34,962	
62	Nov-13	7,449	7,882	113,514	3,793	17,908	13,325	35,026	
63	Dec-13	7,334	7,820	112,908	3,803	17,774	13,331	34,908	
64	Jan-14	7,330	7,834	113,326	3,762	17,783	13,316	34,861	
65	Feb-14	7,353	7,803	112,791	3,767	17,695	13,329	34,791	
66	Mar-14	7,242	7,704	112,511	3,723	17,734	13,361	34,818	
67	Apr-14	7,277	7,727	112,144	3,863	17,593	13,453	34,909	
68	May-14	7,119	7,751	111,362	3,828	17,592	13,518	34,938	
69	Jun-14	7,116	7,745	110,590	3,700	17,766	13,683	35,149	
70	Jul-14	7,085	7,741	109,239	3,672	17,849	13,748	35,269	
71	Aug-14	6,871	7,727	108,767	3,671	17,803	13,741	35,215	
72	Sep-14	6,767	7,679	108,434	3,598	17,831	13,736	35,165	
73	Oct-14	6,705	7,657	108,343	3,702	18,674	13,214	35,590	
74	Nov-14	6,705	7,607	107,214	3,711	18,814	13,347	35,872	
75	Dec-14	6,660	7,532	107,900	3,753	18,868	13,529	36,150	
76	Jan-15	6,622	7,530	107,934	3,917	18,811	13,735	36,463	
77	Feb-15	6,547	7,542	107,224	3,956	18,906	13,981	36,843	
78	Mar-15	6,339	7,538	107,521	3,803	19,202	14,294	37,299	
79	Apr-15	6,366	7,596	107,283	3,842	19,249	14,538	37,629	
80	May-15	6,179	7,561	106,042	3,914	19,180	14,666	37,760	
81	Jun-15	6,138	7,526	106,322	3,820	19,207	14,742	37,769	
82	Jul-15	6,120	7,513	104,705	3,852	19,228	14,937	38,017	
83	Aug-15	5,934	7,438	103,544	3,866	19,211	15,004	38,081	
84	Sep-15	5,764	7,343	102,869	3,685	19,344	15,133	38,162	
85	Oct-15	5,688	7,307	101,917	3,808	19,263	15,257	38,328	
86	Nov-15	5,583	7,227	100,525	3,763	19,319	15,345	38,427	
87	Dec-15	5,425	7,116	100,495	3,614	19,366	15,373	38,353	
88	Jan-16	5,435	7,081	99,978	3,699	19,261	15,402	38,362	
89	Feb-16	5,307	7,117	99,486	3,658	19,258	15,506	38,422	
90	Mar-16	5,183	7,033	99,543	3,558	19,390	15,694	38,642	
91	Apr-16	5,159	6,972	98,453	3,646	19,242	15,828	38,716	
92	May-16	5,068	6,933	97,610	3,627	19,187	15,886	38,700	
93	Jun-16								
94	YEAR-TO-DATE AVERAGE								
95	SFY11	13,735	8,767	112,107	5,597	17,261	13,015	35,873	
96	SFY12	11,060	8,797	115,831	5,025	17,367	12,816	35,209	
97	SFY13	8,538	8,152	118,064	4,102	17,662	12,923	34,686	
98	SFY14	7,479	7,843	113,581	3,832	17,765	13,311	34,907	
99	SFY15	6,622	7,610	107,809	3,776	18,653	13,866	36,296	
100	SFY16	5,515	7,189	100,830	3,707	19,279	15,397	38,383	
101									
102	Source of Data								
103	Column								
104	B	Office of Research & Analysis, Caseload Statistics							
105	C	Budget Document							
106	D	Budget Document							
107	E-H	DCSS Caseload (Month End Actual from NECSES)							
108									
109	Note	* Effective 3/1/12, SSI or SSP is considered when determining FANF							
110		eligibility. Those child support cases no longer eligible, are now "Former"							
111		assistance cases.							
112									

	A	B	C	D	E
1	Table G-1				
2	Department of Health and Human Services				
3	Operating Statistics				
4	Clients Served by Community Mental Health Centers				
5					
6	Annual Totals				
7		Adults	Children	Total	
8	FY2012	36,407	13,122	49,529	
9	FY2013	34,819	13,013	47,832	
10	FY2014	35,657	14,202	49,859	
11	FY2015	34,725	10,736	45,461	
12					
13		Adults	Children	Total	
14					
15	Jul-14	14,818	5,179	19,997	
16	Aug-14	14,436	5,132	19,568	
17	Sep-14	14,981	5,382	20,363	
18	Oct-14	15,172	5,651	20,823	
19	Nov-14	14,142	5,591	19,733	
20	Dec-14	14,734	5,775	20,509	
21	Jan-15	14,960	5,257	20,217	
22	Feb-15	14,024	4,757	18,781	
23	Mar-15	15,083	5,044	20,127	
24	Apr-15	14,641	5,073	19,714	
25	May-15	15,467	5,996	21,463	
26	Jun-15	15,935	6,044	21,979	
27	Jul-15	15,467	5,741	21,208	
28	Aug-15	15,213	5,806	21,019	
29	Sep-15	15,232	5,769	21,001	
30	Oct-15	15,324	6,027	21,351	
31	Nov-15	14,438	5,957	20,395	
32	Dec-15	14,753	6,084	20,837	
33	Jan-16	15,150	5,637	20,787	
34	Feb-16	15,393	5,041	20,434	
35	Mar-16	15,474	5,903	21,377	
36	Apr-16	14,918	5,776	20,694	
37	May-16				
38	Jun-16				
39					
40	Notes:				
41	1. Monthly data is a duplicated count.				
42	2. Year-end data is unduplicated.				

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
1	Table H													
2	Department of Health and Human Services													
3	Operating Statistics													
4	Elderly & Adult Long Term Care													
5														
6		Total Nursing Clients		CFI Home Health	CFI Midlevel	Other Nursing	Nursing Home Beds		Pct in NF	APS Clients Assmnts	APS Cases Ongoing	SSBG AIHC Waitlist	Total SSBG IHCS	
7		Actual	Budget	Note 2		Note 1	3 mo. Avg	Budget					Note 3	
8														
56	Jul-13	7,153	7,356	2,452	421	72	4,280	4,380	59.8%	276	1,230	1		
57	Aug-13	7,284	7,356	2,532	439	25	4,313	4,380	59.2%	263	1,225	1		
58	Sep-13	7,145	7,356	2,480	449	20	4,216	4,380	59.0%	264	1,247	1	474	YTD
59	Oct-13	7,290	7,356	2,435	459	24	4,396	4,380	60.3%	291	1,255	1		
60	Nov-13	7,264	7,356	2,422	488	36	4,354	4,380	59.9%	224	1,242	6		
61	Dec-13	7,342	7,356	2,417	454	27	4,471	4,380	60.9%	255	1,267	3	573	YTD
62	Jan-14	7,265	7,356	2,428	481	27	4,356	4,380	60.0%	319	1,269	3		
63	Feb-14	7,041	7,356	2,372	449	37	4,220	4,380	59.9%	258	1,270	0		
64	Mar-14	7,121	7,356	2,366	455	27	4,300	4,380	60.4%	283	1,266	0	652	YTD
65	Apr-14	7,125	7,356	2,317	493	24	4,315	4,380	60.6%	298	1,238	0		
66	May-14	7,439	7,356	2,418	477	24	4,544	4,380	61.1%	312	1,265	0		
67	Jun-14	7,271	7,356	2,356	475	32	4,440	4,380	61.1%	282	1,216	0	675	YTD
68	Jul-14	7,337	7,421	2,431	444	44	4,462	4,380	60.8%	363	801	0	0	
69	Aug-14	7,094	7,421	2,403	439	44	4,252	4,380	59.9%	276	786	0	1168	
70	Sep-14	7,088	7,421	2,428	431	37	4,229	4,380	59.7%	270	794	0	1438	
71	Oct-14	7,242	7,421	2,453	492	36	4,297	4,380	59.3%	301	757	0	2177	
72	Nov-14	7,160	7,421	2,422	460	36	4,278	4,380	59.7%	212	752	0	1276	
73	Dec-14	7,181	7,421	2,431	469	35	4,281	4,380	59.6%	263	764	0	1990	
74	Jan-15	6,996	7,421	2,404	469	32	4,123	4,380	58.9%	246	736	0	1845	
75	Feb-15	7,026	7,421	2,400	472	32	4,154	4,380	59.1%	221	739	0	1589	
76	Mar-15	7,109	7,421	2,432	448	32	4,229	4,380	59.5%	278	716	0	1802	
77	Apr-15	7,230	7,421	2,422	484	30	4,324	4,380	59.8%	244	723	0	1958	
78	May-15	7,170	7,421	2,428	464	29	4,278	4,380	59.7%	210	716	0	1838	
79	Jun-15	7,109	7,421	2,404	479	32	4,226	4,380	59.4%	294	726	0	1410	
80	Jul-15	7,045	7,232	2,409	463	33	4,173	4,325	59.2%	316	738	0	1410	
81	Aug-15	6,949	7,232	2,339	453	35	4,157	4,325	59.8%	301	750	0	1762	
82	Sep-15	7,042	7,232	2,335	481	40	4,226	4,325	60.0%	320	756	0	1645	
83	Oct-15	7,056	7,232	2,302	502	35	4,252	4,325	60.3%	332	756	0	1320	
84	Nov-15	7,047	7,232	2,317	444	40	4,286	4,325	60.8%	276	763	0	1842	
85	Dec-15	7,191	7,232	2,428	463	39	4,300	4,325	59.8%	284	734	0	1743	
86	Jan-16	7,114	7,232	2,434	435	35	4,245	4,325	59.7%	289	732	0	1712	
87	Feb-16	7,225	7,232	2,505	452	35	4,268	4,325	59.1%	289	742	0	1561	
88	Mar-16	7,231	7,232	2,671	345	34	4,215	4,325	58.3%	352	725	0	1709	
89	Apr-16	7,229	7,232	2,538	464	34	4,227	4,325	58.5%	291	715	0	1842	
90	May-16	7,166	7,232	2,495	431	34	4,240	4,325	59.2%	262	712	0	1423	
91	Jun-16													
92	YEAR-TO-DATE AVERAGE													
93	SFY11	7,196	7,740	2,520	397	33	4,280	4,063	59.5%	209	1,071	3	560	
94	SFY12	7,224	7,515	2,415	440	33	4,369	4,400	60.5%	224	1,083	5	646	
95	SFY13	7,162	7,578	2,448	432	31	4,282	4,422	59.8%	212	1,167	3	619	
96	SFY14	7,224	7,356	2,422	460	31	4,342	4,380	60.1%	277	1,252	1	566	
97	SFY15	7,148	7,421	2,423	461	35	4,264	4,380	59.7%	262	753	0	1,553	
98	SFY16	7,118	7,232	2,434	448	36	4,235	4,325	59.5%	301	738	0	1,634	
99														
100	Note 1: These clients are also captured under OMBP Provider Payments													
101	Note 2: CFI Home Health = CFI Home Support and Home Health Care Waiver Services													
102	Note 3: In preparation for 2016, Converted IHCS to monthly paid basis													
103	Note 4: Four Midlevel facilities did not file claims during the month representing about 100 clients.													
104	Due to the reporting of Case Management under Home Health, these clients are however reported in th													
105														
106	Source of Data													
107	Columns													
108														
109	D-F	MDSS monthly client counts												
110	G	3 month Avg of the number of paid bed days in the month/days in prior month												
111		by the number of days in the previous month. MDSS												
112	J	Options Monthly Protective Reports												
113	K	Options Monthly Activity Report												
114	L	SSBG Adult In-Home Care verbal report from Adult Protective Services Administrator												
115	M	Quarterly Options Paid Claims from Business Systems Unit Manager												
116														

	A	B	C	D	E	F	G	H	I	J	K
1	Developmental Services Long Term Care										
2	Developmental Services Long Term Care										
3											
4		BDS Programs served FYTD**	BDS Programs FYTD Unduplicated Count	Early Supports & Services	Special Medical Services	Partners in Health Program	Devl. Serv. Priority #1 DD Waitlist	Devl. Serv. ABD Waitlist			
5					(8-09 to 8-12 Actual)	(8-09 to 8-12 Actual)	Actual*	Actual*			
3	Jul-13	8,995	6,364	1,865	1,646	985	373	15			
4	Aug-13	10,041	7,291	2,074	1,755	995	186	5			
5	Sep-13	10,978	8,160	2,381	1,813	1,005	103	6			
6	Oct-13	11,573	8,648	2,618	1,903	1,022	108	10			
7	Nov-13	12,129	9,122	2,978	1,963	1,044	116	12			
8	Dec-13	12,764	9,658	3,231	2,047	1,059	51	16			
9	Jan-14	13,265	10,043	3,404	2,142	1,080	40	14			
0	Feb-14	13,712	10,409	3,640	2,208	1,095	59	16			
1	Mar-14	14,174	10,730	3,863	2,325	1,119	69	18			
2	Apr-14	14,702	11,093	4,112	2,464	1,145	81	17			
3	May-14	15,144	11,488	4,383	2,508	1,148	10	0			
4	Jun-14	15,525	11,742	4,577	2,614	1,169	79	19			
5	Jul-14	9,996	7,049	1,810	1,979	968	86	0			
6	Aug-14	10,721	7,697	2,152	2,040	984	95	0			
7	Sep-14	11,675	8,467	2,545	2,212	996	120	3			
8	Oct-14	12,567	9,127	2,785	2,421	1,019	139	2			
9	Nov-14	13,078	9,567	3,010	2,476	1,035	132	3			
0	Dec-14	13,538	9,880	3,187	2,618	1,040	152	3			
1	Jan-15	14,027	10,286	3,406	2,708	1,033	98	6			
2	Feb-15	14,424	10,600	3,613	2,778	1,046	115	4			
3	Mar-15	14,837	10,893	3,837	2,876	1,068	97	5			
4	Apr-15	15,389	11,313	4,172	2,995	1,081	114	8			
5	May-15	15,787	11,604	4,384	3,102	1,081	138	8			
6	Jun-15	16,229	11,919	4,624	3,210	1,100	101	8			
7	Jul-15	9,683	6,663	2,345	2,088	932	186	8			
8	Aug-15	11,567	8,421	2,629	2,199	947	195	17			
9	Sep-15	12,228	8,964	2,873	2,298	966	186	0			
0	Oct-15	12,859	9,503	3,089	2,372	984	196	0			
1	Nov-15	13,340	9,919	3,289	2,432	989	149	0			
2	Dec-15	13,776	10,264	3,514	2,515	997	153	0			
3	Jan-16	14,097	10,521	3,758	2,569	1,007	150	0			
4	Feb-16	14,448	10,794	3,967	2,632	1,022	152	0			
5	Mar-16	14,783	10,984	4,212	2,760	1,039	127	2			
6	Apr-16	14,889	11,029	4,417	2,806	1,054	136	6			
7	May-16	15,023	11,092	4,545	2,868	1,063	148	8			
8	Jun-16										
9	NOTE: 6-1-16 -- FY 16 "BDS Programs Served FYTD" recalculated due to revisions in ESS monthly totals										
0	YEAR-TO-DATE AVERAGE ***										
1	SFY11	12,497	9,664	2,105	1,694	1,139	22	0			
2	SFY12	12,203	9,391	3,045	1,744	1,067	61	4			
3	SFY13	12,527	9,433	3,027	2,022	1,071	193	1			
4	SFY14	12,498	9,364	3,141	2,070	1,063	109	12			
5	SFY15	13,276	9,680	3,173	2,564	1,032	117	4			
6	SFY16	13,336	9,832	3,513	2,504	1,000	162	4			
7	*** (1/4/16 - formulas corrected)										
8	Data Sources:	NHLeads	NHLeads	NHLeads	SMSdb	PIHdb	Registry	Registry			
9											
0	*G & *H Represent the number of individuals waiting at least 90-days for DD or ABD										
1	Waiver funding.										
2	** BDS count excludes MTS Students served										
3	E & F Represents year-to-date total number served										

	A	B	C	D	E	F	G	H	I	J
1	Table I									
2	Department of Health and Human Services									
3	Operating Statistics									
4	Shelters & Institutions									
5										
6		NHH					BHHS			Glenclyff
7		APS & APC Census	APS & APC Admissions	APS Waiting List	APC Waiting List	THS Census	All Shelters		% of	GH Census
8		Actual	Actual	Actual	Actual	Actual	Capacity	Actual	Capacity	Actual
9				Adult	Adolescent					
58	Jul-13	155	187			n/a				117
59	Aug-13	161	164			n/a				116
60	Sep-13	163	165			n/a				115
61	Oct-13	161	184			n/a				116
62	Nov-13	164	149			n/a				119
63	Dec-13	151	144			n/a				118
64	Jan-14	160	190			n/a				118
65	Feb-14	161	165			n/a				116
66	Mar-14	160	181			n/a				118
67	Apr-14	163	193			n/a				118
68	May-14	164	184			n/a				116
69	Jun-14	162	164			n/a				114
70	Jul-14	141	153	23	1	n/a	13,826	11,737	85%	116
71	Aug-14	135	142	30	1	n/a	13,826	12,121	88%	117
72	Sep-14	145	173	33	5	n/a	13,380	11,625	87%	118
73	Oct-14	146	181	29	4	n/a	13,826	12,783	92%	116
74	Nov-14	150	166	27	6	n/a	13,380	12,064	90%	117
75	Dec-14	149	180	15	4	n/a	15,004	14,056	94%	118
76	Jan-15	150	159	22	3	n/a	15,748	15,016	95%	118
77	Feb-15	152	169	18	4	n/a	14,224	13,940	98%	116
78	Mar-15	156	171	16	8	n/a	15,748	14,996	95%	113
79	Apr-15	153	165	10	8	n/a	13,380	11,990	90%	115
80	May-15	150	170	14	7	n/a	13,826	11,598	84%	117
81	Jun-15	150	180	14	5	n/a	13,380	10,830	81%	114
82	Jul-15	148	169	13	1	n/a	14,694	11,628	79%	112
83	Aug-15	150	152	20	1	n/a	14,694	12,229	83%	115
84	Sep-15	151	162	17	5	n/a	14,220	11,861	83%	116
85	Oct-15	146	154	19	6	n/a	14,694	12,452	85%	116
86	Nov-15	144	163	18	5	n/a	14,220	12,684	89%	113
87	Dec-15	152	165	24	7	n/a	14,694	12,758	87%	114
88	Jan-16	153	133	28	5	n/a	14,694	12,351	84%	112
89	Feb-16	153	137	31	7	n/a	13,746	12,160	88%	113
90	Mar-16	156	191	22	5	n/a	14,694	11,224	76%	113
91	Apr-16	156	168	31	6	n/a	14,220	12,805	90%	113
92	May-16	154	185	26	11	n/a	14,694	11,270	77%	114
93	Jun-16									
94	YEAR-TO-DATE AVERAGE									
95	SFY11	152	191			42	11,006	9,172	83%	111
96	SFY12	147	198			39	11,141	10,616	95%	116
97	SFY13	152	163							118
98	SFY14	160	173							117
99	SFY15	148	166	22	5		14,197	12,902	91%	116
100	SFY16	151	162	23	5		14,479	12,129	84%	114
101										
102	Source of Data									
103	Column									
104	B	Daily in-house midnight census averaged per month*								
105	C	Daily census report of admissions totalled per month								
106	D	Daily Average wait list for adults								
107	E	Daily average wait list for adolescents								
108	F	Daily Average census in Transitional Housing (privatized 12/2011)								
109	G	Total number of individual bednights available in emergency shelters								
110	H	Total number of individual bednights utilized in emergency shelters								
111	I	Percentage of individual bednights utilized during month								
112	J	Daily in-house midnight census averaged per month								
113										
114		* July 2014 average Census no longer reflects Pts on Leave								

		B	E	H	K	N	Q	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE
Table J Medicaid Medical Caseloads (Persons)																				
Enrollment as of	12/30/13	3/31/14	6/30/14	9/30/14	12/31/14	3/31/2015	5/31/2015	6/30/2015	7/31/2015	8/31/2015	9/30/2015	10/31/2015	11/30/2015	12/31/2015	1/31/2016	2/29/2016	3/31/2016	4/30/2016	5/31/2016	
1. Low-Income Children (Age 0-18)	82,129	88,064	88,961	89,702	90,618	90,249	89,400	89,849	90,104	89,934	90,345	90,197	90,298	91,089	91,095	91,105	91,276	90,834	90,544	
2. Children With Severe Disabilities (Age 0-18)	1,604	1,680	1,670	1,619	1,622	1,631	1,629	1,623	1,613	1,623	1,613	1,602	1,584	1,593	1,588	1,571	1,570	1,574	1,579	
3. Foster Care & Adoption Subsidy (Age 0-25)	1,948	2,003	2,004	2,048	2,085	2,173	2,192	2,166	2,160	2,139	2,162	2,163	2,175	2,181	2,173	2,227	2,215	2,216	2,231	
4. Low-Income Parents (Age 19-64)	10,324	12,955	13,976	13,287	13,212	13,595	13,558	13,677	13,869	13,581	14,272	14,179	13,927	13,851	13,599	13,671	13,566	13,511	13,142	
5. Low-Income Pregnant Women (Age 19+)	2,275	3,051	3,246	2,846	2,602	2,532	2,412	2,432	2,430	2,356	2,297	2,290	2,220	2,244	2,208	2,189	2,284	2,280	2,225	
6. Adults With Disabilities (Age 19-64)	19,997	19,961	20,222	19,830	19,540	19,627	19,730	19,727	19,629	19,543	19,413	19,346	19,206	19,111	19,139	19,218	19,368	19,225	19,019	
7. Elderly & Elderly With Disabilities (Age 65+)	8,828	8,779	8,822	8,771	8,714	8,545	8,594	8,606	8,644	8,650	8,652	8,714	8,756	8,741	8,747	8,788	8,795	8,736	8,714	
8. BCCP (Age 19-64)	205	200	204	194	189	177	177	172	168	167	164	154	153	149	148	150	148	142	147	
Sub-Total	127,310	136,693	139,105	138,297	138,582	138,529	137,692	138,252	138,617	137,993	138,908	138,645	138,319	138,959	138,697	138,819	139,242	138,518	137,601	
9. NH Health Protection Program (Age 19-64)				18,617	30,711	38,402	40,456	41,657	42,579	43,126	43,107	43,577	44,568	46,996	47,902	49,135	49,203	48,817	49,137	
Total By Category	127,310	136,693	139,105	156,914	169,293	176,931	178,148	179,909	181,196	181,119	182,015	182,222	182,887	185,955	186,599	187,954	188,445	187,335	186,738	
Reconciling Differences (Detail to Summary)	(405)	122	0	(1)	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	
Reported On Summary	126,905	136,815	139,105	156,913	169,294	176,933	178,148	179,909	181,196	181,119	182,015	182,222	182,887	185,955	186,599	187,954	188,445	187,335	186,738	
ENROLLMENT IN MEDICAID CARE MANAGEMENT																				
Enrollment as of	01/01/14	04/01/14	07/01/14	10/01/14	1/1/2015	4/1/2015	6/1/2015	7/1/2015	8/1/2015	9/1/2015	10/1/2015	11/1/2015	12/1/2015	1/1/2016	2/1/2016	3/1/2016	4/1/2016	5/1/2016	6/1/2016	
Enrolled in Care Management	108,206	116,299	120,915	133,716	145,763	155,873	158,636	161,224	162,128	162,654	163,779	163,411	161,387	128,349	136,854	138,033	137,841	137,126	137,384	
Premium Assistance Program (NHHPP formerly w/MCO, previously shown in Care Management and not new enrollees)														36,884	38,063	38,675	39,557	39,710	39,889	
Enrolled in Fee-For-Service	25,186	17,708	15,549	22,090	22,067	20,197	18,067	17,594	17,219	17,098	17,191	17,117	19,887	19,100	10,217	9,951	9,414	8,395	8,118	
Total	133,392	134,007	136,464	155,806	167,830	176,070	176,703	178,818	179,347	179,752	180,970	180,528	181,274	184,333	185,134	186,659	186,812	185,231	185,391	
	(8,082)	2,666	2,641	1,106	1,463	861	1,445	1,091	1,849	1,367	1,045	1,604	1,813	1,622	1,466	1,295	1,633	2,104	1,347	
Figures by category versus figures by coverage are taken from two points in time. Medicaid Care Managements first of the month and the some people drop off during the month and go into Fee-For-Service. FFS is end of the month and builds during the month to include the spend down clients excluded from MCM. The early data points are switched because the MCM data includes retroactive FFS enrollment for those earlier months.																				

		A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
		Table K																		
		Department of Health and Human Services																		
		Caseloads Versus Prior Year & Prior Month																		
5	6	Unduplicated Persons			Medicaid Persons			Long Term Care-Seniors			FANF Persons			APTD Persons			SNAP Persons			
		Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	
68	Jul-13	153,075	-2.3%	-0.1%	129,255	-0.2%	-0.1%	7,153	-1.0%	1.6%	7,926	-8.8%	-1.0%	7,962	-5.3%	0.1%	115,691	-1.6%	-0.3%	
69	Aug-13	153,065	-2.5%	0.0%	129,063	-0.7%	-0.1%	7,284	-2.2%	1.8%	7,922	-9.9%	-0.1%	7,955	-4.1%	-0.1%	115,499	0.5%	-0.2%	
70	Sep-13	152,338	-2.4%	-0.5%	128,364	-0.9%	-0.5%	7,145	-1.9%	-1.9%	7,709	-11.0%	-2.7%	7,889	-4.0%	-0.8%	114,725	-2.4%	-0.7%	
71	Oct-13	152,132	-3.3%	-0.1%	128,276	-1.6%	-0.1%	7,290	0.0%	2.0%	7,609	-12.6%	-1.3%	7,945	-3.3%	0.7%	114,915	-3.5%	0.2%	
72	Nov-13	150,798	-4.1%	-0.9%	127,359	-2.1%	-0.7%	7,264	0.1%	-0.4%	7,449	-13.4%	-2.1%	7,882	-3.7%	-0.8%	113,514	-4.6%	-1.2%	
73	Dec-13	150,372	-4.0%	-0.3%	126,905	-2.4%	-0.4%	7,342	1.2%	1.1%	7,334	-13.6%	-1.5%	7,820	-4.2%	-0.8%	112,908	-5.0%	-0.5%	
74	Jan-14	154,862	-1.6%	3.0%	132,034	1.4%	4.0%	7,265	1.0%	-1.0%	7,330	-14.4%	-0.1%	7,834	-3.5%	0.2%	113,326	-5.7%	0.4%	
75	Feb-14	157,397	2.0%	1.6%	134,728	4.3%	2.0%	7,041	-0.7%	-3.1%	7,353	-13.9%	0.3%	7,803	-3.2%	-0.4%	112,791	-4.1%	-0.5%	
76	Mar-14	159,213	3.0%	1.2%	136,815	5.7%	1.5%	7,121	1.0%	1.1%	7,242	-13.6%	-1.5%	7,704	-3.8%	-1.3%	112,511	-4.2%	-0.2%	
77	Apr-14	160,682	4.2%	0.9%	138,157	6.8%	1.0%	7,125	n/a	0.1%	7,277	-12.7%	0.5%	7,727	-3.5%	0.3%	112,144	-4.3%	-0.3%	
78	May-14	161,647	5.2%	0.6%	138,562	6.9%	0.3%	7,439	5.7%	4.4%	7,119	-12.9%	-2.2%	7,751	-3.1%	0.3%	111,362	-6.7%	-0.7%	
79	Jun-14	162,897	6.3%	0.8%	139,105	7.5%	0.4%	7,271	3.3%	-2.3%	7,116	-11.1%	0.0%	7,745	-2.6%	-0.1%	110,590	-4.7%	-0.7%	
80	Jul-14	163,903	7.1%	0.6%	139,881	8.2%	0.6%	7,337	2.6%	0.9%	7,085	-10.6%	-0.4%	7,741	-2.8%	-0.1%	109,239	-5.6%	-1.2%	
81	Aug-14	171,328	11.9%	4.5%	150,820	16.9%	7.8%	7,094	-2.6%	-3.3%	6,871	-13.3%	-3.0%	7,727	-2.9%	-0.2%	108,767	-5.8%	-0.4%	
82	Sep-14	176,192	15.7%	2.8%	156,913	22.2%	4.0%	7,088	-0.8%	-0.1%	6,767	-12.2%	-1.5%	7,679	-2.7%	-0.6%	108,434	-5.5%	-0.3%	
83	Oct-14	178,952	17.6%	1.6%	160,334	25.0%	2.2%	7,242	-0.7%	2.2%	6,705	-11.9%	-0.9%	7,657	-3.6%	-0.3%	108,343	-5.7%	-0.1%	
84	Nov-14	180,798	19.9%	1.0%	162,848	27.9%	1.6%	7,160	-1.4%	-1.1%	6,705	-10.0%	0.0%	7,607	-3.5%	-0.7%	107,214	-5.5%	-1.0%	
85	Dec-14	186,837	24.2%	3.3%	169,294	33.4%	4.0%	7,181	-2.2%	0.3%	6,660	-9.2%	-0.7%	7,532	-3.7%	-1.0%	107,900	-4.4%	0.6%	
86	Jan-15	188,750	21.9%	1.0%	171,732	30.1%	1.4%	6,996	-3.7%	-2.6%	6,622	-9.7%	-0.6%	7,530	-3.9%	0.0%	107,934	-4.8%	0.0%	
87	Feb-15	192,008	22.0%	1.7%	175,266	30.1%	2.1%	7,026	-0.2%	0.4%	6,547	-11.0%	-1.1%	7,542	-3.3%	0.2%	107,224	-4.9%	-0.7%	
88	Mar-15	193,829	21.7%	0.9%	176,933	29.3%	1.0%	7,109	-0.2%	1.2%	6,339	-12.5%	-3.2%	7,538	-2.2%	-0.1%	107,521	-4.4%	0.3%	
89	Apr-15	195,333	21.6%	0.8%	178,752	29.4%	1.0%	7,230	1.5%	1.7%	6,366	-12.5%	0.4%	7,596	-1.7%	0.8%	107,283	-4.3%	-0.2%	
90	May-15	194,555	20.4%	-0.4%	178,143	28.6%	-0.3%	7,170	-3.6%	-0.8%	6,179	-13.2%	-2.9%	7,561	-2.5%	-0.5%	106,042	-4.8%	-1.2%	
91	Jun-15	196,212	20.5%	0.9%	179,910	29.3%	1.0%	7,109	-2.2%	-0.9%	6,138	-13.7%	-0.7%	7,526	-2.8%	-0.5%	105,322	-4.8%	-0.7%	
92	Jul-15	197,379	20.4%	0.6%	181,192	29.5%	0.7%	7,045	-4.0%	-0.9%	6,120	-13.6%	-0.3%	7,513	-2.9%	-0.2%	104,705	-4.2%	-0.6%	
93	Aug-15	197,305	15.2%	0.0%	181,115	20.1%	0.0%	6,949	-2.0%	-1.4%	5,934	-13.6%	-3.0%	7,438	-3.7%	-1.0%	103,544	-4.8%	-1.1%	
94	Sep-15	198,157	12.5%	0.4%	182,017	16.0%	0.5%	7,042	-0.6%	1.3%	5,764	-14.8%	-2.9%	7,343	-4.4%	-1.3%	102,869	-5.1%	-0.7%	
95	Oct-15	198,265	10.8%	0.1%	182,225	13.7%	0.1%	7,056	-2.6%	0.2%	5,688	-15.2%	-1.3%	7,307	-4.6%	-0.5%	101,917	-5.9%	-0.9%	
96	Nov-15	198,716	9.9%	0.2%	182,889	12.3%	0.4%	7,047	-1.6%	-0.1%	5,583	-16.7%	-1.8%	7,227	-5.0%	-1.1%	100,525	-6.2%	-1.4%	
97	Dec-15	201,743	8.0%	1.5%	185,957	9.8%	1.7%	7,191	0.1%	2.0%	5,425	-18.5%	-2.8%	7,116	-5.5%	-1.5%	100,495	-6.9%	0.0%	
98	Jan-16	202,248	7.2%	0.3%	186,599	8.7%	0.3%	7,114	1.7%	-1.1%	5,435	-17.9%	0.2%	7,081	-6.0%	-0.5%	99,978	-7.4%	-0.5%	
99	Feb-16	203,485	6.0%	0.6%	187,954	7.2%	0.7%	7,225	2.8%	1.6%	5,307	-18.9%	-2.4%	7,117	-5.6%	0.5%	99,486	-7.2%	-0.5%	
100	Mar-16	203,739	5.1%	0.1%	188,445	6.5%	0.3%	7,231	1.7%	0.1%	5,183	-18.2%	-2.3%	7,033	-6.7%	-1.2%	99,543	-7.4%	0.1%	
101	Apr-16	202,526	3.7%	-0.6%	187,335	4.8%	-0.6%	7,229	0.0%	0.0%	5,159	-19.0%	-0.5%	6,972	-8.2%	-0.9%	98,453	-8.2%	-1.1%	
102	May-16	202,025	3.8%	-0.2%	186,738	4.8%	-0.3%	7,163	-0.1%	-0.9%	5,068	-18.0%	-1.8%	6,933	-8.3%	-0.6%	97,610	-8.0%	-0.9%	
103	Jun-16																			
104		ANNUAL YEAR-TO-DATE AVERAGES																		
105	SFY10	145,551			116,835			7,297			14,090			8,254				98,359		
106	SFY11	154,598			119,787			7,224	-1.0%		11,060	-21.5%		8,801	6.6%			115,831	17.8%	
107	SFY12	153,025	-1.0%		119,682	-0.1%		7,179	-0.6%		13,676	23.6%		8,810	0.1%			112,590	-2.8%	
108	SFY13	155,888	1.9%		129,754	n/a		7,213	0.5%		8,538	-37.6%		8,152	-7.5%			118,064	4.9%	
109	SFY14	155,053	-0.5%		131,774	1.6%		7,224	0.2%		7,479	-12.4%		7,843	-3.8%			113,581	-3.8%	
110	SFY15	183,862	18.6%		165,538	25.6%		7,148	-1.1%		6,622	-11.5%		7,610	-3.0%			107,809	-5.1%	
111	SFY16	200,508	9.1%		184,770	11.6%		7,117	-0.4%		5,515	-16.7%		7,189	-5.5%			100,830	-6.5%	

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1115 TRANSFORMATION WAIVER (DSRIP)

DESCRIPTION	PURPOSE
<p>The Section 1115(a) Research and Demonstration “Transformation” Medicaid Waiver provides access to new federal funding to help transform the Medicaid behavioral health delivery system to:</p> <ol style="list-style-type: none"> 1. Integrate physical and behavioral health care to better address the full range of individuals’ needs 2. Build capacity to deliver behavioral health care services to address emerging and ongoing behavioral health needs in an appropriate setting 3. Reduce gaps in care during transitions across care settings by improving coordination across providers and linking patients with community supports. 	<p>Under the waiver, New Hampshire has access to up to \$30 million in federal funding each of five years (2016-2020) to create a transformation fund, which will make performance-based incentive payments to new regional networks of health care and community service providers called Integrated Delivery Networks (IDN). The IDNs will select specific projects from a menu of projects that will strengthen the capacity of the state’s behavioral health system, integrate mental health and substance use disorder care with primary care, and lower the long-term growth in health care costs for the state. By providing funding to support delivery system transformation—rather than to cover the costs of specific services rendered by providers—the waiver will encourage and enable health care providers and community partners within a region to form relationships focused on transforming care. The NHHPP population is attributable to DSRIP and is included in the scope of DSRIP.</p>

STATUS
<ul style="list-style-type: none"> • Seven applications for Integrated Delivery Networks (IDN) received 5/31/16. Multiple contracts will be submitted to G & C for approval July 2016. • The Independent Assessor, Myers and Stauffer, hired through competitive process and started work 6/1/16 • Continued to work with CMS to refine draft Protocols C and D. • DHHS staffing plan in process to support program implementation • DHHS website pages for DSRIP in use and being updated regularly: http://www.dhhs.nh.gov/section-1115-waiver/index.htm

TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
<p>1 (R) Risk that procuring for IT lead and Learning Collaborative lead won’t happen in time to advise IDNs in the fall</p>	<i>CMS Approves 1115 Transformation Waiver</i>	<i>1/5/16</i>
	<i>Draft Funding Mechanics & Project Menu Submitted to CMS</i>	<i>3/1/16</i>
	<i>9 Stakeholder Information Sessions Completed</i>	<i>3/28/16</i>
<p>2 (R) Risk that the necessary contracts (independent assessor, evaluator, HIT technical assistance, learning collaborative, capacity building fund contracts with approved IDNs) aren’t approved by G&C</p>	<i>Draft IDN Application Submitted for Public Comment</i>	<i>3/31/16</i>
	<i>15 Non-Binding Letters of Intent Received from Candidate Administrative Leads</i>	<i>4/1/16</i>
	<i>Deadline for all Non-Binding Letters of Intent</i>	<i>4/18/16</i>
	<i>State Released Final IDN Application</i>	<i>5/06/16</i>
<p>3 (R) Risk that CMS will require revisions to draft protocols that will delay approval of IDN applications on 6/30/16</p>	<i>Deadline for IDNs to Submit Network Data for Preview Attribution to DHHS</i>	<i>5/13/16</i>
	<i>Deadline for IDNs to Submit Applications to State</i>	<i>5/31/16</i>
	<i>State Releases Draft Project Plan Template for Public Comment</i>	<i>6/17/16</i>
<p>4 (R) Risk that compressed application timeline creates environment for poor applications by IDNs</p>	<i>State Announces Names of Approved IDNs & Begins Distribution of Initial Capacity Building Funds</i>	<i>7/1/16</i>
	<i>Deadline for IDNs to Submit Project Plans to State</i>	<i>9/1/16</i>
	<i>State Targets Distribution of Project Plan Funds</i>	<i>11/1/16</i>

COMMUNITY MENTAL HEALTH AGREEMENT

DESCRIPTION	PURPOSE	
For adults with Severe Mental Illness (SMI), establish and enhance community-based programs, including: mobile crisis services; supported employment; Assertive Community Treatment (ACT); supported housing; peer and family support; transition planning; and quality assurance of programs.	To meet the terms of the Community Mental Health Agreement (CMHA) to provide immediate and long-term support to individuals with SMI to reduce the institutionalization and risk of institutionalization of adults with SMI.	
STATUS		
<ul style="list-style-type: none"> DHHS Behavioral Health Central team meeting monthly to facilitate transitions from NH Hospital and The Glencliff Home to community-based settings. Continued progress toward objectives of the CMHA including: (a) Implementation of the NH Hospital policy for referrals to ACT for conditional discharges, (b) Working with stakeholders on the draft rule for the Bridge Subsidy Housing Program; and (c) Improving standard data measures and reporting processes. Mobile Crisis Team and crisis apartments fully implemented in Concord. Mobile Crisis for Manchester area awarded to Greater Manchester Mental Health effective 7/1/16 Completed sessions with expert reviewer Steve Day and TA consultant Lyne Rucker regarding Quality Service Review (QSR) process. First onsite QSR at Center for Life Management scheduled for 7/25/16-7/29/16 Working with the Community Mental Health Centers and community partners to address milestones that are not yet met, including (a) Supported employment penetration rate of individuals with SMI; (b) Capacity of ACT teams, and (c) Transitions of individuals from Glencliff Home. 		
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
1 (I) Redoubling efforts in areas of concerns outlined in the Expert Reviewer's January 2016 Report	Mobile Crisis capacity in Concord area	6/30/15
	Increase supported housing units to 340	6/30/15
2 (R) Capability of the Community Mental Health Centers (CMHCs) to meet ACT/SE requirements	ACT Teams w/ capacity to serve 1300 individuals by 6/30/15	TBD
	Transition 4 individuals from Glencliff by 6/30/15	TBD
	Achieve 16.1% SMI penetration rate of SMI eligible by 6/30/15	6/30/16
	ACT Teams w/ capacity to serve 1500 individuals	TBD
	Achieve 18.1% Supported Employment penetration rate of SMI eligible	6/30/16
	Mobile Crisis capacity in Manchester area	6/30/16

SUBSTANCE USE DISORDER (SUD) BENEFIT FOR STANDARD MEDICAID

DESCRIPTION		PURPOSE
<p>HB2 Chapter 276:231 requires the commissioner of the department of health and human services to submit a state plan amendment (SPA) to the Centers of Medicare and Medicaid (CMS) to provide substance use disorder services to Title XIX and Title XXI beneficiaries. The commissioner shall design the benefit consistent with Substance Abuse and Mental Health Service Administration (SAMHSA) treatment guidelines. The commissioner shall also determine the process and timeline for implementing services and, if necessary, phase in the benefit.</p>		<p>To implement the already defined SUD Benefit array offered to the NH Health Protection Program population to the Standard Medicaid population. The benefits include a continuum of SUD services to meet the range of needs from misuse, addiction and withdrawal.</p>
STATUS		
<ul style="list-style-type: none"> • Will provide same SUD benefit as NHHPP, same rates as NHHPP and implementation of the entire benefit on 7/1/16 • Fiscal Impact Statement complete and information sent to actuaries for PMPM development • Administrative Rules approved by LJCAR 5/20/16 • MMIS systems requirements submitted and in development • Meeting with MCOs held to coordinate communications for implementation • Client letter mailings began 5/31 • Stakeholder meeting held 3/3/16 to prepare for implementation • New provider type created for Peer Recovery services 		
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
<p>1 (I)Limited SUD provider Network</p> <p>2 (R) As a result of a limited provider network, recipients may not be able to access services in a timely manner.</p>	Systems changes identified	1/19/16
	Policy Decisions Communicated to MCOs	2/11/16
	Stakeholder Engagement Completed	3/3/16
	SUD Rules approved by JLCAR	5/20/16
	MCO Contract Approved by G&C	TBD
	SUD Benefits Available to Expanded Population	7/1/16
State Plan Approved by CMS	9/30/16	

THERAPEUTIC CANNABIS

DESCRIPTION	PURPOSE
The Department is responsible for the administration of the New Hampshire Therapeutic Cannabis Program (Program) by designing and implementing a comprehensive process for the distribution of therapeutic cannabis in the State of New Hampshire, pursuant to RSA 126-X.	The Department's goal is the implementation and continued operation of a self-sustaining (budget neutral) Program that safely and efficiently provides therapeutic cannabis to qualified individuals and their caregivers. Success will be measured by: Program efficiency and security, Program accessibility; and Program financial sustainability

STATUS

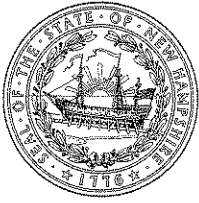
As of June 3, 2016,

- 1,002 applications for patient registration cards have been received
- 62 applications for registration cards were received for caregivers
- The Department has issued 650 qualifying patient cards and 31 designated caregiver cards.

Recent Activities:

- On 5/23 more than 1,000 applications had been received by the program
- Temescal ATC/Lebanon site certified to dispense 5/13/16 and opened to patients 5/15/16
- Temescal ATC/Dover site certified to dispense 5/4/16 and opened to patients 5/5/16
- Sanctuary ATC/Plymouth site certified to dispense 4/29/16 and opened to patients 4/30/16
- Preliminary site visit completed 5/31 to Prime ATC cultivation center (Peterborough) and dispensary (Merrimack) to be followed by inspections at a later date. Certification to dispense is anticipated summer 2016

TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
1 (R) Litigation regarding ATC selection could delay implementation (<i>updated to low risk</i>) (I) Level of effort and expertise required to administer and oversee this new, fee-funded program will continue to be a significant challenge for the Department	<i>RFA for ATCs Issued</i>	10/20/14
	<i>Registry Rules Adopted</i>	11/30/14
	<i>ATCs Selected</i>	01/23/15
	<i>Inspection Program Established</i>	04/10/15
	<i>Begin Inspection of ATC Cultivation Sites</i>	09/27/15
	<i>Issuance of Registry ID Cards Begins</i>	11/15/15
	<i>Sanctuary ATC Conditionally Certified to Cultivate</i>	01/08/16
	<i>Temescal ATC Conditionally Certified to Cultivate</i>	01/22/16
	<i>Sanctuary & Temescal Certified & Operational to Dispense</i>	05/31/16
	<i>Prime ATC Certified & Operational to Dispense Cannabis</i>	Summer '16



STATE OF NEW HAMPSHIRE
OFFICE OF THE GOVERNOR

FIS 16 111

MARGARET WOOD HASSAN
Governor

July 1, 2016

The Honorable Neal M. Kurk
Fiscal Committee of the General Court
State House, Concord, NH 03301

Dear Chairman Kurk,

In accordance with Senate Bill 533 and House Bill 1000 of the 2016 session, this letter is to certify that the amounts transferred to the Department of Safety, Department of Health and Human Services, and the Affordable Housing Fund for the State Fiscal Year (SFY) ending June 30, 2017, are in addition to the projected lapses.

Upon loading the budget for SFY 2017, \$6,500,000 will be transferred from the Department of Treasury, Debt Service accounting unit 2076000, Debt Service class line 043 for the following purposes:

- Funding contracts for program services at the Governor's Commission on Alcohol and Drug Abuse Prevention, Treatment and Recovery in the amount of \$2,500,000;
- Supporting housing projects for persons with substance abuse disorders at the New Hampshire Housing Finance Authority in the amount of \$2,000,000;
- Support direct grants for peer recovery support services at the Bureau of Drug and Alcohol Services at the Department of Health and Human Services in the amount of \$500,000; and
- Funding the substance abuse enforcement program and the forensic science laboratory at the Department of Safety – commonly known as the statewide expansion of Operation Granite Hammer – in the amount of \$1,500,000.

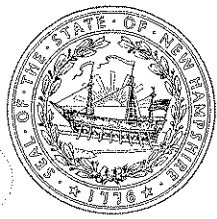
The budget estimated a general fund appropriation lapse of 3.3 percent for SFY 2017, which would be \$3.2 million from this account. This account is currently projected to lapse \$10.3 million, creating an excess of \$7 million available to be transferred for this purpose.

When I called for a special session to address the opioid crisis last fall I had hoped for swifter action using some of the \$100 million additional revenue we now have in this fiscal year, but I am still pleased we were able to provide additional resources to support law enforcement and to strengthen prevention, treatment and recovery as we continue working together to combat the heroin and opioid crisis.

If you have any questions regarding this letter, please contact my Budget Director, Meredith Telus.

With every good wish,

Margaret Wood Hassan
Governor



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
OFFICE of the COMMISSIONER
172 Pembroke Road Concord, New Hampshire 03301

FIS 16 113

603-271-2411
FAX: 603-271-2629

June 15, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

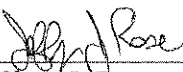
INFORMATIONAL ITEM

In accordance with RSA 12-A:29-c, III, Cannon Mountain Capital Improvement Fund, and RSA 216:3, IV (b), Hampton Beach Capital Improvement Fund, the Department of Resources and Economic Development, Division of Parks and Recreation respectfully submits the enclosed financial report for Fiscal Year 2015.

EXPLANATION

The Division of Parks and Recreation is required by RSA 216-A:3-e, II to report annually on specific park financial activities. Through consolidated reporting efforts this same report now fulfills the requirements under RSA 12-A:29-c, III and RSA 216:3, IV (b) for reporting on the activities of the Cannon Mountain Capital Improvement Fund and Hampton Beach Capital Improvement Fund.

Respectfully submitted,



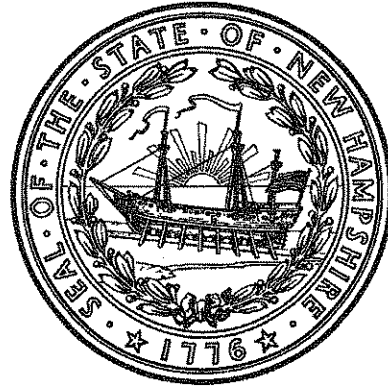
Jeffrey J. Rose
Commissioner

JJR/CSM/lml
Enclosure

State of New Hampshire

DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION

Fiscal Year 2015 Financial Report



Jeffrey J. Rose, Commissioner
Philip A. Bryce, Director
Christopher S. Marino, Admin. of Business Operations

DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
FISCAL YEAR 2015
FINANCIAL REPORT
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STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION - FINANCIAL REPORT
FISCAL YEAR 2015
Overview

Fiscal year 2015 was our sixth consecutive year of revenues exceeding expenditures across the entire park system. Total revenue into the Parks fund increased \$440k (4.3 percent) from the previous year. While operating in the self-funding model, we continued to be successful by utilizing the All Funds approach and maximizing resources from our most successful operations for the broader good of the entire system, as provided in statute. Revenue is pursued across all business units with a focus on managing spending to generate revenue or reduce costs long-term, while striving to provide outstanding service to our visitors.

Parks' success is heavily dependent on weather events and during Fiscal Year 2015, weather was, on the whole, favorable to outdoor recreation. However, we are always cautious. Analysis has indicated that rain will reduce revenue by 25 percent to 45 percent at beaches and campgrounds and a 20 percent increase in rainy days could impact day use income by \$1.8 million. To illustrate further, the July 4, 2013 extended holiday weekend showed an average temperature high of 91 degrees and was sunny throughout the 4-day period. Seacoast meter use averaged approximately 5,000 receipts a day for a total of \$118k. By contrast, for the July 4, 2014 extended holiday weekend, the average temperature was 63 degrees with cloudy skies and rain throughout the period. Meter use averaged only 3,500 receipts a day for a total collection of \$77k, a 35 percent reduction from 2013.

The underlying strength of the self-funding model is that revenue generated from enterprise parks is reinvested for the benefit of the entire State Park System. Of the 122 parks sites (there are multiple sites within larger parks), 46 generate revenue and 76 are free to the public. However, only 28 sites (23 percent of the total) provide revenue positive cash-flow, which in turn supports the rest of the state park system (including administration and transfers). Parks that showed strong net revenue include the Flume, \$189k; Hampton South Beach, \$504k; Pawtuckaway, \$477k; White Lake, \$200k; Monadnock, \$207k and Wallis Sands, \$202k.

The traditional major park accounts are summarized below. These self-supporting activities are tracked separately by accounting unit; however, there are operational and financial areas of overlap. Costs are allocated to the correct revenues within the various business units to evaluate financial performance. Deferred revenue is included to reflect this liability and the impact on the final overall balance.

	Balance 07/01/14	Revenues	Expenditures	Transfers *	Net Income	Encumbrances	Balance 06/30/15
Park Fund	3,327,698	9,242,782	(10,111,562)	1,921,683	1,052,593	(374,459)	4,005,832
Historic Sites**	39,493	24,513	(40,180)		(15,667)		23,826
Hampton Meters Operating	70,839	2,200,991	(406,303)	(1,799,004)	(4,316)	(66,523)	0
Hampton Meters Capital	87,307		(181,253)	200,000	18,747		106,054
Cannon Operating	28,445	7,306,734	(6,968,218)	(322,679)	15,837	(44,282)	0
Cannon Capital	(327,226)	637,993	(643,382)		(5,389)		(332,615)
Mt Washington Commission	711,440	1,252,264	(1,493,583)		(241,319)	(8,565)	461,556
Total All Funds	3,937,996	20,665,277	(19,844,480)	0	820,488	(493,829)	4,264,655
Deferred Revenue***		(1,764,379)					(1,764,379)
Net Total All Funds		18,900,588					2,500,274

* **Park Fund Transfers In:** \$1,599,004 from Hampton Meters; \$322,679 from Cannon.

* **Hampton Meters Operating Transfers Out:** \$1,599,004 to Park Fund; \$200,000 to Hampton Meters Capital.

* **Cannon Operating Transfers Out:** \$322,679 to Park Fund.

**Historic Sites are generally funded under the Commissioner's Office but are also subsidized by the Parks Fund

***Deferred revenue includes obligations to provide services in FY 2015 and includes \$567,265 of FY 2015 season's ski pass sales and \$1,197,114 of prepaid camping for FY 2015.

Park concessions had record revenues. Net park concessions revenue transferred into the Park Fund was \$338k on \$1.8 million in sales. The keys to success were focusing on core commitment areas consisting of price, product mix, quality, and presentation. Expanding the boat rental program and sustaining the demand of firewood (while addressing quarantines), and increasing parks branded products are three major initiatives that also yielded positive results.

Hampton Meter Fund revenue exceeded \$2.2 million. This is an increase over the prior year and is consistent with a positive growth trend. The investment in facilities, expanding services and a focus on customer service, combined with good weather, continues to attract more visitors to the beach, resulting in a net positive economic impact on the community. We continued through the shoulder months of April and October, offering an off season rate of \$1 per hour, kept bathrooms open in the winter, and plowed the sidewalks to serve winter visitors and local residents. Chapter 187

L'2012 (SB 324) capped the transfer to the Hampton Capital Improvement Fund at \$200k per year. The remaining unspent balance in the Meter Fund of 0 million was transferred to the Park Fund.

Mount Washington concession sales reached a record \$1.5 million. In FY'15, the state paid the fourth of 10 annual payments in the amount of \$212k to Dartmouth College for the purchase of land and building at the summit. Expenditures in FY'15 exceeded revenues, resulting in the Mount Washington Fund balance dropping to \$461k. Since 2012, the fund has assumed the \$334k cost of full time staff, which had been charged to the Parks Fund.

Cannon Mountain had a great snow season. We are proud that Cannon was runner up in a WMUR readers' poll; rated in the top 4 New England Ski Areas by Boston.com , ranked #2 in the East, (out of 97 ski areas) for overall satisfaction and # 3 for best scenery in the 2015 SKI Magazine Readers' Poll. Cannon has also finished in the black four out of the last five years, demonstrating its solid performance and continued growth expectations. In 2015, an increased emphasis on safety was communicated and the workers compensation expense reduced by \$282k. However, this positive development was somewhat offset by increased utility costs. Chapter 144:90,91 L' 13 established the transfer of \$500k to the Park Fund with any remaining balance to the Cannon Mountain Capital Improvement Fund. Final financial results ended with Cannon operations transferring \$322k into the Parks account.

Parks/Cannon Mountain is actively seeking energy savings cost measures via energy performance contracting, which will provide improve infrastructure and create substantial energy efficiency savings.

STATE OF NEW HAMPSHIRE DEPT OF RESOURCES AND ECONOMIC DEVELOPMENT (DRED) WORKERS COMPENSATION FISCAL YEARS 2009 TO 2015							
	FY 09	FY 10	FY 11	FY 12	FY 13	FY 14	FY 15
Parks	36,928	138,282	214,971	126,699	57,401	74,797	171,029
Cannon	56,643	96,723	145,389	313,165	35,043	624,724	342,198
Total	\$93,571	\$235,005	\$360,360	\$439,864	\$92,444	\$699,521	\$513,227

Investing and Maintaining Parks

With the positive operating fund balance and support from the Legislature on our capital improvement program, the department is reinvesting in our parks field equipment and facilities.

Operating Funds Expenditures

- FY'15 Parks' spending on equipment was less than FY2014 (when we successfully replaced much of our failing vehicle fleet), however, momentum continued as we replaced utility vehicles, landscape maintenance equipment, tractors, trailers, computer equipment and defibrillators to improve appearances of parks, increase efficiencies, and improve public safety.
- Park operation maintenance expenses continue to address deferred maintenance and improve the condition of parks, complimenting the capital budget expenditures.
- Seasonal staff costs (Class 50) increased \$197k over FY 2014 to cover pay increases, address deferred maintenance, provide more services to non-revenue generating parks and conduct pre-season training.

Capital Fund Expenditures

- The department received \$4.7 million to complete the repair of the final 62 sections of the Hampton Seawall. By making this project a multi-year effort, leveraging economies of scale provided a significant financial saving. The bid was awarded at \$3.5 million, with a significant saving of 26 percent of the original estimate. In the end, we were able to return \$1.3 million to the general fund.
- Spending under the statewide park repairs capital improvement categories, totaled \$1,144k and included \$265k at the Sherman Adams building at Mount Washington State Park; \$175k in various park infrastructure improvements at other parks' properties; \$94k for culvert and road repairs at the Connecticut Lake Headwaters, and \$95k for dam and parking lot repairs at Northwood Meadows.
- Cannon Mountain secured \$750k in capital appropriations, of which \$646k was spent. This funding enabled Cannon to increase its snowmaking capacity, replace the haul rope on the Peabody Express chair lift, and upgrade and repair the water and electrical systems. Continuing investment at Cannon is transforming it into one of the top rated ski areas in New England.

Additional Highlights

- First Day Hike, offered on New Year's Day by state parks in all 50 states, hosted 360 guests on free guided tours at three New Hampshire state parks.
- Approximately 48,600 snowmobiles and 26,000 OHRVs were registered in FY'15. Total OHRV/snowmobile revenues were the second highest in 10 years.
- Federal Recreational Trails Program grants awarded \$772k for projects in 2015. The money was distributed to non-motorized, motorized and diversified trail projects around the state, based upon a competitive application process.
- The number of state parks' license plates issued increased 46 percent, to 3,703, in FY'15.
- Special use permit fees for 100 events, from the Seafood Festival to the Mount Washington Hill Climb, generated \$76k.
- Fodor's named Franconia Notch State Park one of top 10 perfect parks in the U.S.
- For the seventh year in a row, the Natural Resources Defense Council named Hampton Beach a 'superstar beach' for the cleanliness of its water.
- Hampton Beach's lifeguards won the 54th annual Northern New England Lifesaving Championships
- The Student Conservation Corp, based at Bear Brook State Park, celebrated the 20th anniversary of its affiliation with New Hampshire state parks.
- A total of 19,858 volunteer hours were reported in FY'15, valued at \$456,337.
- The annual Great Park Pursuit challenge to discover and enjoy the recreational opportunities offered by state parks drew 270 people.
- Mount Washington State Park and Wallis Sands State Park celebrated their 50th anniversary. The first Capital Campout at Bear Brook State Park introduced six families to camping.

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
PARK SUMMARY - 2015

Description	Parks Fund							Historic	Mt Wash
	Operations 3720	Fund Account 3702	Admin 3701	W.C./Unemp 8146/6161	Subtotal	Concessions 3722	Total	Sites 5312	State Park 3742
Begin Bal	160,788	3,125,631	40,026	-	3,326,445	1,253	3,327,698	39,493	711,440
Additions									
Revenues									
Camping		2,682,447			2,682,447		2,682,447		
Admission		4,606,640			4,606,640		4,606,640	24,513	
Ski Revenue					-		-		
Seacoast Parking Meters					-		-		
Concessions / Snow Sports		199,702			199,702	1,813,989	2,013,691		1,583,356
Other	24,256	646,800			671,056		671,056		119,389
Leases		284,043			284,043		284,043		
Total Revenue	24,256	8,419,632	-	-	8,443,888	1,813,989	10,257,877	24,513	1,702,745
Less Cost of Goods Sold					-	(1,015,095)	(1,015,095)		(450,481)
Net Revenues	24,256	8,419,632	-	-	8,443,888	798,894	9,242,782	24,513	1,252,264
Transfers									
Parks Revenue Allocation	8,181,686	(9,799,658)	1,400,867	217,105	-		-		
Hampton Transfers		1,599,004			1,599,004		1,599,004		
Cannon Transfers to Parks (HB 2)		322,679			322,679		322,679		
Concessions Transfers		338,544			338,544	(338,544)	-		
Fish & Game Transfer					-		-		
General Fund Share					-		-		
Total Transfers	8,181,686	(7,539,431)	1,400,867	217,105	2,260,227	(338,544)	1,921,683		-
Total Additions	8,205,942	880,201	1,400,867	217,105	10,704,115	460,350	11,164,465	24,513	1,252,264
Decreases									
Expenditures									
Full Time Salaries (010,011)	938,909		639,189		1,578,099		1,578,099		
Holiday / Overtime (018/019)	14,698				14,698	181	14,879		11,340
Temporary Salaries (050)	2,690,543				2,690,543	309,131	2,999,674	34,390	169,621
Full Time Temp Salaries (059)	136,133				136,133		136,133		333,719
Benefits (060)	796,893		315,242		1,112,135	23,663	1,135,798	1,000	156,238
Total Compensation	4,577,177	-	954,431	-	5,531,608	332,974	5,864,582	35,390	670,918
Current Expense (020,39)	839,340		26,733		866,073	33,397	899,471	4,191	70,228
Rents (022)	16,701		842		17,543	70	17,614		72,561
Utilities (023)	337,718				337,718	21,710	359,428		128,653
Maintenance (024,047,048)	336,299				336,299	25,758	362,057		144,189
DD&M / Bus Office (029)	953,532				953,532		953,532		-
OIT (029)	-		247,697		247,697		247,697		-
Equipment (030)	373,860		1,760		375,620	31,279	406,899	599	29,695
Debt Service / Land Acquisition (033,044)	31,868				31,868		31,868		336,998
Addl Fringe Benefits (042)	97,858		56,218		154,076		154,076		-
Workers Comp/Unemp (061,062)				217,105	217,105		217,105		-
Promotional (069)			79,921		79,921		79,921		-
Contracts Prog/Ops Svs (102,103)	481,192		5,894		487,087		487,087		38,553
Other (026,049,057,066,070,080)	2,406		27,370		29,776	450	30,226		1,787
Total Expenditures	8,047,951	-	1,400,867	217,105	9,665,923	445,639	10,111,562	40,180	1,493,583
Revenues & Additions over Expenditures	157,991	880,201	-	-	1,038,192	14,711	1,052,903	(15,666)	(241,319)
Encumbrances	318,779		40,026		358,805	15,965	374,769		8,565
End Bal	-	4,005,832	-	-	4,005,832	-	4,005,832	23,827	461,557

STATE OF NEW HAMPSHIRE
 DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
 DIVISION OF PARKS AND RECREATION
 PARK SUMMARY - 2015

Description	Seacoast Meters			Cannon					Grand Total
	Operations 7300	Cap Imp 7301	Total	Operations 3703	School & Rent 3704	Subtotal	Capital 3705	Total	
Beg Bal	70,839	87,307	158,146	26,560	1,885	28,445	(327,226)	(298,781)	3,937,996
Additions									
Revenues									
Camping	-	-	-	-	-	-	-	-	2,682,447
Admission	-	-	-	-	-	-	-	-	4,631,153
Ski Revenue	-	-	-	6,083,049	-	6,083,049	-	6,083,049	6,083,049
Seacoast Parking Meters	2,200,991	-	2,200,991	-	-	-	-	-	2,200,991
Concessions / Snow Sports	-	-	-	-	1,704,207	1,704,207	-	1,704,207	5,301,254
Other	-	-	-	-	-	-	-	-	790,445
Leases	-	-	-	-	-	-	637,993	637,993	922,036
Total Revenue	2,200,991	-	2,200,991	6,083,049	1,704,207	7,787,257	637,993	8,425,250	22,611,376
Less Cost of Goods Sold	-	-	-	-	(480,523)	(480,523)	-	(480,523)	(1,946,099)
Net Revenues	2,200,991	-	2,200,991	6,083,049	1,223,684	7,306,734	637,993	7,944,727	20,665,277
Transfers									
Parks Revenue Allocation	-	-	-	-	-	-	-	-	-
Hampton Transfers	(1,799,004)	200,000	(1,599,004)	-	-	-	-	-	-
Cannon Transfers to Parks (HB 2)	-	-	-	(322,679)	-	(322,679)	-	(322,679)	-
Concessions Transfers	-	-	-	522,068	(522,068)	-	-	-	-
Fish & Game Transfer	-	-	-	-	-	-	-	-	-
General Fund Share	-	-	-	-	-	-	-	-	-
Total Transfers	(1,799,004)	200,000	(1,599,004)	199,392	(522,068)	(322,679)	-	(322,679)	-
Total Additions	401,987	200,000	601,987	6,282,441	701,617	6,984,055	637,993	7,622,048	20,665,277
Decreases									
Expenditures									
Full Time Salaries (010,011)	-	-	-	670,160	-	670,160	-	670,160	2,248,259
Holiday / Overtime (018/019)	-	-	-	64,379	6,099	70,478	-	70,478	96,697
Temporary Salaries (050)	197,025	-	197,025	955,826	451,905	1,407,731	-	1,407,731	4,808,441
Full Time Temp Salaries (059)	-	-	-	289,496	52,299	341,795	-	341,795	811,647
Benefits (060)	15,072	-	15,072	668,075	56,196	724,271	-	724,271	2,032,379
Total Compensation	212,097	-	212,097	2,647,937	566,499	3,214,436	-	3,214,436	9,997,424
Current Expense (020,39)	60,802	-	60,802	538,567	66,558	605,125	-	605,125	1,639,816
Rents (022)	-	-	-	318,774	-	318,774	-	318,774	408,948
Utilities (023)	-	-	-	1,498,446	17,967	1,516,413	-	1,516,413	2,004,495
Maintenance (024,047,048)	16,344	-	16,344	194,324	12,330	206,654	-	206,654	729,244
DD&M / Bus Office (029)	-	-	-	89,227	-	89,227	-	89,227	1,042,759
OIT (029)	10,767	-	10,767	-	-	-	-	-	258,464
Equipment (030)	20,864	-	20,864	109,000	15,984	124,984	-	124,984	583,040
Debt Service / Land Acquisition (033,044)	-	181,253	181,253	78,424	-	78,424	643,382	721,805	1,271,924
Add Fringe Benefits (042)	-	-	-	48,300	1,000	49,300	-	49,300	203,376
Workers Comp/Unemp (061,062)	-	-	-	358,306	-	358,306	-	358,306	575,411
Promotional (069)	-	-	-	313,732	-	313,732	-	313,732	393,653
Contracts Prog/Ops Svs (102,103)	-	-	-	32,268	8,924	41,193	-	41,193	566,832
Other (026,049,057,066,070,080)	85,429	-	85,429	40,970	10,681	51,651	-	51,651	169,094
Total Expenditures	406,303	181,253	587,556	6,268,276	699,942	6,968,218	643,382	7,611,600	19,844,480
Revenues & Additions over Expenditure	(4,316)	18,747	14,431	14,166	1,674	15,837	(5,389)	10,448	820,798
Encumbrances	66,523	-	66,523	40,726	3,559	44,282	-	44,282	494,139
End Bal	-	106,053	106,054	-	-	-	(332,614)	(332,615)	4,264,655

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
PROFIT AND LOSS SUMMARY BY PARK - FISCAL YEAR 2015

	C+D+E				F-G		I-J				K-L		F+K		G+L		N-O or H+M
	Operations								Concessions				Grand Total				
	Revenues				Expenses	Net	Concession Sales				Expenses	Net	Revenue	Expenses	Net		
Camping	Day Use	Other	Total	Sales			COGS	Net	Expenses	Net							
High Use Parks																	
Pawtuckaway	516,227	203,317	37,223	756,767	(353,818)	402,949	237,179	(115,188)	121,991	(47,132)	74,859	878,758	(400,950)	477,808			
Monadnock/Gilson Pond	81,054	481,449	(13,914)	548,588	(361,728)	186,860	98,517	(53,241)	45,276	(24,651)	20,625	593,864	(386,379)	207,485			
White Lake	315,282	87,113	12,889	415,284	(274,283)	141,001	159,661	(71,263)	88,398	(29,117)	59,280	503,682	(303,401)	200,282			
Ellacoya	145,521	76,775	49,145	271,441	(124,343)	147,098	27,099	(14,263)	12,836	(15,200)	(2,364)	284,277	(139,543)	144,734			
Sunapee	14,769	108,519	4,869	128,157	(97,672)	30,484	80,853	(40,691)	20,162	(25,822)	(5,661)	148,318	(123,495)	24,824			
Wellington	8,575	108,779	20,678	138,032	(107,827)	30,205	19,463	(6,531)	12,932	(8,412)	4,521	150,964	(116,238)	34,725			
Total High Use	1,081,428	1,068,961	110,889	2,258,268	(1,319,672)	938,597	602,773	(301,177)	301,595	(150,334)	151,261	2,659,864	(1,470,006)	1,089,858			
Other Large Parks																	
Greenfield	171,132	37,047	1,349	209,528	(227,684)	(18,156)	49,650	(32,532)	17,119	(6,720)	8,399	226,646	(236,404)	(9,758)			
Bear Brook	158,246	59,548	29,859	247,652	(194,949)	52,703	88,138	(41,081)	47,057	(14,564)	32,493	294,709	(209,514)	85,195			
Umbagog	198,459	14,362	43,090	255,911	(287,984)	(32,073)	65,436	(30,662)	34,774	(10,963)	23,810	290,684	(298,947)	(8,263)			
Crawford Notch	97,904	-	12,134	110,037	(97,519)	12,519	159,043	(92,095)	66,948	(59,201)	7,747	176,986	(156,720)	20,266			
Lake Francis	91,386	3,525	2,689	97,599	(97,687)	(88)	21,825	(11,387)	10,438	(4,444)	5,994	108,037	(102,131)	5,906			
Moose Brook	91,463	2,534	834	94,831	(80,510)	14,321	13,768	(7,318)	6,450	(3,174)	3,276	101,281	(83,684)	17,597			
Echo Lake	-	102,464	9,996	112,460	(58,728)	53,733	914	(657)	257	(778)	(521)	112,718	(59,506)	53,212			
Total Other LG	808,588	219,480	89,961	1,128,019	(1,045,080)	82,938	398,774	(215,731)	183,042	(161,845)	81,197	1,311,061	(1,146,905)	164,156			
Medium Parks																	
Miller	-	97,667	10,036	107,703	(122,101)	(14,398)	28	-	28	-	28	107,731	(122,101)	(14,370)			
Silver Lake	-	25,390	1,401	26,791	(69,030)	(42,239)	6,385	(3,097)	3,287	(4,591)	(1,303)	30,078	(73,620)	(43,542)			
Pillsbury	61,294	4,966	464	66,723	(43,287)	23,436	18,868	(7,331)	11,536	-	11,536	78,259	(43,287)	34,973			
Kingston	-	53,495	3,190	56,685	(48,665)	8,020	11,051	(3,226)	7,824	(19,984)	(12,159)	64,510	(68,649)	(4,139)			
Winslow	-	40,240	5,146	45,386	(17,657)	27,729	-	-	-	-	-	45,386	(17,657)	27,729			
Rollins	-	33,608	5,119	38,727	(37,337)	1,390	-	(91)	(91)	-	(91)	38,636	(37,337)	1,298			
Mollidgewock	44,051	2,526	15	46,592	(45,481)	1,110	6,437	(3,642)	2,795	(259)	2,536	49,387	(45,741)	3,646			
Wentworth	-	16,616	105	16,721	(30,450)	(13,729)	-	-	-	-	-	16,721	(30,450)	(13,729)			
Coleman	29,590	1,030	2,895	33,515	(161,900)	(128,386)	6,781	(2,946)	3,835	(140)	3,695	37,350	(162,040)	(124,690)			
Jericho	58,975	3,888	2,696	65,558	(82,009)	(16,451)	32,420	(23,096)	9,324	(12,704)	(3,379)	74,883	(94,713)	(19,830)			
Total Medium	193,908	279,426	31,065	504,400	(657,918)	(153,518)	81,989	(43,425)	38,540	(37,677)	863	542,940	(695,595)	(152,655)			
Small Parks																	
Clough	-	33,596	3,174	36,770	(32,922)	3,848	-	-	-	-	-	36,770	(32,922)	3,848			
Milan Hill	24,474	-	82	24,556	(41,370)	(16,814)	1,789	(1,094)	695	(47)	647	25,251	(41,417)	(16,166)			
Deer Mt	19,437	440	246	20,122	(22,876)	(2,754)	1,827	(1,089)	738	(14)	724	20,860	(22,889)	(2,030)			
Pisgah	-	-	2,866	2,866	(38,114)	(35,248)	-	-	-	-	-	2,866	(38,114)	(35,248)			
Wadleigh	-	3,126	2,504	5,630	(8,844)	(3,214)	-	-	-	-	-	5,630	(8,844)	(3,214)			
Chesterfield	-	-	-	-	(2,138)	(2,138)	-	(5,925)	(5,925)	(9,274)	(15,199)	(5,925)	(11,412)	(17,337)			
Forest Lake	-	-	50	50	(6,113)	(6,063)	-	-	-	-	-	50	(6,113)	(6,063)			
Mount Wash	-	-	-	-	31,002	31,002	-	-	-	-	-	-	31,002	31,002			
Lake Tarleton	-	-	-	-	(4,147)	(4,147)	-	-	-	-	-	-	(4,147)	(4,147)			
Nansen Wayside	-	-	-	-	(28)	(28)	-	-	-	-	-	-	(28)	(28)			
Total Other Small Parks	-	-	-	-	(6,061)	(6,061)	-	-	-	-	-	-	(6,061)	(6,061)			
Total Small	43,911	37,152	6,921	87,984	(131,610)	(43,626)	3,616	(5,109)	(4,493)	(9,355)	(13,929)	85,501	(140,946)	(55,445)			

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
PROFIT AND LOSS SUMMARY BY PARK - FISCAL YEAR 2015

	C+D+E				F-G		I-J			K-L		F+K		G+L		N-O or H+M
	Operations				Expenses	Net	Concessions			Grand Total		Revenue	Expenses	Net		
	Revenues						Concession Sales									
	Camping	Day Use	Other	Total			Sales	COGS	Net	Expenses	Net					
Franconia State Park																
Flume	-	2,184,691	67,530	2,252,221	(457,644)	1,794,577	431,301	(280,682)	150,619	(54,653)	95,967	2,402,840	(512,297)	1,890,543		
Lafayette	273,348	-	20,822	294,170	(117,423)	176,747	117,624	(56,862)	60,762	(10,963)	49,799	354,932	(128,386)	226,546		
Franconia Notch	-	-	9,710	9,710	(57,907)	(48,197)	-	-	-	6,477	6,477	9,710	(51,430)	(41,720)		
Total Franconia	273,348	2,184,691	98,063	2,556,101	(632,975)	1,923,126	548,925	(337,544)	211,381	(59,138)	152,242	2,767,482	(692,113)	2,075,369		
Administration																
Service Parks	3,397	40,072	218,081	261,549	(1,647,273)	(1,385,724)	3,020	(4,426)	(1,406)	(47,547)	(48,953)	260,143	(1,694,821)	(1,434,677)		
SCA	-	-	-	-	(31,165)	(31,165)	-	-	-	-	-	-	(31,165)	(31,165)		
Parks Admin	-	-	-	-	(1,400,867)	(1,400,867)	-	-	-	-	-	-	(1,400,867)	(1,400,867)		
WC/UNEMP	-	-	-	-	(217,105)	(217,105)	-	-	-	-	-	-	(217,105)	(217,105)		
Total Admin	3,397	40,072	218,081	261,549	(3,296,410)	(3,034,861)	3,020	(4,426)	(1,406)	(47,547)	(48,953)	260,143	(3,343,958)	(3,083,814)		
Regional																
Central	-	-	2,188	2,188	(264,833)	(262,645)	-	-	-	-	-	2,188	(264,833)	(262,645)		
Great North Woods	-	-	205	205	(301,582)	(301,377)	-	-	-	-	-	205	(301,582)	(301,377)		
Seacoast	-	-	-	-	(286,366)	(286,366)	-	-	-	-	-	-	(286,366)	(286,366)		
South	-	-	61	61	(141,011)	(140,950)	-	-	-	-	-	61	(141,011)	(140,950)		
Total Regional	-	-	2,454	2,454	(993,792)	(991,338)	-	-	-	-	-	2,454	(993,792)	(991,338)		
Seacoast																
Hampton Beach RV	277,865	409,553	48,812	736,230	(243,971)	492,259	67,265	(41,124)	26,141	(14,236)	11,905	762,371	(258,207)	504,164		
Wallis Sands	-	302,339	7,551	309,890	(128,124)	181,766	107,957	(63,365)	44,592	(23,390)	21,202	354,482	(151,514)	202,968		
Odiome Pt State Park	-	60,968	25,905	86,873	(101,905)	(15,032)	-	-	-	-	-	86,873	(101,905)	(15,032)		
Rye Harbor State Park	-	6,998	29,641	36,639	(3,774)	32,865	-	-	-	-	-	36,639	(3,774)	32,865		
Seashell Complex	-	-	48,945	48,945	(167,734)	(118,788)	-	(186)	(186)	-	(186)	48,759	(167,734)	(118,975)		
Seacoast Science Center	-	-	-	-	(12,717)	(12,717)	-	-	-	-	-	-	(12,717)	(12,717)		
Hampton Beach Mnt	-	-	-	-	(553,957)	(553,957)	-	-	-	(1,766)	(1,766)	-	(555,723)	(555,723)		
Hampton Lifeguard	-	-	-	-	(273,900)	(273,900)	-	-	-	-	-	-	(273,900)	(273,900)		
North Hampton	-	-	87,817	87,817	(26,323)	61,494	-	-	-	(369)	(369)	87,817	(26,693)	61,124		
Hampton Meters	-	-	-	-	(730)	(730)	-	-	-	-	-	-	(730)	(730)		
Jenness	-	-	137,006	137,006	(33,047)	103,959	-	-	-	-	-	137,006	(33,047)	103,959		
Seacoast Maintenance	-	-	-	-	(25,667)	(25,667)	-	-	-	-	-	-	(25,667)	(25,667)		
Total Seacoast	277,865	779,858	385,677	1,443,400	(1,571,849)	(128,449)	175,222	(104,676)	70,546	(39,781)	30,785	1,513,946	(1,611,610)	(97,664)		
Historic Sites																
Service Parks - Parks Admin Total	2,682,447	4,606,640	955,098	8,244,185	(9,665,923)	(1,421,738)	1,814,299	(1,015,095)	799,204	(445,639)	353,567	9,043,391	(10,111,562)	(1,068,170)		
Other Funds																
Historic Sites	-	24,514	-	24,514	(40,180)	(15,665)	-	-	-	-	-	24,514	(40,180)	(15,665)		
Hampton Meters	-	-	2,200,991	2,200,991	(587,556)	1,613,435	-	-	-	-	-	2,200,991	(587,556)	1,613,435		
Cannon Operating	-	6,282,439	-	6,282,439	(6,268,276)	14,163	1,704,207	(480,523)	1,223,684	(699,942)	523,742	7,506,123	(6,968,218)	537,906		
Cannon Capital	-	-	637,993	637,993	(643,382)	(5,389)	-	-	-	-	-	637,993	(643,382)	(5,389)		
Mount Washington	-	-	593,258	593,258	(1,493,583)	(900,324)	1,109,487	(450,481)	659,006	-	659,006	1,252,264	(1,493,583)	(241,319)		
Total Other	-	6,306,953	3,432,243	9,739,195	(9,032,976)	706,220	2,813,694	(931,004)	1,882,890	(699,942)	1,182,748	11,621,886	(9,732,919)	1,888,968		
Grand Total	2,682,447	10,913,593	4,387,341	17,983,381	(18,698,899)	(715,517)	4,627,993	(1,946,099)	2,681,894	(1,145,581)	1,536,315	20,665,277	(19,844,480)	820,798		

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
PROFIT AND LOSS SUMMARY BY REGION - FISCAL YEAR 2015

	C+D+E				F-G		I-J			K-L		F+K		G+L		N-O or H+M
	Operations				Expenses	Net	Concessions			Grand Total		Revenue	Expenses	Net		
	Revenues						Concession Sales									
	Camping	Day Use	Other	Total			Sales	COGS	Net	Expenses	Net					
Central Region																
Echo Lake	-	102,464	9,996	112,460	(58,728)	53,733	914	(657)	257	(778)	(521)	112,718	(59,506)	53,212		
Crawford Notch	97,904	-	12,134	110,037	(97,519)	12,519	159,043	(92,095)	66,948	(59,201)	7,747	176,986	(156,720)	20,266		
White Lake	315,282	87,113	12,889	415,284	(274,283)	141,001	159,861	(71,263)	88,598	(29,117)	59,280	503,682	(303,401)	200,282		
Ellacoya	145,521	76,775	49,145	271,441	(124,343)	147,098	27,099	(14,263)	12,836	(15,200)	(2,364)	284,277	(139,543)	144,734		
Sunapee	14,769	108,519	4,869	128,157	(97,672)	30,484	60,853	(40,691)	20,162	(25,822)	(5,661)	148,318	(123,495)	24,824		
Wellington	8,575	108,779	20,678	138,032	(107,827)	30,205	19,463	(6,531)	12,932	(8,412)	4,521	150,964	(116,238)	34,726		
Pillsbury	61,294	4,966	464	66,723	(43,287)	23,436	18,868	(7,331)	11,536	-	11,536	78,259	(43,287)	34,973		
Winslow	-	40,240	5,146	45,386	(17,657)	27,729	-	-	-	-	-	45,386	(17,657)	27,729		
Rollins	-	33,608	5,119	38,727	(37,337)	1,390	-	(91)	(91)	-	(91)	38,636	(37,337)	1,298		
Wentworth	-	16,616	105	16,721	(30,450)	(13,729)	-	-	-	-	-	16,721	(30,450)	(13,729)		
Wadleigh	-	3,126	2,504	5,630	(8,844)	(3,214)	-	-	-	-	-	5,630	(8,844)	(3,214)		
Lake Tarleton	-	-	-	-	(4,147)	(4,147)	-	-	-	-	-	-	(4,147)	(4,147)		
Cardigan	-	-	-	-	(688)	(688)	-	-	-	-	-	-	(688)	(688)		
Ahern	-	-	-	-	(3,287)	(3,287)	-	-	-	-	-	-	(3,287)	(3,287)		
Livemore	-	-	-	-	(973)	(973)	-	-	-	-	-	-	(973)	(973)		
Central Administrative	-	-	2,188	2,188	(264,833)	(262,645)	-	-	-	-	-	2,188	(264,833)	(262,645)		
Total Central Region	843,345	582,205	125,235	1,550,785	(1,171,875)	378,911	445,901	(232,922)	212,979	(138,531)	74,448	1,563,764	(1,310,405)	253,359		
Great North Woods Management Area																
Mollisgewock	44,051	2,526	15	46,592	(45,481)	1,110	6,437	(3,642)	2,795	(259)	2,536	49,387	(45,741)	3,646		
Forest Lake	-	-	50	50	(6,113)	(6,063)	-	-	-	-	-	50	(6,113)	(6,063)		
Umbagog	198,459	14,362	43,090	255,911	(287,984)	(32,073)	65,436	(30,682)	34,774	(10,983)	23,810	290,684	(298,947)	(8,263)		
Nansen Wayside	-	-	-	-	(28)	(28)	-	-	-	-	-	-	(28)	(28)		
Lake Francis	91,386	3,525	2,689	97,599	(97,687)	(88)	21,825	(11,387)	10,438	(4,444)	5,994	108,037	(102,131)	5,906		
Moose Brook	91,463	2,534	834	94,831	(80,510)	14,321	13,768	(7,318)	6,450	(3,174)	3,276	101,281	(83,684)	17,597		
Coleman	29,590	1,030	2,895	33,515	(161,900)	(128,386)	6,781	(2,946)	3,835	(140)	3,695	37,350	(162,040)	(124,690)		
Jericho	58,975	3,888	2,896	65,558	(82,009)	(16,451)	32,420	(23,096)	9,324	(12,704)	(3,379)	74,883	(94,713)	(19,830)		
Milan Hill	24,474	-	82	24,556	(41,370)	(16,814)	1,789	(1,094)	895	(47)	847	25,251	(41,417)	(16,166)		
Deer Mt	19,437	440	246	20,122	(22,876)	(2,754)	1,827	(1,089)	738	(14)	724	20,860	(22,889)	(2,030)		
Beaver Brook	-	-	-	-	(63)	(63)	-	-	-	-	-	-	(63)	(63)		
Dixville	-	-	-	-	(63)	(63)	-	-	-	-	-	-	(63)	(63)		
Great North Woods Administrative	-	-	205	205	(301,582)	(301,377)	-	-	-	-	-	205	(301,582)	(301,377)		
Total Great North Woods Management Area	557,834	28,305	52,900	639,039	(1,127,866)	(488,727)	150,283	(81,285)	69,049	(31,746)	37,303	707,987	(1,159,411)	(451,424)		
South Region																
Miller	-	97,667	10,036	107,703	(122,101)	(14,398)	28	-	28	-	28	107,731	(122,101)	(14,370)		
Silver Lake	-	25,390	1,401	26,791	(69,030)	(42,239)	6,385	(3,097)	3,287	(4,591)	(1,303)	30,078	(73,620)	(43,542)		
Clough	-	33,596	3,174	36,770	(32,922)	3,848	-	-	-	-	-	36,770	(32,922)	3,848		
Kingston	-	53,495	3,190	56,685	(48,685)	8,020	11,051	(3,226)	7,824	(19,984)	(12,159)	64,510	(68,649)	(4,139)		
Pisgah	-	-	2,866	2,866	(38,114)	(35,248)	-	-	-	-	-	2,866	(38,114)	(35,248)		
Chesterfield	-	-	-	-	(2,138)	(2,138)	-	(5,925)	(5,925)	(9,274)	(15,199)	(5,925)	(11,412)	(17,337)		
Pawtuckaway	516,227	203,317	37,223	756,767	(353,818)	402,949	237,179	(115,188)	121,991	(47,132)	74,859	878,758	(400,950)	477,808		
Monadnock/Gilson Pond	81,054	481,449	(13,914)	548,588	(361,728)	186,860	98,517	(53,241)	45,276	(24,651)	20,625	593,864	(386,379)	207,485		
Greenfield	171,132	37,047	1,349	209,528	(227,684)	(18,156)	49,850	(32,532)	17,119	(8,720)	8,399	226,646	(386,404)	(9,758)		
Bear Brook	158,246	59,548	29,859	247,652	(194,949)	52,703	88,138	(41,081)	47,057	(14,564)	32,493	294,709	(209,514)	85,195		
Northwood Meadows	-	-	-	-	(987)	(987)	-	-	-	-	-	-	(987)	(987)		
South Administrative	-	-	61	61	(141,011)	(140,950)	-	-	-	-	-	61	(141,011)	(140,950)		
Total South Region	526,556	991,509	75,243	1,993,411	(1,893,147)	489,284	490,948	(254,291)	238,857	(128,915)	107,742	2,230,068	(1,722,963)	508,006		
Franconia Region																
Flume	-	2,184,691	67,530	2,252,221	(457,644)	1,794,577	431,301	(280,682)	150,619	(54,653)	95,967	2,402,840	(512,297)	1,890,543		
Lafayette	273,348	-	20,822	294,170	(117,423)	176,747	117,624	(56,862)	60,762	(10,963)	49,799	354,932	(128,386)	226,546		
Franconia Notch	-	-	9,710	9,710	(57,907)	(48,197)	-	-	-	-	6,477	9,710	(51,430)	(41,720)		
Total Franconia Region	273,348	2,184,691	98,063	2,556,101	(632,975)	1,923,126	548,925	(337,544)	211,381	(59,138)	152,242	2,767,482	(692,113)	2,075,369		

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
PROFIT AND LOSS SUMMARY BY REGION - FISCAL YEAR 2015

	C+D+E				F-G		I-J			K-L		F+K		G+L		N-O or H+M
	Operations				Expenses	Net	Concessions			Expenses	Net	Grand Total		Revenue	Expenses	Net
	Revenues						Concession Sales					Revenue	Expenses			
	Camping	Day Use	Other	Total			Sales	COGS	Net							
Seacoast																
Hampton Beach RV	277,865	409,553	48,812	736,230	(243,971)	492,259	87,265	(41,124)	26,141	(14,236)	11,905	762,371	(258,207)		504,164	
Wallis Sands	-	302,339	7,551	309,890	(128,124)	181,766	107,957	(63,365)	44,592	(23,390)	21,202	354,482	(151,514)		202,968	
Odiome Pt State Park	-	60,968	25,905	86,873	(101,905)	(15,032)	-	-	-	-	-	86,873	(101,905)		(15,032)	
Rye Harbor State Park	-	6,998	29,641	36,639	(3,774)	32,865	-	-	-	-	-	36,639	(3,774)		32,865	
Seashell Complex	-	-	48,945	48,945	(167,734)	(118,788)	-	(186)	(186)	-	(186)	48,759	(167,734)		(118,975)	
Seacoast Science Center	-	-	-	-	(12,717)	(12,717)	-	-	-	-	-	-	(12,717)		(12,717)	
Hampton Beach Mnt	-	-	-	-	(553,957)	(553,957)	-	-	-	(1,766)	(1,766)	-	(555,723)		(555,723)	
Hampton Lifeguard	-	-	-	-	(273,900)	(273,900)	-	-	-	-	-	-	(273,900)		(273,900)	
North Hampton	-	-	87,817	87,817	(26,323)	61,494	-	-	-	(369)	(369)	87,817	(26,693)		61,124	
Hampton Meters	-	-	-	-	(730)	(730)	-	-	-	-	-	-	(730)		(730)	
Jenness	-	-	137,006	137,006	(33,047)	103,959	-	-	-	-	-	137,006	(33,047)		103,959	
Seacoast Maintenance	-	-	-	-	(25,667)	(25,667)	-	-	-	-	-	-	(25,667)		(25,667)	
Seacoast Administrative	-	-	-	-	(286,366)	(286,366)	-	-	-	-	-	-	(286,366)		(286,366)	
Total Seacoast Region	277,865	779,858	385,677	1,443,400	(1,856,215)	(414,815)	175,222	(104,676)	70,546	(39,761)	30,785	1,513,946	(1,897,976)		(384,030)	
Service Parks Administration																
Service Parks	3,397	40,072	218,081	261,549	(1,616,272)	(1,354,722)	3,020	(4,426)	(1,406)	(47,547)	(48,953)	260,143	(1,663,819)		(1,403,676)	
Historic Sites Support	-	-	-	-	(16,637)	(16,637)	-	-	-	-	-	-	(16,637)		(16,637)	
SCA	-	-	-	-	(31,165)	(31,165)	-	-	-	-	-	-	(31,165)		(31,165)	
Parks Central Admin	-	-	-	-	(1,400,867)	(1,400,867)	-	-	-	-	-	-	(1,400,867)		(1,400,867)	
WC/UNEMP	-	-	-	-	(217,105)	(217,105)	-	-	-	-	-	-	(217,105)		(217,105)	
Total Admin	3,397	40,072	218,081	261,549	(3,282,046)	(3,020,495)	3,020	(4,426)	(1,406)	(47,547)	(48,953)	260,143	(3,329,593)		(3,069,450)	
Parks System Total	2,682,447	4,606,640	955,098	8,244,185	(9,665,923)	(1,421,738)	1,814,299	(1,015,095)	799,204	(445,639)	353,567	9,043,391	(10,111,562)		(1,068,170)	
Other Funds																
Historic Sites	-	24,514	-	24,514	(40,180)	(15,665)	-	-	-	-	-	24,514	(40,180)		(15,665)	
Hampton Meters	-	-	2,200,991	2,200,991	(587,556)	1,613,435	-	-	-	-	-	2,200,991	(587,556)		1,613,435	
Cannon Operating	-	6,282,439	-	6,282,439	(6,268,276)	14,163	1,704,207	(480,523)	1,223,684	(699,942)	523,742	7,506,123	(6,968,218)		537,906	
Cannon Capital	-	-	637,993	637,993	(643,382)	(5,389)	-	-	-	-	-	637,993	(643,382)		(5,389)	
Mount Washington	-	-	593,258	593,258	(1,493,583)	(900,324)	1,109,487	(450,481)	659,006	-	659,006	1,252,264	(1,493,583)		(241,319)	
Total Other	-	6,306,953	3,432,243	9,739,195	(9,032,976)	706,220	2,813,694	(931,004)	1,882,690	(699,942)	1,182,748	11,621,888	(9,732,919)		1,888,968	
Grand Total	2,682,447	10,913,593	4,387,341	17,963,381	(18,698,899)	(715,517)	4,627,993	(1,946,099)	2,681,894	(1,145,581)	1,536,315	20,665,277	(19,844,480)		820,798	

STATE OF NEW HAMPSHIRE
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DIVISION OF PARKS AND RECREATION
HIGH USE PARKS
PROFIT AND LOSS STATEMENTS - FY2015

	PAWTUCKAWAY (61)			MONADNOCK/GILSON POND (51, 54, 62)			WHITE LAKE (97)			ELLACOYA (21)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping	516,227		516,227	81,054		81,054	315,282		315,282	145,521		145,521
Admission	203,317		203,317	481,449		481,449	87,113		87,113	76,775		76,775
Pavilion/Facility	16,462		16,462			-	2,520		2,520	4,810		4,810
Leases/Special Use Permits	8,378		8,378	1,073		1,073	1,196		1,196	43,107		43,107
Other	12,383		12,383	(14,987)		(14,987)	9,174		9,174	1,228		1,228
Retail												
Sales		237,179	237,179		98,517	98,517		159,661	159,661		27,099	27,099
Less Purchases		(115,188)	(115,188)		(53,241)	(53,241)		(71,263)	(71,263)		(14,263)	(14,263)
Total Net Revenue	756,767	121,991	878,758	548,588	45,276	593,864	415,284	88,398	503,682	271,441	12,836	284,277
Expenditures												
Compensation												
010 & 011-Full Time Salaries	40,398		40,398	37,849		37,849	47,511		47,511			-
050-Part Time Temp Salaries	186,970	31,592	218,563	217,033	18,307	235,341	123,437	24,079	147,515	75,511	12,587	88,098
059-Full Time Temp Salaries			-			-			-			-
018 & 019-Other Salaries	411	95	506	521		521	186		186			-
060-Benefits	29,825	2,424	32,249	52,793	1,401	54,194	33,787	1,842	35,629	5,777	963	6,739
Total Compensation	257,605	34,111	291,717	308,197	19,708	327,905	204,921	25,921	230,842	81,287	13,550	94,837
Other Operating Expenses												
020-Current Expenses	47,981	1,488	49,470	20,357	275	20,632	29,466	1,834	31,300	14,717		14,717
022-Rents & Leases	407		407	284		284	1,020		1,020			-
023-Utilities	14,231	3,936	18,167	7,392	600	7,992	8,560	951	9,511	10,454	550	11,004
024-Maintenance (non bldg & grds)	170		170	209		209	133		133	525		525
026-Organization Dues			-			-			-			-
027,029,049-Trsf DoIT/Agy/Other			-			-			-	110		110
030-Equipment	2,550	3,972	6,521	2,387		2,387	299		299	1,443	1,100	2,543
039-Telecommunication	5,385	181	5,566	7,610	739	8,349	7,058	263	7,321	6,941		6,941
042-Additional Fringe Benefits			-			-			-			-
044-Debt Service Other Agcies			-			-			-			-
047-Maintenance (Own forces)	5,215	3,301	8,517	3,587	289	3,876	6,335		6,335	2,288		2,288
048-Maintenance (Contract B&G)	292		292	2,511	3,039	5,551	6,739		6,739	1,900		1,900
066, 070, & 080-Travel&Training		141.74	142			-		149	148.83			-
069-Promotion & Marketing			-			-			-			-
102,103-Contracts Prog/Ops Svcs	19,983		19,983	9,194		9,194	9,753		9,753	4,677		4,677
Total Expenditures	353,818	47,132	400,950	361,728	24,651	386,379	274,283	29,117	303,401	124,343	15,200	139,543
Total Revenues Over(Under)Expenditures	402,949	74,859	477,808	186,860	20,625	207,485	141,001	59,280	200,282	147,098	(2,364)	144,734

STATE OF NEW HAMPSHIRE
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HIGH USE PARKS
PROFIT AND LOSS STATEMENTS - FY2015

	SUNAPEE BCH/CAMP (75)			WELLINGTON (91)			SUMMARY		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue									
Operating Revenue									
Camping	14,769		14,769	8,575		8,575	1,081,429	-	1,081,429
Admission	108,519		108,519	108,779		108,779	1,065,951	-	1,065,951
Pavilion/Facility			-	4,350		4,350	28,141	-	28,141
Leases/Special Use Permits	1,425		1,425	6,535		6,535	61,714	-	61,714
Other	3,444		3,444	9,793		9,793	21,034	-	21,034
Retail									
Sales		60,853	60,853		19,463	19,463	-	602,773	602,773
Less Purchases		(40,691)	(40,691)		(6,531)	(6,531)	-	(301,177)	(301,177)
Total Net Revenue	128,157	20,162	148,318	138,032	12,932	150,964	2,258,269	301,595	2,559,864
Expenditures									
Compensation									
010 & 011-Full Time Salaries			-			-	125,759	-	125,759
050-Part Time Temp Salaries	72,788	20,751	93,539	78,037	6,332	84,369	753,776	113,649	867,424
059-Full Time Temp Salaries			-			-	-	-	-
018 & 019-Other Salaries	18		18			-	1,137	95	1,232
060-Benefits	5,570	1,587	7,157	5,970	484	6,454	133,722	8,702	142,423
Total Compensation	78,376	22,338	100,714	84,007	6,817	90,824	1,014,394	122,445	1,136,839
Other Operating Expenses									
020-Current Expenses	7,915	871	8,786	6,039	225	6,264	126,475	4,693	131,168
022-Rents & Leases			-			-	1,711	-	1,711
023-Utilities	957	1,114	2,070	2,024	579	2,603	43,618	7,730	51,348
024-Maintenance (non bldg & grds)			-	237		237	1,274	-	1,274
026-Organization Dues			-			-	-	-	-
027,029,049-Trsf DoIT/Agy/Other			-	48		48	158	-	158
030-Equipment	397	1,499	1,896	1,024		1,024	8,100	6,571	14,670
039-Telecommunication	2,144		2,144	1,449	791	2,240	30,586	1,975	32,561
042-Additional Fringe Benefits			-			-	-	-	-
044-Debt Service Other Agcies			-			-	-	-	-
047-Maintenance (Own forces)	2,048		2,048	7,802		7,802	27,275	3,590	30,865
048-Maintenance (Contract B&G)	1,647		1,647			-	13,088	3,039	16,128
066, 070, & 080-Travel&Training			0			-	-	291	291
069-Promotion & Marketing			-			-	-	-	-
102,103-Contracts Prog/Ops Svcs	4,191		4,191	5,196		5,196	52,994	-	52,994
Total Expenditures	97,672	25,822	123,495	107,827	8,412	116,238	1,319,672	150,334	1,470,006
Total Revenues Over(Under)Expenditures	30,484	(5,661)	24,824	30,205	4,521	34,725	938,597	151,261	1,089,858

STATE OF NEW HAMPSHIRE
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LARGE PARKS
PROFIT AND LOSS STATEMENTS - FY2015

	GREENFIELD (27)			BEAR BROOK (03)			UMBAGOG (82)			CRAWFORD NOTCH (15)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping	171,132		171,132	158,246		158,246	198,459		198,459	97,904		97,904
Admission	37,047		37,047	59,548		59,548	14,362		14,362			-
Pavilion/Facility			-	14,500		14,500			-			-
Leases/Special Use Permits	824		824	8,983		8,983			-	705		705
Other	525		525	6,376		6,376	43,090		43,090	11,429		11,429
Retail												
Sales		49,650	49,650		88,138	88,138		65,436	65,436		159,043	159,043
Less Purchases		(32,532)	(32,532)		(41,081)	(41,081)		(30,662)	(30,662)		(92,095)	(92,095)
Total Net Revenue	209,528	17,119	226,646	247,652	47,057	294,709	255,911	34,774	290,684	110,037	66,948	176,986
Expenditures												
Compensation												
010 & 011-Full Time Salaries	44,386		44,386	40,337		40,337			-			-
050-Part Time Temp Salaries	104,644	5,152	109,795	84,828	10,618	95,446	58,669	9,292	67,961	34,606	45,386	79,991
059-Full Time Temp Salaries			-			-	33,037		33,037	25,916		25,916
018 & 019-Other Salaries	183		183	158		158	887		887	460		460
060-Benefits	25,572	394	25,966	21,980	812	22,792	11,212	711	11,923	7,872	3,472	11,344
Total Compensation	174,785	5,546	180,331	147,304	11,430	158,734	103,805	10,002	113,807	68,855	48,858	117,712
Other Operating Expenses												
020-Current Expenses	20,519	743	21,262	22,220	1,326	23,547	43,894	498	44,392	11,649	1,236	12,885
022-Rents & Leases	574		574	61		61	5,106		5,106			-
023-Utilities	7,355	1,304	8,659	6,049	830	6,879	6,520	463	6,983	5,037	5,158	10,195
024-Maintenance (non bldg & grds)	144		144	651		651	802		802	115		115
026-Organization Dues			-			-			-			-
027,029,049-Trsf DoIT/Agy/Other			-			-			-			-
030-Equipment	1,850		1,850	595		595	71,612		71,612	384		384
039-Telecommunication	1,743	257	2,000	10,298	910	11,208	1,673		1,673	3,986	208	4,194
042-Additional Fringe Benefits			-			-			-			-
044-Debt Service Other Agencies			-			-	28,520		28,520			-
047-Maintenance (Own forces)	2,882	870	3,753	2,425		2,425	8,353		8,353	2,984	132	3,116
048-Maintenance (Contract B&G)	4,684		4,684	1,574		1,574	9,472		9,472	79	3,610	3,689
066, 070, & 080-Travel&Training			-		68	68.28			-			-
069-Promotion & Marketing			-			-			-			-
102,103-Contracts Prog/Ops Svcs	13,148		13,148	3,772		3,772	8,227		8,227	4,429		4,429
Total Expenditures	227,684	8,720	236,404	194,949	14,564	209,514	287,984	10,963	298,947	97,519	59,201	156,720
Total Revenues Over(Under)Expenditures	(18,156)	8,399	(9,758)	52,703	32,493	85,195	(32,073)	23,810	(8,263)	12,519	7,747	20,266

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	LAKE FRANCIS (45)			MOOSE BROOK (53)			ECHO LAKE (19)			SUMMARY		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping	91,386		91,386	91,463		91,463			-	808,588	-	808,588
Admission	3,525		3,525	2,534		2,534	102,464		102,464	219,480	-	219,480
Pavilion/Facility			-			-			-	14,500	-	14,500
Leases/Special Use Permits			-	200		200	416		416	11,128	-	11,128
Other	2,689		2,689	634		634	9,580		9,580	74,323	-	74,323
Retail												
Sales		21,825	21,825		13,768	13,768		914	914	-	398,774	398,774
Less Purchases		(11,387)	(11,387)		(7,318)	(7,318)		(657)	(657)	-	(215,731)	(215,731)
Total Net Revenue	97,599	10,438	108,037	94,831	6,450	101,281	112,460	257	112,718	1,128,019	183,042	1,311,061
Expenditures												
Compensation												
010 & 011-Full Time Salaries			-			-			-	84,723	-	84,723
050-Part Time Temp Salaries	56,885	3,153	60,038	49,831	2,949	52,780	45,680		45,680	435,144	76,548	511,691
059-Full Time Temp Salaries			-			-			-	58,953	-	58,953
018 & 019-Other Salaries			-			-			-	1,688	-	1,688
060-Benefits	4,352	241	4,593	3,812	226	4,038	3,495		3,495	78,295	5,856	84,151
Total Compensation	61,237	3,394	64,631	53,643	3,174	56,817	49,174	-	49,174	658,803	82,404	741,206
Other Operating Expenses												
020-Current Expenses	11,349	1,050	12,399	11,759		11,759	3,342	117	3,459	124,732	4,971	129,702
022-Rents & Leases	57		57	1		1			-	5,799	-	5,799
023-Utilities	8,315		8,315	7,937		7,937	1,282		1,282	42,495	7,756	50,251
024-Maintenance (non bldg & grds)	71		71	188		188			-	1,971	-	1,971
026-Organization Dues			-			-			-	-	-	-
027,029,049-Trsf DoI/T/Agy/Other			-			-			-	-	-	-
030-Equipment			-	770		770		661	661	75,212	661	75,873
039-Telecommunication	1,946		1,946	1,771		1,771	1,182		1,182	22,600	1,374	23,974
042-Additional Fringe Benefits			-			-			-	-	-	-
044-Debt Service Other Agcies			-			-			-	28,520	-	28,520
047-Maintenance (Own forces)	953		953	2,898		2,898	1,487		1,487	21,983	1,002	22,986
048-Maintenance (Contract B&G)	3,825		3,825			-			-	19,634	3,610	23,243
066, 070, & 080-Travel&Training			-			-			-	-	68	68
069-Promotion & Marketing			-			-			-	-	-	-
102,103-Contracts Prog/Ops Svcs	9,933		9,933	1,544		1,544	2,260		2,260	43,313	-	43,313
Total Expenditures	97,687	4,444	102,131	80,510	3,174	83,684	58,728	778	59,506	1,045,060	101,845	1,146,905
Total Revenues Over(Under)Expenditures	(88)	5,994	5,906	14,321	3,276	17,597	53,733	(521)	53,212	82,958	81,197	164,156

STATE OF NEW HAMPSHIRE
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MEDIUM PARKS
PROFIT AND LOSS STATEMENTS - FY2015

	MILLER (48)			SILVER LAKE (77)			PILLSBURY (65)			KINGSTON (43)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping			-			-	61,294		61,294			-
Admission	97,667		97,667	25,390		25,390	4,966		4,966	53,495		53,495
Pavilion/Facility			-	300		300			-			-
Leases/Special Use Permits	1,993		1,993	100		100			-	2,454		2,454
Other	8,043		8,043	1,001		1,001	464		464	736		736
Retail			-			-			-			-
Sales		28	28		6,385	6,385		18,868	18,868		11,051	11,051
Less Purchases			-		(3,097)	(3,097)		(7,331)	(7,331)		(3,226)	(3,226)
Total Net Revenue	107,703	28	107,731	26,791	3,287	30,078	66,723	11,536	78,259	56,685	7,824	64,510
Expenditures												
Compensation												
010 & 011-Full Time Salaries	34,560		34,560			-			-			-
050-Part Time Temp Salaries	40,383		40,383	55,030	3,584	58,614	32,812		32,812	30,746	8,062	38,808
059-Full Time Temp Salaries			-			-			-			-
018 & 019-Other Salaries			-	74		74			-			-
060-Benefits	33,863		33,863	4,311	274	4,585	2,510		2,510	2,352	617	2,969
Total Compensation	108,806	-	108,806	59,415	3,859	63,274	35,323	-	35,323	33,098	8,679	41,777
Other Operating Expenses												
020-Current Expenses	4,509		4,509	2,831		2,831	3,996		3,996	6,736	1,314	8,050
022-Rents & Leases	469		469			-	680		680	350		350
023-Utilities	1,002		1,002	1,014	596	1,610	355		355	904		904
024-Maintenance (non bldg & grds)			-	429		429	127		127	96		96
026-Organization Dues			-			-			-			-
027,029,049-Trsf DoIT/Agy/Other			-			-			-			-
030-Equipment	399		399			-			-		450	450
039-Telecommunication	2,404		2,404	1,469		1,469	488		488	984		984
042-Additional Fringe Benefits			-			-			-			-
044-Debt Service Other Agcies			-			-			-			-
047-Maintenance (Own forces)	1,183		1,183	1,318	136	1,455	24		24	2,015	5,541	7,556
048-Maintenance (Contract B&G)			-			-			-	1,200	4,000	5,200
066, 070, & 080-Travel&Training			-			-			-			-
069-Promotion & Marketing			-			-			-			-
102,103-Contracts Prog/Ops Svcs	3,329		3,329	2,553		2,553	2,293		2,293	3,283		3,283
Total Expenditures	122,101	-	122,101	69,030	4,591	73,620	43,287	-	43,287	48,665	19,984	68,649
Total Revenues Over(Under)Expenditures	(14,398)	28	(14,370)	(42,239)	(1,303)	(43,542)	23,436	11,536	34,973	8,020	(12,159)	(4,139)

STATE OF NEW HAMPSHIRE
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	WINSLOW (99)			ROLLINS (71)			MOLLIDGEWOCK (50)			WENTWORTH (93)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping	-	-	-	-	-	-	44,051	-	44,051	-	-	-
Admission	40,240	-	40,240	33,608	-	33,608	2,526	-	2,526	16,616	-	16,616
Pavilion/Facility	-	-	-	-	-	-	-	-	-	-	-	-
Leases/Special Use Permits	1,176	-	1,176	1,250	-	1,250	-	-	-	-	-	-
Other	3,970	-	3,970	3,869	-	3,869	15	-	15	105	-	105
Retail	-	-	-	-	-	-	-	-	-	-	-	-
Sales	-	-	-	-	-	-	6,437	-	6,437	-	-	-
Less Purchases	-	-	-	-	(91)	(91)	(3,642)	-	(3,642)	-	-	-
Total Net Revenue	45,386	-	45,386	38,727	(91)	38,636	46,592	2,795	49,387	16,721	-	16,721
Expenditures												
Compensation												
010 & 011-Full Time Salaries	-	-	-	-	-	-	-	-	-	-	-	-
050-Part Time Temp Salaries	13,120	-	13,120	28,860	-	28,860	31,091	-	31,091	17,384	-	17,384
059-Full Time Temp Salaries	-	-	-	-	-	-	-	-	-	-	-	-
018 & 019-Other Salaries	-	-	-	72	-	72	16	-	16	-	-	-
060-Benefits	1,004	-	1,004	2,213	-	2,213	2,380	-	2,380	1,330	-	1,330
Total Compensation	14,124	-	14,124	31,145	-	31,145	33,487	-	33,487	18,714	-	18,714
Other Operating Expenses												
020-Current Expenses	159	-	159	3,097	-	3,097	3,426	255	3,681	1,917	-	1,917
022-Rents & Leases	-	-	-	-	-	-	6	-	6	-	-	-
023-Utilities	592	-	592	389	-	389	591	-	591	168	-	168
024-Maintenance (non bldg & grds)	-	-	-	-	-	-	71	-	71	172	-	172
026-Organization Dues	-	-	-	-	-	-	-	-	-	-	-	-
027,029,049-Trsf DoIT/Agy/Other	-	-	-	-	-	-	-	-	-	-	-	-
030-Equipment	506	-	506	715	-	715	-	-	-	1,324	-	1,324
039-Telecommunication	380	-	380	789	-	789	1,552	-	1,552	1,250	-	1,250
042-Additional Fringe Benefits	-	-	-	-	-	-	-	-	-	-	-	-
044-Debt Service Other Agcies	-	-	-	-	-	-	-	-	-	-	-	-
047-Maintenance (Own forces)	401	-	401	857	-	857	1,599	4	1,603	2,188	-	2,188
048-Maintenance (Contract B&G)	-	-	-	-	-	-	-	-	-	2,250	-	2,250
066, 070, & 080-Travel&Training	-	-	-	-	-	-	-	-	-	-	-	-
069-Promotion & Marketing	-	-	-	-	-	-	-	-	-	-	-	-
102,103-Contracts Prog/Ops Svcs	1,494	-	1,494	345	-	345	4,749	-	4,749	2,467	-	2,467
Total Expenditures	17,657	-	17,657	37,337	-	37,337	45,481	259	45,741	30,450	-	30,450
Total Revenues Over(Under)Expenditures	27,729	-	27,729	1,390	(91)	1,298	1,110	2,536	3,646	(13,729)	-	(13,729)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
MEDIUM PARKS
PROFIT AND LOSS STATEMENTS - FY2015

	COLEMAN (11)			JERICO (36)			SUMMARY		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue									
Operating Revenue									
Camping	29,590		29,590	58,975		58,975	193,909	-	193,909
Admission	1,030		1,030	3,888		3,888	279,426	-	279,426
Pavilion/Facility			-	700		700	1,000	-	1,000
Leases/Special Use Permits	948		948	905		905	8,825	-	8,825
Other	1,947		1,947	1,091		1,091	21,239	-	21,239
Retail									
Sales		6,781	6,781		32,420	32,420	-	81,969	81,969
Less Purchases		(2,946)	(2,946)		(23,096)	(23,096)	-	(43,429)	(43,429)
Total Net Revenue	33,515	3,835	37,350	65,558	9,324	74,883	504,400	38,540	542,940
Expenditures									
Compensation									
010 & 011-Full Time Salaries			-			-	34,560	-	34,560
050-Part Time Temp Salaries	18,729		18,729	57,317	10,362	67,679	325,471	22,009	347,480
059-Full Time Temp Salaries			-			-	-	-	-
018 & 019-Other Salaries			-			-	163	-	163
060-Benefits	1,516		1,516	4,385	793	5,177	55,863	1,684	57,547
Total Compensation	20,244	-	20,244	61,701	11,155	72,857	416,057	23,693	439,749
Other Operating Expenses									
020-Current Expenses	40,706		40,706	9,295	193	9,488	76,673	1,761	78,434
022-Rents & Leases			-	7		7	1,512	-	1,512
023-Utilities	20,276		20,276	1,360	76	1,437	26,652	672	27,324
024-Maintenance (non bldg & grds)	999		999			-	1,894	-	1,894
026-Organization Dues			-			-	-	-	-
027,029,049-Trsf DoIT/Agy/Other			-	4		4	4	-	4
030-Equipment	15,162		15,162	579		579	18,685	450	19,135
039-Telecommunication	2,475	140	2,615	770	910	1,680	12,561	1,050	13,611
042-Additional Fringe Benefits			-			-	-	-	-
044-Debt Service Other Agcies			-			-	-	-	-
047-Maintenance (Own forces)	29,061		29,061	3,525	370	3,894	42,172	6,051	48,223
048-Maintenance (Contract B&G)	13,665		13,665	140		140	17,255	4,000	21,255
066, 070, & 080-Travel&Training			-			-	-	-	-
069-Promotion & Marketing			-			-	-	-	-
102,103-Contracts Prog/Ops Svcs	19,312		19,312	4,627		4,627	44,453	-	44,453
Total Expenditures	161,900	140	162,040	82,009	12,704	94,713	657,918	37,677	695,595
Total Revenues Over(Under)Expenditures	(128,386)	3,695	(124,690)	(16,451)	(3,379)	(19,830)	(153,518)	863	(152,655)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
SMALL PARKS
PROFIT AND LOSS STATEMENTS - FY2015

	CLOUGH (09)			MILAN HILL (47)			DEER MTN (16)			PISGAH (67)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping		-		24,474		24,474	19,437		19,437			-
Admission	33,596		33,596			-	440		440			-
Pavilion/Facility	1,492		1,492			-			-			-
Leases/Special Use Permits			-			-	200		200			-
Other	1,682		1,682	82		82	46		46	2,866		2,866
Retail												
Sales			-		1,789	1,789		1,827	1,827			-
Less Purchases			-		(1,094)	(1,094)		(1,089)	(1,089)			-
Total Net Revenue	36,770	-	36,770	24,556	695	25,251	20,122	738	20,860	2,866	-	2,866
Expenditures												
Compensation												
010 & 011-Full Time Salaries			-			-			-			-
050-Part Time Temp Salaries	25,398		25,398	22,104		22,104	12,458		12,458	22,955		22,955
059-Full Time Temp Salaries			-			-			-			-
018 & 019-Other Salaries			-			-			-			-
060-Benefits	1,943		1,943	1,691		1,691	953		953	1,756		1,756
Total Compensation	27,341		27,341	23,795		23,795	13,411		13,411	24,711		24,711
Other Operating Expenses												
020-Current Expenses	1,621		1,621	4,221		4,221	2,935		2,935	7,817		7,817
022-Rents & Leases			-		4	4			-			-
023-Utilities	315		315	3,013		3,013	525		525	3,000		3,000
024-Maintenance (non bldg & grds)			-			-			-			-
026-Organization Dues			-			-			-			-
027, 029, 049-Trsf DoIT/Agy/Other			-			-			-			-
030-Equipment			-			-			-			-
039-Telecommunication	883		883	1,708		1,708			-	38		38
042-Additional Fringe Benefits			-			-			-			-
044-Debt Service Other Agcies			-			-			-			-
047-Maintenance (Own forces)	522		522	1,410	47	1,457	1,729	14	1,743	953		953
048-Maintenance (Contract B&G)			-	6,630		6,630	480		480	505		505
066, 070, & 080-Travel&Training			-			-			-			-
069-Promotion & Marketing			-			-			-			-
102, 103-Contracts Prog/Ops Svcs	2,240		2,240	588		588	3,795		3,795	1,090		1,090
Total Expenditures	32,922	-	32,922	41,370	47	41,417	22,876	14	22,889	38,114	-	38,114
Total Revenues Over(Under)Expenditures	3,848	-	3,848	(16,814)	647	(16,166)	(2,754)	724	(2,030)	(35,248)	-	(35,248)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
SMALL PARKS
PROFIT AND LOSS STATEMENTS - FY2015

	WADLEIGH (83)			CHESTERFIELD (08)			FOREST LAKE (23)			MT WASHINGTON (52)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping			-			-			-			-
Admission	3,126		3,126			-			-			-
Pavilion/Facility	896		896			-			-			-
Leases/Special Use Permits	400		400			-			-			-
Other	1,208		1,208			-		50	50			-
Retail												
Sales			-			-			-			-
Less Purchases			-		(5,925)	(5,925)			-			-
Total Net Revenue	5,630	-	5,630	-	(5,925)	(5,925)	50	-	50	-	-	-
Expenditures												
Compensation												
010 & 011-Full Time Salaries			-			-			-	(24,283)		(24,283)
050-Part Time Temp Salaries	5,855		5,855		208	208	4,007		4,007			-
059-Full Time Temp Salaries			-			-			-			-
018 & 019-Other Salaries			-			-			-	2,963		2,963
060-Benefits	448		448		16	16	307		307	(9,702)		(9,702)
Total Compensation	6,303	-	6,303	-	224	224	4,314	-	4,314	(31,022)	-	(31,022)
Other Operating Expenses												
020-Current Expenses	607		607	629	2,718	3,347	359		359	20		20
022-Rents & Leases			-	210		210			-			-
023-Utilities	374		374	170		170	318		318			-
024-Maintenance (non bldg & grds)			-			-			-			-
026-Organization Dues			-			-			-			-
027,029,049-Trsf DoIT/Agy/Other			-			-			-			-
030-Equipment			-		2,615	2,615			-			-
039-Telecommunication	387		387	56		56	185		185			-
042-Additional Fringe Benefits			-			-			-			-
044-Debt Service Other Agencies			-			-			-			-
047-Maintenance (Own forces)	188		188	265	3,717	3,982	25		25			-
048-Maintenance (Contract B&G)	517		517			-			-			-
066, 070, & 080-Travel&Training			-			-			-			-
069-Promotion & Marketing			-			-			-			-
102,103-Contracts Prog/Ops Svcs	468		468	808		808	912		912			-
Total Expenditures	8,844	-	8,844	2,138	9,274	11,412	6,113	-	6,113	(31,002)	-	(31,002)
Total Revenues Over(Under)Expenditures	(3,214)	-	(3,214)	(2,138)	(15,199)	(17,337)	(6,063)	-	(6,063)	31,002	-	31,002

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
SMALL PARKS
PROFIT AND LOSS STATEMENTS - FY2015

	LAKE TARLETON (42)			NANSEN WAYSIDE (55)			Other			SUMMARY		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping			-			-	-	-	-	43,911	-	43,911
Admission			-			-	-	-	-	37,162	-	37,162
Pavilion/Facility			-			-	-	-	-	2,388	-	2,388
Leases/Special Use Permits			-			-	-	-	-	600	-	600
Other			-			-	-	-	-	5,933	-	5,933
Retail			-			-	-	-	-	-	-	-
Sales			-			-	-	-	-	-	3,616	3,616
Less Purchases			-			-	-	-	-	-	(8,109)	(8,109)
Total Net Revenue			-			-	-	-	-	89,993	(4,493)	85,501
Expenditures												
Compensation												
010 & 011-Full Time Salaries			-			-	-	-	-	(24,283)	-	(24,283)
050-Part Time Temp Salaries			-			-	-	-	-	92,778	208	92,987
059-Full Time Temp Salaries			-			-	-	-	-	-	-	-
018 & 019-Other Salaries			-			-	-	-	-	2,963	-	2,963
060-Benefits			-			-	-	-	-	(2,605)	16	(2,589)
Total Compensation			-			-	-	-	-	68,854	224	69,078
Other Operating Expenses												
020-Current Expenses	790		790	28		28	926	-	926	19,954	2,718	22,671
022-Rents & Leases			-			-	-	-	-	214	-	214
023-Utilities	1,017		1,017			-	411	-	411	9,142	-	9,142
024-Maintenance (non bldg & grds)			-			-	-	-	-	-	-	-
026-Organization Dues			-			-	-	-	-	-	-	-
027,029,049-Trsf DoIT/Agy/Other			-			-	-	-	-	-	-	-
030-Equipment			-			-	-	-	-	-	2,615	2,615
039-Telecommunication			-			-	-	-	-	3,257	-	3,257
042-Additional Fringe Benefits			-			-	-	-	-	-	-	-
044-Debt Service Other Agcies			-			-	-	-	-	-	-	-
047-Maintenance (Own forces)			-			-	133	-	133	5,225	3,778	9,004
048-Maintenance (Contract B&G)	400		400			-	2,686	-	2,686	11,219	-	11,219
066, 070, & 080-Travel&Training			-			-	-	0	-	-	-	-
069-Promotion & Marketing			-			-	-	0	-	-	-	-
102,103-Contracts Prog/Ops Svcs	1,940		1,940			-	1,905	-	1,905	13,746	-	13,746
Total Expenditures	4,147	-	4,147	28	-	28	6,061	-	6,061	131,610	9,335	140,946
Total Revenues Over(Under)Expenditures	(4,147)	-	(4,147)	(28)	-	(28)	(6,061)	-	(6,061)	(41,617)	(13,828)	(55,445)

STATE OF VERMONT
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
FRANCONIA NOTCH REGIONS
PROFIT AND LOSS STATEMENTS - FY2015

	FLUME (24)			LAFAYETTE (44)			FRANCONIA NOTCH (22)			SUMMARY		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping			-	273,348		273,348			-	273,348		273,348
Admission	2,184,691		2,184,691			-			-	2,184,691		2,184,691
Pavilion/Facility			-			-			-			-
Leases/Special Use Permits	64,025		64,025	100		100	9,610		9,610	73,735		73,735
Other	3,505		3,505	20,722		20,722	100		100	24,327		24,327
Retail												
Sales		430,991	430,991		117,624	117,624					548,615	548,615
Less Purchases		(280,682)	(280,682)		(56,862)	(56,862)					(337,544)	(337,544)
Total Net Revenue	2,252,221	150,309	2,402,530	294,170	60,762	354,932	9,710	-	9,710	2,556,101	211,071	2,767,172
Expenditures												
Compensation												
010 & 011-Full Time Salaries	131,941		131,941			-			-	131,941		131,941
050-Part Time Temp Salaries	113,811	47,126	160,937	49,032	9,329	58,360			-	162,843	56,455	219,298
059-Full Time Temp Salaries	19,846		19,846	20,280		20,280	29,730		29,730	69,855		69,855
018 & 019-Other Salaries	4,972		4,972	283		283	869		869	6,124		6,124
060-Benefits	102,218	3,605	105,824	14,610	714	15,324	16,420		16,420	133,248	4,319	137,567
Total Compensation	372,788	50,731	423,519	84,205	10,042	94,247	47,019	-	47,019	504,011	60,774	564,785
Other Operating Expenses												
020-Current Expenses	47,602	2,146	49,748	14,302	219	14,521	1,618		1,618	63,521	2,365	65,886
022-Rents & Leases			-			-			-			-
023-Utilities	16,455	866	17,321	2,666		2,666			-	19,121	866	19,987
024-Maintenance (non bldg & grds)	255		255	65		65			-	320		320
026-Organization Dues			-			-			-			-
027,029,049-Trsf Doi/T/Agy/Other			-			-			-			-
030-Equipment	2,349		2,349			-	7,238	(6,477)	761	9,587	(6,477)	3,110
039-Telecommunication	5,695	834	6,529	7,368	702	8,070			-	13,064	1,536	14,600
042-Additional Fringe Benefits			-			-			-			-
044-Debt Service Other Agcies			-			-			-			-
047-Maintenance (Own forces)	9,975	75	10,050	1,608		1,608	33		33	11,616	75	11,691
048-Maintenance (Contract B&G)	1,070		1,070			-			-	1,070		1,070
066, 070, & 080-Travel&Training			-			-			-			-
069-Promotion & Marketing			-			-			-			-
102,103-Contracts Prog/Ops Svcs	1,456		1,456	7,209		7,209	2,000		2,000	10,664		10,664
Total Expenditures	457,644	54,653	512,297	117,423	10,963	128,386	57,907	(6,477)	51,430	632,975	59,138	692,113
Total Revenues Over(Under)Expenditures	1,794,577	95,657	1,890,233	176,747	49,799	226,546	(48,197)	6,477	(41,720)	1,923,126	151,932	2,075,059

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
ADMINISTRATION
PROFIT AND LOSS STATEMENTS - FY2015

	SERVICE PARKS ADMIN (02)(06)(10)(12)(049)			SCA	PARKS ADMIN (3701)	UNEMPLY (6161) & WORK COMP (8146)	SUMMARY		
	OPER	RETAIL	TOTAL	OPER	OPER	OPER	OPER	RETAIL	TOTAL
Revenue									
Operating Revenue									
Camping	3,397		3,397				3,397	-	3,397
Admission	40,072		40,072				40,072	-	40,072
Pavilion/Facility							-	-	-
Leases/Special Use Permits	84,738		84,738				84,738	-	84,738
Other	95,100		95,100				95,100	-	95,100
Retail									
Sales		3,020	3,020				-	3,020	3,020
Less Purchases		(4,426)	(4,426)				-	(4,426)	(4,426)
Total Net Revenue	223,306	(1,406)	221,900	-	-	-	223,306	(1,406)	221,900
Expenditures									
Compensation									
010 & 011-Full Time Salaries	121,745		121,745		639,189		760,935	-	760,935
050-Part Time Temp Salaries	46,439	12,627	59,066				46,439	12,627	59,066
059-Full Time Temp Salaries							-	-	-
018 & 019-Other Salaries	596	45	640				596	45	640
060-Benefits	59,313	969	60,282		315,242		374,555	969	375,524
Total Compensation	228,093	13,641	241,734	-	954,431	-	1,182,524	13,641	1,196,165
Other Operating Expenses									
020-Current Expenses	54,540	7,230	61,770	102	22,233		76,875	7,230	84,106
022-Rents & Leases	4,685	70	4,756		842		5,528	70	5,598
023-Utilities	81		81				81	-	81
024-Maintenance (non bldg & grds)	1,176		1,176				1,176	-	1,176
026-Organization Dues		15	15		13,025		13,025	15	13,040
027,029,049-Trsf DoIT/Agy/Other	953,369		953,369		247,697		1,201,066	-	1,201,066
030-Equipment	171,172	25,022	196,195		1,760		172,932	25,022	197,955
039-Telecommunication	5,935	1,350	7,285		4,500		10,435	1,350	11,785
042-Additional Fringe Benefits	97,858		97,858		56,218		154,076	-	154,076
044-Debt Service Other Agcies	3,348		3,348				3,348	-	3,348
047-Maintenance (Own forces)	393	142	535	1,063			1,456	142	1,598
048-Maintenance (Contract B&G)	6,800		6,800				6,800	-	6,800
061, 062 - Unemploy & WC						217,105	217,105		217,105
057,066 070, 080-Book,Travel&Training	933	76.42	1,009		14,345		15,278	-	15,278
069-Promotion & Marketing					79,921		79,921	76	79,997
102,103-Contracts Prog/Ops Svcs	118,889		118,889	30,000	5,894		154,784	-	154,784
Total Expenditures	1,647,273	47,547	1,694,821	31,165	1,400,867	217,105	3,296,410	47,547	3,343,958
Total Revenues Over(Under)Expenditures	(1,423,967)	(48,953)	(1,472,920)	(31,165)	(1,400,867)	(217,105)	(3,073,104)	(48,953)	(3,122,057)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
REGIONAL OFFICES
PROFIT AND LOSS STATEMENTS - FY2015

	CENTRAL REGIONAL OFFICE (07)	GREAT NORTH WOODS REGIONAL OFFICE (26)	SEACOAST REGIONAL OFFICE (60 & 79)	SOUTH REGIONAL OFFICE (80)	SUMMARY
	OPER	OPER	OPER	OPER	TOTAL
Revenue					
Operating Revenue					
Camping					-
Admission					-
Pavilion/Facility					-
Leases/Special Use Permits	2,188	205			2,393
Other				61	61
Retail					-
Sales					-
Less Purchases					-
Total Net Revenue	2,188	205	-	61	2,454
Expenditures					
Compensation					
010 & 011-Full Time Salaries	140,816	110,469	159,691	53,487	464,463
050-Part Time Temp Salaries		36,854	7,432	15,656	59,943
059-Full Time Temp Salaries					-
018 & 019-Other Salaries	549	434	653	212	1,848
060-Benefits	91,102	77,287	75,264	40,013	283,665
Total Compensation	232,467	225,044	243,040	109,368	809,919
Other Operating Expenses					
020-Current Expenses	18,303	30,236	24,218	14,867	87,624
022-Rents & Leases		6	516		522
023-Utilities		2,912	24	467	3,403
024-Maintenance (non bldg & grds)	459	2,834	381	288	3,961
026-Organization Dues					-
027,029,049-Trsf DoiT/Agy/Other					-
030-Equipment	10,693	26,806	17,277	13,493	68,269
039-Telecommunication	1,039	2,202	580	1,539	5,360
042-Additional Fringe Benefits					-
044-Debt Service Other Agcies					-
047-Maintenance (Own forces)	1,753	10,742	(121)	636	13,011
048-Maintenance (Contract B&G)			255		255
066, 070, & 080-Travel&Training	120	633.88		210	964
069-Promotion & Marketing					-
102,103-Contracts Prog/Ops Svcs		166	196	143	505
Total Expenditures	264,833	301,582	286,366	141,011	993,792
Total Revenues Over(Under)Expenditures	(262,645)	(301,377)	(286,366)	(140,950)	(991,338)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
SEACOAST REGION
PROFIT AND LOSS STATEMENTS - FY2015

	HAMPTON BCH/RV (29)			WALLIS SANDS (85)			ODIORNE PT STATE PARK (59)			RYE HARBOR STATE PARK (73)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping	277,865		277,865			-			-			-
Admission	409,553		409,553	302,339		302,339	60,968		60,968	6,998		6,998
Pavilion/Facility	25,103		25,103	95		95	24,685		24,685	28,779		28,779
Leases/Special Use Permits	16,063		16,063	2,400		2,400	1,200		1,200	700		700
Other	7,646		7,646	5,056		5,056	20		20	162		162
Retail												
Sales		67,265	67,265		107,957	107,957						
Less Purchases		(41,124)	(41,124)		(63,365)	(63,365)						
Total Net Revenue	736,230	26,141	762,371	309,890	44,592	354,482	86,873	-	86,873	36,639	-	36,639
Expenditures												
Compensation												
010 & 011-Full Time Salaries			-			-			-			-
050-Part Time Temp Salaries	99,028	9,846	108,874	77,386	17,789	95,175	40,960		40,960			-
059-Full Time Temp Salaries			-			-			-			-
018 & 019-Other Salaries			-	42	42	84	3		3			-
060-Benefits	7,576	753	8,329	5,923	1,364	7,287	3,134		3,134			-
Total Compensation	106,604	10,599	117,203	83,352	19,195	102,547	44,098	-	44,098	-	-	-
Other Operating Expenses												
020-Current Expenses	32,429	253	32,681	14,449	1,679	16,128	7,914		7,914	135		135
022-Rents & Leases	330		330			-	695		695			-
023-Utilities	43,130	2,270	45,400	2,416	2,416	4,832	38,661		38,661	514		514
024-Maintenance (non bldg & grds)	871		871	137		137	688		688			-
026-Organization Dues			-			-			-			-
027,029,049-Trsf DoiT/Agy/Other			-			-			-			-
030-Equipment	2,931	898	3,829			-			-			-
039-Telecommunication	4,134	216	4,350	1,689		1,689	2,505		2,505	433		433
042-Additional Fringe Benefits			-			-			-			-
044-Debt Service Other Agcies			-			-			-			-
047-Maintenance (Own forces)	6,495		6,495	3,713	100	3,813	2,795		2,795	2,555		2,555
048-Maintenance (Contract B&G)	19,565		19,565			-	2,850		2,850			-
066, 070, & 080-Travel&Training			0			-	385		385			-
069-Promotion & Marketing			-			-			-			-
102,103-Contracts Prog/Ops Svcs	27,483		27,483	22,368		22,368	1,315		1,315	137		137
Total Expenditures	243,971	14,236	258,207	128,124	23,390	151,514	101,905	-	101,905	3,774	-	3,774
Total Revenues Over(Under)Expenditures	492,259	11,905	504,164	181,766	21,202	202,968	(15,032)	-	(15,032)	32,865	-	32,865

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
SEACOAST REGION
PROFIT AND LOSS STATEMENTS - FY2015

	SEASHELL COMPLEX (35)			SEACOAST SCIENCE CENTER (70)			HAMPTON BEACH MAINT (30)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue									
Operating Revenue									
Camping			-			-			-
Admission			-			-			-
Pavilion/Facility	27,845		27,845			-			-
Leases/Special Use Permits	19,647		19,647			-			-
Other	1,453		1,453			-			-
Retail									
Sales			-			-			-
Less Purchases		(186)	(186)			-			-
Total Net Revenue	48,945	(186)	48,759	-	-	-	-	-	-
Expenditures									
Compensation									
010 & 011-Full Time Salaries			-			-			-
050-Part Time Temp Salaries	61,579		61,579			-	274,580		274,580
059-Full Time Temp Salaries			-			-	7,325		7,325
018 & 019-Other Salaries	77		77			-	45		45
060-Benefits	4,717		4,717			-	14,330		14,330
Total Compensation	66,373	-	66,373	-	-	-	296,279	-	296,279
Other Operating Expenses									
020-Current Expenses	8,228		8,228	11		11	80,034	227	80,262
022-Rents & Leases	179		179			-			-
023-Utilities	64,162		64,162	9,522		9,522	1,003		1,003
024-Maintenance (non bldg & grds)	712		712	700		700	985		985
026-Organization Dues			-			-			-
027,029,049-Trsf Doi/T/Agy/Other			-			-			-
030-Equipment	275		275			-	1,229	1,539	2,768
039-Telecommunication	11,201		11,201			-	742		742
042-Additional Fringe Benefits			-			-			-
044-Debt Service Other Agcies			-			-			-
047-Maintenance (Own forces)	932		932			-	9,144		9,144
048-Maintenance (Contract B&G)	6,960		6,960	1,280		1,280	68,587		68,587
066, 070, & 080-Travel&Training	70		70			-			-
069-Promotion & Marketing			-			-			-
102,103-Contracts Prog/Ops Svcs	8,643		8,643	1,205		1,205	95,954		95,954
Total Expenditures	167,734	-	167,734	12,717	-	12,717	553,957	1,766	555,723
Total Revenues Over(Under)Expenditures	(118,788)	(186)	(118,975)	(12,717)	-	(12,717)	(553,957)	(1,766)	(555,723)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
SEACOAST REGION
PROFIT AND LOSS STATEMENTS - FY2015

	HAMPTON LIFEGUARD (31)			NORTH HAMPTON BCH (33)			HAMPTON METERS (32)			JENNESS BCH (41)		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue												
Operating Revenue												
Camping			-			-			-			-
Admission			-			-			-			-
Pavilion/Facility			-			-			-			-
Leases/Special Use Permits			-	700		700			-	200		200
Other			-	87,117		87,117			-	136,806		136,806
Retail			-			-			-			-
Sales			-			-			-			-
Less Purchases			-			-			-			-
Total Net Revenue	-		-	87,817		87,817	-		-	137,006		137,006
Expenditures												
Compensation												
010 & 011-Full Time Salaries			-			-			-			-
050-Part Time Temp Salaries	224,245		224,245	9,356		9,356			-	20,497		20,497
059-Full Time Temp Salaries			-			-			-			-
018 & 019-Other Salaries	12		12			-			-			-
060-Benefits	16,930		16,930	716		716			-	1,568		1,568
Total Compensation	241,186	-	241,186	10,072	-	10,072	-	-	-	22,065	-	22,065
Other Operating Expenses												
020-Current Expenses	13,335		13,335	1,485		1,485	386		386	805		805
022-Rents & Leases			-			-			-	900		900
023-Utilities			-	6,873		6,873			-	6,609		6,609
024-Maintenance (non bldg & grds)			-			-			-			-
026-Organization Dues			-			-			-			-
027,029,049-Trsf DoiT/Agy/Other			-			-			-			-
030-Equipment	18,128		18,128			-	274		274			-
039-Telecommunication	432		432			-			-			-
042-Additional Fringe Benefits			-			-			-			-
044-Debt Service Other Agcies			-			-			-			-
047-Maintenance (Own forces)	764		764	293	369	662	70		70	1,441		1,441
048-Maintenance (Contract B&G)			-			-			-			-
066, 070, & 080-Travel&Training	54		54			-			0			-
069-Promotion & Marketing			-			-			-			-
102,103-Contracts Prog/Ops Svcs			-	7,600		7,600			-	1,227		1,227
Total Expenditures	273,900	-	273,900	26,323	369	26,693	730	-	730	33,047	-	33,047
Total Revenues Over(Under)Expenditures	(273,900)	-	(273,900)	61,494	(369)	61,124	(730)	-	(730)	103,959	-	103,959

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
SEACOAST REGION
PROFIT AND LOSS STATEMENTS - FY2015

	SEACOAST MAINT (78)			SUMMARY		
	OPER	RETAIL	TOTAL	OPER	RETAIL	TOTAL
Revenue						
Operating Revenue						
Camping			-	277,865	-	277,865
Admission			-	779,858	-	779,858
Pavilion/Facility			-	106,507	-	106,507
Leases/Special Use Permits			-	40,910	-	40,910
Other			-	238,260	-	238,260
Retail						
Sales			-	-	175,222	175,222
Less Purchases			-	-	(104,676)	(104,676)
Total Net Revenue			-	1,443,400	70,546	1,513,946
Expenditures						
Compensation						
010 & 011-Full Time Salaries			-	-	-	-
050-Part Time Temp Salaries	6,518		6,518	814,150	27,635	841,785
059-Full Time Temp Salaries			-	7,325	-	7,325
018 & 019-Other Salaries			-	180	42	222
060-Benefits	499		499	55,391	2,117	57,508
Total Compensation	7,017	-	7,017	877,046	29,794	906,840
Other Operating Expenses						
020-Current Expenses	11,953		11,953	171,165	2,159	173,324
022-Rents & Leases	155		155	2,259	-	2,259
023-Utilities	3,777		3,777	176,666	4,686	181,352
024-Maintenance (non bldg & grds)	254		254	4,347	-	4,347
026-Organization Dues			-	-	-	-
027,029,049-Trsf Doit/Agy/Other			-	-	-	-
030-Equipment			-	22,836	2,437	25,273
039-Telecommunication			-	21,137	216	21,353
042-Additional Fringe Benefits			-	-	-	-
044-Debt Service Other Agcies			-	-	-	-
047-Maintenance (Own forces)	1,814		1,814	30,014	469	30,484
048-Maintenance (Contract B&G)			-	99,241	-	99,241
066, 070, & 080-Travel&Training			-	509	-	509
069-Promotion & Marketing			-	-	-	-
102,103-Contracts Prog/Ops Svcs	698		698	166,629	-	166,629
Total Expenditures	25,667	-	25,667	1,571,849	39,761	1,611,610
Total Revenues Over(Under)Expenditures	(25,667)	-	(25,667)	(128,449)	30,785	(97,664)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
HISTORIC REGION
PROFIT AND LOSS STATEMENTS - FY2015

	ADMIN	BEAR BROOK (03)	FROST FARM (25)	MT WASHINGTON (52)	FRANKLIN PIERCE (63)	RHODODENRON (69)	FT STARK (81)
		OPER	OPER	OPER	OPER	OPER	OPER
Revenue							
Operating Revenue							
Admission			6,415	1,000	5,266	1,410	22
Pavilion/Facility							
Camping							
Leases/Special Use Permits							
Other							
Retail							
Sales							
Less Purchases							
Total Net Revenue	-	-	6,415	1,000	5,266	1,410	22
Expenditures							
Compensation							
010 & 011-Full Time Salaries							
050-Part Time Temp Salaries			15,711		9,947		
059-Full Time Temp Salaries							
018 & 019-Other Salaries							
060-Benefits			474		526		
Total Compensation	-	-	16,185	-	10,473	-	-
Other Operating Expenses							
020-Current Expenses	1,236		525		272		474
022-Rents & Leases							
023-Utilities			533		2,582		326
024-Maintenance (non bldg & grds)							
026-Organization Dues							
027,029,049-Trsf DoiT/Agy/Other							
030-Equipment							
039-Telecommunication							
042-Additional Fringe Benefits							
044-Debt Service Other Agcies							
047-Maintenance (Own forces)							40
048-Maintenance (Contract B&G)							
066, 070, & 080-Travel&Training							
069-Promotion & Marketing							
102,103-Contracts Prog/Ops Svcs							
Total Expenditures	1,236	-	17,243	-	13,327	-	840
Total Revenues Over(Under)Expenditures	(1,236)	-	(10,828)	1,000	(8,061)	1,410	(818)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
HISTORIC REGION
PROFIT AND LOSS STATEMENTS - FY2015

	WEBSTER BIRTHPLACE (87)	WEEKS (89)	WENTWORTH COOLIDGE MANSION (95)	TOTAL	RECAP		
	OPER	OPER	OPER		Parks	Historic Sites Fund	Total
Revenue							
Operating Revenue							
Admission		3,198	7,204	24,514	-	24,514	24,514
Pavilion/Facility				-	-		-
Camping				-	-		-
Leases/Special Use Permits				-	-		-
Other				-	-		-
Retail							
Sales				-	-		-
Less Purchases				-	-		-
Total Net Revenue	-	3,198	7,204	24,514	-	24,514	24,514
Expenditures							
Compensation							
010 & 011-Full Time Salaries				-			-
050-Part Time Temp Salaries	384	5,393	2,955	34,390	-	34,390	34,390
059-Full Time Temp Salaries				-	-		-
018 & 019-Other Salaries				-	-		-
060-Benefits				1,000	-	1,000	1,000
Total Compensation	384	5,393	2,955	35,390	-	35,390	35,390
Other Operating Expenses							
020-Current Expenses	116	1,234	390	4,247	57	4,191	4,247
022-Rents & Leases				-	-		-
023-Utilities	469	640	11,991	16,540	16,540		16,540
024-Maintenance (non bldg & grds)				-	-		-
026-Organization Dues				-	-		-
027,029,049-Trsf DoIT/Agy/Other				-	-		-
030-Equipment			599	599	-	599	599
039-Telecommunication				-	-		-
042-Additional Fringe Benefits				-	-		-
044-Debt Service Other Agcies				-	-		-
047-Maintenance (Own forces)				40	40		40
048-Maintenance (Contract B&G)				-	-		-
066, 070, & 080-Travel&Training				-	-		-
069-Promotion & Marketing				-	-		-
102, 103-Contracts Prog/Ops Svcs				-	-		-
Total Expenditures	968	7,267	15,935	56,817	16,637	40,180	56,817
Total Revenues Over(Under)Expenditures	(968)	(4,069)	(8,731)	(32,302)	(16,637)	(15,665)	(32,302)

**STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
HAMPTON METER PROGRAM
PROFIT AND LOSS STATEMENTS - FY2015**

	HAMPTON METERS (7300)	HAMPTON CAPITAL IMPROVEMENT FUND (7301)	TOTAL
	OPER	OPER	
Revenue			
Operating Revenue			
Meter Collections	1,820,452		1,820,452
Fines Collected	242,938		242,938
Parking Agreements	137,600		137,600
Total Revenue	2,200,990	-	2,200,990
To Capital	(200,000)	200,000	-
To Parks	(1,599,004)		(1,599,004)
Total Net Revenue	401,986	200,000	601,986
Expenditures			
Compensation			
010 & 011-Full Time Salaries			-
050-Part Time Temp Salaries	197,025		197,025
059-Full Time Temp			-
018 & 019-Other			-
060-Benefits	15,072		15,072
Total Compensation	212,097	-	212,097
Other Operating Expenses			
020-Current Expenses	58,316		58,316
022-Rents & Leases			-
023-Utilities			-
024-Maintenance (non bldg & grds)			-
027-Transfer to DoIT			-
027,029,049-Transfer DOIT/Interagcy/Other	10,767		10,767
030-Equipment	20,864		20,864
039-Telecommunication	2,486		2,486
042-Additional Fringe Benefits			-
044-Debt Services		181,253	181,253
047-Maintenance (Own forces)			-
048-Maintenance (Contract B&G)	16,344		16,344
069-Promotion & Marketing			-
066,070,-Travel & Training			-
102,103-Contracts Prog/Ops Svcs	85,429		85,429
Total Expenditures	406,303	181,253	587,556
Total Revenues Over(Under)Expenditures	(4,317)	18,747	14,430

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
CANNON MOUNTAIN FUNDS
PROFIT AND LOSS STATEMENTS - FY2015

	Operations (3703)			Retail Operations (3704)							Capital (3705)	Grand Total
	Winter	Summer	Total	Store Retail	Ski School	Peabody	Profile Store	Tramway	Beach	Total	Total	
Revenue												
Operating Revenue												
Ski/Beach Operation	2,831,009	1,357,347	4,188,355							-		4,188,355
Season Passes	1,545,248		1,545,248							-		1,545,248
Commission Sales	270,113	79,333	349,446							-		349,446
Sunapee Lease			-							-	637,993	637,993
Other			-							-		-
Transfers												
Transfer from Retail	522,068		522,068							-		522,068
Transfer Fish & Game			-							-		-
Transfer to/from Cannon Ops				(300,000)	(222,068)					(522,068)		(522,068)
Transfer to Parks	(322,679)		(322,679)							-		(322,679)
Retail												
Sales			-	410,985	560,240	374,234	33,339	260,938	64,472	1,704,207		1,704,207
Less Purchases			-	(65,307)	(10,183)	(210,795)	(2,594)	(177,150)	(14,494)	(480,523)		(480,523)
Total Net Revenue	4,845,759	1,436,680	6,282,439	45,678	327,989	163,439	30,745	83,788	49,977	701,617	637,993	7,622,048
Expenditures												
Compensation												
010&011-Full Time Salaries	501,937	168,223	670,160							-		670,160
050-Part Time Temp Salaries	722,247	233,579	955,826	89,091	273,463	25,093	17,951	25,710	20,597	451,905		1,407,731
059-Full Time Temp Salaries	183,031	106,465	289,496	22,041	30,258					52,299		341,795
018&019-Other Salaries	54,674	9,705	64,379	2,023	4,045	31				6,099		70,478
060-Benefits	479,817	188,259	668,076	18,912	30,446	1,894	1,373	1,995	1,576	56,196		724,272
Total Compensation	1,941,707	706,230	2,647,937	132,068	338,211	27,018	19,324	27,706	22,172	566,499		3,214,436
Other Operating Expenses												
020-Current Expenses	344,529	173,331	517,860	23,964	32,003	4,070	1,194	2,995	2,334	66,558		584,418
022-Rents & Leases	313,350	5,423	318,774							-		318,774
023-Utilities	1,390,964	107,483	1,498,446	12,122	5,845					17,967		1,516,413
024-Maintenance(Non bldg)	97,237	21,125	118,362	699						722		119,084
026-Organization Dues	8,500	28,986	37,486		9,245					9,245		46,730
027,029,049-Transfer DOIT/Interagcy/Other	19,663	69,789	89,452							-		89,452
030-Equipment	39,384	69,616	109,000	3,274	4,860		1,600		6,250	15,984		124,984
039-Telecommunications	10,975	9,732	20,707							-		20,707
042-Additional Fringe Benefits		48,300	48,300	1,000						1,000		49,300
044-Debt Service Other Agcies	78,424		78,424							-	643,382	721,805
047-Maintenance (Own forces)	36,989	38,973	75,962	71	1,348		138		6,171	7,728		83,690
048-Maintenance (Contract B&G)			-		3,780			100		3,880		3,880
061-Umeremployment Comp	13,122	2,985	16,108							-		16,108
062-Workers Comp		342,198	342,198							-		342,198
069-Promotion & Marketing	296,885	16,847	313,732							-		313,732
066,070,080-Travel & Training	1,730	1,530	3,260	336	1,100					1,436		4,696
102, 103-Contract Prog/Ops Svcs	24,263.58	8,005	32,268	3,927						8,924		41,193
Total Expenditures	4,617,724	1,650,553	6,268,276	177,461	396,391	31,087	22,256	30,800	41,947	699,942	643,382	7,611,600
Total Revenues Over(Under)Expenditures	228,035	(213,872)	14,162	(131,783)	(68,403)	132,352	8,489	52,988	8,030	1,674	(5,389)	10,448

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
MOUNTAIN WASHINGTON STATE PARK
PROFIT AND LOSS STATEMENTS - FY2015

	MOUNT WASHINGTON STATE PARK				
	(POWER-01)	(RETAIL-02)	(OPER-03)	(COMM-04)	TOTAL
	OPER	RETAIL	OPER	OPER	TOTAL
Revenue					
Operating Revenue					
Power	249,380				249,380
Operations			85,147		85,147
Communications				258,732	258,732
Other					-
Retail					
Sales		1,109,487			1,109,487
Less		(450,481)			(450,481)
Total Net Revenue	249,380	659,006	85,147	258,732	1,252,264
Expenditures					
Compensation					
010 & 011-Full Time Salaries					-
050-Part Time Temp Salaries	1,940	103,401	64,280		169,621
059-Full Time Temp		84,271	249,448		333,719
018 & 019-Other		2,980	8,360		11,340
060-Benefits	148	40,255	115,835		156,238
Total Compensation	2,088	230,907	437,924	-	670,918
Other Operating Expenses					
020-Current Expenses	18,026	17,717	26,562		62,304
022-Rents & Leases	52,800	467	19,294		72,561
023-Utilities	126,764	1,668	222		128,653
024-Maintenance (non bldg & grds)			4,119		4,119
030-Equipment	285	7,494	21,916		29,695
033-Land Acquisition				212,358	212,358
039-Telecommunication	251	48	7,626		7,924
044-Debt Services			124,639		124,639
047-Maintenance (Own forces)	633	398	14,472		15,503
048-Maintenance (Contract B&G)	6,409	4,606	113,552		124,567
066,070, 080-Travel & Training		1,787			1,787
102,103-Contracts Prog/Ops Svcs		851	37,702		38,553
Total Expenditures	207,255	265,941	808,028	212,358	1,493,583
Total Revenues Over(Under)Expenditures	42,125	393,064	(722,881)	46,373	(241,319)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DEPARTMENT WIDE CAPITAL BUDGET APPROPRIATIONS
FISCAL YEAR 2015

Act Unit	Description	Statute	Year	Appropriations		Expenditures	Encumbrances	Lapses	Ending Available
				Bal Fwd	Current	FY 2015	06/30/2015	FY 2015	06/30/2015
Prior to Fiscal 2012									
17850000	Mt Washington Tip Top House Repairs	Ch 145:1-X-B	09	20,761		18,664			2,096
17860000	Mittersill Expansion	Ch 145:1-X-C	09	312,611		480			312,131
Fiscal 2012-2013 Biennium									
09890000	Fire Tower Maintenance	Ch 253:1:XII-A	11	82,560		301	20,458		61,801
09900000	State Park Repairs	Ch 253:1:XII-B	11	26,651		16,325	10,300		26
09910000	Mt Wash Bldg Repairs	Ch 253:1:XII-C	11	179,941		20,889	-		159,051
	Total Carry Forward Authorizations			622,523	-	56,660	30,758	-	535,105
Fiscal 2014-2015 Biennium									
79580000	State Park Improvements	Ch 195:XIII-A	13	956,711		305,918	85,319		565,474
79590000	Roofing and Repair	Ch 195:XIII-B	13	961,633		821,343	102,555		37,735
79600000	Sherman Adams Building Entrance Replacement	Ch 195:XIII-C	13	320,281		316,352	3,904		25
79610000	Hampton North Beach Seawall Repair	Ch 195:XIII-D	13	3,638,184		1,627,647	708,377		1,302,160
79620000	Radio Systems	Ch 195:XIII-E	13	171,322		170,153			1,169
79720000	Fire Tower Repairs	Ch 195:XIII-F	13	360,000		76,890	116,894		166,216
79730000	Memorial, 400th Anniversary, Rye Harbor	Ch 195:XIII-G	13	80,000		39,521			40,479
	Total Carry Forward Authorizations			6,488,131	-	3,357,824	1,017,048	-	2,113,259
Cannon Mt Authorizations									
31320000	Cannon Mt Capital Improvement Fund	RSA 12-A:29-B		843,972		645,584	132,660		65,728
	Grand Total			7,954,626	-	4,060,068	1,180,466	-	2,714,092

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
STATEWIDE PARK REPAIRS CAPITAL APPROPRIATIONS
Ch. 253:1:XII-B L'11 Acct Unit 09900000; Ch. 195:X111-A L'13 Acct Unit 79580000; Ch. 195:X111-B L'13 Acct Unit 79590000
FISCAL YEAR 2015

Location	Project	Vendor	Amount
Mt. Washington	Sherman Adams Building Entrance Replacement	T Buck Construction, Federal Piping, Smithfield Plumbing and Eric Finkstrom	265,322
Winslow	Dolly Conron Memorial	Multiple Vendors	228,488
Multiple Park Locations	Park Infrastructure Improvements	Multiple Vendors	175,754
Connecticut Lake Headwaters	Culvert Work and Road Repairs	Advanced Drainage Systems, Cloutier Sand and Robert Eastman	93,617
Northwood Meadows	Dam Repair and Parking Lot	KJ Fisher & Sons, David Docko and Champion Construction	75,958
Lafayette Campground	Culvert Work and New Bathhouse	Perras Ace and Everett J. Prescott	47,956
Sunapee	Parking Lot Striping & Painting, Road Repair and Installation of Electrical	D&M Striping, Royal Electric and KJ Fisher & Sons	45,198
Bear Brook	Shower Wing Renovations	Property Logistics, LaPlante Builders	36,172
White Lake	Toilet Partitions Installation and bathroom renovations	Multiple Vendors	29,785
Monadnock - Old Toll Road	New Tollbooth Construction	Mountainshade Landscaping	19,500
North Hampton	Beach Access Ramp	Tighe & Bond	18,746
South Beach	Hampton Beach Parking Lot	HEB Engineers and Pike Industries	18,617
Mollidgewock	Construction of Lean-to Structures	Perras Ace and White Mountain Lumber	15,778
Lake Francis	Culvert Work and Construction of Lean-to Structures	PA Hicks, White Mountain Lumber and Advanced Drainage Systems	14,670
Jericho	Bathhouse Replacement	Multiple Vendors	11,517
Pawtuckaway	Roofing Project	The Aulson Company	11,375
Crawford Notch	Pump/Pressure Tank System Replacement	James E. Carr Well & Pump Services	7,145
Beaver Brook Falls	Site Work and Parking Lot	Robert Eastman	5,200
Moose Brook	Construction of Lean-to Structures	White Mountain Lumber, Lindsay Webb, Pike Industries, Caron Building and Sherwin Williams	5,197
Milan Hill	Construction of Lean-to Structures	Home Depot, Caron Building, Perras Ace, White Mountain Lumber and PA Hicks	4,274
Taylor Mill	Electrical Project	CED	4,172
NCRC Lancaster - Trails	Site Drainage	Property Logistics	4,075
Rye Harbor	New Electrical and Bathroom Repairs	CED, Home Depot, Sherwin Williams and A&B Lumber	3,669
Hampton Beach Seashell	Lighting Fixtures Repair	Port Lighting Systems	1,400
Total			1,143,585

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
CANNON MOUNTAIN CAPITAL IMPROVEMENT FUND
JUNE 30, 2015

	ACTUALS																
	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Beginning Balance	-	150,000	169,876	267,950	131,841	(17,729)	(109,179)	(222,761)	(322,009)	(415,819)	(495,206)	(429,396)	(333,414)	(243,317)	(235,207)	(312,371)	(327,226)
Revenue																	
Base Payment (Inflation Adjusted)	150,000	153,150	158,357	162,791	166,210	170,864	177,084	183,210	189,805	194,702	194,897	200,159	203,161	209,256	212,813	216,005	217,733
Variable Payment (% of Sales)	-	118,632	192,791	289,312	264,308	313,143	272,391	303,701	278,372	284,242	371,856	362,048	361,868	411,559	289,467	399,394	420,260
Interest	-	17,011	12,037	3,556	495	-	-	-	-	-	-	-	-	-	-	-	-
Sale of Items	-	-	3,806	2,982	-	1,232	4,444	10,017	2,434	2,288	-	2,814	528	-	-	-	-
Total Revenues	150,000	288,793	366,991	458,641	431,013	485,239	453,919	496,928	470,611	481,232	566,753	565,021	565,557	620,815	502,280	615,399	637,993
Expenditure																	
Principal Payment	-	-	-	333,333	333,333	333,333	333,333	365,541	365,541	365,541	365,541	343,741	351,557	450,826	475,678	481,764	495,780
Interest Payment	-	268,917	268,917	261,417	247,250	233,917	220,583	230,635	198,880	195,078	135,402	125,298	123,903	161,879	166,680	148,490	147,601
Indirect Costs	-	-	-	-	-	9,439	13,585	-	-	-	-	-	-	-	-	-	-
Total Expenditures	-	268,917	268,917	594,750	580,583	576,689	567,501	596,176	564,421	560,619	500,943	469,039	475,460	612,705	642,357	630,254	643,381
Current Year Excess / (Shortfall)	150,000	19,876	98,074	(136,109)	(149,570)	(91,450)	(113,582)	(99,248)	(93,810)	(79,387)	65,810	95,982	90,097	8,110	(140,077)	(14,855)	(5,388)
Transfers from Cannon Operations															62,913		
Cumulative Ending Balance	150,000	169,876	267,950	131,841	(17,729)	(109,179)	(222,761)	(322,009)	(415,819)	(495,206)	(429,396)	(333,414)	(243,317)	(235,207)	(312,371)	(327,226)	(332,614)

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DEBT SERVICE SCHEDULE TO MATURITY
JUNE 30, 2015

FY	Park Fund			Hampton Beach Capital Improvement			Mount Washington		
	010-035-3720000-044			010-035-73010000-044			010-035-37420000-044		
	Prin	Int	Total	Prin	Int	Total	Prin	Int	Total
2016	24,555.16	6,082.66	30,637.82	138,350.77	48,296.30	186,647.07	91,724.94	37,668.22	129,393.16
2017	24,583.63	4,854.19	29,437.82	140,787.22	42,210.00	182,997.22	91,721.46	34,426.56	126,148.02
2018	24,613.56	3,624.26	28,237.82	99,370.17	38,138.29	137,508.46	106,795.16	31,122.55	137,917.71
2019	24,519.29	2,518.54	27,037.83	179,549.55	32,156.38	211,705.93	118,575.13	25,447.90	144,023.03
2020	24,311.09	1,526.74	25,837.83	132,287.32	24,298.79	156,586.11	98,370.50	19,633.75	118,004.25
2021	24,125.16	512.65	24,637.81	60,958.78	19,632.14	80,590.92	23,627.29	17,092.17	40,719.46
2022			-	56,868.57	17,989.80	74,858.37	50,665.91	16,233.49	66,899.40
2023			-	52,949.11	15,146.36	68,095.47	50,041.57	13,631.06	63,672.63
2024			-	51,391.07	12,561.72	63,952.79	48,702.64	11,183.08	59,885.72
2025			-	51,756.24	9,992.16	61,748.40	49,026.06	8,747.76	57,773.82
2026			-	63,758.04	7,404.36	71,162.40	55,136.90	6,403.16	61,540.06
2027			-	49,394.83	4,216.46	53,611.29	42,715.83	3,646.32	46,362.15
2028			-	34,934.24	1,746.72	36,680.96	30,210.55	1,510.52	31,721.07
2029			-			-			-
2030			-			-			-
2031			-			-			-
2032			-			-			-
2033			-			-			-
2034			-			-			-
2035			-			-			-
2036			-			-			-
2037			-			-			-
2038			-			-			-
Total	146,707.89	19,119.04	165,826.93	1,112,355.91	273,789.48	1,386,145.39	857,313.94	226,746.54	1,084,060.48

Source: NH Dept of Treasury

Debt Service Schedule FY 15

STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT
DEBT SERVICE SCHEDULE TO MATURITY
JUNE 30, 2015

FY	Cannon Mountain - Operating			Cannon Mountain - Capital			Total		
	010-035-37030000-044			010-035-37050000-044			Total		
	Prin	Int	Total	Prin	Int	Total	Prin	Int	Total
2016	3,656.00	18,595.04	22,251.04	479,614.03	140,518.87	620,132.90	737,900.90	251,161.09	989,061.99
2017	43,041.91	17,741.18	60,783.09	499,167.59	119,674.52	618,842.11	799,301.81	218,906.45	1,018,208.26
2018	50,967.32	15,981.51	66,948.83	500,431.67	99,009.51	599,441.18	782,177.88	187,876.12	970,054.00
2019	58,894.58	13,824.08	72,718.66	501,491.15	78,671.77	580,162.92	883,029.70	152,618.67	1,035,648.37
2020	46,828.97	11,017.04	57,846.01	160,565.35	64,378.18	224,943.53	462,363.23	120,854.50	583,217.73
2021	48,757.72	8,975.99	57,733.71	136,152.08	56,490.44	192,642.52	293,621.03	102,703.39	396,324.42
2022	24,670.41	7,804.24	32,474.65	124,010.14	51,308.95	175,319.09	256,215.03	93,336.48	349,551.51
2023	22,970.12	6,570.72	29,540.84	116,636.09	46,043.77	162,679.86	242,596.89	81,391.91	323,988.80
2024	22,294.22	5,449.46	27,743.68	117,267.32	40,820.83	158,088.15	239,655.25	70,015.09	309,670.34
2025	22,452.63	4,334.76	26,787.39	119,353.75	35,923.16	155,276.91	242,588.68	58,997.84	301,586.52
2026	27,659.19	3,212.12	30,871.31	117,988.40	30,933.32	148,921.72	264,542.53	47,952.96	312,495.49
2027	21,428.22	1,829.16	23,257.38	115,588.80	26,118.40	141,707.20	229,127.68	35,810.34	264,938.02
2028	15,155.00	757.74	15,912.74	113,166.15	21,326.24	134,492.39	193,465.94	25,341.22	218,807.16
2029			-	106,897.64	16,611.24	123,508.88	106,897.64	16,611.24	123,508.88
2030			-	107,897.64	12,069.20	119,966.84	107,897.64	12,069.20	119,966.84
2031			-	65,673.73	7,433.22	73,106.95			-
2032			-	45,412.05	4,702.11	50,114.16			-
2033			-	47,412.05	2,781.50	50,193.55			-
2034			-	10,412.05	780.90	11,192.95			-
2035			-	10,412.09	260.30	10,672.39			-
2036			-			-			-
2037			-			-			-
2038			-			-			-
Total	408,776.29	116,093.04	524,869.33	3,495,549.77	855,856.43	4,351,406.20	5,841,381.83	1,475,646.50	7,317,028.33

Source: NH Dept of Treasury

Debt Service Schedule FY 15

**STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT (DRED)
DIVISION OF PARKS AND RECREATION
BUREAU OF TRAILS SUMMARY**

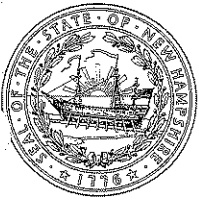
Description	Class	FY 2015							Total
		Admin 3414	Mnt 3558	Acquisition 3562	Snow		Wheeled		
					Equip 3484	Grant 3556	Grant 3486	Equip 3488	
Beg Bal									-
Additions									
Revenues									
Fees		214,310	327,985	44,674	328,088	2,057,178	324,592	111,721	3,408,547
Unrefunded Gas Tax		812,124	-	-	-	-	-	-	812,124
Total Additions		1,026,434	327,985	44,674	328,088	2,057,178	324,592	111,721	4,220,672
Decreases									
Expenditures									
Full Time Salaries	010	605,620	-	-	-	-	-	-	605,620
Overtime & Holiday	018/019	-	3,564	-	-	-	-	-	3,564
Part-Time Salaries	050	-	3,201	-	-	-	-	-	3,201
Benefits	060	366,443	245	-	-	-	-	-	366,688
Total Salaries and Benefits		972,063	7,009	-	-	-	-	-	979,072
Indirect Costs / Addl Fringe	041/042	18,000	-	-	-	-	-	-	18,000
Utilities	023	991	7,610	-	-	-	-	-	8,601
Maintenance	024/047/048	-	22,034	-	-	-	-	-	22,034
Current Expense/Rents/Dues/Trsf	020/026/049/029	14,951	132,789	43,275	-	-	-	-	191,015
Rent	022	1,930	29,686	22,056	-	-	-	-	53,672
Grants	072/075	-	-	-	281,536	1,861,979	193,712	78,067	2,415,294
Equipment	030	-	34,993	-	-	-	-	-	34,993
Debt Service / Land Acquisition	033	-	-	377	-	-	-	-	377
Travel	070/080	2,500	-	-	-	-	-	-	2,500
Total Expenditures		1,010,435	234,122	65,708	281,536	1,861,979	193,712	78,067	3,725,559
Encumbrances		-	-	-	-	-	-	-	-
Total Decreases		1,010,435	234,122	65,708	281,536	1,861,979	193,712	78,067	3,725,559
Transfers		-	-	-	-	-	-	-	-
Ending Balance		15,999	93,864	(21,034)	46,552	195,199	130,880	33,654	495,113
Net Change in Fund Balance									495,113

**STATE OF NEW HAMPSHIRE
DRED - PARKS SUMMARY
FISCAL YEAR 2014**

Description	Parks Fund							Historic Sites 5312	Mt Wash State Park 3742
	Operations 3720	Clearing 3702	Admin 3701	W.C./Unemp 8146/6161	Subtotal	Concessions 3722	Total		
Beg Bal	241,828	1,985,720	5,002	-	2,232,550	10	2,232,560	7,820	646,218
Additions									
Revenues									
Camping		2,527,963			2,527,963		2,527,963		
Attendance		4,496,324			4,496,324		4,496,324	56,766	
Ski Revenue					-		-		
Seacoast Parking Meters		225,434			225,434		225,434		
Concessions / Snow Sports					-	1,767,078	1,767,078		1,092,156
Other	20,333	659,702			680,035		680,035		386,744
Leases					-		-		262,641
Total Revenue	20,333	7,909,423	-	-	7,929,756	1,767,078	9,696,834	56,766	1,741,541
Less Cost of Goods Sold					-	(850,514)	(850,514)		(423,750)
Net Revenues	20,333	7,909,423	-	-	7,929,756	916,564	8,846,320	56,766	1,317,791
Transfers									
Parks Revenue Allocation	7,707,569	(9,104,111)	1,277,276	119,266	-		-		
Hampton Transfers		1,467,710			1,467,710		1,467,710		
Cannon Transfers to Parks (HB 2)		324,903			324,903		324,903		
Concessions Transfers		541,986			541,986	(541,986)	-		
Total Transfers	7,707,569	(6,769,512)	1,277,276	119,266	2,334,599	(541,986)	1,792,613	-	-
Total Additions	7,727,902	1,139,911	1,277,276	119,266	10,264,355	374,578	10,638,933	56,766	1,317,791
Decreases									
Expenditures									
Full Time Salaries (010,011)	887,646		574,493		1,462,139		1,462,139		
Holiday / Overtime (018/019)	8,968				8,968	12	8,980		5,568
Temporary Salaries (050)	2,493,884				2,493,884	277,936	2,771,820	20,000	148,538
Full Time Temp Salaries (059)	120,489				120,489		120,489	3,500	281,940
Benefits (060)	764,493		299,327		1,063,820	21,263	1,085,083		133,926
Total Compensation	4,275,480	-	873,820	-	5,149,300	299,211	5,448,511	23,500	569,972
Current Expense (020,39)	749,560		25,421		774,981	30,892	805,873	394	106,042
Rents (022)	40,193		952		41,145	772	41,917		74,356
Utilities (023)	350,866				350,866	16,764	367,630		112,345
Maintenance (024,047,048)	306,093				306,093	12,395	318,488		21,359
DD&M / Bus Office (029)	812,517				812,517		812,517		
OIT (029)	28		229,400		229,428		229,428		
Equipment (030)	694,018		4,292		698,310	5,557	703,867	1,199	17,253
Debt Service / Land Acquisition (033,044)	33,098				33,098		33,098		348,109
Addl Fringe Benefits (042)	77,724		42,974		120,698		120,698		
Workers Comp/Unemp (061,062)				119,266	119,266		119,266		
Promotional (069)			41,242		41,242		41,242		
Contracts Prog/Ops Svs (102,103)	465,665		1,071		466,736	7,368	474,104		2,941
Other (026,049,070,080,066)	3,700		23,080		26,780	376	27,156		192
Total Expenditures	7,808,942	-	1,242,252	119,266	9,170,460	373,335	9,543,795	25,093	1,252,569
Revenues & Additions over Expenditures	(81,040)	1,139,911	35,024	-	1,093,895	1,243	1,095,138	31,673	65,222
Encumbrances	153,345		40,026		193,371	310	193,681		20,325
End Bal	7,443	3,125,631	-	-	3,133,074	943	3,134,017	39,493	691,115

**STATE OF NEW HAMPSHIRE
DRED - PARKS SUMMARY
FISCAL YEAR 2014**

Description	Hampton Meters			Cannon					Grand Total
	Operations 7300	Cap Imp 7301	Total	Operations 3703	School & Rent 3704	Subtotal	Capital 3705	Total	
Beg Bal	14,944	130,840	145,784	38,953	-	38,953	(312,371)	(273,418)	2,758,964
Additions									
Revenues									
Camping			-			-		-	2,527,963
Attendance			-			-		-	4,553,090
Ski Revenue			-	5,886,223		5,886,223		5,886,223	5,886,223
Seacoast Parking Meters	1,731,429		1,731,429			-		-	1,956,863
Concessions / Snow Sports			-		1,664,879	1,664,879		1,664,879	4,524,113
Other	236,712		236,712			-		-	1,303,491
Leases	164,355		164,355			-	615,399	615,399	1,042,395
Total Revenue	2,132,496	-	2,132,496	5,886,223	1,664,879	7,551,102	615,399	8,166,501	21,794,138
Less Cost of Goods Sold			-		(446,437)	(446,437)		(446,437)	(1,720,701)
Net Revenues	2,132,496	-	2,132,496	5,886,223	1,218,442	7,104,665	615,399	7,720,064	20,073,437
Transfers									
Parks Revenue Allocation			-			-		-	-
Hampton Transfers	(1,667,710)	200,000	(1,467,710)			-		-	-
Cannon Transfers to Parks (HB 2)			-	(324,903)		(324,903)		(324,903)	-
Concessions Transfers			-	539,304	(539,304)	-		-	-
Total Transfers	(1,667,710)	200,000	(1,467,710)	214,401	(539,304)	(324,903)	-	(324,903)	-
Total Additions	464,786	200,000	664,786	6,100,624	679,138	6,779,762	615,399	7,395,161	20,073,437
Decreases									
Expenditures									
Full Time Salaries (010,011)			-	688,242		688,242		688,242	2,150,381
Holiday / Overtime (018/019)			-	51,617	3,979	55,596		55,596	70,144
Temporary Salaries (050)	180,210		180,210	880,738	404,162	1,284,900		1,284,900	4,405,468
Full Time Temp Salaries (059)			-	243,983	43,030	287,013		287,013	692,942
Benefits (060)	13,786		13,786	647,113	51,530	698,643		698,643	1,931,438
Total Compensation	193,996	-	193,996	2,511,693	502,701	3,014,394	-	3,014,394	9,250,373
Current Expense (020,39)	83,496		83,496	602,565	55,206	657,771		657,771	1,653,576
Rents (022)			-	355,519		355,519		355,519	471,792
Utilities (023)			-	1,117,752	35,911	1,153,663		1,153,663	1,633,638
Maintenance (024,047,048)	8,865		8,865	196,388	49,858	246,246		246,246	594,958
DD&M / Bus Office (029)			-			-		-	812,517
OIT (029)	6,451		6,451	109,045		109,045		109,045	344,924
Equipment (030)	16,341		16,341	63,542	14,752	78,294		78,294	816,954
Debt Service / Land Acquisition (033,044)		243,533	243,533	84,688		84,688	630,254	714,942	1,339,682
Add Fringe Benefits (042)			-	74,115	1,000	75,115		75,115	195,813
Workers Comp/Unemp (061,062)			-	638,850		638,850		638,850	758,116
Promotional (069)			-	292,225		292,225		292,225	333,467
Contracts Prog/Ops Svs (102,103)			-	2,299	15,825	18,124		18,124	495,169
Other (026,049,070,080,066)	99,741		99,741	64,336	2,000	66,336		66,336	193,425
Total Expenditures	408,890	243,533	652,423	6,113,017	677,253	6,790,270	630,254	7,420,522	18,894,404
Revenues & Additions over Expenditures	55,896	(43,533)	12,363	(12,393)	1,885	(10,508)	(14,855)	(25,363)	1,179,033
Encumbrances	66,015		66,015	26,560	1,885	28,445	-	28,445	308,466
End Bal	4,825	87,307	92,132	-	-	-	(327,226)	(327,226)	3,629,531



John T. Beardmore
Commissioner

State of New Hampshire
Department of Revenue Administration

109 Pleasant Street
PO Box 457, Concord, NH 03302-0457
Telephone 603-230-5005
www.nh.gov/revenue



Lindsey M. Stepp
Assistant Commissioner

July 5, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Re: April through June 2016 Refund Report

Dear Representative Kurk:

Pursuant to revised RSA 21-J:45, enclosed is the Department of Revenue Administration's Quarterly Refund Report for the months of April through June 2016. This report is produced on a quarterly basis for requested tax refunds and provides a 5-year refund analysis.

Feel free to contact me with any questions or concerns.

Sincerely,

A handwritten signature in black ink, appearing to read "John T. Beardmore".

John T. Beardmore
Commissioner

Enclosure

Department of Revenue Administration
 Quarterly Refund Report
 FY2016 Quarter 4 (April - June)

	A	B	C	D	E
		Business Taxes		Interest and Dividends Tax	
		\$	#	\$	#
1	Requested Refunds Outstanding at Start of Quarter	\$ 4,228,436	845	\$ 512,608	939
2	Requested Refunds Initiated Current Quarter	\$ 5,192,888	1375	\$ 1,296,037	1760
3	Requested Refunds Paid Current Quarter	\$ 5,449,688	1562	\$ 1,448,473	1887
4	Requested Refunds Outstanding at End of Quarter ¹	\$ 3,818,530	607	\$ 242,346	798

<u>Business Taxes</u>					
5	Six-Year History of Requested Refunds Paid	Quarter 1 (Jul - Sep)	Quarter 2 (Oct - Dec)	Quarter 3 (Jan - Mar)	Quarter 4 (Apr - Jun)
	FY2016	\$ 5,153,357	\$ 5,120,760	\$ 3,869,629	\$ 5,449,688
6	FY2015	\$ 6,722,636	\$ 10,540,238	\$ 8,869,233	\$ 5,913,456
7	FY2014	\$ 3,884,913	\$ 16,260,550	\$ 7,237,481	\$ 5,537,410
8	FY2013	\$ 3,420,723	\$ 1,894,055	\$ 11,163,932	\$ 11,599,580
9	FY2012	\$ 5,323,035	\$ 8,250,900	\$ 4,012,814	\$ 6,048,512
10	FY2011	\$ 6,390,206	\$ 11,739,293	\$ 10,604,106	\$ 5,570,492
11	FY2010	\$ 3,827,473	\$ 13,108,991	\$ 14,423,748	\$ 9,279,202

<u>Interest and Dividends Tax</u>					
12	Six-Year History of Requested Refunds Paid	Quarter 1 (Jul - Sep)	Quarter 2 (Oct - Dec)	Quarter 3 (Jan - Mar)	Quarter 4 (Apr - Jun)
	FY2016	\$ 520,696	\$ 520,172	\$ 491,673	\$ 1,448,473
13	FY2015	\$ 770,008	\$ 1,807,347	\$ 518,693	\$ 1,332,514
14	FY2014	\$ 1,230,552	\$ 3,082,684	\$ 930,216	\$ 2,611,630
15	FY2013	\$ 923,696	\$ 360,903	\$ 2,168,976	\$ 1,363,645
16	FY2012	\$ 1,656,963	\$ 2,986,203	\$ 191,378	\$ 1,725,833
17	FY2011	\$ 1,122,034	\$ 1,871,547	\$ 1,366,871	\$ 3,094,500
18	FY2010	\$ 1,168,054	\$ 2,429,978	\$ 1,473,764	\$ 3,525,738

¹ Note: B1 + B2 - B3 will not always equal B4 and D1 + D2 - D3 will not always equal D4
 This is because requests for refund are closed in ways other than payment, including offsetting a tax notice, the request being out of statute and the request not being valid.

TITLE I
THE STATE AND ITS GOVERNMENT

CHAPTER 21-J
DEPARTMENT OF REVENUE ADMINISTRATION

Reports

Section 21-J:45

21-J:45 Reports on Status of Requested Tax Refunds. –

I. The commissioner of the department of revenue administration shall report to the fiscal committee of the general court within 10 days after the close of each quarter, the status of requested refunds pending from the combined general fund and education trust fund for the following taxes:

- (a) Business profits tax.
- (b) Business enterprise tax.
- (c) Interest and dividends tax.

II. This report shall include, but not be limited to, the number and dollar value of requested refunds carried over from the prior quarter, requested refunds initiated during the quarter, requested refunds paid out during the quarter, and requested refunds outstanding at the end of the quarter. This report shall also include a 5-year history of the requested refunds paid for each tax in subparagraphs I(a)-(c).

Source. 2004, 203:17, eff. June 11, 2004. 2014, 78:4, eff. May 27, 2014.



State of New Hampshire
 DEPARTMENT OF ADMINISTRATIVE SERVICES
 OFFICE OF THE COMMISSIONER
 25 Capitol Street – Room 120
 Concord, New Hampshire 03301

FIS 16 125

VICKI V. QUIRAM
 Commissioner
 (603)-271-3201

JOSEPH B. BOUCHARD
 Assistant Commissioner
 (603)-271-3204

July 14, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Dear Representative Kurk:

INFORMATIONAL ITEM

In accordance with Chapter 319:32, Laws of 2003, State Employee Health Insurance; Administrative Services Reporting, I respectfully submit this report regarding the State's self-funded Health Benefits Plan (HBP).

A. **Program Activity:**

Cash Basis: The beginning Cumulative Cash Fund Balance as of July 1, 2015 was \$51.2 million. To this balance subtract \$11.4 million, which represents Revenue less Expenditures from July 1, 2015 through June 30, 2016. The Ending Cumulative Cash Fund Balance at June 30, 2016 is \$39.9 million.

	<i>FY 2016</i> <i>(000's)</i>
Cumulative Cash Fund Balance (<i>July 1, 2015</i>).....	\$ 51,240
Plus: Program Revenue Collected.....	\$243,258
Less: Total Expenditures	\$254,640
Revenue less Expenditures (<i>July 1 – June 30, 2016</i>).....	\$(11,382)
Cumulative Cash Fund Balance (<i>June 30, 2016</i>).....	\$39,858

Source: NH FIRST

Accrual Basis: The above amounts are cash basis only and do not take into consideration IBNR, statutory reserve, accounts payable or receivables. To arrive at a true fund balance as of June 30, 2016, we must start with the Cumulative Cash Fund Balance as of that date and add outstanding receivables earned and realized or realizable and payables incurred as of June 30, 2016. Then we must subtract the IBNR (Incurred but not Reported) reserve and the statutory reserve.

<i>FY2016</i>		
Line Item	Description	Total (000's)
1	Cumulative Cash Fund Balance (June 30, 2016)	\$ 39,858
2	Add: Program Revenue Earned <i>(estimate as of June 30, 2016)</i>	\$ 9,873
3	Less: Program Expenses Incurred <i>(estimate as of June 30, 2016)</i>	(\$ 8,190)
4	Subtotal: Cumulative Accrual Fund Balance (June 30, 2016)	\$ 41,541
5	Less: IBNR	(\$ 14,990)
6	Less: Statutory Reserve*	(\$ 17,066)
	<i>*(Actives & Retiree Plans = 5% of FY16 Projected Expenses, Troopers Plan = 100% of FY16 Projected Expenses)</i>	
8	Cumulative Accrual Fund Balance: Net of IBNR and Reserves (June 30, 2016)	\$ 9,485

As indicated above, the HBP's cumulative accrual fund balance, net of IBNR and statutory reserves, as of June 30, 2016 is \$9.5 million and encompasses surplus for actives and retirees.

It is important to note that working rates are set on a calendar year basis based on an average rate for the midpoint of the year. Accordingly, working rates are expected to generate a surplus at the beginning of the calendar year that may be spent down in the last six months of the year.

B. Retiree Health FY16/17 Budget Update:

As the Department of Administrative Services (DAS) has discussed with the Fiscal Committee at several meetings and in prior Informational Items, running a health benefit plan is complicated and unpredictable from month to month. Every month, the health benefit plan brings in revenue and every month it must pay the claims expenses based on the health services and prescription drugs required by our plan members. From month to month, the plan fund surplus goes up and down depending on claims experience, the number of enrolled members, and estimated revenues, including federal subsidies and rebates. The ideal position for the health benefit plan is to run just enough of a surplus to cover expenses should they rise above an average amount in a given month.

DAS is projecting a deficit in the Retiree Health Benefits budget for the biennium FY 16/17. In 2015, DAS projected a \$10.6 million deficit in the Retiree Health Benefits budget and worked with the Fiscal Committee to make changes to the plan to assist with managing the budget. In November 2015, the Fiscal Committee approved prescription drug plan design changes totaling \$2 million and also approved an increase in the Non-Medicare eligible retiree premium contribution totaling \$2.8 million, addressing \$4.8 million of a projected \$10.6 million budget shortfall. When approving the plan design and premium contribution changes, the Fiscal Committee was aware there was a \$5.4 million surplus in the Retiree Health Benefits account that was available to DAS to use toward the deficit. This left DAS with a projected deficit of \$400,000 for claims expenses plus a \$300,000 expenditure for a Long Term Retiree Health Study for a total projected deficit of \$700,000. The below chart provides background on the FY16/17 biennium projected Retiree Health budget shortfall.

FY16/17 Retiree Health Budget	
Projected Expense	\$153,300,000
Total Retiree Health Budget	\$142,749,000
Retiree Health Budget Shortfall	\$10,600,000
Rx Plan Design Changes	-\$2,000,000
Retiree Premium Contribution (Increase to 17.5%)	-\$2,800,000
Updated Retiree Health Budget Shortfall	\$5,800,000
Long Term Study	\$300,000
Total Biennium Projected Deficit	\$6,100,000
Surplus	-\$5,400,000
Projected Retiree Health Deficit	\$700,000

In June 2016, of the projected \$5.4 million in surplus to be used over the biennium, the retiree health account used \$574,000 in retiree health surplus to fund normal monthly claims and administrative expenses. This was because in FY 16 DAS exhausted the General Funds appropriation in the Retiree Health Benefits budget and accordingly used \$574,000 of surplus. Effective July 1, 2016, FY 17 funds are available to pay regular Retiree Health operating expenses. DAS expects to use Retiree Health Benefits surplus funds toward the end of FY 17 to pay for retiree health care.

The below chart details the DAS FY16 Retiree Health Budget and the actual FY16 Retiree Health Budget revenue and expenses. The Retiree Health Fund accounted for \$69.9M in FY16 from all funding sources, but needed approximately \$70.4M in funds in FY16 to break even during the fiscal year. Therefore, in June 2016 there was a decrease in the surplus in the retiree health account by \$574,000 due to the budget shortfall. DAS is projecting to use the remaining \$4.8M (\$5.4M - \$574,000) in surplus in May and June of FY17.

DAS Retiree Health FY16 Budget - AU 2903		
Revenue Sources	FY16 Budget	Total FY16 Actual
Other Funds (Self-Funded Agencies, NHRS Medical Subsidy, Retiree Prem. Cont. (17.5%))	\$37,420,000	\$37,390,000
General Funds	\$32,412,000	\$32,412,000
DAS Transfer from Utility Savings (GF)	\$50,000	\$50,000
Total Retiree Health FY16 Expense to AU2903	\$69,882,000	\$69,852,000
Use of Surplus		\$574,000
Total Retiree Health FY16 Budget Need		\$70,426,000

DAS recently updated the Retiree Health Benefits budget deficit projection from \$700,000 to \$650,000. This is because on June 24, 2016 the Fiscal Committee approved the transfer of \$50,000 from the DAS utility budget to the Retiree Health Benefits budget. In further commitment of its support of Retiree Health Benefits, DAS is submitting a companion item to transfer \$100,000 from the DAS utility budget to the Retiree Health Benefits budget. Assuming this transfer request is approved by the Fiscal Committee, this would lower the projected FY 17 Retiree Health Benefits deficit to \$550,000.

C. Retiree Health Benefits Update: 2016 Legislation

There were multiple bills introduced or amended during the 2016 legislative session that addressed retiree health benefits. Of those bills, only one became law: SB 388.

Public Hearing Requirement

SB 388 (Chapter 123, Laws of 2016) amended RSA 21-I:30, II now provides that “any change in [the Retiree Health Benefits] plan [must be] approved by the fiscal committee of the general court, **after a duly noticed public hearing on any proposed changes to the plan is held before the fiscal committee.**”

Other Retiree Health Legislation

The following Retiree Health Benefits bills failed at various stages of the legislative process: SB 414, HB 1592, HB 1591 and SB 495. SB 485 was amended toward the end of the session to include the retiree health provisions but it too failed to pass. These bills, separately and in combination, contained three different but important proposed tools to manage Retiree Health Benefits and its budget: (1) a requirement that DAS complete a study long term options for the Retiree Health Benefit plan, (2) funding to assist DAS in paying the projected \$700,000 deficit over the biennium, and (3) authority for DAS to request the Fiscal Committee approve a premium contribution for the Over 65/Medicare eligible retirees. As previously discussed, given that there are more than 9000 Over 65/Medicare eligible retirees, a premium contribution would be an important tool to balance future increases in deductibles, copays, coinsurance and maximum out-of-pocket expenses in a way that would best serve retirees, should budget allocations not cover the entire retiree health benefit need.

DAS and the Fiscal Committee emerge from the legislative session with limited tools to solve the funding problems within Retiree Health Benefits for FY17 as well as for FY 18/19 biennium, should budget allocations not cover the expected costs. Based on the current law, DAS has 3 options to bring to the Fiscal Committee for approval 1) increase the premium contribution of Under 65/Non-Medicare retirees (2) change the plan design of the medical and pharmacy benefits, including increases in copays and maximum out-of-pocket expenses, and (3) spend funds from the Retiree Health Benefits reserve to cover any shortfall in the retiree health benefits account for claims or other expenses such as the long term study.

I am available to address any questions you may have.

Respectfully Submitted,



Vicki V. Quiram
Commissioner

Appendix A: Health and Dental Employee and Retiree Benefit Fund Detail

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1															
2	PLAN	FY 2016													
3	Actives	Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	ALL FUNDS (FYTD)
4	Revenue														
5	Total Revenue	\$ 10,855,064	\$ 13,390,410	\$ 13,574,963	\$ 20,233,268	\$ 13,459,257	\$ 13,578,996	\$ 13,514,292	\$ 13,546,482	\$ 8,357,107	\$ 20,121,336	\$ 13,601,291	\$ 13,627,577	\$ 167,860,042	
6	Expenditures														
8	Total Expenditures	\$ 17,540,840	\$ 12,485,160	\$ 11,302,519	\$ 15,488,227	\$ 14,408,479	\$ 13,622,014	\$ 15,588,899	\$ 14,165,557	\$ 17,115,644	\$ 11,600,425	\$ 12,713,806	\$ 15,109,274	\$ 171,140,847	
9	Net Plan Activity	\$ (6,685,777)	\$ 905,250	\$ 2,272,443	\$ 4,745,041	\$ (949,223)	\$ (43,018)	\$ (2,074,607)	\$ (619,075)	\$ (8,758,537)	\$ 8,520,910	\$ 887,485	\$ (1,481,698)	\$ (3,280,805)	
10	Cumulative Plan Activity	\$ 29,780,537	\$ 23,094,760	\$ 24,000,010	\$ 26,272,454	\$ 31,017,495	\$ 30,068,272	\$ 30,025,254	\$ 27,950,646	\$ 27,331,571	\$ 18,573,034	\$ 27,093,944	\$ 27,981,429	\$ 26,499,732	\$ 26,499,732
11															
12	Troopers														
13	Revenue														
14	Total Revenue	\$ 251,747	\$ 273,679	\$ 274,480	\$ 406,974	\$ 273,964	\$ 272,793	\$ 265,839	\$ 272,442	\$ 269,186	\$ 402,117	\$ 165,000	\$ 268,017	\$ 3,396,236	
15	Expenditures														
17	Total Expenditures	\$ 318,157	\$ 219,268	\$ 273,515	\$ 215,038	\$ 231,018	\$ 291,856	\$ 253,857	\$ 321,639	\$ 349,961	\$ 164,595	\$ 192,396	\$ 403,850	\$ 3,235,150	
18	Net Plan Activity	\$ (66,410)	\$ 54,411	\$ 965	\$ 191,936	\$ 42,946	\$ (19,063)	\$ 11,982	\$ (49,197)	\$ (80,775)	\$ 237,522	\$ (27,396)	\$ (135,834)	\$ 161,086	
19	Cumulative Plan Activity	\$ 4,097,210	\$ 4,030,800	\$ 4,085,210	\$ 4,086,175	\$ 4,278,111	\$ 4,321,057	\$ 4,301,994	\$ 4,313,976	\$ 4,264,779	\$ 4,184,004	\$ 4,421,526	\$ 4,394,131	\$ 4,258,297	\$ 4,258,297
20															
21	Retiree - U65														
22	Revenue														
23	Total Revenue	\$ 2,188,157	\$ 2,781,872	\$ 2,748,985	\$ 2,750,080	\$ 20,558	\$ 5,502,076	\$ 18,756	\$ 5,465,813	\$ 2,811,285	\$ 2,724,888	\$ 2,699,113	\$ 2,416,254	\$ 32,127,836	
24	Expenditures														
26	Total Expenditures	\$ 3,364,974	\$ 2,491,801	\$ 2,476,523	\$ 3,167,095	\$ 2,812,120	\$ 3,068,822	\$ 3,463,355	\$ 2,744,481	\$ 3,533,257	\$ 2,732,011	\$ 2,222,131	\$ 2,663,121	\$ 34,739,690	
27	Net Plan Activity	\$ (1,176,818)	\$ 290,070	\$ 272,463	\$ (417,015)	\$ (2,791,562)	\$ 2,433,254	\$ (3,444,598)	\$ 2,721,332	\$ (721,971)	\$ (7,123)	\$ 476,982	\$ (246,867)	\$ (2,611,854)	
28	Cumulative Plan Activity	\$ 14,858,564	\$ 13,681,746	\$ 13,971,817	\$ 14,244,280	\$ 13,827,264	\$ 11,035,702	\$ 13,468,956	\$ 10,024,357	\$ 12,745,689	\$ 12,023,718	\$ 12,016,595	\$ 12,493,577	\$ 12,246,710	\$ 12,246,710
29															
30	Retiree - O65														
31	Revenue														
32	Total Revenue	(117,745)	3,125,886	3,364,798	3,195,278	302,710	6,849,564	262,854	7,562,700	3,688,731	3,603,304	4,825,457	3,210,555	39,874,092	
33	Expenditures														
35	Total Expenditures	4,404,185	3,628,320	2,688,694	4,217,136	5,170,118	3,366,594	4,056,906	3,467,534	4,421,030	3,438,953	2,626,603	4,038,313	45,524,385	
36	Net Plan Activity	(4,521,930)	(502,434)	676,105	(1,021,858)	(4,867,408)	3,482,970	(3,794,052)	4,095,166	(732,300)	164,352	2,198,854	(827,758)	(5,650,293)	
37	Cumulative Plan Activity	2,503,216	(2,018,714)	(2,521,148)	(1,845,043)	(2,866,901)	(7,734,309)	(4,251,339)	(8,045,391)	(3,950,225)	(4,682,525)	(4,518,173)	(2,319,319)	(3,147,077)	(3,147,077)
38	Total Retirees	\$ 17,361,780	\$ 11,663,032	\$ 11,450,669	\$ 12,399,236	\$ 10,960,363	\$ 3,301,393	\$ 9,217,617	\$ 1,978,966	\$ 8,795,464	\$ 7,341,193	\$ 7,498,422	\$ 10,174,257	\$ 9,099,633	\$ 9,099,633

Appendix A: Health and Dental Employee and Retiree Benefit Fund Detail

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
		Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	ALL FUNDS (FYTD)
2															
3	Plan Summary Information:														
4	Total Program Revenue														
5	001 State Agency Revenue		16,695,431	16,783,848	16,969,938	23,336,744	12,725,589	21,224,028	12,725,887	21,373,785	12,448,117	23,479,827	17,181,716	16,556,574	\$ 211,501,484.21
6	005 Cobra/NHRS Subsidy		1,421,985	1,419,909	1,440,824	1,415,150	16,898	2,879,130	1,523	3,015,287	1,599,520	1,570,884	1,547,929	1,575,407	\$ 17,904,445.51
7	006 Rx Rebate/EGWP Subsidies		(5,948,418)	255,032	415,738	217,846	219,420	953,628	182,798	1,314,846	361,622	185,625	1,446,043	182,950	\$ (212,870.77)
8	007 Interest Earned														\$ -
9	008 Employee Contributions		831,772	825,563	823,095	1,236,023	821,343	820,684	825,802	824,314	516,068	1,233,790	825,298	826,063	\$ 10,409,813.80
10	009 Statutorily Authorized Groups		176,451	287,495	313,631	379,837	173,239	325,960	264,454	319,205	193,933	335,294	289,875	380,826	\$ 3,440,199.95
11	Performance Guarantees/Recov		-	-	-	-	100,000	-	61,276	-	7,051	46,224	-	582	\$ 215,133.08
12	Total Combined Revenue		13,177,222	19,571,846	19,963,226	26,585,600	14,056,489	26,203,429	14,061,742	26,847,436	15,126,309	26,851,644	21,290,861	19,522,402	\$ 243,258,205.78
13															
14	Total Program Expenditures														
15	HB Employee Salary Costs		-	-	-	188,777	-	-	220,557	-	-	207,158	-	234,338	\$ 850,831
16	HB Employee Benefit Costs		-	-	-	94,484	-	-	111,623	-	-	104,619	-	118,165	\$ 428,891
17	Medical Claims		19,223,759	12,309,375	12,395,631	16,310,898	12,979,868	12,758,755	16,195,439	13,937,266	16,841,013	10,777,261	13,774,205	17,751,055	\$ 175,254,525
18	Medical Administration		580,788	638,060	624,344	560,689	599,798	616,733	609,263	663,842	610,105	714,113	609,075	670,156	\$ 7,496,965
19	Exercise Incentive		-	-	-	-	-	-	-	288,222	-	-	-	-	\$ 288,222
20	Consulting		73,547	10,147	69,282	-	131,975	-	27,550	-	89,717	-	73,477	104,557	\$ 580,251
21	Pharmacy Claims		5,458,246	5,543,311	3,439,375	5,725,639	8,555,865	5,656,310	5,881,061	5,558,756	7,523,994	5,767,321	2,965,729	2,892,060	\$ 64,967,667
22	Pharmacy Administration		89,590	96,352	80,595	80,897	87,593	80,796	80,852	80,211	81,311	81,718	83,430	82,255	\$ 1,005,600
23	HRA Claims		124,539	160,060	109,681	117,444	251,882	197,810	221,526	153,671	208,078	206,155	179,700	222,785	\$ 2,153,331
24	HRA Administration		11,198	10,622	10,925	10,394	10,513	10,713	10,854	5,688	7,560	8,663	9,227	9,531	\$ 115,888
25	Other Expenses		66,491	709	8,573	(2,963)	211	11,372	3,012	10,251	306	9,598	2,018	898	\$ 110,476
26	ACA Taxes and Fees							1,016,797							\$ 1,085,770
27	Vaccination Assessment Fees		-	1,282	2,844	1,236	4,031	-	1,282	1,303	57,808	59,377	58,076	59,785	\$ 247,024
28	Contracts for Program Svcs		-	54,632	-	-	-	-	-	-	-	-	-	-	\$ 54,632
29	Total Combined Expenses		25,628,157	18,769,917	16,741,250	23,087,496	22,621,735	20,349,286	23,363,017	20,699,211	25,419,892	17,935,983	17,754,936	22,214,559	\$ 254,640,072
30															
31	Net Plan Fund Activity		(12,450,935)	801,929	3,221,976	3,498,104	(8,565,247)	5,854,143	(9,301,276)	6,148,225	(10,293,583)	8,915,661	3,535,925	(2,692,156)	(11,381,866)
32															
33	Cumulative Net Fund Activity	51,239,527	38,788,592	39,590,521	42,812,497	46,310,601	37,745,354	43,599,497	34,298,221	40,446,446	30,152,863	39,068,524	42,604,449	39,912,293	39,857,661
34															
35															
36															\$9,923,898
37															(\$8,181,590)
38															\$41,599,970
39															
40															Less:
41															IBNR
42															(\$14,990,000)
43															Statutory Reserve (≥5%)
44															Actives
45															(\$9,159,000)
46															Troopers
															(\$3,844,000)
															Retirees
															(\$4,063,000)
															Total Statutory Reserve
															(\$17,066,000)
															Cumulative Accrual Fund Balance: Net of IBNR & Stat Reserve
															\$9,543,970

Appendix A: Health and Dental Employee and Retiree Profit Fund Detail

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
2		Fund													ALL FUNDS
3		Balance	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	(FYTD)
4	DENTAL														
5	Revenue														
6	Total DENTAL Revenue - PLAN		800,256	820,018	816,940	1,215,749	814,547	829,815	893,470	899,279	659,285	1,330,740	876,627	885,266	10,841,992
7	Expense														
8	Total DENTAL Expense - PLAN		772,782	803,197	740,290	803,465	987,112	756,192	1,091,452	1,067,594	1,276,618	1,023,023	673,584	1,162,555	11,157,865
9															
10	Net Plan Fund Activity - PLAN		27,474	16,821	76,649	412,284	(172,565)	73,623	(197,982)	(168,315)	(617,333)	307,717	203,043	(277,289)	(315,872)
11	Cumulative Fund Balance	1,035,123	1,062,597	1,079,418	1,156,067	1,568,351	1,395,787	1,469,409	1,271,428	1,103,112	485,779	793,496	996,539	719,251	719,251
12															
13															\$38,188
14															(\$393,973)
15															\$363,466
16															
17															
18															(\$352,000)
19															(\$352,000)
20															(\$340,534)



New Hampshire Fish and Game Department

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July 21, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Informational Item

In accordance with RSA 206:42, Search and Rescue, the NH Department of Fish & Game is submitting the following Quarterly Report of Expenditures for the period January 1, 2016 to March 31, 2016.

Balance Forward from FY 2015 (Undesignated Reserve)	\$ (\$98,606.72)
Plus: Revenue FY 2016	+ 150,477.33
Less: Expenditures (3 rd Qtr. 01/01/16 - 03/31/16) (3)	- 23,766.31
Personal Services	14,278.36
Current Expenses	3,021.66
Equipment	0.00
Benefits	6,466.29
In-State Travel	0.00
Out-of-State Travel	0.00
Total Expenditures – 1 st Qtr.(1)	- 105,326.15
Total Expenditures – 2 nd Qtr.(2)	- 92,075.44
Total Expenditures – 3 rd Qtr. (3)	- 23,766.31
Less Encumbrances	- <u>5,384.50</u>
Balance Available 03/31/16 (per NHFirst)	\$(174,681.79)

Footnotes:

- 1) Expenditures of \$105,326.15 shown represent direct expenditures charged to the Search & Rescue account in the 1st Quarter of the Fiscal Year.
- 2) Expenditures of \$92,075.44 shown represent direct expenditures charged to the Search & Rescue account in the 2nd Quarter of the Fiscal Year.
- 3) Expenditures of \$23,766.31 shown represent direct expenditures charged to the Search & Rescue account in the 3rd Quarter of the Fiscal Year.

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The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
Search and Rescue Quarterly Report
July 21, 2016
Page 2

ACTIVITY SUMMARY

During the period of January 1 to March 31, 2016, the Law Enforcement Division participated in the following Search & Rescue activities:

17 Missions involving the search for and/or rescue of 20 individuals – 215.75 reg. hours costing \$10,227.32, + 229 OT hours costing \$9,937.59, 6,285 miles costing \$3,488.18 + 10 ATV miles costing \$5.55 = 445.25 total hours, total cost \$23,658.64 and 6,295 miles.

1 Drowning Missions for the recovery of 1 victims – 38 hours costing \$1,712.42 + 21.5 OT hours costing \$978.17, 1,017 miles costing \$564.43 = 59.5 total hours, total cost \$2,690.59 and 1,017 miles.

18 Total Missions – 253.75 reg. hours + 251 OT hours = 504.75 total hours, total cost \$26,913.66 and 7,312 miles.

Miscellaneous activities - None

TOTAL: 253.75 reg. hours + 251 OT hours = 504.75 total hours, total cost \$26,913.66 and 7,312 miles.

ACTIVITY SUMMARY UPDATE FOR PERIOD OCTOBER 1, 2015 THROUGH DECEMBER 31, 2015

During the period October 1 to December 31, 2015, the Law Enforcement Division participated in the following Search and Rescue activities:

4 Missions involving the search for and/or rescue of 4 individuals – 27.5 reg. hours costing \$1,391.13, + 0 OT hours costing \$0.00 and 432 miles costing \$239.76 = 27.5 total hours, total cost \$1,630.89 and 432 miles.

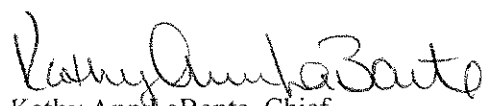
2 Drowning Mission for the recovery of 2 victims – 77.5 hours costing \$3,739.69 + 33.5 OT hours costing \$1,454.82 + 18 dive hours costing \$460.00, 1,909 miles costing \$1,059.50 and \$80 in boat cost = 111 total hours, total cost \$6,794.01 and 1,909 miles.

Miscellaneous activities - None

GRAND TOTAL: 358.75 reg. hours + 284.5 OT hours + 18 dive hours = 661.25 total hours, total cost \$35,338.56 and 9,653 miles.

Respectfully submitted,


Glenn Normandeau
Executive Director


Kathy Ann LaBonte, Chief
Business Division